



GUATEMALA

PROJECT HALF YEARLY PROGRESS UPDATE

PERIOD COVERED: JANUARY – JUNE 2014

Project No & Title:	PBF 80403 Strengthening National Capacities for Criminal Investigation		
Recipient Organization(s)¹:	UN Women, UNODC, UNDP		
Implementing Partners (Government, UN agencies, NGOs etc)	Public Prosecutor’s Office		
Location:	Guatemala		
Total Approved Budget²	UN Women: \$ 42,800.00 UNODC: \$ 544,630.00 UNDP: \$ 1,372,570.00 TOTAL: \$1,960,000		
Funds Committed³	N/A	% of funds committed / total approved budget:	N/A
Expenditure⁴:	\$1,960,000	% of expenditure / total budget: (Delivery rate)	100%
Project Approval Date:	November 16, 2011	Possible delay in operational closure date (Number of months)	
Project Start Date:	November 16, 2011		
Expected Operational Project Closure Date:	March 15, 2014		

¹ Please note that where there are multiple agencies, only one consolidated project report should be submitted.

² Approved budget is the amount transferred to Recipient Organisations.

³ Funds committed are defined as the commitments made through legal contracts for services and works according to the financial regulations and procedures of the Recipient Organisations.

⁴ Actual payments (contracts, services, works) made on commitments.

⁵ PBF focus areas are:

1: Support the implementation of peace agreements and political dialogue (Priority Area 1):

(1.1) SSR, (1.2) RoL; (1.3) DDR; (1.4) Political Dialogue;

2: Promote coexistence and peaceful resolution of conflicts (Priority Area 2):

(2.1) National reconciliation; (2.1) Democratic Governance; (2.3) Management of natural resources;

3: Revitalise the economy and generate immediate peace dividends (Priority Area 3):

(3.1) Short-term employment generation; (3.2) Sustainable livelihoods

4) (Re)-establish essential administrative services (Priority Area 4)

(4.1) Public administration; (4.2) Public service delivery (including infrastructure).

5) PBF management and administration

(5.1) PBF management and administration

Project Outcomes:	The judicial system is strengthened through the provision of equipment, personnel and training to the Magistrate's Office and courts, to establish credibility, professionalism, independence and efficiency and an inclusive legal reform to institutionalize the rule of law.
PBF Focus Area⁵	

Qualitative assessment of progress

<p><i>For each intended outcome, provide evidence of progress during the reporting period.</i></p> <p><i>In addition, for each outcome include the outputs achieved. (500 words max.)</i></p>	<p>Outcome 1: Crime scene processing procedures have been upgraded with specialized training and new technologies, which have allowed the presentation and substantiation of at least forty cases before the relevant judicial bodies.</p> <p>Outcome 2: Analytical criminal investigation capabilities have been strengthened by incorporating liaison analysts in research teams from the prioritized prosecution offices, which have worked at least 54 proceedings, consisting of 7,064 records.</p> <p>Outcome 3: The capacity for analysis has been strengthened with the incorporation of a femicide database that enables strategic decision making for criminal investigation and persecution. A protocol for sexual violence crime scene handling for crimes against women and femicide was developed and institutionalized.</p> <p>Outcome 4: Work has focused on strengthening the Policing Information System (SIPOL) Unit and its connection to the Public Prosecutor's Office (PPO), to maximize resource use. The Unit's constant coordination with the Prosecutor's Office and other related entities is enabling an integrated approach to victim attention and case management. The police unit maintains contact with counterparts in other countries to strengthen and improve research techniques.</p> <p>Outcome 5: The protocol for interagency collaboration developed within the project framework will allow for the promotion of efficient criminal investigation and for work to be coordinated and aligned with international criminal investigation standards.</p>
<p><i>Do you see evidence that the project is having a positive impact on peacebuilding? (250 words max.)</i></p>	<p>The strengthening of PPO capabilities in strategic criminal investigation and criminal prosecution has contributed substantially to breaking down impunity and has correspondingly strengthened access to justice, particularly for women, children and adolescents. The short, medium and long term gains being made serve to strengthen the rule of law and consequently the construction and continuation of peace.</p> <p>Strengthening strategic criminal investigation, victims may see greater achievements in their judgments</p>

	and their consequent repairs, as they can see how to end impunity.
<i>Were there catalytic effects from the project in the period reported, including additional funding commitments or unleashing/ unblocking of any peace relevant processes? (250 words max.)</i>	<p>The sample results attained for the final internal project evaluation show that the coordinated intervention has built capacities; however, these results are still fragile and are concentrated prosecution offices prioritized at the central level. Accordingly, the PPO considers it necessary to request a second project phase where work can be consolidated, particularly in the interior, with support through equipment and specialized training, which will enable the medium term institutionalization of the application of investigation protocols, the training of crime scene investigators and the development of a curriculum for the career of public prosecution, among others.</p> <p>Additional resources for the project were generated when the PPO incorporated as its own personnel ten analysts while opening up four new places, making a total of fourteen analysts, as mentioned above.</p> <p>The desire to strengthen process initiated by the project implies considerable will to build and sustain peace processes.</p> <p>While a second phase to the criminal investigation is requested, we must continue supporting and having presence on these issues, despite not having more resources for now. The work done so far must not be left and we should continue supporting the process until we get the economic support (even any agency is considering providing its own resources) although the project has formally finished its resources and is ending activities.</p>
<i>If progress has been slow or inadequate, provide main reasons and what is being done to address them. (250 words max.)</i>	n/a
<i>What are the main activities/expected results for the rest of the year? (250 words max.)</i>	n/a
<i>Is there any need to adjust project strategies/ duration/budget etc.?</i>	The project is nearing completion and the internal evaluation has demonstrated the need to continue the processes initiated, given their fragility and the fact that they have not yet been fully institutionalized.

<i>(500 words max.)</i>	Therefore is expected to have an extension to implement two key activities (DIGICRI and M&E) in the second half of the year.
<i>Are there any lessons learned from the project in the period reported? (500 words max.)</i>	<p>The biggest challenge remains reaching prosecution offices in the interior of the country to provide specialized training in criminal investigation, combined with the provision of equipment and materials, which will strengthen evidence collection capacities through reliable scientific methods, and in turn enhance the presentation and corroboration of processes presented before the competent courts.</p> <p>The duration of the project may be too brief to ensure the implementation and appropriation of the instruments engendered by the project.</p> <p>The sample results for the final internal project evaluation confirm the need to ensure psychosocial support of the prioritized prosecution offices dealing with high-profile cases such as femicide, sexual violence and organized crime.</p> <p>The identification and prosecution of human trafficking cases have increased, and the need to expand territorial coverage of the specialized Unit to combat the phenomenon has been established. The institution seeks the continuation of the project to accompany the institutionalization of the Unit and its strengthening and expansion into the interior of the country.</p> <p>Without more resources, the established processes may fall out because they are not yet institutionalized (they would be abandoned just as they are starting to work). The State will be unable to complete the process due to lack of funds, and although the State provides the human resources, the process would not be sustained without the support of the project.</p>
<i>What is the percentage of the project budget expended to date and by outcome?⁶ (250 words max.)</i>	All project resources have been executed as scheduled
<i>Any other information that the project needs to convey to PBSO (and JSC) at this stage? (250 words max.)</i>	The PPO considers it necessary to request the PBF for a second project stage in order to advance the good practices engendered by the project, particularly in light of the need to strengthen prosecution offices in the interior of the country.

⁶ Please note that financial information provided is preliminary pending submission of annual financial report to the Administrative Agent.

	<p>2 Key activities approved, will be reported in the second half of the year:</p> <ul style="list-style-type: none">• AC6: DIGICRI• A7: M&E
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INDICATOR BASED PERFORMANCE ASSESSMENT: *Using the **Project Results Framework** - provide an update on the achievement of indicators at both the outcome and output level in the table below. Where it has not been possible to collect data on indicators, state this and provide any explanation in the qualitative text above.*

	Performance Indicators	Indicator Baseline	End of project Indicator Target	Current indicator progress	Reasons for Variance/ Delay (if any)	Adjustment of target (if any)
Government capacity for analysis and criminal investigation strengthened	1.1 Number of reports made in which monitoring and surveillance equipment was used from DICRI	0	25	40	n/a	n/a
	2.1 Number of liaison offices of the Analysis Unit created (in PPO)	0	5	14	n/a	n/a
	2.2 Number of cases (per PPO) in which the mapping of criminal phenomena undertaken by the liaison offices of the Analysis Unit are used	0	5	54	n/a	n/a
	2.3 Existence of a institutional communication strategy	0	1	1	n/a	n/a
	3.1 Success rate of the Public Prosecutor's Women's Office	17	25	25	n/a	n/a
	3.2 Success rate of	15	25	25	n/a	n/a

	Trafficking Unit					
	4.1 Number of cases that have used evidence generated through the new management model to be implemented in the Department of Investigation of Sexual Crimes, Trafficking in Persons, Children and Adolescents and related crimes of the Specialized Division of the Subdivision of Criminal Investigation of the PNC	0	25	59	n/a	n/a
	5.1 Number of protocols designed for interinstitutional collaboration in criminal investigations and legal prosecution	0	1	1	n/a	n/a
	5.2 Number of indictments generated based on joint work framed in the protocol for interagency collaboration in criminal investigation and prosecution	0	100	In process	Pending the emission of the resolution that will institutionalizes the protocol	

