

United Nations Development Group Iraq Trust Fund

Project #:C9-31

Date and Quarter Updated: 1 October- 31 December – 4th Quarter 2012

OPERATIONALLY CLOSED

Participating UN Organisation: UNOPS	Priority Area: Governance and Human Rights/Sector: Governance
Government of Iraq – Responsible Line Ministry: Ministry of Planning and Development Cooperation (MOPDC)	

Title	Capacity Strengthening of the MoPDC to Support the Process of a National Development Plan for Iraq				
Geo. Location	Baghdad, Iraq				
Project Cost	USD 956,000				
Duration	12 Months				
SC Approval Date	26.04.2010	Starting Date	03.05.2010	Completion Date	03.05.2011 extended to 31.12.2012
Project Description	This project will provide assistance to support the Government of Iraq by making available technical expertise, as required/identified by the Ministry of Planning and Development Cooperation (MoPDC), to support the National Development Plan (NDP) implementation process in Iraq, aiding the finalisation of the current process while also laying foundations for a longer term coordination planning structure. Support, to be provided through an external management firm, will include but is not limited to providing policy guidance and reform technical expertise as well as assistance in initiatives to ensure integration of foreign and national resources in achieving development goals. This will strengthen the capacity of MoPDC to lead the implementation of the NDP as well as the overall development planning process.				

Development Goal and Immediate Objectives

NDS/ICI priority/ goal(s): NDS: Strengthen good governance and improve security.

ICI: 4.2 Strengthening institutions to improve governance.

UNCT Outcome: Strengthened governance institutions and processes for political inclusion, accountability, rule of law, and efficient service delivery.

Sector Outcome: Outcome 4: Strengthened institutions, processes and regulatory frameworks of national and local governance

JP Outcome 1: Strengthened institutions, processes and regulatory frameworks of national and local governance

The Immediate objectives are:

1. GoI has improved institutional capacities to undertake NDP process.
2. MoPDC has coordinated mechanisms to implement NDP processes.

Outputs, Key activities and Procurement

Outputs	1.1: GoI has improved institutional capacities to undertake NDP process. 1.2: MoPDC has coordinated mechanisms to implement NDP processes.
Activities	1.1.1 UNOPS, together with other relevant stakeholders defines ToRs for the external management company. 1.1.2 Submission of ToRs to GoI for approval. 1.1.3 Approval of ToRs by GoI. 1.1.4 Publish Expression of Interest (EoI) on UN/ UNOPS website. 1.1.5 Alert companies with proven and current Iraq experience and relevant expertise to EoI. 1.1.6 Transmission of Request for Proposal to potential contracting companies based on positive responses to EoI.

	<p>1.1.7 Evaluation of received proposals by UNOPS with the participation of the MoPDC and UNAMI.</p> <p>1.1.8 Selection of management consultancy based on evaluation.</p> <p>1.1.9 Engagement by UNOPS of selected management Consultancy Company to provide the services as specified in the ToRs, and as proposed in the company submission.</p> <p>1.1.10 Assignment of part-time Project manager to follow and monitor the implementation of the project and initiate corrective action when required.</p> <p>1.1.11 The management consultancy company will engage consultants.</p> <p>1.2.1 The consultants will work with the GoI staff.</p> <p>1.2.2 Internal evaluation exercise conducted.</p>
Procurement	

Funds Committed	USD 914,809.24	% of approved	96%
Funds Disbursed	USD 908,544.58	% of approved	95%
Forecast final date	Extended until 31 December 2012	Delay (months)	20

Direct Beneficiaries	Number of Beneficiaries	% of planned (current status)
Men	n/a	
Women	n/a	
Children	n/a	
IDPs	n/a	
Others	n/a	
Indirect beneficiaries	By contributing to an improved NDP, population of Iraq should benefit from better coordination & planning of needs.	
Employment generation (men/women)	n/a	

Quantitative achievements against objectives and results	% of planned
JP Outcome 1: Strengthened institutions, processes and regulatory frameworks of national and local governance	50
Output 1.1: GoI has improved institutional capacities to undertake NDP process.	50
1.1.1 UNOPS, together with other relevant stakeholders defines ToRs for the external management company.	100
1.1.2: Submission of ToRs to GoI for approval	100
1.1.3: Approval of ToRs by GoI.	100
1.1.4: Publish Expression of Interest (EoI) on UN/ UNOPS website.	100
1.1.5: Alert companies with proven and current Iraq experience and relevant expertise to EoI.	100
1.1.6: Transmission of Request for Proposal to potential contracting companies based on positive responses to EoI.	100
1.1.7: Evaluation of received proposals by UNOPS with the participation of the MoPDC and UNAMI.	100
1.1.8: Selection of management consultancy based on evaluation.	100
1.1.9: Engagement by UNOPS of selected management Consultancy Company to provide the services as specified in the ToRs, and as proposed in the company submission.	90
1.1.10: Assignment of part-time Project manager to follow and monitor the implementation of the project and initiate corrective action when required.	100
1.1.11: The management consultancy company will engage consultants.	0
Output 1.2: MoPDC has coordinated mechanisms to implement NDP processes.	0
1.2.1 The consultants will work with the GoI staff.	0
1.2.2 Internal evaluation exercise conducted.	0

Qualitative achievements against objectives and results

The project started on the 5th of May 2010. Concurrently to the receipt of approval of this project, UNOPS had issued a request for a Long Term Agreement (LTA) which would serve the same purpose of selecting a management consultancy to deploy consultants. The LTA could serve to deliver the same function and it was therefore considered reasonable to not duplicate work. The LTA is now in full effect and UNOPS is ready to deploy consultants upon request.

At the end of September 2010, UNOPS received a letter from the DSRSG/RC/HC UNAMI conveying a request from the Ministry of Planning (MoPDC), to procure services for the development of a Business Process Management (BPM) module in order to automate business processes by incorporating a work flow management system in the Iraq Development Management System (IDMS) for both externally funded projects and capital budget projects. The aim is to enhance the coordination between the Ministry of Planning and other line ministries during the implementation of the NDP and the effective utilization of Iraq's capital budget. UNOPS duly procured the services of a software development company. Further to the launch of the IDMS in Baghdad, meetings were held with UNAMI and the Ministry of Planning regarding options for future support, many potential areas had been identified. Subsequently, UNAMI, UNDP and the MoP agreed in the final quarter of 2011 that this project would specifically further support the IDMS through the provision of help desk functions and relevant training. The implementation for Advanced IT training of MOP Staff on the IDMS session 1 and session 2, Guidelines and standard operation procedures to govern the work of the IDMS Help Desk and IDMS Help Desk On-the-job training and coaching have been finalised.

UNOPS was ready to deploy personnel upon request; however, the TORs for the suggested technical consultants were not submitted to UNOPS by the MoP and UNAMI, therefore those personnel were not deployed under this project.

Under the leadership of UNDP and RCO the Iraq UNCT supported the development of the Iraq National Development Plan (NDP 2013-2014).

Upon request from the Ministry of Planning and the Resident Coordinator's Office, UNOPS was in charge of the financial support of the four-day national conference that took place in Erbil between 11 – 14 November to review and finalize the draft NDP. That conference was an important opportunity for the UNCT to not only focus on the current development strategy and planning processes with reference to the UNDAF (2010-14), but also try to integrate those elements of the draft NDP that are relevant and have the potential to create synergies and better development results. Following publication of the plan later in the year, the UNCT will need to focus on advising government and development partners on implementing the plan and working with relevant state agencies to report on the progress of the objectives.

The recent conference, together with previous training and coordination activities, has contributed to the strengthening of the institutional capacity of the GoI to undertake the NDP process, and to better manage coordination, planning and implementation activities.

Main implementation constrains & challenges (2-3 sentences)

The main constraint was determining which areas of support the MoP wished to utilise external technical expertise for, so there were no new requests made to UNOPS in the first or second or third or fourth quarters. The service contract for training/ help desk function development was originally delayed in its implementation due to hampered access and coordination of relevant field staff after the Arab Summit and due to various security incidents. However, the implementation of the Advanced IT training of MOP staff on the IDMS Help Desk on –the job training and coaching was implemented successfully and finalised. The contract for the provision of this service was extended in line with the project extension.