

Section I: Identification and JP Status

Enhancing Access to and Provision of Water Services with the Active Participation of the Poor

Semester: 2-12

| | |
|--------------------|---|
| Country | Philippines |
| Thematic Window | Democratic Economic Governance |
| MDGF Atlas Project | |
| Program title | Enhancing Access to and Provision of Water Services with the Active Participation of the Poor |

| | |
|------------------------|------|
| Report Number | |
| Reporting Period | 2-12 |
| Programme Duration | |
| Official Starting Date | |

| | |
|--------------------------------|--------------------|
| Participating UN Organizations | * UNDP * UNICEF |
|--------------------------------|--------------------|

| | |
|-----------------------|--|
| Implementing Partners | * Department of the Interior and Local Government (DILG) * National Economic and Development Authority (NEDA) * National Water Resources Board (NWRB) as Responsible Party |
|-----------------------|--|

Budget Summary

Total Approved Budget

| | |
|--------------|-----------------------|
| UNDP | \$3,834,813.00 |
| UNICEF | \$1,540,187.00 |
| Total | \$5,375,000.00 |

Total Amount of Transferred To Date

| | |
|--------------|---------------|
| UNDP | |
| UNICEF | |
| Total | \$0.00 |

Total Budget Committed To Date

| | |
|--------------|-----------------------|
| UNDP | \$1,356,322.00 |
| UNICEF | \$1,356,322.00 |
| Total | \$2,712,644.00 |

Total Budget Disbursed To Date

| | |
|--------------|-----------------------|
| UNDP | \$1,335,274.00 |
| UNICEF | \$1,335,274.00 |
| Total | \$2,670,548.00 |

Donors

As you can understand, one of the Goals of the MDG-F is to generate interest and attract funding from other donors. In order to be able to report on this goal in 2010, we would require you to advise us if there has been any complementary financing provided for each programme as per following example:

Please use the same format as in the previous section (budget summary) to report figures (example 50,000.11) for fifty thousand US dollars and eleven cents

| Type | Donor | Total | For 2010 | For 2011 | For 2012 |
|-------------|---------------------|----------------|----------|--------------|--------------|
| Parallel | World Bank | \$188,682.00 | \$0.00 | \$0.00 | \$188,682.00 |
| Parallel | USAID | \$1,000.00 | \$0.00 | \$0.00 | \$1,000.00 |
| Counterpart | National Government | \$1,162,791.00 | \$0.00 | \$465,116.00 | \$697,674.00 |
| Cost Share | UNDP | \$40,000.00 | \$0.00 | \$0.00 | \$40,000.00 |

DEFINITIONS

1) PARALLEL FINANCING – refers to financing activities related to or complementary to the programme but whose funds are NOT channeled through Un agencies. Example: JAICA decides to finance 10 additional seminars to disseminate the objectives of the programme in additional communities.

2) COST SHARING – refers to financing that is channeled through one or more of the UN agencies executing a particular programme. Example: The Government of Italy gives

UNESCO the equivalent of US \$ 200,000 to be spent on activities that expand the reach of planned activities and these funds are channeled through UNESCO.

3) COUNTERPART FUNDS - refers to funds provided by one or several government agencies (in kind or in cash) to expand the reach of the programme. These funds may or may not be channeled through a UN agency. Example: The Ministry of Water donates land to build a pilot 'village water treatment plant' The value of the contribution in kind or the amount of local currency contributed (if in cash) must be recalculated in US \$ and the resulting amount(s) is what is reported in the table above.

Beneficiaries

| Beneficiary type | Targetted | Reached | Category of beneficiary | Type of service or goods delivered |
|------------------|-----------|---------|--|---|
| Direct | 36 | 43 | Municipalities | Capacity Building |
| Direct | 36 | 36 | Municipalities | Establishment of Local Councils/Organisations |
| Direct | 36 | 65 | Communities (number of communities, not persons) | Establishment of Local Councils/Organisations |
| Direct | 36 | 36 | Water Supply Companies | (Support to) Creation of Model Contracts |
| Direct | 4 | 3 | National Institutions (number of institutions, not persons) | Capacity Building |
| Direct | 6 | 15 | Civil Society Organisations (number of organisations, not persons) | Capacity Building |
| Direct | 36 | 36 | Water Supply Companies | Capacity Building |
| Direct | 17 | 22 | Local Institutions (number of institutions, not persons) | Capacity Building |

Section II: JP Progress

1 Narrative on progress, obstacles and contingency Measures

Please provide a brief overall assessment (1000 words) of the extent to which the joint programme components are progressing in relation to expected outcomes and outputs, as well as any measures taken for the sustainability of the joint programme during the reporting period. Please, provide examples if relevant. Try to describe facts avoiding interpretations or personal opinions

Plases describe three main achievements that the joint programme has had in this reporting period (max 100 words)

1. The human rights-based capacity building initiatives are being replicated in the rest of the waterless municipalities covered under SALINTUBIG, as well as in the priority LGUs covered by the Bottom-Up Approach to Planning and Budgeting (BUPB) program and Transition and Investment Support Plan (TISP) for the Autonomous Region in Muslim Mindanao.
2. Regional hubs for the rollout of the Toolbox have been established.
3. Partnerships formed with additional 22 academic institutions, 9 water districts and 9 civil society organizations for the rollout of the Toolbox.

Progress in outcomes

- About US\$ 8 million allotted for water supply provision in the 36 JP areas under SALINTUBIG.
- Per assessment of DILG, 36 MDG-F LGUs are performing better under SALINTUBIG than those which have yet to undergo or complete their capacity building component.
- Majority of the LGUs reported that they can replicate the project interventions on their own, and in fact have already done so, specifically, in mobilizing communities, organizing water user associations, developing the localized customer service codes (LCSCs), and conducting orientation and trainings on water and sanitation advocacies. At the barangay level, BWASAs have acquired capacities in records keeping, financial management, among others.
- Where the LCSCs were implemented, collection rates increased, membership/connections increased, community involvement in the operations increased, and water use became efficient. Because of these results, some LGUs have replicated the LCSC in other communities/barangays.
- Replication/up-scaling through adoption of the human rights-based capacity building initiatives by SALINTUBIG, BUPB and TISP-ARMM.
- In the JP areas, local ordinances were passed to facilitate implementation of the LCSCs and prioritize water and sanitation projects and programs such as watershed protection.
- There is increased interest and cooperation generated among the marginalized groups, especially IPs, not only as beneficiaries but as co-implementers of activities.

Progress in outputs

- Implementation and operational plan for the NWRMO is awaiting OP decision.
- The initial results of the research on investment requirements for improved water supply provision in the Philippines have been presented to stakeholders and comments being incorporated in the draft final report.
- The continuing community mobilizing has resulted in the organization of 65 users' associations.
- A scorecard for measuring the capacity of LGUs in water and sanitation governance completed and ready for rollout.
- Rollout of the WATSAN Toolbox is ongoing. Regional hubs have been established for the rollout.
- Regional assessment and documentation of lessons learned/initial gains of the JP is completed, with a few enhancements/refinements being considered before finalization.
- MW4SPs printed and handed over to LGUs.
- 36 LCSCs completed and under various stage of implementation in the LGUs.

Measures taken for the sustainability of the joint programme

- The capacity building component itself is a sustainability mechanism wherein the capacitated LGUs (WATSAN Councils) are able to replicate the initiatives (e.g., community-organizing, LCSC) on their own.
- Regional hubs were established. These hubs serve as the network for trained partners from the local research institutes and the civil society that can assist DILG provide capacity building to LGUs.
- Documentation of results undertaken to serve as proof to encourage LGUs to adopt and implement the initiatives.
- Research on investment requirements, including for capacity building, is being undertaken to serve as basis, together with the results documentation, for building a business case to development partners.
- Marketplace/forum will be undertaken jointly with other JPs to showcase the results of the sound practices/tools of the JP.
- Pending the finalization of all KPs, these will be uploaded in the website of MDG-F 1919 and SALINTUBIG.

Are there difficulties in the implementation?

Coordination with Government

What are the causes of these difficulties?

External to the Joint Programme

Other. Please specify

Availability of technical personnel for JP activities.

Delayed presentation to the Sub-Committee on Water Resources.

Briefly describe the current difficulties the Joint Programme is facing

The JP was not able to conduct investment appraisal training for personnel of the Department of Health (DOH) due to seeming lack of interest.

Availability of technical personnel for JP activities in view of additional work in the sector.

The Sub-Committee on Water Resources cannot convene regularly due to non-availability of members in light of other activities, including the Philippine Development Forum.

Briefly describe the current external difficulties that delay implementation

The evolving institutional set-up in the water supply sector continues to be a problem for the JP (e.g., capacity building for DOH). DOH was originally part of the SALINTUBIG program but was excluded in 2012. As a result, DOH seemed to have lost interest in the JP training despite the numerous follow ups to schedule the training (letters and emails).

With the government putting more and more focus on infrastructure (including water supply) development in the country, the JP government partners (DILG JP counterpart staff are involved with water supply while the NEDA JP counterpart staff are involved with the policies for the whole infrastructure sector) are struggling with handling the additional work, especially with the winding down of activities including easing out of programme staff.

The Sub-Committee on Water Resources cannot convene for the presentation of the investment requirements due to non-availability of members in light of other activities,

including the Philippine Development Forum.

Explain the actions that are or will be taken to eliminate or mitigate the difficulties

Due to time constraint, the JP opted to cancel the training for DOH and reallocate the funds for other JP activities. The JP deemed that the Department of Public Works and Highways (DPWH) through the Local Water Utilities Administration (LWUA), which replaced DOH in SALINTUBIG, does not require the training as appraisal has always been part of their work as a financing institution for water districts.

2 Inter-Agency Coordination and Delivering as One

Is the joint programme still in line with the UNDAF?

Yes true
No false

If not, does the joint programme fit the national strategies?

Yes
No

What types of coordination mechanisms

Within the JP: PMC mechanism, Joint Technical Working Group, JP planning, Joint reporting
Inter-JP: NSC, MDG-F Coordinator

Please provide the values for each category of the indicator table below

| Indicators | Baseline | Current Value | Means of verification | Collection methods |
|--|----------|---------------|--|--|
| Number of managerial practices (financial, procurement, etc) implemented jointly by the UN implementing agencies for MDG-F JPs | 0 | 1 | Quarterly meetings, PMC Meetings, progress reports | Inter-agency coordination, review of reports |
| Number of joint analytical work (studies, diagnostic) undertaken jointly by UN implementing agencies for MDG-F JPs | 0 | 21 | Quarterly meetings, PMC Meetings, progress reports | Inter-agency coordination, review of reports |
| Number of joint missions undertaken jointly by UN implementing agencies for MDG-F JPs | 0 | 3 | Quarterly meetings, PMC Meetings, progress reports | Inter-agency coordination, review of reports |

For joint analytical work: 1 Government partners' assessment, 8 JP Planning/Review workshops, 4 audits, 8 spot checks

3 Development Effectiveness: Paris Declaration and Accra Agenda for Action

Are Government and other national implementation partners involved in the implementation of activities and the delivery of outputs?

Not involved false
Slightly involved false
Fairly involved false
Fully involved true

In what kind of decisions and activities is the government involved?

Policy/decision making
Management: budget
Management: procurement
Management: service provision

Who leads and/or chair the PMC?

NEDA and UNDP co-chair the PMC

Number of meetings with PMC chair

6

Is civil society involved in the implementation of activities and the delivery of outputs?

Not involved false
Slightly involved false
Fairly involved false
Fully involved true

In what kind of decisions and activities is the civil society involved?

Policy/decision making
Management: service provision
Management: other, specify

Consultation, Community organizing, Targeted as partners in rollout of the toolbox

Are the citizens involved in the implementation of activities and the delivery of outputs?

Not involved false
Slightly involved false
Fairly involved false
Fully involved true

In what kind of decisions and activities are the citizens involved?

Policy/decision making
Management: other, specify

Participatory consultations to surface needs/requirements

Those in areas with LCSCs implemented, citizens are involved in the operation and maintenance of their water supply systems

Where is the joint programme management unit seated?

National Government

Current situation

Target LGUs are willing to support and be involved in the implementation and achievement of program outputs. They have also shown ownership in terms of preparing their local annual work plans to complement the program's over-all work plan. Some LGUs are not replicating some of the practices/tools on their own.

WSPs and citizens (as customers), who have been involved in the formulation of the LCSC, are performing their roles and tasks as mutually agreed in the LCSC.

In terms of implementing partners, accountability is evidenced by the designation of permanent/organic personnel at the national and local/regional levels complemented by a full time project staff.

4 Communication and Advocacy

Has the JP articulated an advocacy & communication strategy that helps advance its policy objectives and development outcomes?

Yes true
No false

Please provide a brief explanation of the objectives, key elements and target audience of this strategy

The communications plan highlighted the mobilization of the youth, media and civil society to drum up national support for policies and investment.

The local strategy focuses on raising awareness on core WATSAN issues and necessary actions among local stakeholders.

What concrete gains are the advocacy and communication efforts outlined in the JP and/or national strategy contributing towards achieving?

Increased awareness on MDG related issues amongst citizens and governments
Increased dialogue among citizens, civil society, local national government in relation to development policy and practice
New/adopted policy and legislation that advance MDGs and related goals
Establishment and/or liaison with social networks to advance MDGs and related goals
Key moments/events of social mobilization that highlight issues

Others

Increased participation of citizens in the management of their water supply systems.

What is the number and type of partnerships that have been established amongst different sectors of society to promote the achievement of the MDGs and related goals?

| | |
|-----------------------------|----|
| Faith-based organizations | 1 |
| Social networks/coalitions | 16 |
| Local citizen groups | 65 |
| Private sector | 28 |
| Academic institutions | 39 |
| Media groups and journalist | |
| Other | 23 |

What outreach activities do the programme implement to ensure that local citizens have adequate access to information on the programme and opportunities to actively participate?

Focus groups discussions
Household surveys
Use of local communication mediums such radio, theatre groups, newspapers
Open forum meetings
Capacity building/trainings
Others

Mentoring, coaching and field visits are some of the outreach activities undertaken to ensure adequate access to information and participation of local citizens. Coordination with the established WATSAN Councils ensured the participation of citizens in the activities.

Section III: Millenium Development Goals

Millenium Development Goals

Additional Narrative Comments

Please provide any relevant information and contributions of the programme to de MDGs, whether at national or local level

At the national, the 2010 MDG Report indicated that the fragmentation in the sector is one of the main reasons for the difficulty in achieving universal access to water. After World Water Day 2011, there was an initiative that reiterated the recommendation to address the institutional fragmentation in the sector. The study recommended the creation of the apex body. As a folow through, the WB and MDG-F 1919 embarked on a study to operationalize the said body. The recommendations are, however, pending approval of the OP.

Under the capacity building component, the JP is assisting additional 7 municipalities, and organized an additional 29 users' associations. DILG is adopting the capacity building for other water supply programs. These ensure the sustainability of gains already and yet to be achieved in terms of water supply access with the active participation of stakeholders including women.

Please provide other comments you would like to communicate to the MDG-F Secretariat

Per an initial results survey conducted, the trained LGUs are more confident in replicating the project interventions on their own and exploring other opportunities thru other financing sources.

In municipalities where there is a majority of IPs, there is increased interest and cooperation generated among IPs, not only as beneficiaries but as co-implementers of activities as manifested in the advocacy activities on hand-washing where there was a reported increase in the number of IPs attending and participating. There was also an increase in the number of IPs actually applying good practices as water users and members of organized associations (e.g., paying water fees). IPs have entered into agreements for the protection of water sources that are considered sacred by the group, and IP leaders served as main contact of the group for dissemination of information and coordination of the activities. In one municipality, the cooperation established has provided livelihood to a number of IPs who were appointed as guardians of the water source.

Section IV: General Thematic Indicators

1 Strengthen national and local governments' capacity to manage and monitor water supply and sanitation services

1.1 Number of institutions, civil servants and/or citizens trained to take informed decisions on water management and sanitation issues

Public institutions

Total 47

Private Sector Institutions

Total 25

NGOs

Total 16

Community based organizations

Total 65

Civil servants

Total 358

Women 149

Men 209

Citizens

Total

Women

Men

Other, Specify

Total

Women

Men

Academic institutions for hubs: 22

Water districts (GOCCs): 9

1.2 Increase in the coverage the water supply and sanitation monitoring systems due to the JP Intervention

Water supply system

% increase over the total system extension

Sanitation system

% increase over the total system extension

Level of analysis of the information compiled

1.3 Budget allocated to provide water and sanitation services before the implementation of the Joint Programme

National Budget 204217
Total Local Budget 1409841

Figures are based on the budget allocated for the 36 JP areas under the President's Priority Program on Water (P3W).

1.4 Variation (%) in the Budget devoted to provide water and sanitation services from the beginning of the joint programme to present time

National Budget

% Overall
% Triggered by the joint programme

Local Budget

% Overall
% Triggered by the joint programme

Comments

The JP areas received a total of about PhP350 million (US\$8.14 million) budget allocation from national government under SALINTUBIG.

Counterpart funds amounted to PhP42 million (US\$ 0.98 million) from the local government units.

1.5 Number of laws, policies or plans supported by the programme that explicitly aim to improve water and sanitation policies and management

Policies
National 6
Local

Laws
National
Local

Plans
National
Local 36

1.6 Please briefly provide some contextual information on the law, policy or plan and the country/municipality where it will be

The JP will come up with recommendations that will be the basis for policy issuances by relevant committees of the government. The incentives mechanisms and partnership modalities can be adopted /applied by poor (waterless) communities in their local plans to increase public and private investment in the water services provision. The national government (NG)-local government unit (LGU) cost-sharing arrangement for waterless areas based on the review of the current arrangement aims to balance social subsidies with better ownership, accountability and responsibility from recipient communities; the results are inputs to a wider rationalization of financing for the water supply sector. The enhanced guidelines, some recommendations of which are being adopted by Salintubig, for the effective implementation of the NG's programs for waterless areas aims to ensure sustainability of water supply systems provided by NG's programs for waterless areas, promote better targeting of NG assistance and enhance accountability and ownership. Meanwhile, the enhancement of tariff-setting methodology and procedures for small water service providers (WSPs), which typically operate in poor communities, aim to encourage said small WSPs to be formalized and regulated by the National Water Resources Board. The recommendations are inputs to a wider study on economic regulation for water supply.

The JP, in partnership with World Bank, funded a study that aims to address the institutional defragmentation in the water sector through the creation of an apex body that will steer policies and activities in the sector. The recommendations for the operationalization of the apex body have been submitted to the Office of the President.

On top of the above original target policies, resulting from the LWGF conducted in the JP's 5 regions, among others, the following national policies have gained the support of local stakeholders: (i) adoption of the Integrated Water Resources Management approach; (ii) creation of a single economic regulatory body, and in the interim, strengthening of the NWRB, including creation of satellite offices; (iii) benchmarking of service providers and ring-fencing of LGU-operated utilities; (iv) identification of a national champion for water; and (v) revisiting policies and laws on water resource management and the mining act among others.

Similarly, support for local policies has surfaced from the JP activities, where initially, none was targeted. Some LCEs committed, during the LWGF, to implement stricter policies on, among others, IEC and advocacy for water supply and sanitation, solid waste management, regulation of logging activities, and protection of watersheds.

A municipal water supply and sanitation plan will be developed for each of the 36 beneficiary municipalities of the JP. The plans will include situation assessment, targets, local policies (guided by national policies), and fund requirements for their local water and sanitation.

A research study on investment requirements for improved water supply coverage in the country will inform development partners from inside and outside government of what is still needed to meet MDG target on water supply (by 2015), eliminate waterless communities (by 2016) and achieve universal coverage (by 2025).

1.7 Sector in which the law, policy or plan is focused

Regulation of competencies and integrated management
Access to drinking water
Water use and pricing
Water supply and quality control
Infrastructure
Other Specify

Institutional arrangements for integrated water resources management

Comments

1.8 Number of citizens and/or institutions to be affected directly by the law, policy or plan

Citizens

Total 732000
No. Urban
No. Rural 732000

National Public Institutions

Total 4 national agencies
Urban
Rural

Local Public Institutions

Total 43 LGUs

No. Urban

No. Rural 43 LGUs

Private Sector Institutions

Total 24 WSPs

No. Urban

No. Local 24 WSPs

2 Improve access to safe drinking water

2.1 Number of citizens that gained access to safe affordable drinking water with the support of the JP

No. Citizens

No. Women

No. Men

2.2 Variation (%) of the population who gained access to drinking water in the region of intervention from the beginning of the programme to present time

%

2.3 Number of municipalities/communities/cities with access to safe drinking water through the JP

Total number 43

No. Urban Communities
No. Rural Communities 43

2.4 Type of improvements produced on the wellbeing of the population through the access to potable water

Health
Women and children safety
Improvement of livelihoods
Children schooling

Comments

The JP has played a major role in providing access to water in the classroom to all the schoolchildren of the Sibagat Central Elementary School.

Increases in membership/connections and collection efficiency in assisted/trained water service providers ensures sustainable delivery of services and better service.

3 Community empowerment and participation in the water management decision processes

3.1 Number of community organizations strengthened or created to increase the civil society participation in the decision making processes

No. Organisations 65
No. Women
No. Men
% from ethnic groups

3.2 Number of citizens sensitized in hygiene and sanitation issues

Total No. 1323
No. Children 865
No. Women 648
No. Men 675
% from Ethnic groups

4 Strengthening water supply and sanitation services providers

4.1 Number and type of water and sanitation services providers strengthened

Public institutions 47 (43 municipalities + 4 national partners)
Private institutions 25 (24 WSPs + 1 private trainer)
Community organizations 101 (65 community orgs, 36 WSPs)
Public Private Partnership
Other: Specify Academe: 22; CSO: 15; Water Districts: 9

National Level No. 5 (4 national government partners + NAWASA)
Local Level No. 190 (43 municipalities, 101 community organizations, 1 private trainer, 22 academic institutions, 14 CSO, 9 water districts)

4.2 Indicate the type of intervention used to strengthen water and sanitation services providers

Training
Knowledge transfer
Human resources reinforcement

4.3 Number of water and sanitation service providers mentioned above that have developed a financial plan and sustainability system



Total Number 36 LGUs

Type of financial plan

local investment plans

| Expected Results (Outcomes & outputs) | Indicators | Baseline | Overall JP Expected target | Achievement of Target to date | Means of verification | Collection methods (with indicative time frame & frequency) | Responsibilities | Risks & assumptions |
|---|--|--------------------|---|---|--|--|---|--|
| Result 1: Investment support mechanisms established for poor communities/municipalities to improve efficiency, access, affordability and quality of potable water. | | | | | | | | |
| Indicators: % increase over baseline in investments in the 36 municipalities in the provision/improvement of water supply services | | | | | | | | |
| Baseline: 1.4 million dollars in the 36 municipalities | | | | | | | | |
| Output 1.1 Incentives mechanisms and partnership modalities (e.g., leveraging local capital and/or subsidy) developed and enhanced for investments in "waterless" and poor communities. | 1 policy issuance promoting the use of the schemes by 2011 | No policy issuance | Compendium incentives mechanisms and partnership modalities formulated, and (under Outcome 2) incorporated in the WATSAN Toolbox, presented to LGUs, and ultimately be utilized/ considered when the LGUs formulate their local sector plans. | Final report submitted incorporating recommendations of decision makers | Toolbox Progress/ Annual Reports | Research/Data Collection (2009-2012) Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | <p>NEDA:</p> <ul style="list-style-type: none"> - Prepare TOR and procure/hire experts - Provide technical counterpart to experts - Assist experts in coordinating with relevant government agencies and in accessing data/information <p>UNICEF:</p> <ul style="list-style-type: none"> - Review of deliverables - Participate in actual M&E <p>Other Partners (UNDP, DILG, NWRB):</p> <ul style="list-style-type: none"> - Review of deliverables - Conduct of/participate in actual M&E | <p>National and local elections posed difficulties in the conduct of local activities.</p> <p>Low political commitment at national & local levels, arising from change in administration, may delay project implementation.</p> <p>Conflicting national and local laws and policies.</p> <p>Weak capacity of NGAs and LGUs to implement projects.</p> <p>Irregular meetings of the policy-making body/committee.</p> |

| | | | | | | | | |
|--|--|---|--|--|--|--|--|--|
| Improvement (after MTE): Support to institutional policy reforms in the sector | 1 implementation and operationalization plan for the apex body drafted and recommended | No implementation and operational plan | Implementation and operationalization plan formulated for the proposed apex body, National Water Resources Management Office, for approval of the Office of the President (OP) | Final report submitted to OP for approval | NEDA annual performance reports | Research/Data Collection (2012 – 2013) Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | NEDA: - Prepare TOR and procure/hire experts - Provide technical counterpart to experts - Assist experts in coordinating with relevant government agencies and in accessing data/information - Review of deliverables - Participate in actual M&E | National and local elections posed difficulties in the conduct of local activities. Low political commitment at national & local levels, arising from change in administration, may delay project implementation. |
| Output 1.2.1 Policy on National Government-Local Government Units (NG-LGU) cost sharing arrangement for water supply and sanitation provision for poor municipalities reformulated and recommended for adoption. | 1 policy issuance recommended for cost sharing arrangement by 2011 | Current cost sharing arrangement based on LGU income class only | Cost-sharing arrangement recommendation finalized and (under Outcome 2) incorporated in the WATSAN Toolbox to guide LGUs in their planning and budgeting processes. | Final recommendations approved by the policy/decision makers. They are also serving as input to the bigger study on cost-sharing for all basic services. | Compilation of executive issuances issued by government Inventory/ Models of NGA-LGU cost sharing arrangements. Progress/ Annual Reports | Research/Data Collection (2009 –2012) Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | UNICEF: - Review of deliverables - Conduct of actual M&E Other Partners (UNDP, DILG, NWRB): - Review of deliverables - Conduct of/participate in actual M&E | Conflicting national and local laws and policies. Weak capacity of NGAs and LGUs to implement projects. Irregular meetings of the policy-making body/committee. |

| | | | | | | | | |
|--|--|---|--|--|--|---|---|---|
| Improvement: Integration of all policy outputs with analysis of emerging issues | 1 paper on emerging policies | No analysis of emerging issues | Final paper on emerging policies published for information of decision-makers | Final paper on emerging policies published. | Toolbox Progress/ Annual Reports | Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | NEDA: - Prepare TOR and procure/hire experts - Provide technical counterpart to experts - Assist experts in coordinating with relevant government agencies and in accessing data/information UNICEF: - Review of deliverables - Conduct of actual M&E Other Partners (UNDP, DILG, NWRB): - Review of deliverables - Conduct of/participate in actual M&E | National and local elections posed difficulties in the conduct of local activities. Low political commitment at national & local levels, arising from change in administration, may delay project implementation. Weak capacity of NGAs and LGUs to implement projects. Lack of support from the private sector. DOH (implementing partner of SALINTUBIG in its first year) has not shown interest in the activity as it was excluded from the SALINTUBIG during its second year. |
| Improvement: Production and launch of knowledge products | 1 event to launch knowledge products | | An event organized to launch the knowledge products produced under the JP and generate knowledge and interest on its tools/practices | Water Knowledge Fair 2012 co-organized with World Bank | Progress/ Annual Reports | Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | | |
| Output 1.2.2 Programming policies of the P3W reviewed and amended, and recommended for adoption. | 1 set of guidelines for programming recommended for adoption by 2010 | Current implementing guidelines available | Programming policies developed and ultimately adopted by relevant national implementing agencies for implementation of water supply projects for waterless LGUs. | Some recommendations have been adopted by the Sagana at Ligtas na Tubig sa Lahat (SALINTUBIG), the new program of government that will provide hard infrastructure to waterless communities. | Progress/ Annual Reports | Research/Data Collection (2009 –2012) Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | | |
| Improvement (after MTE): Collaboration with Sagana at Ligtas na Tubig sa Lahat | Capacity assessment of SALINTUBIG partners Training conducted | No assessment of partners | Assessment of the capacity of the partners to implement LGUs drafted and serve as basis for implementation and capacity | An assessment has been completed and provided the SALINTUBIG partners. Training of NAPC and DILG | Progress/ Annual Reports | Research/Data Collection (2009 –2013) Regular M&E and Reporting (Quarterly/Semi-Annual) | | |

| | | | | | | | | |
|---|--|---|--|--|--|---|---|---|
| | for partners | | development strategy. | undertaken on appraisal. | | Final evaluation report | | |
| Improvement (US\$300K): Research on Investment Requirements for Improved Water Supply in the Philippines | Estimates of investment requirement to meet MDG target, eliminate waterless areas and achieve universal access | No estimation available | Investment requirements estimated to serve as basis for building a business case that may be presented to development partners (public and private, local and international) | Initial estimates presented to stakeholders in November 2012. | Progress/ Annual Reports | Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | NEDA: - Prepare TOR and procure/hire experts - Provide technical counterpart to experts - Assist experts in coordinating with relevant government agencies and in accessing data/information | |
| Output 1.3 WATSAN Councils and Water user associations formed/organized with increased participation of women | 36 WATSAN councils & water user associations organized | No WATSAN Council nor user association was organized during the implementation of the P3W | WATSAN Councils established to serve as focal point for sector planning and investment programming. Users' associations formed to ensure community members' participation in and ownership of (and thus, sustainability) interventions. | 36 WATSAN Councils have been created and various capacity development have been implemented under the JP. 65 users' associations have been established. | Government Reports (NWRB/LWUA/LGU) 2010 Baseline Survey Results/ Progress/ Annual Reports/Field Visit Reports | Research/Data Collection (2009 –2013) Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | - Review of deliverables - Participate in actual M&E UNICEF: - Review of deliverables - Conduct of actual M&E Other Partners (UNDP, DILG, NWRB): - Review of deliverables - Conduct of/participate in actual M&E | National and local elections posed difficulties in the conduct of local activities. Low political commitment at national & local levels, arising from change in administration, may delay project implementation. Weak capacity of NGAs and LGUs to implement projects. |
| Output 1.4 Tariff-setting methodology adjusted for small scale water service providers. | 1 tariff-setting methodology revised and recommended for adoption | Current 5-year tariff-setting methodology available | Adjustments in the process of regulation developed, and (under Outcome 2) incorporated in the WATSAN Toolbox to guide water utilities in sustainably planning, operating and managing water supply systems, which in turn, will ultimately | Final recommendations are serving as inputs to a more comprehensive study on tariff-setting for all water utilities. | Progress/ Annual Reports | Research/Data Collection (2009 –2012) Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | - | Lack of support from the private sector. |

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|-------------------------------------|--|---------------------------------|---|-------------------------|--------------------------|---|---|--|
| | | | encourage investments | | | | | |
| Improvement: Jurisprudence on Water | 1 digest with analysis of jurisprudence on water | No compilation of jurisprudence | Digest, with analysis, of jurisprudence in water that will inform future policy and decision-making | Final digest published. | Progress/ Annual Reports | Research/Data Collection (2010 –2012) Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | - | |

Result 2: Enhanced capacities of LGUs and WSPs to develop, operate, and manage potable water services.

Indicators: % increase over baseline in the level of competency of LGUs and WSPs to develop, operate, and manage water services.

Baseline: Level 3 (medium) competency

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|--|--|--|--|--|--|--|--|---|
| Output 2.1.1 Mentoring mechanisms formulated, recommended for adoption and institutionalized. | At least 1 module for mentoring formulated | No available guidelines/ modules. | At least 1 module developed for application in strengthening local capacities | Assessment of mentoring practices and practitioners, and capacity needs assessment completed. The two formed the basis for the completion of the mentoring modules for the capacity development of local stakeholders. | Capacity building & M/E Modules Progress/ Annual Reports/ Knowledge Products | Research/Data Collection (2009 –2012) Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | NEDA: - Prepare TOR and procure/hire experts - Provide technical counterpart to experts - Assist experts in coordinating with relevant government agencies and in accessing data/information - Review of deliverables - Participate in actual M&E UNICEF: - Review of deliverables - Conduct of actual M&E Other Partners (UNDP, DILG, NWRB): | National and local elections posed difficulties in the conduct of local activities. Low political commitment at national & local levels, arising from change in administration, may delay project implementation. Weak capacity of NGAs and LGUs to implement projects. |
| Output 2.1.2 WATSAN Toolbox implemented. | 36 LGUs trained; 36 user associations trained; 36 WSPs trained | Toolbox available; Level 3 (medium) competency | WATSAN Toolbox enhanced and packaged. Local stakeholders trained in various aspects of water supply provision to ensure sustainability of | Rollout of training expanded to 7 additional municipalities. Training manuals, modules and materials | Capacity building & M/E Modules/ WATSAN Tools/Manuals LGU Plans Progress Reports/Field | Research/Data Collection/Module Development/Training Roll-out (2009 – 2013) Regular M&E and Reporting (Quarterly/Semi-Annual) | - Review of deliverables - Conduct of/participate in actual M&E | Lack of support from the private sector. |

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| | | | service. | completed. | Visit Reports/ Knowledge Products | Capacity Assessment (pre and post assessment of competencies level) | | |
| Improvement (US\$300K): Rollout of the WATSAN Toolbox to Local Research Institutes (LRIs) | 7-8 LRIs and CSOs capacitated using the Toolbox | LRIs not trained on rollout of HRBA-based Toolbox | Rollout of the toolbox to LRIs/CSO will ensure the successful institutionalization, replication and up- scaling of JP's capacity building initiatives to the other 419 waterless municipalities under SALINTUBIG | Regional hubs have been established by DILG and training of trainors for these hubs is planned for Jan-Mar 2013 | Progress/ Annual Reports/ Knowledge Products | Regular M&E and Reporting (Quarterly/Semi- Annual) Final evaluation report | NEDA: - Prepare TOR and procure/hire experts - Provide technical counterpart to experts - Assist experts in coordinating with relevant government agencies and in accessing data/information - Review of deliverables - Participate in actual M&E | National and local elections posed difficulties in the conduct of local activities. Low political commitment at national & local levels, arising from change in administration, may delay project implementation. |
| Improvement (US\$300K): Rating and ranking for LGU water and sanitation capacity | Scorecard developed to measure the performanc e of LGUs over a range of capacities related to water and sanitation governance | No scorecard system in place for WATSAN governance | Scorecard will be used by DILG to evaluate the capacity of the LGUs with respect to WATSAN governance. The scorecard will be used as a tool in benchmarking LGU performance in water governance, especially during up-scaling. | Scorecard completed in December 2012 | Progress/ Annual Reports/ Knowledge Products | Regular M&E and Reporting (Quarterly/Semi- Annual) Final evaluation report | UNICEF: - Review of deliverables - Conduct of actual M&E Other Partners (UNDP, DILG, NWRB): - Review of deliverables - Conduct of/participate in actual M&E | Conflicting national and local laws and policies. Weak capacity of NGAs and LGUs to implement projects. Lack of support from the private sector. |
| Improvement (US\$300K): Recording of results at local level | Documenta tion and publication of results and lessons learned | At present, results from a few LGUs collected | The recorded results from all 36 JPs will facilitate dissemination and promotion of the initiatives for replication. The documentation and publication of results and lessons learned will be used in enhancing some | Results in all LGUs covered by the JP recorded. Packaging for publication is ongoing. | Progress/ Annual Reports/ Knowledge Products | Regular M&E and Reporting (Quarterly/Semi- Annual) Final evaluation report | | |

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| | | | of the sector policies and in developing new joint program initiatives in the future. | | | | | |
| Output 2.2 Improved sector plans formulated and monitoring mechanisms established. | 36 MW4SPs formulated 36 monitoring systems established | No MW4SPs (sector plans) and 1 monitoring system established and adopted in 36 target municipalities | A sector plan for each LGU developed which will serve as basis of the LGU, the NG, and other investors for programming of investments. A monitoring system will also be established for each LGU to monitor plan implementation, guide future local planning, and inform national planning. | While delays encountered due to the integration of the Human Rights-Based Approach to planning, the JP have caught up. Printing and reproduction of the MW4SPs is completed. Copies of the MW4SPs have been handed-over to the 36LGUs in November 2012. | Government Reports (DILG/NWRB/LWUA/LGU) LGU Development Plans Progress/ Annual Reports/Field Visit Reports | Research/Data Collection (2009 –2013) Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | NEDA: - Prepare TOR and procure/hire experts - Provide technical counterpart to experts - Assist experts in coordinating with relevant government agencies and in accessing data/information - Review of deliverables - Participate in actual M&E UNICEF: - Review of deliverables - Conduct of actual M&E Other Partners (UNDP, DILG, NWRB): - Review of deliverables - Conduct of/participate in actual M&E | National and local elections posed difficulties in the conduct of local activities. Low political commitment at national & local levels, arising from change in administration, may delay project implementation. Conflicting national and local laws and policies. Weak capacity of NGAs and LGUs to implement projects. Lack of support from the private sector. |
| Output 2.3 Localized Customer Service Code based on the framework for service delivery developed and adopted. | 36 localized customer service codes based on manual/ guidelines developed | Only Customer Service Code for Level III is available | 36 LCSCs will be developed to ensure that service providers and community members are guided as to their responsibilities and rights in connection with the provision and sustainability of water services | 36 LCSCs completed and handed-over to the LGUs in November 2012 | Progress/ Annual Reports/ Field Visit Reports HH Surveys | Research/Data Collection (2009 –2013) Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report | | |

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|--|--|--|--|---|--|---|--|---|
| <p>Output 2.4 Advocacy and awareness raised of LGUs, WSPs, and community on a) WSP responsibilities; b) customer service code; c) KPIs and standards; d) tariff setting and regulation; e) management and operations options/ alternatives; and f) sanitation.</p> | <p>1 national IEC plan; 36 localized IEC plans; Level 4 (high) level of awareness of LGUs, WSPs, and community by 2012</p> | <p>Level 2 (low) awareness of LGUs, WSPs and communities</p> | <p>C&A for mobilizing local support for water supply provision C&A for prioritization of the water supply sector in the executive and legislative agenda C&A manual formulated, and incorporated in WATSAN Toolbox for purposes of replication</p> | <p>National IEC activities completed. 36 LGUs trained on C4D and collateral design, including message formulation Manual for C4D completed. Reproduction of the IEC materials/ collaterals for distribution to the 455 LGUs is on-going.</p> | <p>Government Reports (DILG/NWRB/ LWUA/LGU) LGU Development Plans Progress/ Annual Reports IEC National Plan Localized IEC Plans</p> | <p>Research/Data Collection (2009- 2013) Regular M&E and Reporting (Quarterly/Semi-Annual) Government Reports on MDGs esp. on Water & Sanitation (Annual)</p> | <p>NEDA: - Prepare TOR and procure/hire experts - Provide technical counterpart to experts - Assist experts in coordinating with relevant government agencies and in accessing data/information - Review of deliverables - Participate in actual M&E UNICEF: - Review of deliverables - Conduct of actual M&E Other Partners (UNDP, DILG, NWRB): - Review of deliverables - Conduct of/participate in actual M&E</p> | <p>National and local elections posed difficulties in the conduct of local activities. Low political commitment at national & local levels, arising from change in administration, may delay project implementation. Conflicting national and local laws and policies. Weak capacity of NGAs and LGUs to implement projects. Lack of support from the private sector.</p> |
| <p>Improvement (US\$300K): Regional and local sharing</p> | <p>At least 3 regional forums (Luzon, Visayas and Mindanao) conducted</p> | <p>Knowledge sharing initiatives of the JP are either through the website or forums that are usually held in Manila rather than in the regions</p> | <p>The regional forums are venues for sharing some of the best practices and gains of the JPs in water governance and sharing the knowledge products including tools and IEC materials that can be adopted by the provinces and municipalities.</p> | <p>Preparations for the conduct of the forum ongoing.</p> | <p>Progress/ Annual Reports/ Knowledge Products</p> | <p>Regular M&E and Reporting (Quarterly/Semi-Annual) Final evaluation report</p> | | |

Joint Programme Results Framework with Financial Information

| Outputs | Activity | YEAR | | | | UN AGENCY | RESPONSIBLE PARTY | Source of Funding | | Estimated Implementation Progress (in '000 US\$) | | | |
|---|--|------|----|----|----|-----------|-------------------------------|-------------------|--|--|--------------------|------------------------------|----------------------------------|
| | | Y1 | Y2 | Y3 | Y4 | | | | | NATIONAL/ LOCAL | Budget description | Total Amount Planned (Y1-Y3) | Estimated Total Amount Committed |
| Output 1.1 Incentives mechanisms and partnership modalities (e.g., leveraging local capital and/or subsidy) developed and enhanced for investments in "waterless" and poor communities. | 1.1.a Prepare TOR for study and experts | X | | | | UNICEF | NEDA | MDG-F | Contracts Equipment Supplies Conference/ Training Travel Other Direct Costs | 206.17 ¹ | 206.17 | 206.17 | 100.00% |
| | 1.1.b.1 Procurement/Hiring of experts | X | | | | UNICEF | NEDA | MDG-F | | | | | |
| | 1.1.b.2 Mobilization of Study Team; preparation of Work and Financial Plan | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.1.c.1. Inventory of existing incentives and partnership modalities employed by various programs of government, NGOs, and the PS | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.1.c.2 Literature review of other local and international practices | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.1.c.3 Consultation with major stakeholders at the national level | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.1.c.4 Submission and review of Inception Report | X | | | | UNICEF | NEDA/Study Team/ Study TWG | | | | | | |
| | 1.1.d.1 Stocktaking of existing policies, laws, issuances and rules and regulations that encourage or discourage either public or private entities to invest at the local level | | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.1.d.2 Characterization of WSPs in terms of operations and business practices | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.1.d.3 Assessment of locally and internationally available mechanisms for possible application in waterless and rural areas taking consideration the WSP existing in waterless/poor areas | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |

¹ With reallocation of minor savings (about US\$ 1,240) to augment funds for printing of toolbox.

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|---|---|---|---|--|--------|-------------------------------|-------|---------------|---------------|---------------|----------------|--|
| 1.1.d.4 Submission of draft compendium of partnerships and incentive modalities | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| 1.1.d.5 National Consultations | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| 1.1.d.6 Development of draft framework for partnerships and incentives provision in the provision of water supply services in waterless and poor communities | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| 1.1.d.7 Submission and review of Interim Report | | X | | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| 1.1.e.1 Formulation of recommended enhancements to existing incentives and partnership (with various potential partners) modalities for different WSPs | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| 1.1.e.2 Formulation of innovative incentives and partnership (with different potential partners) modalities for WSPs that can be potentially adopted in waterless/rural areas | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| 1.1.e.3 Development of a detailed action plan for the implementation of the recommended incentive/partnership mechanisms by different WSPs | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| 1.1.e.4 National Consultations (presentation of recommendations) | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| 1.1.e.5 Submission and review of Draft Final Report | | X | | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| 1.1.f.1 Draft INFRACOM and other committee resolutions | | | X | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| 1.1.f.2 Presentation to INFRACOM and other relevant committees | | | X | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| 1.1.f.3 Final revision of framework and action plan | | | X | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| 1.1.f.4 Submission and review of Final Report | | | X | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| Conduct of partners's forum and investment forum | | | X | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| Improvement: Support to institutional policy reforms in the sector | | | X | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| Total | | | | | | | | 206.17 | 206.17 | 206.17 | 100.00% | |

| | | | | | | | | | | | | | |
|---|--|---|---|---|--|--------|-------------------------------|-------|--|---------------------|--------|--------|---------|
| Output 1.2.1 Policy on National Government-Local Government Units (NG-LGU) cost sharing arrangement for water supply and sanitation provision for poor municipalities reformulated and recommended for adoption. | 1. 2.1.a Prepare TOR for study and experts | X | | | | UNICEF | NEDA | MDG-F | Contracts Equipment Supplies Conference/ Training Travel Other Direct Costs | 187.26 ² | 187.26 | 187.26 | 100.00% |
| | 1. 2.1.b.1 Procurement/Hiring of experts | X | | | | UNICEF | NEDA | MDG-F | | | | | |
| | 1.2.1.b.2 Mobilization of Study Team; preparation of Work and Financial Plan | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.1.c.1 Initial review of reports and policies on NG-LGU cost-sharing | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.1.c.2 Identification of LGUs to be covered | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.1.c.3 Submission and review of Inception Report | X | | | | UNICEF | NEDA/Study Team/ Study TWG | | | | | | |
| | 1.2.1.d.1 Assessment of current NG-LGU cost- sharing arrangement thru literature review and FGDs/consultations | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.1.d.2 Development of draft grant/subsidy framework thru literature review and FGDs/consultations | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.1.d.3 Submission and review of Interim Report | | X | | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | 1.2.1.e.1 Formulation of guidelines within new framework | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.1.e.2 Consultation workshops | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.1.e.3 Submission and review of Draft Final Report | | X | | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | 1.2.1.f.1 Draft INFRACOM and other committee resolutions | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.1.f.2 Presentation to INFRACOM and other relevant committees | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.1.f.3 Final revision of guidelines and framework | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.1.f.4 Submission and review of Final Report | | | X | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | Integration of all policy outputs with analysis of emerging issues | | | X | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | Production and launch of knowledge products | | | X | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |

² With reallocation of minor savings (about US\$ 140) to augment funds for printing of toolbox.

| | | | | | | | | | Total | 187.26 | 187.26 | 187.26 | 100.00% |
|--|---|---|---|---|------|-------------------------------|-------------------------------|--------------------|--|---------------------|---------------|---------------|----------------|
| Output 1.2.2 Programming policies of the P3W reviewed and amended, and recommended for adoption. | 1.2.2.a Prepare TOR for study and experts | X | | | | UNICEF | NEDA | MDG-F | Contracts Equipment Supplies Conference/ Training Travel Other Direct Costs | 125.21 ³ | 125.21 | 125.21 | 100.00% |
| | 1.2.2.b.1 Procurement/Hiring of experts | X | | | | UNICEF | NEDA | MDG-F | | | | | |
| | 1.2.2.b.2 Mobilization of Study Team; preparation of Work and Financial Plan | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.2.c.1 Initial review of secondary information | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.2.c.2 Submission and review of Inception Report | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.2.d.1 Review of secondary materials and reports on the program | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.2.d.2 Assessment of current implementation of P3W | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.2.d.3 Focus Group Discussions | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.2.d.4 Submission and review of Assessment Report | X | | | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | 1.2.2.e.1 Initial review and redraft of Implementing Guidelines | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.2.e.2 Consultation workshops | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.2.e.3 Submission and review of Draft Revised Guidelines | X | | | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | 1.2.2.f.1 Presentation to INFRACOM and other relevant committees | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.2.f.2 Final revision of guidelines | | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.2.2.f.3 Submission and review of Final Report | | X | | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | Improvements: Capacity Assessment of DOH, NAPC and DILG, and Capacity Development Strategy for Up-scaling | | | X | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | Improvements: Collaboration with Sagana at Ligtas na Tubig para sa Lahat | | | X | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| Improvements: Research on Investment Requirements for Improved Water Supply | | | | X | UNDP | NEDA/Study Team/ Study TWG | MDG-F | 21.55 ⁴ | 20.15 | 10.06 | 46.68% | | |
| Total | | | | | | | | | 146.76 | 145.37 | 135.27 | 92.17% | |

³ With reallocation of savings (US\$ 20,520) for other activities (MDG-F Philippines marketplace/forum).

⁴ From additional US\$300,000

| | | | | | | | | | | | | | |
|---|--|---|---|---|--------|-----------------|-------------------------------|-------|--|----------------------|----------------|----------------|----------------|
| Output: 1.3 WATSAN Councils and User Associations Organized | 1.3.1 Conduct baseline survey of waterless areas without organized local user | | X | | | UNDP | DILG | MDG-F | Contracts Personnel Supplies Travel Training Other Direct Costs | 1355.68 ⁵ | 1355.68 | 1355.68 | 100.00% |
| | 1.3.2 Conduct inclusive consultations and mobilization for WATSAN Councils | | X | | | UNDP | DILG | MDG-F | | | | | |
| | 1.3.3 Conduct inclusive consultations and mobilization for user associations | | | X | | UNDP | DILG | MDG-F | | | | | |
| Total | | | | | | | | | | 1355.68 | 1355.68 | 1355.68 | 100.00% |
| Output 1.4 Tariff-setting methodology adjusted for small scale water service providers reviewed and amended, and recommended for adoption. | 1. 4.a Prepare TOR for study and experts | X | | | | UNICEF | NEDA | MDG-F | Contracts Equipment Supplies Conference/ Training Travel Other Direct Costs | 163.22 ⁶ | 163.22 | 163.22 | 100.00% |
| | 1.4.b.1 Procurement/Hiring of experts | X | | | | UNICEF | NEDA | MDG-F | | | | | |
| | 1.4.b.2 Mobilization of Study Team; preparation of Work and Financial Plan | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.4.c.1 Literary review of proven and effective international best practices on economic regulation | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.4.c.2 Review of existing regulatory framework as well as relevant laws | X | | | | UNICEF | NEDA/Study Team/ Study TWG | | | | | | |
| | 1.4.c.3 Review of existing 5-year tariff-setting methodology used by NWRB as well as other methodologies used currently used in the sector | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.4.c.4 Key interviews with major stakeholders at the national level | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.4.c.5 Submission and review of Inception Report | X | | | | UNICEF | NEDA/Study Team/ Study TWG | | | | | | |
| | 1.4.d.1 Gather relevant data for the categorization of WSPs nationwide | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.4.d.2 Assessment of current implementation of P3W | X | | | | UNICEF | | MDG-F | | | | | |
| 1.4.d.3 Focus Group Discussions | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | | |

⁵ Minor reallocation from other UNDP-managed outputs (both from NEDA and DILG).

⁶ DOH not interested in training so reallocation of funds (about US\$ 12,400) for other activities (e.g., project completion report, marketplace/forum).

| | | | | | | | | | | | | | |
|---|--|---|---|---|---|------------------|-------------------------------|-------|--|---------------------|---------------|----------------|---------|
| | 1.4.d.4 Submission and review of Assessment Report | X | | | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | 1.4.e.1 Initial review and redraft of Implementing Guidelines | X | | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.4.e.2 Consultation workshops | X | X | | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.4.e.3 Submission and review of Draft Revised Guidelines | | X | | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | 1.4.f.1 Draft INFRACOM and other committee resolutions | | | X | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.4.f.2 Presentation to INFRACOM and other relevant committees | | | X | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.4.f.3 Final revision of guidelines | | | X | | UNICEF | NEDA/Study Team | MDG-F | | | | | |
| | 1.4.f.4 Submission and review of Final Report | | | X | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| | Improvement: Jurisprudence on water supply for future policy-making | | X | | | UNICEF | NEDA/Study Team/ Study TWG | MDG-F | | | | | |
| Total | | | | | | | | | 163.22 | 163.22 | 163.22 | 100.00% | |
| Output 2.1 Capacities at the local level strengthened | 2.1.1.a Assess current mentoring practices and practitioners | | X | | | UNDP | NEDA | MDG-F | Contracts Equipment Supplies Conference/Tr aining Travel Other Direct Costs | 51.96 ⁷ | 51.96 | 51.96 | 100.00% |
| | 2.1.1.b Presentation to INFRACOM | | X | | | UNDP | NEDA | MDG-F | | 819.91 ⁸ | 819.91 | 819.91 | 100.00% |
| | 2.1.2 Conduct assessment for WATSAN Councils | | X | | | UNDP | DILG | MDG-F | | | | | |
| | 2.1.3 Develop and pilot mentoring mechanisms (i.e. how to conduct and operationalize) | | X | | | UNDP | DILG | MDG-F | | | | | |
| | 2.1.4 WATSAN toolbox ready for roll-out | | | X | | UNDP | DILG | MDG-F | | | | | |
| | 2.1.5 Conduct intensive learning program for WATSAN Councils and user associations | | | X | | UNDP | DILG | MDG-F | | | | | |
| | 2.1.6 Conduct training of trainers for Local Research Institutes (LRIs) and Civil Society Organizations (CSOs) | | | | X | UNDP + UNICEF | DILG | MDG-F | | 105.76 | 84.93 | 63.74 | 40.10% |
| | | | | | | | | | 53.20 ⁹ | | | | |

⁷ Reallocation of minor savings (about US\$ 680) for other DILG activities (e.g., up-scaling and additional budget for LCSCs)

⁸ Reallocation of about US20,000 for MW4SP printing

⁹ Reallocation of UNICEF funds from Output 1.3 for rollout of toolbox to LRIs and BUPBs.

| | | | | | | | | | | | | | |
|--|---|--|---|---|---|------|------|-------|---|----------------------|---------------|---------------|----------------|
| | 2.1.7 Development of a scorecard for the rating and ranking for LGU water and sanitation capacity | | | | X | UNDP | DILG | MDG-F | | | | | |
| | 2.1.8 Recording of results at local level | | | | X | UNDP | DILG | MDG-F | | | | | |
| Total | | | | | | | | | | 1030.83 | 956.80 | 935.61 | 90.76% |
| Output 2.2 Improved sector plans formulated and monitoring mechanisms established | 2.2.1 Formulate sector plans | | | X | | UNDP | DILG | MDG-F | Contracts Personnel | 914.92 ¹⁰ | 914.92 | 914.92 | 100.00% |
| | 2.2.2 Implement/Install M&E | | | X | | UNDP | DILG | MDG-F | Supplies Travel Training Other Direct Costs | | | | |
| Total | | | | | | | | | | 914.92 | 914.92 | 914.92 | 100.00% |
| Output 2.3 Localized Customer Service Code based on the framework for service delivery developed and adopted | 2.3.1 Formulate localized service code using NWRB guidelines | | X | X | | UNDP | DILG | MDG-F | Contracts Personnel Supplies Travel Training Other Direct Costs | 167.18 | 167.18 | 167.18 | 100.00% |
| | Total | | | | | | | | | | 167.18 | 167.18 | 167.18 |

¹⁰ With reallocation from other activities

| | | | | | | | | | | | | | |
|---|--|---|---|---|---|--------------|------|-------|---|----------------------|---------------|---------------|---------------|
| Output 2.4 Advocacy and awareness raised on a) WSP responsibilities; b) LCSC; c) KPIs and standards; d) tariff setting and regulation; e) management and operations options/alternatives; and f) sanitation | 2.4.1 Design and implement IEC plan | | X | X | | UNICEF | DILG | MDG-F | Contracts Personnel Supplies Personnel Travel Training Counterparts Other Direct Cost | 463.45 | 439.70 | 429.93 | 85.80% |
| | 2.4.2 Regional and local sharing | | | | X | UNICEF | DILG | MDG-F | Supplies Personnel Travel Other Direct Cost | 37.66 ¹¹ | | | |
| Total | | | | | | | | | | 501.10 | 439.70 | 429.93 | 85.80% |
| JP Programme Management Support | 1. JPD preparation activities | X | | | | UNDP | NEDA | MDG-F | Other Direct Costs | 630.31 ¹² | 566.53 | 550.06 | 87.27% |
| | 2. JPD Launching | X | | | | UNDP | NEDA | MDG-F | Other Direct Costs | | | | |
| | 3. PMC Establishment | X | | | | UNICEF, UNDP | NEDA | MDG-F | Personnel Transport Other Direct Costs | | | | |
| | 4. PMC Meetings | X | | | | UNDP | NEDA | MDG-F | Other Direct Costs | | | | |
| | 5. Coordination Meetings (TWG, other JPs, other agencies, experts, etc.) | X | X | | | UNDP, UNICEF | NEDA | MDG-F | Other Direct Costs | | | | |

¹¹ Reallocated from the remaining budget for IEC activities (Unicef component). Original budget from additional 300k (UNDP component) was reallocated to output 1.3.

¹² Including additional management funds for the US\$300,000

| | | | | | | | | | | | | |
|--|---|---|---|---|--------------|------|-------|---|--|--|--|--|
| 6. Pre-Implementation Workshop | X | | | | UNDP | NEDA | MDG-F | Conference/ Training Other Direct Costs | | | | |
| 7. Orientation Workshop | X | | | | UNICEF | NEDA | MDG-F | Conference/ Training Other Direct Costs | | | | |
| 8. Annual Reviews and Planning Workshop | X | X | X | X | UNDP, UNICEF | NEDA | MDG-F | Conference/ Training Supplies Other Direct Costs | | | | |
| 9. M&E Framework | X | X | X | | UNICEF, UNDP | NEDA | MDG-F | Contracts Conference/ Training Travel Other Direct Costs | | | | |
| 10. Site Visits | X | X | X | X | UNDP, UNICEF | NEDA | MDG-F | Travel Other Direct Costs | | | | |
| 11. IEC | X | X | X | | UNICEF | NEDA | MDG-F | Contracts Conference/ Training Travel Other Direct Costs | | | | |
| 12. Supplies and Equipment | X | X | X | | UNDP, UNICEF | NEDA | MDG-F | Supplies Equipment | | | | |
| 13. Annual Audit | X | X | X | | UNICEF, UNDP | NEDA | MDG-F | Contracts Supplies Other Direct Costs | | | | |
| 14. Mid-Term Evaluation | | X | | | UNICEF, UNDP | NEDA | MDG-F | Travel Supplies Other Direct Costs | | | | |
| 15. Other management establishment support | X | X | X | X | UNDP | NEDA | MDG-F | Travel Other Direct Costs | | | | |

| | | | | | | | | | | | | | |
|--------------|--|--|--|--|---|--------|------|-------|--|---------------|---------------|---------------|---------------|
| | 16. Culminating Event (with other JPs) | | | | X | UNICEF | NEDA | MDG-F | Consultant Costs Travel Other Direct Costs | | | | |
| | 17. Final evaluation | | | | X | UNICEF | NEDA | MDG-F | Consultant Costs Travel Other Direct Costs | | | | |
| Total | | | | | | | | | | 630.31 | 566.53 | 550.06 | 87.27% |