



First Consolidated Annual Progress Report on Activities Implemented under the UNDG Human Rights Mainstreaming (UNDG-HRM) Fund

Report of the Administrative Agent of the UNDG-HRM Fund 1 January – 31 December 2012

Multi-Partner Trust Fund Office

Bureau of Management
United Nations Development Programme
http://mptf.undp.org

UNDG-HRM Fund

Participating Organizations



International Labour Organization (ILO)



Office of the High Commissioner for Human Rights (OHCHR)



United Nations Children's Fund (UNICEF)



United Nations Development Programme (UNDP)



United Nations Educational, Scientific and Cultural Organization (UNESCO)



United Nations Population Fund (UNFPA)



United Nations Systems Staff Colleague (UNSSC)



World Health Organization (WHO)

Contributing Donors



Denmark



Finland



Ireland



Norway



Sweden

Abbreviations and Acronyms

AWP Annual Work Plan

CSO Civil Society Organization

EFW Expanded Funding Window

FAO Food and Agriculture Organization
HRBA Human Rights-Based Approach
HRM Human Rights Mainstreaming
ILO International Labour Organization

LDC Least Developed Countries

MDGs Millennium Development Goals

MDTF Multi-Donor Trust Fund

MOU Memorandum of Understanding

MPTF Office Multi-Partner Trust Fund Office UNDP

OHCHR Office of the High Commissioner for Human Rights

RC Resident Coordinator

RMC Resource Management Committee

QCPR Quadrennial Comprehensive Policy Review

TOR Terms of Reference

UN United Nations

UNCT United Nations Country Team

UNDAF United Nations Development Assistance Framework

UNDESA United Nations Department of Economic and Social Affairs

UNDG United Nations Development Group

UNDG-HRM UNDG Human Rights Mainstreaming Mechanism

UNDOCO United Nations Development Operations Coordination Office

UNDP United Nations Development Programme

UNESCO United Nations Educational, Scientific and Cultural Organization

UNICEF United Nations Population Fund
UNICEF United Nations Children's Fund

UNSSC United Nations System Staff Colleague

WHO World Health Organization

Definitions

Administrative Agent

UN Organization appointed by Participating Organizations to serve as Administrative Agent of the Fund in accordance with the terms and conditions set out in the Memorandum of Understanding.

Allocation

Amount approved by the relevant steering committee for a project/programme.

Annual Work Plan (AWP)

Required United Nations Development Group (UNDG) agency or interagency plans that set out the activities to be undertaken during the year to reach the results specified in the Agency's Country Programme Action Plan or multi-year interagency work plan. AWPs include a timeframe, budget and responsibilities for completing the activities.

Approved Project/Programme

A project or programme that has been approved by the relevant steering committee(s) for fund allocation purposes.

Contributor Commitment

A contribution expected to be received or already deposited by a donor, as per signed Standard Administrative Arrangement with the UNDP Multi-Donor Trust Fund Office (MPTF Office), in its capacity as the Administrative Agent of the Fund.

Contributor Deposit

Cash deposit received by the MPTF Office for the Fund.

Delivery Rate

A financial indicator of the percentage of funds that have been utilized by comparing the expenditures reported by a Participating Organization against the 'net funded amount'.

Indirect Support Cost

A general cost that cannot be directly related to any particular programme or activity of the organization. Under UN MPTFs, these costs amount to up to a maximum of seven per cent as per the UNDG agreed MPTF cost recovery are recovered in accordance with each organization's own financial regulations and rules.

Net Funded Amount

Amount transferred to a Participating Organization less any refunds transferred back by a Participating Organization.

Participating Organizations

Organizations that have signed a Memorandum of Understanding with the MPTF Office as the Administrative Agent of the Fund.

Project Expenditure

The sum of the expenses reported by all Participating Organizations irrespective of which basis of accounting each Participating Organization follows.

Project Financial Closure

A project or programme is considered financially closed when all financial obligations of an operationally completed project or programme have been settled, and no further financial charges may be incurred.

Project Operational Closure

A project or programme is considered operationally closed when all activities for which a Participating Organization is responsible under the approved programmatic document have been completed.

Project/Programme/Joint Programme Document

An Annual Work Plan or a programme/project document that has been approved by the Steering Committee for fund allocation purposes.

Project Start Date

Date of transfer of first instalment from the MPTF Office to the Participating Organization.

Total Approved Budget

Amount approved by the Steering Committee.

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Executive Summary

Introduction

This first Annual Progress Report on Activities Implemented under the UNDG Human Rights Mainstreaming Fund (UNDG-HRM Fund) is submitted to the Donors of the UNDG-HRM Fund by the United Nations Development Programme (UNDP) Multi-Partner Trust Fund Office (MPTF Office), in its capacity as the Administrative Agent of the UNDG-HRM Fund in fulfilment of the reporting provisions of the Standard Administrative Arrangement. The information presented in this Progress Report covers the period from 1 January to 31 December 2012, with inputs from the UN Development Operations and Coordination Office (DOCO) which serves as the secretariat to the UNDG Human Rights Mainstreaming mechanism (UNDG-HRM).

Strategic Framework

The overall objective of the senior-level UNDG-HRM is to further institutionalize human rights mainstreaming efforts in the UN development system and to strengthen system-wide coherence and collaboration and provide support for Resident Coordinators and UN country teams on human rights mainstreaming, so that they can better respond to rising demand from Member States for capacity building and technical assistance on human rights. The UNDG-HRM supports strategic human right mainstreaming activities at global, regional and country level.

Report Structure

The Annual Progress Report is structured into seven sections. Section 1 provides an introduction to the Human Rights Mainstreaming and reporting requirements. Section 2 provides information on the establishment of the UNDG-HRM Fund. Section 3 outlines strategic framework and governance structure. Section 4 outlines project approval procedures. Section 5 highlights programme implementation and results and section 6 gives fund-level achievements and challenges during the first year of operations. Section 7 provides an update on financial performance.

Progress Highlights

Despite delayed arrival of funds into the Fund, the UNDG-HRM achieved significant results in 2012. The UNDG-HRM demonstrated its valuable role in ensuring coherent and strategic advocacy and policy messages across the UNDG and its member agencies on human rights and development. A senior-level policy retreat organized by the Secretariat of the UNDG-HRM in February 2012 provided strategic direction and created strong momentum for the UNDG-HRM to develop common messages and coordinated inputs for key inter-governmental processes of Rio+20, the Quadrennial Comprehensive Policy Review (QCPR) and the post-2015 development agenda. The UNDG-HRM, through its Co-Chairs and the Secretariat, organized a series of side events and briefings with Member States and civil society in the context of the negotiations for the Rio+20 Outcome Document as well as the QCPR, and also provided input into the Secretary-General's UN Task Team on the Post-2015 Development Agenda. These collective efforts and joint advocacy have contributed to increased recognition by Member States of the centrality of human rights in the global development agenda in the final outcomes of the Rio+20 Summit. The General Assembly resolution on the QCPR was also strengthened in human rights terms, representing a crucial step forward and an important entry point in efforts to mainstream human rights into the work of the UN development system.

Another key priority for the UNDG-HRM in 2012 was the establishment of an architecture that would ensure efficient, transparent and effective decision-making in the management, monitoring and evaluation of funds received through the Multi-Donor Trust Fund (MDTF). To that effect, the UNDG-HRM established a solid framework and procedures for the process of engaging with country, regional

and global stakeholders and provide transparent and efficient decision making, including with regard to strategic positioning of the UNDG-HRM. These structures and procedures are now fully operational.

Laying the foundation for direct support to country level mainstreaming activities, in February 2012, the UNDG endorsed the new UNDG Strategy for the Deployment of Human Rights Advisers, and as funding was received to the MDTF, the first set of Human Rights Advisers were selected and endorsed for deployment. The strategy aims to further strengthen human rights policy coherence and systemwide ownership of Human Rights Advisers.

Financial Performance

A total of US\$ 5,471,118 in contributions has been committed by contributors to the UNDG-HRM Fund of which US\$ 4,002,690 had been deposited as of 31 December 2012. An additional US\$ 2,728 in Funderened interest brought the total available funding as of 31 December 2012 to US\$ 4,005,418.

As of 31 December 2012, US\$ 691,635 was approved in support of the UNDG-HRM Secretariat through the direct cost budget. The balance of funds available with the Administrative Agent as of 31 December 2012 was US\$ 3,273,713 (81.8 per cent), of which US\$ 561,780 was approved and was transferred accordingly in the first quarter 2013 in support of Human Rights Advisors in Timor-Leste, Maldives and Mali. Additionally, two projects of US\$ 2,115,841 that were approved in the first quarter of 2013, but not submitted to the Administrative Agent for fund transfer at the time of reporting.

During the reporting period, there was also no reported expenditure by the Participating Organizations, since the first allocation of funds was transferred to the Participating Organizations on 13 January 2013 following the Steering Committee approval.

Transparency and Accountability

The MPTF Office continued to provide regular information on the operations of the UNDG-HRM Fund on its GATEWAY (http://mptf.undp.org) — a knowledge platform providing real-time data, with a maximum two-hour delay, on financial information from the MPTF Office accounting system on donor contributions, programme budgets and transfers to Participating UN Organizations. All narrative reports are published on the MPTF Office GATEWAY which provides easy access to nearly 9,600 relevant reports and documents, with tools and tables displaying financial and programme data. By providing easy access to the growing number of progress reports and related documents uploaded by users in the field, it facilitates knowledge sharing and management among UN organizations. It is designed to provide transparent, accountable fund-management services to the UN system to enhance its coherence, effectiveness and efficiency. The MPTF Office GATEWAY has been recognised as a 'standard setter' by peers and partners.

1 Introduction

There is virtually no aspect of our work that does not have a human rights dimension. Whether we are talking about peace and security, development, humanitarian action, the struggle against terrorism, climate change, none of these challenges can be addressed in isolation from human rights.

Ban Ki-moon, Secretary-General of the United Nations¹

The UNDG-HRM mechanism is established to further institutionalize human rights mainstreaming efforts in the UN development system and to strengthen system-wide coherence and collaboration, and provide support for Resident Coordinators and UN country teams on human rights mainstreaming, so that they can better respond to rising demand from Member States for capacity building and technical assistance on human rights. The UNDG-HRM supports strategic human rights mainstreaming activities at global, regional and country level.

This first Annual Progress Report for 2012 on Activities Implemented under the UNDG-HRM Fund is submitted to the Donors of the UNDG-HRM Fund by the UNDP MPTF Office, in its capacity as the Administrative Agent of the UNDG-HRM Fund in fulfilment of the reporting provisions of the Standard Administrative Arrangement. The information presented in this Progress Report covers the period 1 January to 31 December 2012, with additional updates until 31 March 2013.

This report is consolidated based on information and data contained in the individual progress reports and financial statements submitted by UNDG-HRM Secretariat and Participating Organizations to the MPTF Office. It is neither an evaluation of the UNDG-HRM Fund nor the MPTF Office's assessment of the performance of the Participating Organizations.

The Annual Progress Report provides information on the financial data, and on progress made in the implementation of projects funded by the UNDG-HRM Fund during the current reporting period as well as prior year reporting to provide an overall update on the status of the UNDG-HRM Fund.

During the reporting period, the Fund received five contributions from Denmark, Finland, Ireland, Norway and Sweden, totalling to US\$ 3,937,315, and bringing the total funding commitments to US\$ 5,471,118. As of 31 December 2012, US\$ 691,635 was approved in support of the UNDG-HRM Secretariat and US\$ 561,780 approved in support of the deployment of Human Rights Advisers. In the first Quarter of 2013, US\$ 2,115,841 was approved for new projects/programmes under Component 2: Support to Resident Coordinators and under Component 3: Strengthen National Systems.

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¹http://www.undg.org/index.cfm?P=1452

2 UNDG-HRM Fund Establishment

2.1 Establishment of the UNDG-HRM Fund

After the legal establishment in March 2011 and the joint launch by the UNDG Chair and the UN High Commissioner for Human Rights in October 2011, the UNDG-HRM Fund became operational in December 2011 upon receipt of the first donor contribution. A total of 8 UN organizations, namely; ILO, OHCHR, UNICEF, UNDP, UNESCO, UNFPA, UNSSC and WHO, signed the Memorandum of Understanding (MOU) to become Participating UN Organizations.

2.1.1 Fund Start and End Dates

The Fund start date is 3 March 2011 and will continue to operate and approve programming until the Fund end date of 31 December 2013. The Fund is scheduled to be extended in 2013 given its achievements to date and the level of donor commitment.

2.1.2 Resource Mobilisation

During the reporting period, four additional donors signed the Standard Administrative Arrangement to contribute to the UNDG-HRM Fund bringing total contributing donors to five for total commitments of US\$ 5.5 million. During the first year of operationalization, the Fund succeeded at mobilising nearly one-hundred per cent of its 2012/13 targeted US\$ 6 million to fulfil its approved 2012/13 workplan priorities.

3 Strategic Framework and Governance Arrangements

3.1 Human Rights Mainstreaming within the UN System

Human rights, development, and peace and security are three interlinked pillars of the UN system. Mainstreaming human rights across the work of the UN has thus been a cornerstone of UN reform efforts since 1997. Both the World Summit in 2005 and the 2007 General Assembly resolution on Triennial Comprehensive Policy Review reaffirmed that development, human rights, and peace and security are three interlinked pillars of the UN that need to be strengthened. World leaders also gave explicit support to integrating human rights within the UN system and into national policies. The MDG Review Summit in 2010 further recognised that human rights are an integral part of effective work towards achieving the Millennium Development Goals.

These inter-governmental agreements reflect the important progress that has been made over the past decade in bridging the three pillars of the UN's work. The former Secretary-General's 'Action 2' Global Programme on Human Rights Strengthening supported more than 60 UN country teams during 2004-2008 and played a major catalytic role in strengthening the capacity of the UN on human rights.

The progress of UN reforms in the areas of human rights and development have opened new windows of opportunity to engage and support Member States in fulfilling their human rights commitments and national development goals. The establishment of the Human Rights Council and the Universal Periodic Review process has led to a rise in demand for more technical assistance and support from the UN in this regard. This requires more coordinated and coherent efforts among UN agencies, further building on the achievements and lessons from Action 2 and 'Delivering as One' system-wide coherence efforts.

To help meet these growing demands, the UNDG-HRM was established in November 2009 as a successor to the Action 2 programme. It responds to the call from the Secretary-General in 2008 to further strengthen system-wide coherence, collaboration and support for Resident Coordinators and UN country teams in mainstreaming human rights.

As was mentioned above, the UNDG-HRM mechanism aims to further institutionalize human rights mainstreaming efforts in the UN development system and to strengthen system-wide coherence and collaboration and provide support for Resident Coordinators and UN country teams on human rights mainstreaming, so that they can better respond to rising demand from Member States for capacity building and technical assistance on human rights. The UNDG-HRM supports strategic human rights mainstreaming activities at global, regional and country level.

Governing bodies of many UNDG member agencies also gave explicit support for mainstreaming and contributing to human rights within their respective mandates. Numerous resolutions of the Human Rights Council (and the former Commission on Human Rights) have called upon UN agencies to contribute to human rights and to integrate human rights into their activities.

3.1.1 Strategic Objectives of the UNDG-HRM Fund

The UNDG-HRM's overarching objective is to further institutionalise human rights mainstreaming efforts in the UN development system and to strengthen system-wide coherence and collaboration and provide support for Resident Coordinators and UN country teams on human rights mainstreaming, building on the achievements and lessons from the former Action 2 programme. In contributing to this overall objective, the UNDG-HRM focuses on four broad priorities:

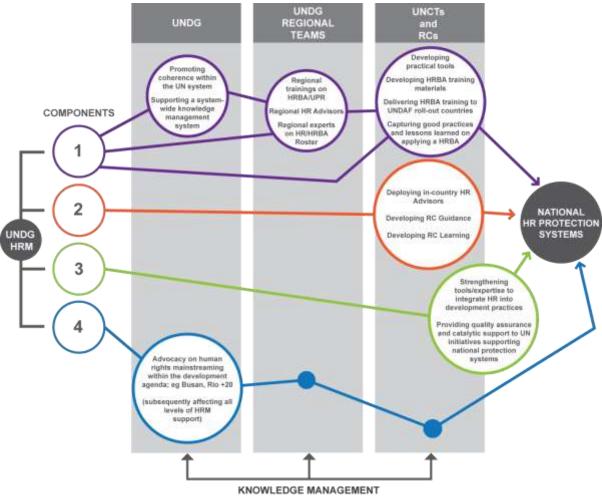
- **Component 1:** Promoting a coordinated and coherent UN system-wide approach towards the integration of human rights principles and international standards into UN operational activities for development;
- **Component 2:** Providing coherent support for Resident Coordinators and UN country teams in mainstreaming human rights;
- Component 3: Developing a coherent UN-system wide approach, through cooperation and collaboration among UN agencies, to providing support towards strengthening national human rights protection systems at the request of governments; and
- **Component 4:** Contributing to the integration of human rights issues in the overall UNDG advocacy on development agenda and global issues.

Based on these four priorities and guided by the UNDG Strategic Priorities, the UNDG-HRM developed an Operational Plan with a three year workplan for 2011-2013. The Operational Plan is organised under the four broad priorities of the UNDG-HRM. Through the various activities in the workplan, during 2011-2013 the UNDG-HRM aims to:

- **Country level:** Promote coherent policy support and guidance to Resident Coordinators and UN country team agency leadership on human rights; enhancing learning opportunities for RCs and UN country team agency leadership on human rights; strengthening the RC Office capacity on human rights; supporting the integration of human rights into national development policies and strategies; and supporting the strengthening of national capacity on human rights.
- **Regional level:** Strengthen capacity and collaboration at regional level, including support to Regional UNDG Teams.
- **UN system level:** Strengthen system-wide coherence in policies and practices across UNDG, based on human rights standards and principles; and enhancing HRBA support to UN country teams, with particular focus on UNDAF roll-outs and Delivering as One pilots.
- Advocacy and knowledge building: Promote strategic positioning of human rights in the context of UN operational activities for development; and enhancing UN system-wide knowledge codification and sharing.

Due to funding constraints during 2012, the UNDG-HRM streamlined its workplan for 2013 to reflect the overall situation, while ensuring that the country support focus is maintained. Accordingly, it prepared a streamlined budget of US\$ 6 million to cover priority funding requirements for 2012-2013.

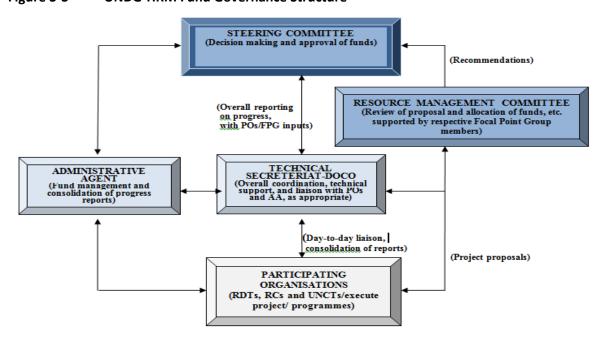
Figure 3-2 UNDG HRM Work Plan Components



3.2 UNDG-HRM Fund Governance Structure

This section outlines roles and processes and describes how they contributed to the overall governance and accountability of the UNDG HRM Fund.

Figure 3-3 UNDG-HRM Fund Governance Structure



3.2.1 Steering Committee

The UNDG-HRM is chaired by the Deputy High Commissioner for Human Rights/OHCHR and with annually rotating Vice-Chair from participating agencies. The overall membership is at senior, decision-making level, and the members constitute the Steering Committee and provide overall leadership, set the strategic direction and approve allocations. The Administrative Agent is an ex-officio member of the Steering Committee. The Steering Committee will task the Resource Management Committee, supported by respective members of the expert-level UNDG-HRM Focal Points Group, to meet regularly to prepare resource allocation recommendations for the approval by the Steering Committee.

3.2.2 Technical Secretariat

The UN Development Operations Coordination Office (DOCO), in its capacity as the UNDG secretariat, host the UNDG-HRM, with substantive support provided by OHCHR as its lead (Chair) agency, with other agencies leading on specific components/tasks. In addition, DOCO provides advice on UNDG policies and guidelines, and ensure institutional linkages with other UNDG Working Groups. DOCO is responsible for coordination of support to and communication with Resident Coordinators/UN country teams and Regional UNDG Teams on behalf of the UNDG-HRM. For this purpose, appropriate technical capacities have been established in DOCO.

3.2.3 Resource Management Committee (RMC)

The Resource Management Committee (RMC) is a sub-committee of the UNDG-HRM Steering Committee, established to make recommendations on all areas related to the allocation of funds from the UNDG-HRM Fund. The RMC is comprised of senior representatives from up to six Participating Organizations which have signed the MOU, one of whom serves as the Chairperson. The RMC Members are supported by their respective Focal Point Group representatives.

3.2.4 Participating UN Organizations

UN Organizations that participate in the UNDG-HRM Fund sign a standard MOU with the Administrative Agent, and operate under its own financial regulations, rules and policies and assumes full financial and programmatic accountability for the funds disbursed to it by the Administrative Agent and for the implementation of the project, and provides financial and narrative progress reports to the Administrative Agent on its activities, as described in the MOU. Participating UN Organizations shall assume full programmatic and financial accountability for funds transferred to them by the Administrative Agent. The Participating Organizations are responsible for the following activities:

- Submit proposals to the UNDG-HRM RMC, through the Technical Secretariat, for review and subsequent consideration by the Steering Committee;
- Design, implement and oversee projects;
- Establish a separate ledger account for the receipt and administration of the funds disbursed to it by the Administrative Agent; and,
- Narrative and financial progress reports as outlined in the MOU.

3.2.5 Administrative Agent/Multi-Partner Trust Fund Office (MPTF Office)

The role of the MPTF Office, as the Administrative Agent for the UNDG-HRM Fund, includes the receipt, administration and management of contributions from donors, disbursement of funds to Participating UN Organizations in accordance with decisions of the Steering Committee, and consolidation and dissemination of progress reports to the donors. The consolidated report produced by the MPTF Office presents the UNDG-HRM Fund-funded projects, narrative and financial progress. Through the MPTF Office GATEWAY, a public website on all MPTFs administered by the MPTF Office, it ensures full transparency of the operations of the UNDG-HRM Fund.

4 Programme/Project Development, Review and Approval Procedures

4.1 Implementation Modalities

Activities in the UNDG-HRM workplan, supported by the UNDG-HRM Fund, will be implemented by Participating UN Organizations utilising existing and relevant agency or agency/programme/project infrastructures (including relevant oversight bodies), at global, regional and national levels, including through the joint programming modalities.

The UNDG-HRM Steering Committee, supported by its Secretariat, coordinates the implementation of all activities under the UNDG-HRM Fund to ensure its timely delivery and compliance with the overall workplan and objectives. The Steering Committee has adopted clear criteria for selecting and allocating funds towards country, regional and global activities and proposals.

One of the main objectives of the UNDG-HRM is to support UN Resident Coordinators in their strategic leadership of the UN country teams in mainstreaming human rights at country level and relationships with national authorities. When activities are implemented at country level at the request of UN country teams, Resident Coordinators will provide on-going oversight to the programmes ensuring the Participating UN Organizations are meeting their obligations. Resident Coordinators also facilitate monitoring and evaluation of the UNDG-HRM activities at the country level, in conformity with UN standards, joint programming documents and related MOUs.

At the global and regional level, participating organizations receiving funds from the UNDG-HRM Fund will take responsibility for oversight of the project/activity and facilitating monitoring and evaluation of the UNDG-HRM activities.

4.1.1 Programme Approval Criteria

The programmes and activities are approved based on the extent to which the goals and objectives of the proposal address the various areas outlined in the UNDG-HRM Operational Plan (2011-2013) including contributing to one or more of the four components. In order to facilitate strategic decision-making in using the funds to advance the overall aims of the UNDG-HRM in accordance with the Secretary-General's guidance, the UNDG-HRM adopted detailed criteria for selecting and allocating funds towards country, regional and global activities and proposals. Criteria include UN country team involvement and ownership, strategic opportunities and a conducive environment at the national level, the potential for scaling up or drawing lessons for the regional and global levels, as well as strategic entry points and links to move the human rights and development agenda forward. The criteria were used successfully in guiding UN country teams for their country submissions, as well as in evaluating proposals received.

4.2 Transfer of Funds

Information on contributions received, transfers made and the available balance is publicly available on the UNDG-HRM Fund website (http://mptf.undp.org/factsheet/fund/HRM00) on the MPTF Office GATEWAY. Based on the approval of the Steering Committee, and the signed Joint Project/Project document by the Chairs and Participating UN Organizations, the MPTF Office transfers approved funds to the Participating UN Organizations who are signatory to the Joint Project/Project after ensuring consistency with the applicable provisions of the Standard Administrative Arrangement between donors and the MPTF Office, as the Administrative Agent on behalf of the Participating UN Organizations as well as the funding framework.

5 Project/Programme Approvals and Achievements

During the reporting period from 1 January to 31 December 2012, the first allocations were approved by the UNDG-HRM Fund Steering Committee for a total of US\$ 691,635. The activities are implemented by the UNDG-HRM Secretariat through its staff in DOCO, of which one is seconded from OHCHR. Details of the transfers through the direct cost budget are outlined in the Table 5-1 below and the activities of the Secretariat are outlined in the section 5.1 below. During the reporting period the Steering Committee further approved fund allocation in support of the deployment of Human Rights Advisors in Timor-Leste, Maldives and Mali for a total of US\$ 561,780, which was transferred to OHCHR in the first Quarter of 2013.

Table 5-1 2012 Approved Direct Cost Allocations in US\$ (1 January to 31 December 2012)

Component	Date of Transfer	Project Title		Approved Budget	Transferred	Agency
Direct Cost	27 Mar 2012	UNDG HRM Secretariat		64,721	64,721	UNDP
Direct Cost	5 Nov 2012	UNDG HRM Secretariat		377,272 249,642	377,272 249,642	UNDP OHCHR
			TOTAL	691,635	691,635	

Table 5-2 2012 Project Approvals in US\$ (1 January to 31 December 2012)

Component #	Date of Transfer	Project Title	Approved Budget	Transferred	Agencies
C2: Support to RCs	1 Jan 2013	Human Rights Adviser, Support to Resident Coordinators in Timor-Leste, Maldives, Mali	561,780	561,780	OHCHR
	-	TOTAL	561,780	561,780	

5.1 Programme Achievements

The UNDG-HRM aims to further institutionalize human rights mainstreaming efforts in the UN development system and to strengthen system-wide coherence and collaboration and provide support for Resident Coordinators and UN country teams on human rights mainstreaming, so that they can better respond to rising demand from Member States for capacity building and technical assistance on human rights. The UNDG-HRM supports strategic human rights mainstreaming activities at global, regional and country level

5.1.1 Human rights advocacy in global processes

In order to define a common vision and strategy to strengthen policy coherence and joint advocacy for integrating human rights into global development agendas, including the QCPR², Rio+20 and post-2015 development agenda³, the UNDG-HRM convened a senior policy retreat for officials and human rights

²The QCPR is the primary policy instrument of the General Assembly to define the way the UN development system operates to support programme countries in their development efforts.

³ In the outcome document of the 2010 High-level Plenary Meeting of the General Assembly on the MDGs, the Secretary-General was requested to initiate thinking on a post-2015 development agenda and include

focal points from its senior level membership in February 2012⁴. The retreat resulted in an agreed set of common human rights messages for the Rio+20 and QCPR and defined initial steps to develop an Action Plan to enhance policy and operational coherence. To increase awareness and mobilise wider engagement of key stakeholders, a briefing on Human Rights and Rio+20 was organised for Member States by the Norwegian Permanent Mission in NY, supported by OHCHR. As negotiations in New York on the Rio+20 Conference Outcome entered a critical phase, the UNDG-HRM in collaboration with OHCHR, organised a side event that brought together Members States, civil society representatives, human rights experts including a Special Rapporteur of the Human Rights Council, and UN agencies, which created a strong momentum to further strengthen international commitment to human rights. These efforts have contributed to increased recognition by Member States of the centrality of human rights and the key human rights principles in the final outcomes of the Rio+20 Summit.

Joint advocacy efforts on the post 2015 agenda and the QCPR included efforts to reach out to a wide range of key stakeholders, including cross-regional groups of Member States from both developed and developing countries, facilitating discussions that centred on key human rights principles and their value added for UN's development activities and supporting national priorities. At the request of Member States, the Secretariat facilitated the participation of the UNDG-HRM, represented through OHCHR and UNICEF, in a dialogue on the Human Rights Based Approach to Development (HRBA) in the context of the QCPR inter-governmental process. These initiatives contributed to the final adoption of the QCPR resolution by the General Assembly, which is stronger in human rights terms as it encouraged the UN system to promote sustainable development through strengthening of normative and operational linkages and explicitly recognized several key elements of HRBA and the right to development in UN system's support to programme countries to build national capacity for "inclusive, equitable, participatory, transparent and accountable development". This provides a significant opportunity to further strengthen efforts to mainstream human rights into the work of the UN development system and to support national development efforts.

5.1.2 MDTF Management, monitoring and accountability

Robust mechanisms to facilitate transparent, effective and efficient decision making for programme implementation were put in place in 2012 under the leadership of the Secretariat. A Resource Management Committee comprised of six MOU signatories (Participating Organizations): ILO, OHCHR, UNDP, UNFPA UNICEF and WHO, was established and made operational as a sub-committee of the UNDG-HRM Steering Committee. Chaired by OHCHR, the RMC is tasked to make recommendations on all areas related to the allocation of funds from the UNDG-HRM Fund. Criteria for country, regional and global support were adopted to facilitate strategic decision-making in fund allocation and were put to practice to guide UN country teams for their country submissions and facilitated objective evaluation of proposals received. In addition, members of the RMC sought the views of their respective country offices to support them in the decision making process for country level proposals. In light of funding constraints, a prioritisation of the UNDG-HRM workplan activities was made by the RMC and a streamlined budget of US\$ 6 million was prepared, covering key priority funding requirements for 2012-2013 bearing in mind the country focus.

recommendations in his annual report on efforts to accelerate MDG progress. The outcome of theRio+20 Conference on Sustainable Development initiated an inclusive intergovernmental process to prepare a set of sustainable development goals (SDGs). To arrive at one global development agenda for the post-2015 period, there is need for close linkages between the two processes with sustainable development at its centre (http://www.un.org/en/ecosoc/about/mdg.shtml).

⁴ DESA, DOCO, FAO, ILO, OHCHR, UNAIDS, UNEP, UNFPA, UNDP, UNHCR, UNICEF, UN Women and WHO.

5.1.3 Country support

The new UNDG Strategy for the Deployment of Human Rights Advisers was endorsed by the UNDG in 2012, following a consultative process, co-led by OHCHR and UNDP. The system-wide strategy aims to strengthen human rights policy coherence and system-wide ownership of Human Rights Advisers. It includes elements of support such as key criteria and standard terms of reference for Human Rights Adviser deployment. Following the first tranche of funding to the UNDG-HRM Fund, three countries (Maldives, Mali and Timor-Leste) were selected in October 2012 to benefit from a Human Rights Adviser. Additional seven proposals, out of 27 requests received in total, for the deployment of Human Rights Advisers were approved by the UNDG-HRM Steering Committee in March 2013 as per the defined criteria which include among other things: Resident Coordinator/UN country team commitment and a favourable national environment. The seven additional Human Rights Advisers will be deployed to India, Bangladesh, Zambia, Tanzania, Malawi, Dominican Republic and Jamaica.

The UNDG launched a call for successful examples of human rights mainstreaming at country level in 2012, to contribute to learning, advocacy and communication messages across the UN system and facilitate awareness-raising of donors and Member States on the value of human rights mainstreaming. The case studies are expected to be published in June 2013. The initiative has highlighted the critical role that UN country teams play in supporting efforts by national actors to integrate human rights into national development programmes, policies and processes.

Following a call for proposals to UN country teams, the UNDG-HRM Steering Committee endorsed in March 2013 the RMC's recommendation to approve 9 country proposals, out of a total of 48 received, that strongly meet country support criteria such as strategic opportunities and conducive environment at the national level, potential for scaling up or drawing lessons learned for the regional and global level. Proposals with explicit links with development programming were further prioritised. These countries selected were: Guinea Bissau and Zambia from Africa; Indonesia, Myanmar and Timor-Leste (subject to further information) from Asia and the Pacific; Morocco from Middle East and North Africa; Turkey from Europe; and Costa Rica and Uruguay from Latin America.

5.1.4 Strengthening the engagement of UN country teams with international human rights mechanisms - Universal Periodic Review, Treaty Bodies and Special Procedures

Supporting the systematic engagement of UN country teams and national partners with the UN human rights mechanisms is a priority for the UNDG-HRM. In 2012, the UNDG-HRM facilitated inputs from UN country teams into the Annual Meeting of the Special Rapporteurs in Geneva, the outcome of which highlighted the valuable contribution of UN country teams to the Special Rapporteurs' work and viceversa. The UNDG-HRM also facilitated the participation of UN agencies with regard to the consultations for the UN High Commissioner for Human Rights' proposals for "Strengthening the UN human rights treaty body system". To create an opportunity for greater information sharing, collaboration and engagement, an e-discussion on the Universal Periodic Review process was facilitated by the UN Human Rights Policy Network (HuriTALK) and the Coordination Practice Network. It resulted in over 91 contributions and highlighted opportunities to engage with national partners in the Universal Periodic Review process. Considering the result from the dialogue, the UNDG-HRM plans to develop concrete guidance and tools for UN country teams on how to strategically engage with human rights mechanisms, based on field experiences.

5.2 Programmes/Projects Approved in 2013 and Pipeline

Building on 2012 programme development and review preparations, during the first quarter of 2013 two new allocations were approved by the Steering Committee for implementation. The projects are implemented under Component 2 providing Human Rights Advisors to support the Office of the Resident Coordinators in Malawi, Zambia, Tanzania, Dominican Republic, Bangladesh, India, Jamaica,

and under Component 3 providing catalytic support to human rights mainstreaming initiatives in 9 countries as highlighted in the Table 5-3 below. Implementation is through OHCHR, UNDP and UNESCO as outlined in the table.

Table 5-3 2013 Project Approvals in US\$ (as of 31 March 2013)

Component #	Date of Transfer	Project Title	Approved Budget	Transferred	Agencies
C3: Strengthen National Systems	-	Support to Country Programmes (Costa Rica, Guinea Bissau, Indonesia, Morocco, Myanmar, Timor-Leste, Turkey, Uruguay, Zambia)	799,510,	-	UNDP, UNESCO, OHCHR
C2: Support to	-	Human Rights Advisors (Malawi, Zambia, Tanzania, Dominican Republic, Bangladesh, India, Jamaica)	1,316,331	-	OHCHR
		TOTAL	2,115,841	-	

6 Overall Fund Achievements and Challenges

6.1 Achievements

Since the launch of the UNDG-HRM member agencies have made substantive contributions and dedicated necessary resources within their financial constraints to ensure the start-up implementation of key activities. There have been positive feedback as well as significant expectations and demands from UN country teams and Regional UNDG Teams for enhanced support from the UNDG-HRM through the Fund, which show significant promise for further strengthening coherent and coordinated approaches to mainstreaming human rights and maximising country level impact.

6.2 Challenges

The UNDG-HRM workplan was developed on the basis of country level needs, following consultations in 2011. Due to late arrival of contributions, only limited funds were made available in 2012, which were directed to cover part of the costs of the UNDG-HRM Secretariat in DOCO. With the receipt of additional funds at the end of 2012, the UNDG-HRM will be able to scale up support at the country level; in particular through the enhancement of Human Rights Adviser deployment, coherent and coordinated support to UN Resident Coordinators, human rights-based approach training and other capacity building activities. The strengthened policy framework provided by the QCPR, which defines the way the UN development system operates to support programme countries in their development efforts, further supports and reinforces these opportunities.

7 Financial Performance

7.1 Financial Overview

The Financial Performance section includes key financial data on the UNDG HRM Fund. Financial information is also available on the http://mptf.undp.org/factsheet/fund/HRM00 webpage of the MPTF Office GATEWAY.

The Table 7-1 provides an overview of the entire UNDG-HRM Fund financial portfolio by providing the source, use and balance of funds.

Table 7-1 Financial Overview, as of 31 December 2012

	Annual 2011	Annual 2012	Cumulative
Sources of Funds			
Gross Contributions	65,375	3,937,315	4,002,690
Fund Earned Interest and Investment Income	113	2,615	2,728
Interest Income received from Participating Organizations	-	-	-
Refunds by Administrative Agent to Contributors	-	-	-
Fund balance transferred to another MPTF	-	-	-
Other Revenues	-	-	-
Total: Sources of Funds	65,488	3,939,929	4,005,418
Use of Funds			
Transfer to Participating Organizations	-	-	-
Refunds received from Participating Organizations	-	-	-
Net Funded Amount to Participating Organizations	-	-	-
Administrative Agent Fees	654	39,373	40,027
Direct Costs: (Steering Committee, Secretariatetc.)	-	691,635	691,635
Bank Charges	1	42	42
Other Expenditures	-	-	-
Total: Uses of Funds	655	731,050	731,704
Change in Fund cash balance with Administrative Agent	64,834	3,208,879	3,273,713
Opening Fund balance (1 January)	-	64,834	-
Closing Fund balance (31 December)	64,834	3,273,713	3,273,713
Net Funded Amount to Participating Organizations	-	-	-
Participating Organizations` Expenditure	-	-	-
Balance of Funds with Participating Organizations	-	-	-

During the reporting period, total contributions of US\$ 3,937,315 were deposited into the UNDG-HRM Fund account. As of 31 December 2012, the Fund-earned interest amounted to US\$ 2,728, bringing the total funding available for programming to US\$ 4,005,418. A detailed explanation of interest income is provided in the Section 7.2. During the reporting period, a total of US\$ 691,635 was approved as direct costs to support the Secretariat, and US\$ 561,780 was approved and transferred to OHCHR on 18 January 2013 for approved programming. The Administrative Agent fee of US\$ 39,373 (one per cent of total funds deposited) was charged on the total contributions received in 2012. The balance of funds

available with the Administrative Agent as of 31 December 2012 was US\$ 3,273,713 (82 per cent of total deposits).

Table 7-2 Total Donor Deposits, as of 31 December 2012

Donor		rior Years 31 Dec 2011	Current Year Jan-Dec 2012	TOTAL
Denmark			802,311	802,311
Finland		65,375	129,320	194,695
Ireland			64,905	64,905
Norway	·		1,427,679	1,427,679
Sweden (SIDA)			1,513,100	1,513,100
	TOTAL	65,375	3,937,315	4,002,690

The Table 7-2 above highlights the breakdown of donor commitments and deposits in 2012. The Finnish Government was the first new donor to contribute US\$ 65,375 to the UNDG-HRM Fund in 2011 and made additional contributions of US\$ 129,320 in 2012. New donor commitments in 2012 were received from the Swedish International Development Agency (SIDA) for US\$ 2,981,529, of which US\$ 1,513,100 was deposited in 2012, the Government of Norway for US\$ 1,427,679, the Government of Denmark for US\$ 802,311, and the Government of Ireland for US\$ 64,905.

7.2 Earned Interest

Table 7-3 Received Interest (Agency and Fund), as of 31 December 2012

	Prior Years as of 31 Dec 2011	Current Year Jan-Dec 2012	TOTAL
Fund-Earned Interest (Administrative Agent)	113	2,615	2,728
TOTAL Fund-Earned Interest	113	2,615	2,728
TOTAL Interest Income Received from PO	-	-	-
TOTAL Interest Earned	113	2,615	2,728

Interest earned from UNDG-HRM Fund donor contributions is reported from two sources. Fund-earned interest is the interest earned by the MPTF Office as the Administrative Agent on the balance of funds in the UNDG-HRM Fund bank account (maintained by UNDP/Treasury). Agency-earned interest is the amount earned by Participating UN Organizations on the undisbursed balance of the UNDG-HRM Fund funds that have been transferred to the Participating UN Organization(s) for project implementation.

Interest accrues at the Fund level until the UNDG-HRM Steering Committee approves a project or joint programme, at which time the approved funds are transferred to the Participating Organization(s).

As of 31 December 2012, the cumulative total of Fund-earned interest income amounted to US\$ 2,728. There was no Agency-level earned interest reported in 2012.

7.3 Transfer and Balance of Funds

Table 7-4 2012 Approved New Allocations/Projects/Programmes , 1 January to 31 December 2012

Component	Date of Transfer	Project Title		Approved Budget	Transferred	Agency
Direct Cost	27 Mar 12	UNDG HRM Secretariat		64,721	64,721	UNDP
Direct Cost	5 Nov 12	UNDG HRM Secretariat		377,272 249,642	377,272 249,642	UNDP OHCHR
			TOTAL	691,635	691,635	

The UNDG-HRM Secretariat based in DOCO received US\$ 691,635 as direct cost in 2012 to cover Secretariat-related expenses.

Table 7-5 Net Funded Amount by Priority Area, as of 31 March 2013

	N	et Funded Amoun	- Agencies	
Priority Area	Current Year Jan-Dec 2012			
C2: Support to RCs Human Rights Adviser in East Timor , Maldives , Mali	-	561,780	561,780	OHCHR
TOTAL	-	561,780	561,780	

In the first quarter of 2013, following the approval of the Steering Committee in 2012, a total of US\$ 561,780 was transferred to OHCHR in support of Human Rights Advisors in Timor-Leste, Maldives and Mali. Two additional allocations totalling US\$ 2,216,331 were approved during the first quarter of 2013, but the Steering Committee had not submitted the transfer request to the Administrative Agent at the time of reporting.

Table 7-6 Net Funded Amounts by Participating Organization, as of 31 March 2013

		Net Funded Amount				
Participating Organization		Current Year Jan-Dec 2012	Future Years as of 31 Mar 2013	TOTAL		
OHCHR		-	561,78	561,780		
	TOTAL	-	561,78	561,780		

The Table 7-6 above provides the breakdown of the net funded amount by Participating Organization as of 31 March 2013, with OHCHR the only recipient Participating Organization to have received funding.

7.3.1 Balance of Funds Available

The balance of funds available with the Administrative Agent as of 31 December 2012 was US\$ 3,273,713 (81.8 per cent), of which US\$ 561,780 was approved and transferred for projects in the first quarter of 2013. Until such time that the MPTF Office is instructed by the Steering Committee to

release newly approved funding or subsequent tranches of funding, it will be reflected in the balance of funds with the Administrative Agent.

7.4 Expenditure Reported by Participating Organizations

Table 7-7 Financial Delivery Rates by Priority Area, as of 31 December 2012

			Expenditure		
Priority Area		Net Funded Amount	Current Year Jan-Dec 2012	TOTAL	Delivery Rate (%)
	-	-	-	-	0.0%-
	TOTAL		-		0.0%-

The funds to be transferred to a Participating Organization were transferred in the first quarter of 2013; therefore, there is no Participating Organizations expenditure reported in 2012.

7.5 Cost Recovery

As indicated in earlier progress reports, the cost recovery for the UNDG-HRM Fund is guided by the Terms of Reference and the MOU. For the period from 1 January 2011 to 31 December 2012, the actual costs were as follows:

- The Administrative Agent fee: One per cent charged at the time of donor deposits and is for the entire duration of the UNDG-HRM Fund. In the reporting period US\$ 39,373 was deducted as Administrative Agent fee. Cumulatively, as of 31 December 2012, US\$ 40,027 has been deducted as Administrative Agent fee.
- Indirect Costs of Participating Organizations: Participating Organizations may charge 7 per cent indirect costs. In the reporting period there were no indirect costs charged given the first transfers took place in 2013.
- **Direct Costs:** May be charged to the Fund to cover costs associated with the secretariat services and overall coordination as well as Fund level reviews/evaluations. In 2012, the total direct costs of the Technical Secretariat were US\$ 691,635.

7.6 Transparency and Accountability

The MPTF Office continued to provide regular information on the operations of the UNDG-HRM Fund on its GATEWAY (http://mptf.undp.org) — a knowledge platform providing real-time data, with a maximum two-hour delay, on financial information from the MPTF Office accounting system on donor contributions, programme budgets and transfers to Participating UN Organizations. All narrative reports are published on the MPTF Office GATEWAY, which provides easy access to nearly 9,600 relevant reports and documents, with tools and tables displaying financial and programme data. By providing easy access to the growing number of progress reports and related documents uploaded by users in the field, it facilitates knowledge sharing and management among UN organizations. It is designed to provide transparent, accountable fund-management services to the UN system to enhance its coherence, effectiveness and efficiency. The MPTF Office GATEWAY has been recognized as a 'standard setter' by peers and partners.