

# UN-REDD PROGRAMME



## National Programme Annual Report – **ZAMBIA**

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UN-REDD Programme

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March 2015

In accordance with the decision of the Policy Board, hard copies of this document will not be printed to minimize the environmental impact of the UN-REDD Programme processes and contribute to climate neutrality. The UN-REDD Programme's meeting documents are available on the internet at: [www.unredd.net](http://www.unredd.net) or [www.un-redd.org](http://www.un-redd.org).

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## **i. Annual Report for the UN-REDD National Programmes**

As the cohort of National Programmes increases and individual National Programmes become increasingly mature, Annual Reports aim to serve as a management tool, feeding into decisions of the National Programme Boards or Steering Committees. The Reports also aim to collect information that each country would like to highlight in terms of its progress and actions, processes and lessons to share with other countries. The content and issues included in the Annual Report respond to (i) United Nations Development Group guidelines on results-based reporting; (ii) Policy Board requests for information, indicators and issues they would like to be informed of through the Annual Report process.

The *Annual Report* for the National Programmes, covers a twelve month period ending 31 December (1 January-31 December).

The National Programme Annual Report draws information from standard management tools (financial and technical) at the Programme and national level to minimize the workload for Programme teams. The report is divided into eight sections: 1) National Programme Identification; 2) Results Based Reporting; 3) Warsaw Framework for REDD+ and Associated UNFCCC Decisions; 4) Financial Information; 5) Risk/Issues Identification & Management; 6) Key Lessons; 7) Inter-Agency Coordination; and 8) Key Programme Indicators. Please refer to the annex for UNDG definitions and guidelines.

The lead agency for each National Programme is responsible for coordinating inputs to the Annual Reports, and for ensuring all agency and counterpart perspectives have been collected - in particular government and civil society organizations. The reports are reviewed and vetted by the agency teams, who provide quality assurance and recommendations to the national teams on articulating results and on adjustments to be made. It therefore follows an iterative process which serves to enhance the quality of the reports and enable a meaningful assessment of progress and identification of key lessons regarding knowledge exchange.

Timeline for submission of Annual Reports to ([un-redd@un-redd.org](mailto:un-redd@un-redd.org)) by the lead agency National Programme focal point at Headquarter level:

**16 February 2015:** Submission of Annual Report summary form.

**27 February 2015:** Submission of first draft Annual Report.

**16 March 2015:** Submission of signed Annual Report.

## 1. National Programme Identification

Please identify the National Programme (NP) by completing the information requested below. The Government Counterpart and designated National Programme focal points of the Participating UN Organisations will also provide their electronic signature below, prior to submission to the UN-REDD Secretariat.

Country:	Zambia
National Programme Title:	<b>UN-REDD Programme – Zambia quick start initiative</b>
Implementing Partners <sup>1</sup> :	Ministry of Lands, Natural Resources and Environmental Protection-Forest Department

Project Timeline			
Expression of Interest <sup>2</sup>	Click here to enter a date.	First Funds Transfer <sup>3</sup>	22.10.2010
R-PP Approval (by FCPF or PB)	Click here to enter a date.	NP End Date <sup>4</sup> :	31.12.2013
NP Validation Meeting	26.02.2010	No-Cost Extension:	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
NP Approval by Policy Board	18.03.2010	If YES -	31.12.2014
NP Signature date	20.09.2010	Extension End Date:	
Start Date as outlined in inception workshop:	22.11.2010	Extension Duration:	One year

Financial Summary (USD) <sup>5</sup>			
UN Agency	Approved Programme Budget <sup>6</sup>	Amount Transferred <sup>7</sup>	Cumulative Expenditures up to 31 December 2014 <sup>8</sup>
<i>FAO</i>	2,180,000	2,180,000	Click here to enter text.
<i>UNDP</i>	1,995,000	1,995,000	Click here to enter text.
<i>UNEP</i>	315,001	315,001	Click here to enter text.
<i>Indirect Support Cost (7%)</i>	293,738	293,738	Click here to enter text.
<i>Total</i>	<b>4,490,000</b>	<b>4,490,000</b>	Click here to enter text.

Electronic signatures from the designated UN organizations <sup>9</sup>			Electronic signature by the Government Counterpart
FAO	UNDP	UNEP	
Date and Name of Signatories in Full:			
Click here to enter a date.	Click here to enter a date.	Click here to enter a date.	Click here to enter a date.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.

<sup>1</sup> Those organizations either sub-contracted by the Project Management Unit or those organizations officially identified in the National Programme Document (NPD) as responsible for implementing a defined aspect of the project..

<sup>2</sup> If expression of interest information available - If information not available please insert N/A.

<sup>3</sup> As reflected on the MPTF Office Gateway <http://mptf.undp.org>

<sup>4</sup> Original end date stated in NPD

<sup>5</sup> The financial information reported should include indirect costs, M&E and other associated costs. The information on expenditure is *unofficial*. Official certified financial information is provided by the HQ of the Participating UN Organizations by 30 April and can be accessed on the MPTF Office GATEWAY (<http://mptf.undp.org/factsheet/fund/CCF00>).

<sup>6</sup> The total budget for the entire duration of the Programme, as specified in the signed Submission Form and NPD.

<sup>7</sup> Amount transferred to the participating UN Organization from the UN-REDD Multi-Partner Trust Fund.

<sup>8</sup> The sum of commitments and disbursement

<sup>9</sup> Each UN organisation is to nominate one or more focal points to sign the report. Please refer to the *UN-REDD Programme Planning, Monitoring and Reporting Framework* document for further guidance.

## 2. Results Based Reporting

This section aims to summarise the progress of the National Programme during the reporting period, summarise government and non-government comments and assessments and report on the delivery of the National Programme against the annual targets and indicators for the specific outcomes and outputs.

### 2.1 Summary of National Programme Progress

Please provide a brief overall assessment of the extent to which the National Programme is progressing in relation to expected outcomes and outputs, observed during the current reporting period versus the previous.

Summary of National Programme Progress (500 words):

All the major outcomes and outputs of the programme have been achieved. Notably, Zambia has developed a draft REDD+ Strategy, anchored on widespread stakeholder consultations, communication and knowledge management at national and provincial levels. The Strategy provides the overall vision, measures and actions to address deforestation and forest degradation. It demonstrates the country's global and national commitments to promote REDD+. The strategy provides the framework to facilitate stakeholder consultations, ensure buy-in of the strategy as well as consensus building on how to address drivers of deforestation. Underpinning the strategy development are key studies including the drivers of deforestation and the economic valuation of forests and ecosystem services. Importantly, Zambia has also integrated in its strategy, an approach to the assessment of FREL/FRL. The basis for the FREL/FRL development is the completion of landcover mapping and forest inventories in all 10 Provinces of Zambia.

### 2.2 Government and Non-Government Comments

The aim of this section is to allow government and non-government stakeholders to provide their assessment, comments and to provide additional and complementary information.

Government counterpart to provide their assessment and additional complementary information not included by the participating UN organizations: (250 words)

[Click here to enter text.](#)

Non-government stakeholders to provide their assessment and additional complementary information (Please request a summary from existing stakeholder committees or platforms): (250 words)

[Click here to enter text.](#)

## 2.3 Results Framework

The results framework matrix aims to measure progress made in the reporting year against annual targets for outcomes and outputs identified in the National Programme document log frame following the inception meeting or mid-term review. If the log frame has been amended following a mid-term review, this should be mentioned above the output table. Requirements for the sections include:

- For each outcome, please provide the outcome title and a summary of the overall progress towards reaching the outcome. Please list each performance indicator, the associated baseline and expected target for the National Programme. Please indicate if the annual target has been met by ticking the relevant box. The annual report should indicate if the programme is on track, on the right trajectory, likely to meet its outcomes or not. It is not intended to report on an annual basis against the end target of the outcomes.
- For each output, please provide the output title and a summary of the progress towards achieving the specific output. Please list each performance indicator, the associated baseline and expected annual target for the output for the given reporting year. Please indicate if the annual output target has been met by ticking the appropriate box. Lastly, provide a narrative of the achievements of the annual target for the specific output. Please repeat this for each target for the output and all outputs for the outcomes.

The setting up of the Climate Change Secretariat has been a major achievement in the country, in terms of ensuring the due cross-sectoral policies for climate change and to mobilize finance. It equally provides a framework for integrating REDD+ issues across various sectors. In that sense, the REDD+ National	<b>Outcome Title:</b>	Capacity to manage REDD+ Readiness Strengthened
	<b>Progress Towards Outcome:</b>	<p>At national level, the government has also encouraged the use of existing Institutional Arrangements to facilitate REDD+ processes. Notably, for example, the Inter-ministerial Climate Change Secretariat (IICCS), which aims to provide a coordination role to ensure harmonized approach to addressing climate change. The setting up of the Climate Change Secretariat has been a major achievement in the country, in terms of ensuring cross-sectoral collaboration and harmonization of policies on climate change. It equally provides a framework for integrating REDD+ issues across various sectors. In that sense, the REDD+ National Coordinator was institutionally relocated to this climate-change secretariat, to foster linkages between the REDD+ process and the overall climate change agenda. The technical discussions on the REDD+ Strategy and the high-level dialogue on the strategy are being facilitated through the IICCS institutional framework.</p> <p>In addition to the above, the REDD+ Coordination Unit (RCU) has participated in learning and knowledge exchange events to draw lessons and experiences from other countries to inform REDD+ implementation programmes. Notably, for example, the RCU team participated in the UNFCCC Conference of Parties (COP) meeting in Peru, where the team participated in several side events on various thematic areas such as on strategy development, stakeholder engagement, assessment of forest reference levels and safeguards amongst others. The knowledge gathered will inform key REDD+</p>

Coordinator was institutionally relocated to this climate-change secretariat, to foster linkages between the REDD+ process and the overall climate change agenda	processes in Zambia.		
	Outcome Performance Indicators <sup>10</sup>	Baseline <sup>11</sup>	Expected Outcome Targets <sup>12</sup>
	1. National REDD+ institutional arrangements in place and functioning	1. REDD+ Coordination in place and ICCS established	1. (i) steering and technical committees reconstituted (ii) ICCS holds REDD+ strategic meeting within its institutional structures (iii) National REDD+ coordination unit has fully implemented the 2013-2014 work plan
	Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
	2. steering and technical committees reconstituted	2. steering committee and technical committees not functional	2. steering and technical committees reconstituted
	Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
	3. Steering and technical committees reconstituted and continued to provide support to the implementation of the UNREDD programme. This was mainly through providing input in the annual work plans and approval of workplans and budget		
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No			
<p>At national level, the government has also encouraged the use of existing Institutional Arrangements to facilitate REDD+ processes. Notably, for example, the Inter-ministerial Climate Change Secretariat (IICCS), which aims to provide a coordination role to ensure harmonized approach to addressing climate change. The setting up of the Climate Change Secretariat has been a major achievement in the country, in terms of ensuring cross-sectoral collaboration and harmonization of policies on climate change. It equally provides a framework for integrating REDD+ issues across various sectors. In that sense, the REDD+ National Coordinator was institutionally relocated to this climate-change secretariat, to foster linkages between the REDD+ process and the overall climate change agenda. The technical discussions on the REDD+ Strategy and the high-level dialogue on the strategy are being facilitated through the IICCS institutional framework.</p> <p>In addition National institutional framework for REDD+ constituted (including National REDD+ Coordination Unit) and strengthened by 2014. Four attached officials continued to provide support to the implementation of the UN-REDD Programme.</p>			

<sup>10</sup> Qualitative or quantitative means of measuring an output or outcome, with the intention of gauging the performance of a programme or investment

<sup>11</sup> Information gathered at the beginning of a project or programme from which variations found in the project or programme are measured

<sup>12</sup> Specifies a particular value for an indicator to be accomplished by a specific date in the future E.g. Total literacy rate to reach 85% among groups X and Y by the year 2010

The REDD+ readiness programme is currently being implemented in close collaboration with the Technical Committee of the Interim Inter-Ministerial Climate Change committee (IICCS). The IICCS is the national institutional framework for coordinating climate change activities. This ensured that REDD+ strategy development and future implementation in brought into the core of national policy, programming and budgetary frameworks. The REDD+ strategy is also being developed in close collaboration with the IICCS

The Coordination unit worked with all the key stakeholders to implement the 2013 to 2014 work plan and budget through strong stakeholder engagement, strategic partnership building including with civil society, mainstreaming into key national policy and development processes

<b>Output 1.1</b>	<b>Output Title</b>	REDD+ Readiness coordination and management bodies established and functioning		
	<b>Progress Towards Output:</b>	The setting up of the Climate Change Secretariat has been a major achievement in the country, in terms of ensuring the due cross-sectoral policies for climate change and to mobilize finance. It equally provides a framework for integrating REDD+ issues across various sectors. In that sense, the REDD+ National Coordinator was institutionally relocated to this climate-change secretariat, to foster linkages between the REDD+ process and the overall climate change agenda]		
	<b>Output Performance Indicators</b>	<b>Baseline</b>	<b>Expected Annual Targets</b>	
	1. National REDD+ institutional arrangements in place and functioning	REDD+ Coordination in place and ICCS established	1. i)steering and technical committees reconstituted (iii)	
	Has the annual target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No			
	<b>Achievements of Annual Target 1 for Output 1.1.</b>			
	National institutional framework for REDD+ constituted (including National REDD+ Coordination Unit) and strengthened by 2014. Now national staff (4 attached officials) has been officially assigned to the implementation of the UN-REDDProgramme.			
	2. National REDD+ institutional arrangements in place and functioning	2. REDD+ Coordination in place and ICCS established	2. ICCS holds REDD+ strategic meeting within its institutional structures	
Has the expected target been met: <input type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No				
<b>Achievements against Annual Target 2 for Output 1.1.</b>				
The REDD+ readiness programme is currently being implemented in close collaboration with the Technical Committee of the Interim Inter-Ministerial Climate Change committee (IICCS). The IICCS is the national institutional framework for coordinating climate change activities. This has ensured that, REDD+ strategy development and future implementation in brought into the core of national policy, programming and budgetary frameworks. The REDD+ strategy is also being developed in close collaboration with the IICCS.				



	3. National REDD+ institutional arrangements in place and functioning	3.	3. National REDD+ coordination unit has fully implemented the 2013-2014 workplan
Has the expected target been met: <input type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No			
<p><b>Achievements against Annual Target 3 for Output 1.1.</b>  The Coordination unit worked with all the key stakeholders to implement the 2013 to 2014 work plan and budget through strong stakeholder engagement, strategic partnership building including with civil society, mainstreaming into key national policy and development processes</p>			

	<b>Outcome Title:</b>	<b>Outcome 2: Broad-based stakeholder support for REDD+ established</b>
	<b>Progress Towards Outcome:</b>	<p>Zambia has increased efforts to implement its stakeholder assessment and engagement plan (SAEP). Stakeholder participation and awareness of REDD+ processes have been fostered through various dialogues and meetings at national and provincial levels as part of the strategy development process. Most notably, the draft National REDD+ Strategy, is anchored on widespread stakeholder consultations, communication and knowledge management at national and provincial levels. The strategy provides for the overall vision, measures and actions to address deforestation and forest degradation, and demonstrates the country's global and national commitments to promote REDD+. The strategy provides the framework to facilitate stakeholder consultations, ensure buy-in of the strategy as well as consensus building on how to address drivers of deforestation.</p> <p>Significantly, CSO consultative meetings have been held to provide updates on the National REDD+ Strategy development process and to discuss areas of cooperation. Specifically the CSO consultative meetings underscored the fact that, the inclusion of non-governmental actors in the National REDD+ process allows for greater transparency and co-operation in organizing specific activities and awareness campaigns.</p> <p>In addition, Zambia is decentralizing REDD+ by engaging with Provincial Development Coordinating Committee (PDCC) and District Development Coordinating Committee (DDCC) which provide a vehicle for coordination amongst government</p>

ministries such as agriculture and forestry, local authority, local communities, Civil Society and private sector. The UN-REDD Programme has implemented Provincial Awareness training which has covered over 100 Districts and within 7 Provinces namely Copperbelt, Luapula, Muchinga, Northern, North Western, Southern and Western Provinces.

In addition to the above, innovative partnerships with key private sector have been identified. As one of the growing economies in the world with strong private sector actors, the North Western Province of Zambia provides an opportunity to engage private sector actors on REDD+. The Province is strategically important as it holds some of the major forest resources in Zambia and the source of the Zambezi river. The NP organized a leadership dialogue with the private sector which brought together private sector companies from the agriculture, banking, financial, and mining sectors. The meeting discussed opportunities for addressing deforestation including through innovative business processes and public-private-partnerships (PPPs).

Furthermore, new partnership with the media has been developed to support advocacy and positioning of climate change issues. The NP facilitated media training for over 30 journalists which led to the production of several television and radio new pieces.

Finally, a partnership forum consisting of over 15 Cooperating partners supporting environment and climate change issues and REDD issues has also been established and is fully functional. Supported by its UN partners, the government is developing a plan to help mobilize partnerships, financial support and investments so as to transit from readiness to implementation.

Outcome Performance Indicators <sup>13</sup>	Baseline <sup>14</sup>	Expected Outcome Targets <sup>15</sup>
1. Stakeholder assessment and plan for engagement at national, provincial and local levels	1. Draft stakeholder engagement report in place	1. Various stakeholder dialogue and awareness raising meetings held
<b>Has the expected target been met:</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
2. stakeholder engagement platform in place	2. Design of REDD+ Wiki ongoing	2. (i) REDD+ wiki in place and launched, (ii) REDD+ platform established

<sup>13</sup> Qualitative or quantitative means of measuring an output or outcome, with the intention of gauging the performance of a programme or investment

<sup>14</sup> Information gathered at the beginning of a project or programme from which variations found in the project or programme are measured

<sup>15</sup> Specifies a particular value for an indicator to be accomplished by a specific date in the future E.g. Total literacy rate to reach 85% among groups X and Y by the year 2010

	Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
	Reports on studies on private sector, REDD+ initiatives and economic valuation	studies on private sector, REDD+ initiatives and economic valuation not in place	studies on private sector, REDD+ initiatives and economic valuation completed
	Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
	Communication strategy	No communication strategy	Communication strategy finalised and implemented
	Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<p>Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No</p> <ul style="list-style-type: none"> <li>• Most of the studies envisaged under the Outcome 2 have been finalized (including Wiki with web interface as a repository of REDD+ initiatives and milestones of national REDD+ process, study on enhancing Private Sector Engagement in REDD+ in Zambia).</li> <li>• Mapping of on-going REDD+ initiatives has been completed and incorporated into the strategy.</li> <li>• The stakeholder engagement process is supported by the development of the Stakeholder Assessment and Plan (SAEP) finalized in 2013, and various stakeholder consultations (CSOs, youth, general public) organized at national and sub-national level including sensitization workshops. 7 Provincial meeting in Copperbelt, Luapula, Muchinga, Northern, North Western, Southern and Western provinces have. These meetings brought together approximately 100 Districts with stakeholders from the agriculture, energy, forestry, planning sectors plus civil society.</li> <li>• The 'Economic valuation on the role of forests and related ecosystem services to the Zambian economy' final draft was completed in November 2014, with basis on recommendations and comments expressed at a validation workshop with Provincial Forest Officers and civil society representatives in October 2014. The official report launch is expected in April 2015, during the High level meeting on the draft strategy.</li> </ul>			

	<b>Output Title</b>	<b>Stakeholder engagement plan implemented</b>
	<b>Progress Towards Output:</b>	<p>The promotion of sensitization and awareness raising at the Provincial level has been core to the work of the Programme. Meetings were undertaken in all Provinces in Zambia. A REDD team of four comprising representatives from Ministries of Agriculture, Local government, Energy, Forestry and civil society were established and oriented on REDD issues. This team in turn is responsible for creating awareness within the provinces and district at large. In essence, Zambia has taken a decentralized approach to REDD+ Readiness and has managed to galvanize interest on REDD+ while concurrently building capacity on REDD+ issues at a decentralized level.</p> <p>Strengthening of the Zambia Climate Change Network through trainings and consultative meeting provided an opportunity for CSO consultative to participate meaningfully in various aspects of the NP. Notably, for example, the above-mentioned, provincial meetings were organized jointly with the Zambia Climate Change Network and the Community Based Natural Resource Forum. The CSOs co-facilitated and made presentations at these meeting. By involving the CSO umbrella organizations in the meeting preparations, they in turn, facilitated the participation of other CSOs at the Provincial level to their capacities on-governmental actors in the National REDD+ Process to allow for greater transparency and co-operation in organizing specific activities and awareness campaigns. The meetings with CSOs are fostering strong and innovative partnerships.</p>
<b>Output 2.1</b>		

In addition to the above, innovative partnerships with key private sector has been identified. As one of the growing economies in the world, the NP organized a leadership dialogue with the private sector in the North Western Province which brought together private sector companies from the agriculture, banking, financial, and mining sectors to discuss opportunities for addressing deforestation including through innovative business processes and public-private-partnerships (PPPs).

Furthermore, new partnership with the media has been developed to support advocacy and positioning of climate change issues. The NP facilitated media training for over 30 journalists which led to the production of several television and radio new pieces.

Finally, a partnership forum consisting of over 15 Cooperating partners supporting environment and climate change issues and REDD issues has also been established and is fully functional. Supported by its UN partners, the government is developing a plan to help mobilize partnerships, financial support and investments so as to transit from readiness to implementation.

Output Performance Indicators	Baseline	Expected Annual Targets
1. Stakeholder assessment and plan for engagement at national, provincial and local levels	1. 1Draft stakeholder engagement report in place	1. Various stakeholder dialogue and awareness raising meetings held
Has the annual target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<p><b>Achievements of Annual Target 1 for Output 1.2.</b></p> <p>The promotion of sensitization and awareness raising at the Provincial level has been core to the work of the Programme. Meetings were undertaken in the entire province in Zambia. A REDD team of four comprising representatives from Ministries of Agriculture, Local government, Energy, Forestry and civil society were established and oriented on REDD issues. This team in turn is responsible of creating awareness within the provinces and district at large.</p> <p>Strengthening of the Zambia Climate Change Network through trainings and consultative meeting provided an opportunity for CSO consultative to participate meaningfully in various aspects of the NP. Notably, for example, the above-mentioned, provincial meetings were organized jointly with the Zambian Climate Change Network and the Community Based Natural Resource Forum. The CSOs co-facilitated and made presentations at these meeting. By involving the CSO umbrella organizations in the meeting preparations, they in turn, facilitated the participation of other CSOs at the Provincial level to their capacities on-governmental actors in the National REDD+ Process to allow for greater transparency and co-operation in organizing specific activities and awareness campaigns. The meetings with CSOs are fostering strong and innovative partnerships</p> <p><a href="#">Click here to enter text.</a></p>		
2. reports on studies on private sector engagement	2. reports on studies not completed	2. studies on private sector, REDD+ initiatives and

		economic valuation completed
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<b>Achievements against Annual Target 2 for Output 2.1.</b>		
<p>The stakeholder engagement process is supported by the development of the Stakeholder Assessment and Plan (SAEP) finalized in 2013, and various stakeholder consultations (CSOs, youth, general public) organized at national and sub-national level including sensitization workshops. The study on private sector engagement identified key areas of engaging the private sector in the implementation of REDD+. This culminated into a REDD+ awareness workshop to sensitize the private sector on REDD+ and also explored potential areas of engagement.</p> <p>The ‘Economic valuation study on the role of forests and related ecosystem services to the Zambian economy’ was completed in November 2014. The study relied heavily on recommendations and comments derived from a series of stakeholder consultations. Namely, a stakeholder consultation workshop in March 2014, which provided an opportunity to present the validity of conducting an economic valuation to the design of a successful National REDD+ Strategy as well as to long-term national economic planning and development. Participants provided positive feedback on the event. In addition, the preliminary findings of the study were presented to the Government and national stakeholders during a consultation meeting on the Issues &amp; Options paper that will inform the National REDD+ Strategy in July 2014. Finally, a validation workshop took place in October 2014 addressing Provincial Forest Officers and civil society stakeholders. Participants’ capacity was built on methodological aspects related to ecosystem valuation and the study was acknowledged as an important tool to embed forest environmental goods and services in forest accounts. An official on-line launch of the economic valuation is scheduled for April 2015, during the high level meeting on the draft national strategy.</p>		
3. stakeholder engagement platform in place	3. Design of REDD+ Wiki ongoing	3. REDD+ wiki in place and launched
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<b>Achievements against Annual Target 3 for Output 1.2.</b>		
<p>FAO and UNDP also initiated a collaborative support to Government to develop a Web Portal which incorporates the National Forest Monitoring System and a REDD+ Wiki/Database. The idea of bringing the NFMS and the REDD+ Wiki together is novelty. Amongst others, it will enrich and enhance stakeholder engagement and insights on REDD+, and potentially offering a gateway for including information on governance, REDD+ activities, and overall social &amp; environmental safeguards on REDD+. This system, which is currently being developed and due to be launched by the Government of Zambia in due course</p>		

	<b>Outcome Title:</b>	<b>Outcome 3:</b> National REDD+ Strategy developed with appropriate legal, institutional, governance, financing, benefit sharing, and monitoring and evaluation mechanisms, frameworks or models	
	<b>Progress Towards Outcome:</b>	<p>All the major outcomes and outputs of the programme have been achieved. Notably, Zambia has developed a draft REDD+ Strategy, anchored on widespread stakeholder consultations, communication and knowledge management at national and provincial levels. The Strategy provides the overall vision, measures and actions to address deforestation and forest degradation. It demonstrates the country's global and national commitments to promote REDD+. The strategy provides the framework to facilitate stakeholder consultations, ensure buy-in of the strategy as well as consensus building on how to address drivers of deforestation. Underpinning the strategy development are key studies including the drivers of deforestation and the economic valuation of forests and ecosystem services. Importantly, Zambia has also integrated in its strategy, an approach to the assessment of FREL/FRL. The basis for the FREL/FRL development is the completion of landcover mapping and forest inventories in all 10 Provinces of Zambia.</p> <p>The NP has provided support to policy and legal processes including the draft Forest Policy. The draft National Strategy mentions, "The [Forest] policy, which was approved by Cabinet in December 2014, but has yet to be officially promulgated, and recognises REDD+". The policy makes explicit reference to the REDD+ objectives, "Zambia is expected to contribute to minimizing the impact of greenhouse gas emissions and conserving biodiversity through the achievement of these policy objectives related to socio-economic and ecologically sustainable forest management, maintaining and increasing the total natural forest cover and by increasing the percentage of land under plantation." The policy embraces social and environmental safeguards and recognizes carbon as a forest product like timber and others" (Ref: draft strategy). The policy provides guidance on broad forestry related issues including institutional and governance mechanisms.</p>	
	<b>Outcome Performance Indicators</b> <sup>16</sup>	<b>Baseline</b> <sup>17</sup>	<b>Expected Outcome Targets</b> <sup>18</sup>
	1. Report on benefit sharing study	. No report on benefit sharing study	(i) Study on benefit sharing completed and validated
<b>Has the expected target been met:</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No			

<sup>16</sup> Qualitative or quantitative means of measuring an output or outcome, with the intention of gauging the performance of a programme or investment

<sup>17</sup> Information gathered at the beginning of a project or programme from which variations found in the project or programme are measured

<sup>18</sup> Specifies a particular value for an indicator to be accomplished by a specific date in the future E.g. Total literacy rate to reach 85% among groups X and Y by the year 2010

Report on Assessment of financing and incentives completed	No report on Assessment of financing and incentives completed	A report on Assessment of financing and incentives completed
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
Report on assessment of the Role of Safeguards, Enforcement and Governance in REDD+ is conducted	No report on assessment of the Role of Safeguards, Enforcement and Governance in REDD+ is conducted	A report on assessment of the Role of Safeguards, Enforcement and Governance in REDD+ is conducted
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
Report on institutional assessment completed	No report on institutional assessment completed	A report on institutional assessment completed
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<p>Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No</p> <ul style="list-style-type: none"> <li>• Most of the studies envisaged under the Outcome 3 have been completed, validated and synthesized (including Study on benefit sharing, assessment of financing and incentives, the Role of Safeguards, Enforcement and Governance in REDD+ and institutional assessment).</li> <li>• Technical Report on Agriculture and Natural Resource Management; 2) Technical Report on Policy, Legal and Regulatory Issues have been finalized and consolidated into the Issues and Options Paper.</li> <li>• An Issues and Options paper was developed as the foundational document for the REDD+ strategy. The paper provided options on safeguards, financing and institutional arrangements for REDD+ amongst others. A technical stakeholder workshop was organized in Siavonga in 2014 to discuss a number of the proposed options.</li> <li>• A team of experts were recruited to support the development of the draft REDD+ and these included financing, governance and institutional experts to assess the various options proposed.</li> <li>• A series of meetings have been held as part of the REDD+ Strategy development process and to frame the overall approach to the strategy development. This includes strategic planning workshop held in Chaminuka, April 2014, as well as a workshop on safeguards in Ndola co-organized by UNDP and FAO. The key elements of the stated studies have been incorporated into the Issues and Options Paper, which served as the basis for the development of the national strategy.</li> <li>• Participating in knowledge sharing events including during the Policy Board where Zambia shared its experiences in REDD+ Strategy development. A publication capturing these experiences was also prepared. South-to-South co-operation with Zimbabwe with Zambia sharing its experiences with the former through a knowledge exchange meeting. A partnership has been established with UNDP Zambia for the implementation of the activities outlined in the Communication Strategy. The implementation has included stakeholder engagement processes to communicate key elements of REDD+ as well as the REDD+ Strategy process. For example, a meeting with CSOs has been held and a publication on Zambia's Approach to REDD+ strategy development published. Zambia has developed the National REDD+ Strategy. The vision of the strategy is to realize a prosperous climate change resilient economy by 2030, anchored upon sustainable management and utilization of Zambia's natural resources towards improved livelihoods. Its <b>Goal</b> is to contribute to national</li> </ul>		

	<p>reductions in greenhouse gas emissions by improving forest and land management, and to ensure equitable sharing of both carbon and non-carbon benefits among stakeholders. The strategy is guided by seven core principles: effectiveness, efficiency, fairness, transparency, accountability, inclusiveness and sustainability. While this document provides a situation analysis as well as a detailed description of the main drivers of deforestation and forest degradation for Zambia, its central component is the formulation of strategic objectives and strategic interventions, followed by an elaboration on how to implement the interventions</p>

<b>Output 3.1</b>	<b>Output Title</b>	National REDD+ Strategy developed with appropriate legal, institutional, governance, financing, benefit sharing, and monitoring and evaluation mechanisms, frameworks or models.		
	<b>Progress Towards Output:</b>	Zambia has developed a draft REDD+ Strategy, anchored on widespread stakeholder consultations, communication and knowledge management at national and provincial levels. The Strategy provides the overall vision, measures and actions to address deforestation and forest degradation. It demonstrates the country's global and national commitments to promote REDD+. The strategy provides the framework to facilitate stakeholder consultations, ensure buy-in of the strategy as well as consensus building on how to address drivers of deforestation. Underpinning the strategy development are key studies including the drivers of deforestation and the economic valuation of forests and ecosystem services. Importantly, Zambia has also integrated in its strategy, an approach to the assessment of FREL/FRL. The basis for the FREL/FRL development is the completion of landcover mapping and forest inventories in all 10 Provinces of Zambia.		
	<b>Output Performance Indicators</b>	<b>Baseline</b>	<b>Expected Annual Targets</b>	
	1. Report on benefit sharing study	1. no study on benefit sharing	1 Report on benefit sharing	
	Has the annual target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No			
	<b>Achievements of Annual Target 1 for Output 3.1</b>			
	Study on benefit sharing, assessment of financing and incentives, the Role of Safeguards, Enforcement and Governance in REDD+ and institutional assessment validated and finalized			
2. Report on Assessment of financing and incentives completed	2. no study on financing and benefit sharing	2. report on financing and incentives		



Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<b>Achievements against Annual Target 2 for Output 3.2.</b>		
The study Assessment of financing and incentives completed and validated. The study was premised on the understanding that financing, incentives and broader benefit sharing are closely inter-linked and mutually re-enforcing in addressing deforestation and forest degradation. For example, financing mechanisms that are created for REDD+ should have accompanying systems for administering the finances in a way that benefits the country and provides clear incentives to communities and key stakeholders to address REDD+.		
3. Report on assessment of the Role of Safeguards, Enforcement and Governance in REDD+ is conducted	3. No study on the role of safeguard enforcement and governance	3. report on the role of safeguard enforcement and governance
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<b>Achievements against Annual Target 3 for Output 3.2..</b>		
A study on assessment of the Role of Safeguards, Enforcement and Governance in REDD+ was initiated. The consultancy assessed existing REDD+ safeguards, identifies gaps and proposes recommendations that will inform the development of the REDD+ strategy and its subsequent implementation. It is meant to be a basis for the design of risk & conflict prevention and management measures around REDD+. In addition, a stakeholder workshop on safeguards was co-organized with FAO in 2014. The objectives of the workshop were to:		
<ul style="list-style-type: none"> <li>a) Present and discuss the safeguards session of the draft Issues and Options report towards the National REDD+ Strategy</li> <li>b) Identify opportunities for further enhancement and strengthening of the Issues and Options report</li> <li>c) Receive feedback, proposals and recommendations on safeguards from participants</li> <li>d) Build Zambian stakeholders' capacity to engage in the safeguards aspect of Zambia's national REDD+ strategy development and future implementation</li> <li>e) Increase knowledge and foster multi-stakeholder dialogue on REDD+ safeguards in Zambia</li> </ul>		
4. Report on institutional assessment completed		
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<b>Achievements against annual output</b>		
The study on assessment of Institutional Capacities and Capacity Needs for REDD+ was completed and validated in a stakeholders meeting. The main objective of the study was to assess the existing roles, capacities and capacity-building needs of national and provincial/district institutions to engage in REDD+ mechanism. The study comprised an assessment of organizational, regulatory, technical, and human capacities alike to address longer term institutional requirements to implement REDD+.		
REDD+ and Climate-Change communication strategies in place		
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<b>Achievements against annual output</b>		
The communication strategy for REDD+ was prepared, drafted and finalized during the reporting period. The strategy was presented to stakeholders at a validation meeting in December 2014, with subsequent adjustments made based on the comments received. The strategy was implemented in 2014. The Communications Strategy provides a framework for the REDD+ Programme and its partners in the forestry and climate change sectors to deliver key messages to selected target audiences on the REDD+ Programme, forestry and land management, climate change generally and related subjects. The activities conducted aimed at enhancing stakeholder awareness and		

engagement and contribute to the efforts of addressing the challenges of deforestation and forest degradation.

	<b>Outcome Title:</b>			
	<b>Progress Towards Outcome:</b>			
	<b>Outcome Performance Indicators<sup>19</sup></b>	<b>Baseline<sup>20</sup></b>	<b>Expected Outcome Targets<sup>21</sup></b>	
	REDD+ Strategic options identified	No in-depth understanding on the drivers of deforestation, and on the strategic options for REDD+	National REDD+ strategies identified.	
	Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No			

<b>Output 4</b>	<b>Output Title</b>	<b>Outcome 4: National REDD+ strategies identified.</b>		
	<b>Progress Towards Output:</b>	<p>Outcome 4 was completed in 2013 with spatially explicit study of drivers of deforestation, a study on the economics of REDD+, and a specific study on charcoal production as a driver of deforestation. Publication of the following studies was completed late in 2014;</p> <ul style="list-style-type: none"> <li>Drivers of deforestation, identification of threatened forests and forest co-benefits other than carbon from REDD+ implementation in Zambia</li> <li>The economic cost of REDD+ in Zambia</li> <li>The opportunity costs of REDD+ in Zambia</li> </ul> <p>The three studies are now available online, and provide an evidence base for the draft Zambia REDD+ strategy</p>		
	<b>Output Performance Indicators</b>	<b>Baseline</b>	<b>Expected Annual Targets</b>	
1. REDD+ Strategic options identified	1. No in-depth understanding on the drivers of deforestation, and on the	1. National REDD+ strategies identified.		

<sup>19</sup> Qualitative or quantitative means of measuring an output or outcome, with the intention of gauging the performance of a programme or investment

<sup>20</sup> Information gathered at the beginning of a project or programme from which variations found in the project or programme are measured

<sup>21</sup> Specifies a particular value for an indicator to be accomplished by a specific date in the future E.g. Total literacy rate to reach 85% among groups X and Y by the year 2010

	strategic options for REDD+
Has the annual target been met:	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No
<b>Achievements of Annual Target 1 for Output 4</b>	
All analytical work for Output 4 was completed during 2013. However, the final reports were published late in 2014.	

<b>Outcome Title:</b>	<b>Outcome 5 MRV capacity to implement REDD+ strengthened</b>	
<b>Progress Towards Outcome:</b>	<ul style="list-style-type: none"> <li>5.1.1. Analysis of soil organic carbon samples collected during the Integrated Land Use Assessment Phase II (ILUA II) field work is funded by UN-REDD. ILUA II is the National Forest Inventory of Zambia supported by the Government of Finland (financial) through the FAO-FIN programme of FAO (technical) from 2010 to 2015. During 2014, ILUA II completed the collection of information on forest carbon pools across Zambia. Forest carbon information can inform MRV developments in Zambia, and ILUA II has been implemented jointly with the UN-REDD programme in the Zambian Forestry Department. Analysis of Soil Organic Carbon has now been completed for all ILUA II samples for Zambia's landmass. The UN-REDD Land cruiser continues to support ILUA II field work, and has been to ensure completion of ILUA II field work in all provinces during 2014. FAO TA participated in ILUA II Field Training for teams during 2014 and ensure that REDD+ elements were captured in the field methodology.</li> <li>5.2.1. GIS and GPS refresher training completed for 10 National Forest Monitoring System (NFMS) labs from the 8<sup>th</sup> to the 11<sup>th</sup> January 2014. Letters of Agreement with 10 NFMS labs drafted and operational in the first half of 2014 to provide financial support for the collection of provincial spatial information on deforestation and degradation.</li> <li>5.2.2. i) Land cover classification for 2000, and 2010 is ongoing and a validation of the land cover maps is schedule for February 2015 (funded by Targeted Support). Development of NFMS portal is also ongoing, and awaiting validated land cover maps. NFMS portal includes information and data from ILUA I and ILUA II. The draft NFMS portal deployed on cloud servers; innovation for FAO NFMS portals. Draft LC maps loaded onto portal for tracking deforestation in Zambia. Portal operational at: <a href="http://zmb-nfms.org/portal/">http://zmb-nfms.org/portal/</a>; to be launched by Minister (LNREP) in the first half of 2015 funded by Targeted Support.</li> <li>5.2.4. i) Synergies with national REDD+ MRV systems and field measurements in Nyimba (CIFOR/USAID) and SADEC MRV identified.</li> <li>5.2.4. ii) TA (FAO) and UN-REDD staff participated in the ESA-GHG mid-term review meeting in Namibia to discuss collaboration on land cover mapping (2000, and 2010).</li> <li>5.2.5. Detailed study of a UNFCCC/IPCC compliant GHG reporting system in Zambia under UN-REDD was used to draft an institutional arrangement for GHG reporting for REDD+ for the REDD+ Strategy Issues and Options paper. This was done in consultation with the Low Emissions Capacity Building (LECB) project in Zambia</li> </ul>	
<b>Outcome Performance Indicators</b> <sup>22</sup>	<b>Baseline</b> <sup>23</sup>	<b>Expected Outcome Targets</b> <sup>24</sup>

<sup>22</sup> Qualitative or quantitative means of measuring an output or outcome, with the intention of gauging the performance of a programme or investment

<sup>23</sup> Information gathered at the beginning of a project or programme from which variations found in the project or programme are measured

<sup>24</sup> Specifies a particular value for an indicator to be accomplished by a specific date in the future E.g. Total literacy rate to reach 85% among groups X and Y by the year 2010

Capacity for MRV improved, and MRV technical work and design elements completed	Very limited understanding of MRV in government and stakeholders	(i) Capacity to implement a basic MRV system.
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
Integration of UN-REDD and ILUA Programmes in implementation of activities	UN-REDD and ILUA II not coordinated	(i) ILUA II and providing emission factors for UN-REDD
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
A National Forest Monitoring System (NFMS)	No NFMS	(i) Operational NFMS with decentralized offices communicating with the central office (ii) Complete land cover maps for reference years for Zambia (iii) Online NFMS portal for Zambia established National MRV activities linked to regional and local initiatives
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		

Output 5	<b>Output Title</b>	<b>Outcome 5 MRV capacity to implement REDD+ strengthened</b>
	<b>Progress Towards Output:</b>	<ul style="list-style-type: none"> <li>5.1.1. Analysis of soil organic carbon samples collected during the Integrated Land Use Assessment Phase II (ILUA II) field work is funded by UN-REDD. ILUA II is the National Forest Inventory of Zambia supported by the Government of Finland (financial) through the FAO-FIN programme of FAO (technical) from 2010 to 2015. During 2014, ILUA II completed the collection of information on forest carbon pools across Zambia. Forest carbon information can inform MRV developments in Zambia, and ILUA II has been implemented jointly with the UN-REDD programme in the Zambian Forestry Department. Analysis of Soil Organic Carbon has now been completed for all ILUA II samples for Zambia's landmass. The UN-REDD Land cruiser continues to support ILUA II field work, and has been to ensure completion of ILUA II field work in all provinces during 2014. FAO TA participated in ILUA II Field Training for teams during 2014 and ensure that REDD+ elements were captured in the field methodology.</li> <li>5.2.1. GIS and GPS refresher training completed for 10 National Forest Monitoring System (NFMS) labs from the 8<sup>th</sup> to the 11<sup>th</sup> January 2014. Letters of Agreement with 10 NFMS labs drafted and operational in the first half of 2014 to provide financial support for the collection of provincial spatial information on deforestation and degradation.</li> <li>5.2.2. i) Land cover classification for 2000, and 2010 is ongoing and a validation of the land cover maps is schedule for February 2015 (funded by Targeted Support). Development of NFMS portal is also ongoing, and awaiting validated land cover maps. NFMS portal includes information and data from ILUA I and ILUA II. The draft NFMS portal deployed on cloud servers; innovation for FAO NFMS portals. Draft LC maps loaded onto portal for tracking deforestation in Zambia. Portal operational at: <a href="http://zmb-nfms.org/portal/">http://zmb-nfms.org/portal/</a>; to be launched by Minister (LNREP) in the first half of 2015 funded by Targeted Support.</li> <li>5.2.4. i) Synergies with national REDD+ MRV systems and field measurements in Nyimba (CIFOR/USAID) and SADEC MRV identified.</li> <li>5.2.4. ii) TA (FAO) and UN-REDD staff participated in the ESA-GHG mid-term review meeting in Namibia to discuss collaboration on land cover mapping</li> </ul>

	(2000, and 2010). 5.2.5. Detailed study of a UNFCCC/IPCC compliant GHG reporting system in Zambia under UN-REDD was used to draft an institutional arrangement for GHG reporting for REDD+ for the REDD+ Strategy Issues and Options paper. This was done in consultation with the Low Emissions Capacity Building (LECB) project in Zambia	
<b>Output Performance Indicators</b>	<b>Baseline</b>	<b>Expected Annual Targets</b>
i) Capacity for MRV improved, and MRV technical work and design elements completed	i) Very limited understanding of MRV in government and stakeholders	i) Capacity to implement a basic MRV system.
Has the annual target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<b>Achievements of Annual Target 1 for Output 5</b>		
MRV capacity improved with many technical trainings on GHG reporting, NFI capacity building through ILUA II, and landcover mapping.		
ii) Integration of UN-REDD and ILUA Programmes in implementation of activities	ii) UN-REDD and ILUA II not coordinated	(ii) ILUA II and providing emission factors for UN-REDD
Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<b>Achievements against Annual Target 2 for Output 1.2.</b>		
With excellent coordination with UN-REDD, ILUA II completed all field work during 2014, and has contributed significantly to the UN-REDD programme		
A National Forest Monitoring System (NFMS)	No NFMS	(iv) Operational NFMS with decentralized offices communicating with the central office (v) Complete land cover maps for reference years for Zambia (vi) Online NFMS portal for Zambia established National MRV activities linked to regional and local initiatives
Has the expected target been met: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
<b>Achievements against Annual Target 3 for Output 1.2.</b>		
Continued capacity building of NFMS offices, and capacity development for land cover mapping. Final land cover maps and online NFMS portal will be deployed during 2015.		

<b>Outcome Title:</b>	<b>Outcome 6 Assessment of REL and RL undertaken</b>
<b>Progress Towards Outcome:</b>	Two workshops on Reference Emission Levels (REL) were completed during 2014; the first from the 2 <sup>nd</sup> to the 4 <sup>th</sup> of April, and the second from the 15 <sup>th</sup> to the 18 <sup>th</sup> July. Each workshop was conducted with 25 national stakeholders. The workshops considered Emission Factors and Activity Data for Zambia, and produced a road map for REL construction in Zambia. This has since been followed with an outcome of which was a draft REL structure as used in the REDD+

	Strategy Issues and Options paper, and then the draft REDD+ strategy. Further elaboration of the REL will occur during 2015 funded by Targeted Support.		
	Studies on National Circumstances (Economics of REDD+) were completed during 2013.		
	<b>Outcome Performance Indicators<sup>25</sup></b>	<b>Baseline<sup>26</sup></b>	<b>Expected Outcome Targets<sup>27</sup></b>
	- -Studies to inform National circumstances completed	- - Limited studies on National circumstances	-Preliminary REL established -Studies to inform National circumstances completed
	Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		

<b>Output 3.1</b>	<b>Output Title</b>	<b>Outcome 6 Assessment of REL and RL undertaken</b>		
	<b>Progress Towards Output:</b>	Two workshops on Reference Emission Levels (REL) were completed during 2014; the first from the 2 <sup>nd</sup> to the 4 <sup>th</sup> of April, and the second from the 15 <sup>th</sup> to the 18 <sup>th</sup> July. Each workshop was conducted with 25 national stakeholders. The workshops considered Emission Factors and Activity Data for Zambia, and produced a road map for REL construction in Zambia. This has since been followed with an outcome of which was a draft REL structure as used in the REDD+ Strategy Issues and Options paper, and then the draft REDD+ strategy. Further elaboration of the REL will occur during 2015 funded by Targeted Support.		
		Studies on National Circumstances (Economics of REDD+) were completed during 2013.		
		<b>Output Performance Indicators</b>	<b>Baseline</b>	<b>Expected Annual Targets</b>
		1. Preliminary REL established	1. No capacity or methodology for REL	1 Preliminary REL established
		Has the annual target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
		<b>Achievements of Annual Target 1 for Output 6.1.</b>		
		<b>Two workshops have developed a clear roadmap for FREL/FRL construction in Zambia. This roadmap will be further elaborated during 2015.</b>		
		2. Studies to inform National circumstances completed	2. No studies on National Circumstances	2 Studies on National Circumstances completed
		Has the expected target been met: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> Planned <input type="checkbox"/> No		
	<b>Achievements against Annual Target 2 for Output 6.2.</b>			
	All studies on National Circumstances were completed during 2013			

<sup>25</sup> Qualitative or quantitative means of measuring an output or outcome, with the intention of gauging the performance of a programme or investment

<sup>26</sup> Information gathered at the beginning of a project or programme from which variations found in the project or programme are measured

<sup>27</sup> Specifies a particular value for an indicator to be accomplished by a specific date in the future E.g. Total literacy rate to reach 85% among groups X and Y by the year 2010

### 3. Warsaw Framework for REDD+ and Associated UNFCCC Decisions

#### 3.1 Progress against Warsaw Framework

This section aims to provide insight and to support a thought process into how the National Programme is helping the countries progress against the framework of the convention, namely: 1) a National REDD+ Strategy or Action Plans; 2) a Forest Reference Emission Level/ Forest Reference Level; 3); a National Forest Monitoring System and 4) Safeguards and Safeguards Information Systems. Not all these areas are supported by the National Programmes, however efforts can be undertaken with domestic or other resources. The checklists are indicative and not always applicable to each country. They can be supplemented by qualitative information.

National REDD+ Strategy or Action Plans	
Brief assessment of the extent to which the National Programme is supporting the country in developing a National REDD+ Strategy or Action Plan. (150 words)	<p>Does the country have a National REDD+ Strategy or Action Plans:  <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> No                      If Yes: <b>Date of Completion:</b> <a href="#">Click here to enter a date.</a></p> <p>Please select the following that apply:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> Drivers of Deforestation and Forest Degradation Established<sup>28</sup>;</li> <li>2. <input checked="" type="checkbox"/> National Focal Point or National REDD+ Entity Appointed;</li> <li>3. <input checked="" type="checkbox"/> Regular Stakeholder Meeting/Workshops held;</li> <li>4. <input checked="" type="checkbox"/> Free, Prior and Informed Consent (FPIC) advanced;</li> <li>5. <input checked="" type="checkbox"/> Strategic REDD+ options, policies and measures, and/or REDD+ activities have been identified;</li> <li>6. <input checked="" type="checkbox"/> Institutional arrangements to plan and implement REDD+ activities have been established.</li> <li>7. <input type="checkbox"/> Other: <a href="#">Click here to enter text.</a></li> </ol>
<p>In order to effectively address the drivers of deforestation in line with the REDD+ mechanism, Zambia has developed this National REDD+ Strategy. The vision of the strategy is to realize a prosperous climate change resilient economy by 2030, anchored upon sustainable management and utilization of Zambia’s natural resources towards improved livelihoods. Its <b>Goal</b> is to contribute to national reductions in greenhouse gas emissions by improving forest and land management, and to ensure equitable sharing of both carbon and non-carbon benefits among stakeholders. The strategy is guided by seven core principles: effectiveness, efficiency, fairness, transparency, accountability, inclusiveness and sustainability. While this document provides a situation analysis as well as a detailed description of the main drivers of deforestation and forest degradation for Zambia, its central component is the formulation of strategic objectives and strategic interventions, followed by an elaboration on how to implement the interventions.</p>	
Forest Reference Emission Levels/ Forest Reference Level (FREL/FRL)	
Brief assessment of the extent to which the National Programme is supporting the country in developing a forest reference emission level and forest reference level. (150 words)	<p>Does the country have Forest Reference Emission Levels / Forest Reference Levels:  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> Partially <input type="checkbox"/> No                      If Yes: <b>Date of Completion:</b> <a href="#">Click here to enter a date.</a></p> <p>Please select the following that apply:</p>
Two workshops on Reference Emission Levels (REL) were completed during 2014; the first from the 2 <sup>nd</sup> to the 4 <sup>th</sup> of April, and the second from the 15 <sup>th</sup> to the 18 <sup>th</sup> July. Each workshop was conducted with 25 national stakeholders. The workshops considered Emission Factors and Activity Data for Zambia, and produced a road map for REL construction	

<sup>28</sup> The drivers of deforestation and forest degradation have been defined through national processes/analysis and consensus has been established through a multi-stakeholder approach.

<p>in Zambia. This has since been followed with an outcome of which was a draft REL structure as used in the REDD+ Strategy Issues and Options paper, and then the draft REDD+ strategy. Further elaboration of the REL will occur during 2015 funded by Targeted Support.</p> <p>Studies on National Circumstances (Economics of REDD+) were completed during 2013.</p>	<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> A national forest definition for REDD+ has been adopted;</li> <li>2. <input checked="" type="checkbox"/> Scope of the activities of the FREL/FRL have been defined;</li> <li>3. <input checked="" type="checkbox"/> The scale of the FREL/FRL (national/subnational) has been defined;</li> <li>4. <input checked="" type="checkbox"/> FREL/FRL data has been compiled (emission factors and historical activity data);</li> <li>5. <input checked="" type="checkbox"/> A methodology for identifying FREL/FRL has been identified;</li> <li>6. <input checked="" type="checkbox"/> A timeline for submission to the UNFCCC has been established;</li> <li>7. <input type="checkbox"/> Other: <a href="#">Click here to enter text.</a></li> </ol>
<b>National Forest Monitoring Systems (NFMS)</b>	
<p>Brief assessment of the extent to which the National Programme is supporting the country in developing a National Forest Monitoring System. (150 words)</p>	<p>Does the country have a National Forest Monitoring System:  <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Partially <input type="checkbox"/> No  If Yes: <b>Date of Completion:</b> <a href="#">Click here to enter a date.</a></p> <p>Please select the following that apply:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> A Land Monitoring System in place to assess activity data;</li> <li>2. <input checked="" type="checkbox"/> Ground-based information to determine Emission Factors is available (e.g. national forest Inventory, permanent sample plots, etc);</li> <li>3. <input checked="" type="checkbox"/> National GHG Inventory in place<sup>29</sup>, in particular for LULUCF sector;</li> <li>4. <input checked="" type="checkbox"/> Information produced by the NFMS is transparent and made available to stakeholders.</li> <li>5. <input checked="" type="checkbox"/> The NFMS is supported by institutional arrangements at national level.</li> <li>6. <input type="checkbox"/> Other: <a href="#">Click here to enter text.</a></li> </ol>
<p>Zambia's work in the area of MRV for REDD+ has focused on the development of a decentralized national forest monitoring system (NFMS). This has required extensive in-country capacity building and infrastructure development. Ten provincial forest monitoring laboratories have been established and equipped with tools for forest monitoring such as computers with Geographic Information System (GIS) software, Geographical Positioning System (GPS) units for forest monitoring field activities and printers and plotters for field map production. These provincial laboratories are manned by a group of trained cross-sectoral technicians from forestry, agriculture and planning sectors providing a decentralized hub of MRV expertise. The laboratories will provide near real-time spatial data on deforestation and forest degradation which can be relayed to the central national forest monitoring laboratory in Lusaka to inform national reporting. This innovative approach is in-line with the decentralization policy of the Government of the Republic of Zambia (GRZ).</p>	
<b>Safeguards Information System (SIS)</b>	
<p>Brief assessment of the extent to which the National Programme is supporting the country in developing a Safeguards Information System. (150 words)</p>	<p>Does the country have a Safeguards Information System (SIS):  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> Partially <input type="checkbox"/> No  If Yes: <b>Date of Completion:</b> <a href="#">Click here to enter a date.</a></p> <p>Please select the following that apply:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> The SIS takes national circumstances into account;</li> <li>2. <input type="checkbox"/> The SIS provides transparent and consistent information that is</li> </ol>
<p>To fully respect the country's legal, institutional and compliance frameworks and in order to operationalize international safeguards at the national level, a national level safeguard information system will be formulated for Zambia. The approach for SIS is outlined in the draft strategy and will be rooted in Zambia's existing legal framework (national policies, laws and regulations that</p>	

<sup>29</sup> The National Greenhouse Gas (GHG) inventory is in place and includes estimates of anthropogenic forest-related greenhouse gas emissions by sources and removals by sinks, forest carbon stocks and forest area changes.



define and regulate the effective implementation and compliance of the safeguards), the country's institutional framework (existing procedures for implementing and enforcing the legal framework), and an outline of the compliance framework (with a monitoring and information system; grievance and redress mechanisms; and noncompliance mechanisms). There is the need to ensure that Zambia's country-level REDD+ SIS is developed and implemented efficiently and that duplication of efforts are avoided. Therefore, established systems, processes and procedures will be used that have been put into place to meet Zambia's international obligations and existing policy commitments. The national REDD+ SIS for Zambia will comprise a systematic approach for collecting and providing information on how REDD+ safeguards are being addressed and respected throughout REDD+ implementation.

- accessible to all relevant stakeholders and updated on a regular basis;
3.  The SIS is flexible enough to allow for improvements over time;
  4.  The SIS is country driven;
  5.  The SIS is implemented at national level;
  6.  The SIS builds upon existing systems, as appropriate.
  7.  Other: [Click here to enter text.](#)

### 3.2 Measures to Ensure Sustainability of National Programme Results

Please provide a brief overall assessment of any measures taken to ensure the sustainability of the National Programme results during the reporting period. Please provide examples if relevant; these can include the establishment of REDD+ institutions expected to outlive the Programme and regulations, or capacities that will remain in place after the completion of the programme.

#### Measures taken to ensure the sustainability of the National Programme (150 words)

A national-level stakeholder engagement process has been put in place, as required in REDD+ processes and in climate-change endeavors, to ensure a more open and consultative engagement across ministries, local authorities, civil society forces and community representatives.

A number of trainings and dialogue events for civil society have been supported as well as the engagement of stakeholders in various ongoing studies through the development of various TORs and validation of the studies. A decentralized network of REDD+ practitioners, which was established during 2012, has been supported and provides the basis for stakeholder engagement and a decentralized dimension of REDD+.

The Government with the support of UN partners is developing a transitional plan to help mobilize partnerships, financial support and investments to transit from readiness to implementation. As part of this, proposals and concept notes are being developed to engage with key development partners in support of REDD+ implementation. A partnership forum consisting of Cooperating partners supporting environment and climate change issues and REDD issues has also been established and this will ensure that the debate on REDD doesn't lose momentum and also support the fundraising effort.

The questions below seeks to gather relevant information on how the National Programme is putting into practice the principles of aid effectiveness through strong national ownership, alignment and harmonization of procedures and mutual accountability.

#### Are the national implementing partners and UN-REDD focal points involved in the planning, budgeting and delivery of the National Programme?

Programme Executive Board Established:  YES  No

If YES – Date of Last Meeting: [Click here to enter a date.](#)

Frequency of Meeting (Number of Meetings annually): [Click here to enter text.](#)

#### Please explain what measures are in place to ensure national ownership: (150 words)

The setting up of the Climate Change Secretariat has been a major achievement in the country, in terms of ensuring the due cross-sectoral policies for climate change and to mobilize finance. It equally provides a framework for integrating REDD+ issues across various sectors. In that sense, the REDD+ National Coordinator was institutionally relocated to this climate-change secretariat, to foster linkages between the REDD+ process and the overall climate change agenda. In addition, REDD+ has been mainstreamed into national policy and planning processes i.e integration of REDD+ into the National Agricultural Policy and the Forest Bill

#### Are the UN-REDD Programme's Guidelines for Stakeholder Engagement applied in the National Programme process?

Fully  Partially  No

#### Please explain, including if level of consultation varies between non-government stakeholders: (150 words)

More than four CSO consultative meetings were held. Some of these meetings assessed the significant representation and inclusiveness of non-governmental actors in the National REDD+ process to allow for greater transparency and co-operation in organizing specific activities and awareness campaigns. The meetings with CSOs are fostering strong and innovative partnerships. Notably, for example, the above-mentioned, Provincial meetings were organized jointly with the Zambian Climate Change Network and the Community Based Natural Resource Forum. The CSOs co-

facilitated and made presentations at these meeting. By involving the CSO umbrella organizations in the meeting preparations, they in turn, facilitated the participation of other CSOs at the Provincial level to their capacities

Programme sustainability depends on the extent to which sectorial counterparts, civil society representatives, private sector relevant to the REDD+ dynamic in the country and other relevant stakeholders are involved in the Programme's activities and ownership of strategic matters. In the section below please select applicable options and provide an indication of how these different sets of stakeholders are involved in and appropriate Programme activities.

- Member of the steering committee
- Member of technical or other advisory committees
- Implementing partner for some activities of the National Programme

Please explain, including if level of consultation varies between non-government stakeholders: (150 words)

More than four CSO consultative meetings were held. Some of these meetings assessed the significant representation and inclusiveness of non-governmental actors in the National REDD+ process to allow for greater transparency and co-operation in organizing specific activities and awareness campaigns. The meetings with CSOs are fostering strong and innovative partnerships. Notably, for example, the above-mentioned, Provincial meetings were organized jointly with the Zambian Climate Change Network and the Community Based Natural Resource Forum. The CSOs co-facilitated and made presentations at these meeting. By involving the CSO umbrella organizations in the meeting preparations, they in turn, facilitated the participation of other CSOs at the Provincial level to their capacities

## 4. Financial Information

### 4.1 Financial Delivery

In the table below please provide up-to-date information on activities completed based on the Results Framework included in the signed NPD; as well as financial data on planned, committed and disbursed funds. The table gathers information on the cumulative financial progress of the National Programme implementation at the end of the reporting period (including all cumulative yearly disbursements). Please add additional rows as needed.

IMPLEMENTATION PROGRESS					
PROGRAMME OUTCOME	UN ORGANISATION	Amount Transferred by MPTF to Programme	Cumulative Expenditures up to 31 December 2014		
			Commitments <sup>30</sup> (B)	Disbursements <sup>31</sup> (C)	Total Expenditures (D) = B + C
Outcome 1:	FAO	0	0	0	0
	UNDP	831,776	0	1,150,460	1,150,460
	UNEP	10,000	0	0	0
Sub-total		<b>841,776</b>			
Outcome 2:	FAO	0	0	0	0
	UNDP	327,103	0	448,818	448,818
	UNEP	94273	8,543	85,730	94,273
Sub-total		<b>421,376</b>			
Outcome 3:	FAO	0	0	0	0
	UNDP	705,607		228,233	228,233
	UNEP	153,620	30,519.79	122,740.21	153,260
Sub-total		<b>859,227</b>			
Outcome 4:	FAO	56,075		110,319	110,319
	UNDP	0	0	0	0
	UNEP	36,500		36,500	36,500
Sub-total		92,575			
Outcome 5	FAO	1,252,336	9,000	1,270,493	1,279,493
	UNDP	0	0	0	0
	UNEP	0	0	0	0
subtotal		1,252,336			
Outcome 6	FAO	728,972	55,238	590,665	645,903
	UNDP	0	0	0	0
	UNEP	0	0	0	0
subtotal		728,972			
Indirect Support Costs (7% GMS)	FAO	142,617	4,497	137,641	142,138
	UNDP	130,514		0	0

<sup>30</sup> *Commitments*: Includes all amount committed to date. Commitment is the amount for which legally binding contracts have been signed and entered into the Agencies' financial systems, including multi-year commitments which may be disbursed in future years.

<sup>31</sup> *Disbursement*: Amount paid to a vendor or entity for goods received, work completed, and/or services rendered (does not include unliquidated obligations)

	UNEP	20,608	2,734.40	17,147.91	19,882.31
<b>Indirect Support Costs (Total)</b>		293,738			
<b>FAO (Total):</b>		2,180,000	64238	1971477	2,035,715
<b>UNDP (Total):</b>		1,995,000		1,827,511	1,827,511
<b>UNEP (Total):</b>		315,001	39062	284,033	284,033
<b>Grand TOTAL:</b>		<b>4,490,000</b>			

#### 4.2 National Programme and/or R-PP Co-Financing Information

If additional resources (direct co-financing) are provided to activities supported by the UN-REDD National Programme including new financing mobilized since start of implementation, please fill in the table below:

Sources of Co-Financing <sup>32</sup>	Name of Co-Financer	Type of Co-Financing <sup>33</sup>	Amount (US\$)	Supported Outcome in the NPD

<sup>32</sup> Indicate if the source of co-financing is from: Bilateral aid agency, foundation, local government, national government, civil society organizations, other multilateral agency, private sector, or others.

<sup>33</sup> Indicate if co-financing is in-kind or cash.

## 5. Risks/Issues Identification & Management

For each risk category, please identify any relevant internal and external risks affecting the implementation of the National Programme. For each identified risk, please clarify whether it is a risk or issue and provide a probability and impact rating. Please also indicate whether mitigation measures or actions are in place or planned. Please add/remove rows as required.

The following risk and issue definitions are adopted:

- A **risk** is something that *might happen*. It has a probability (or likelihood) of happening and if it does there will be a certain impact (may be positive or negative).
- An **issue** is something that *has happened* (or is happening right now). It does not have a probability but it will have an impact. If an issue identified, please indicate probability as N/A.

### 5.1 External Risks/Issues

Themes		Identified Risks/Issues	Risk / Issue	Probability 1 = Low 5 = High	Impact 1 =Low 5 =High	Mitigation Measures/Actions <input type="checkbox"/> Checkbox
External Risks	Political	<ul style="list-style-type: none"> <li>• The examples provided are generic risk examples and are not specific to any one country.</li> <li>• Change of government/policy in Member States,</li> </ul>	<input checked="" type="checkbox"/> Risk <input type="checkbox"/> Issue	1	5	<input checked="" type="checkbox"/> No measures/actions
	Economic	<ul style="list-style-type: none"> <li>• Fluctuation of exchange rates;</li> </ul>	<input type="checkbox"/> Risk <input checked="" type="checkbox"/> Issue	1.	1.	<input checked="" type="checkbox"/> No measures/actions;
	Socio-cultural	<ul style="list-style-type: none"> <li>• Demographic change affects demand for services; stakeholder expectations change on leaders.</li> </ul>	<input type="checkbox"/> Risk <input type="checkbox"/> Issue	Click here to enter text.	Click here to enter text.	<input type="checkbox"/> Measures/Actions in place; <input type="checkbox"/> Measures/Actions planned; <input type="checkbox"/> No measures/actions;

### 5.2 Internal Risks/Issues

Themes		Identified Risks/Issues	Risk / Issue	Probability 1 = Low 5 = High	Impact 1 =Low 5 =High	Mitigation Measures/Actions <input checked="" type="checkbox"/> Checkbox
	Programmatic	<ul style="list-style-type: none"> <li>• Un-adapted, ill-conceived or overly ambitious sector programmes;</li> <li>• Programmes outside the scope;</li> </ul>	<input type="checkbox"/> Risk <input type="checkbox"/> Issue	Click here to enter text.	Click here to enter text.	<input type="checkbox"/> Measures/Actions in place; <input type="checkbox"/> Measures/Actions planned; <input type="checkbox"/> No measures/actions;
	Operations / business process	<ul style="list-style-type: none"> <li>• Inadequate project management;</li> <li>• Lack of forward planning;</li> </ul>	<input type="checkbox"/> Risk <input type="checkbox"/> Issue	Click here to enter text.	Click here to enter text.	<input type="checkbox"/> Measures/Actions in place; <input type="checkbox"/> Measures/Actions planned; <input type="checkbox"/> No measures/actions;
	Management and information	<ul style="list-style-type: none"> <li>• Unsatisfactory communication among parties involved;</li> <li>• Coordination with/within Government, UN agencies;</li> </ul>	<input type="checkbox"/> Risk <input type="checkbox"/> Issue	Click here to enter text.	Click here to enter	<input type="checkbox"/> Measures/Actions in place; <input type="checkbox"/> Measures/Actions planned;

		<ul style="list-style-type: none"> <li>Lack of leadership from responsible officers;</li> <li>Poor activity and output management;</li> <li>Poor Governance/Decision Making;</li> </ul>			text.	<input type="checkbox"/> No measures/actions;
	Organisational / General Administration	<ul style="list-style-type: none"> <li>Dividing up of common budget earmarked for one theme/field of activity between several teams / sectors reduces delivery possibilities and ability for effective follow-up.</li> </ul>	<input type="checkbox"/> Risk <input type="checkbox"/> Issue	Click here to enter text.	Click here to enter text.	<input type="checkbox"/> Measures/Actions in place; <input type="checkbox"/> Measures/Actions planned; <input type="checkbox"/> No measures/actions;
	Human Capital	<ul style="list-style-type: none"> <li>HR (staff capacity / skills / recruitment);</li> <li>Ability to attract and retain qualified staff;</li> <li>Loss of institutional memory if short-term staff are not retained or consultants employed;</li> </ul>	<input type="checkbox"/> Risk <input type="checkbox"/> Issue	Click here to enter text.	Click here to enter text.	<input type="checkbox"/> Measures/Actions in place; <input type="checkbox"/> Measures/Actions planned; <input type="checkbox"/> No measures/actions;
	Integrity	<ul style="list-style-type: none"> <li>Risks relating to regularity and propriety / compliance with relevant requirements / ethical considerations / transparency;</li> <li>Corruption and fraud.</li> </ul>	<input type="checkbox"/> Risk <input type="checkbox"/> Issue	Click here to enter text.	Click here to enter text.	<input type="checkbox"/> Measures/Actions in place; <input type="checkbox"/> Measures/Actions planned; <input type="checkbox"/> No measures/actions;
	Information technology	<ul style="list-style-type: none"> <li>Reliability of information used for project management / monitoring;</li> <li>Risks linked to information (inadequate information preventing sound decision making, lack of privacy and data protection, unreliable or inadequate databases and IT technology).</li> </ul>	<input type="checkbox"/> Risk <input type="checkbox"/> Issue	Click here to enter text.	Click here to enter text.	<input type="checkbox"/> Measures/Actions in place; <input type="checkbox"/> Measures/Actions planned; <input type="checkbox"/> No measures/actions;

### 5.3 Internal & External Risks/Issues

Themes		Identified Risks/Issues	Risk / Issue	Probability 1 = Low 5 = High	Impact 1 =Low 5 =High	Mitigation Measures/Actions <input type="checkbox"/> Checkbox
Internal & External Risks	Relationships and partnerships	<ul style="list-style-type: none"> <li>Delivery partners (threats to commitment to relationship / clarity of roles);</li> <li>End users (satisfaction with delivery);</li> <li>Accountability (particularly to Governing Bodies).</li> </ul>	<input type="checkbox"/> Risk <input type="checkbox"/> Issue	Click here to enter text.	Click here to enter text.	<input type="checkbox"/> Measures/Actions in place; <input type="checkbox"/> Measures/Actions planned; <input type="checkbox"/> No measures/actions;
	Financial	<ul style="list-style-type: none"> <li>Insufficient project funding, poor budget management;</li> <li>Inadequate use of funds, failure to deliver activity within a set budget frame.</li> </ul>	<input type="checkbox"/> Risk <input type="checkbox"/> Issue	Click here to enter text.	Click here to enter text.	<input type="checkbox"/> Measures/Actions in place; <input type="checkbox"/> Measures/Actions planned; <input type="checkbox"/> No measures/actions;

## 5.4 Risk Narrative

### Key External Risks and Responses

Please briefly summarise the key current external difficulties (not caused by the National Programme) that may delay or impeded the quality of implementation. (250 words)

[Click here to enter text.](#)

### Key Internal Risks and Responses

Please briefly summarise the key current internal difficulties (not caused by the National Programme) that may delay or impede the quality of implementation. (250 words)

[Click here to enter text.](#)

### Key Issues and Responses

Please briefly explain the actions that are or will be taken to eliminate or manage the issues (internal and external) referred to above. (250 words)

[Click here to enter text.](#)

## 6. Key Lessons

This section aims to capture the most significant lesson learned in the context of the National Programme, as they relate to the thematic work areas on REDD+ or more generally to the practical aspects of implementation, coordination and communication.

### Significant lessons learned in the context of the National Programme

Please provide a narrative of the most significant lessons learned. Include explanations of what was learnt, why the lesson is important, and what has been done to document or share those lessons. (500 words)

It is important to avoid over ambitious programme so that a country can focus on the major elements leading to results based actions and future results based payments. In addition, development of the 4 REDD+ elements (Strategy, FREL/FRL, NFMS, Safeguards) must be handled at the same time when feasible or as it will help in sequencing of activities and building stronger synergies. In addition, REDD+ should not be seen as a separate development agenda or a stand-alone but should be considered as an opportunity to mainstream, strengthen and consolidate existing efforts at national, provincial, district and community level. It is important to mainstream REDD+ into key national policies and legislation to support REDD+ implementation and as well recognise ongoing/initiatives and broker strategic partnerships at early stages for potential investment and financing.

Finally, the transition from Readiness to implementation is fluid so REDD+ Readiness programmes should be designed keeping the transitional process in mind and developing a good exit strategy.

## 7. Inter-Agency Coordination

The aim of the questions below is to collect relevant information on how the National Programme is contributing to inter-agency work and “Delivering as One”.

Is the National Programme in coherence with the UN Country Programme or other donor assistance framework approved by the Government

Yes  No

If not, please explain and what are the measures to address this: (150 words)



Click here to enter text.

**What types of coordination mechanisms and decisions have been taken to ensure joint delivery?**

Please reflect on the questions above and add any other relevant comments and examples if you consider it necessary: (150 words)

Technical and strategic coordination mechanisms have been put in place. At the technical level, the three agencies work very closely and have delivered a number of products jointly by leveraging the core competencies and comparative advantages. The Chaminuka Protocol epitomizes this. At the senior management level, meetings are held with the UNDP RR/UNRC, UNDP Country Director and the FAO Representative. The UN management have always underscored the fact that the Zambia UN-REDD is a clear example of the Delivery as One (DaO) agenda.

**Has a HACT assessment been undertaken?**

Yes  No

If Yes, to what degree is the HACT being taken up and by which agency: (150 words)

HACT assessment was taken up by UNDP prior to the commencement of the programme. The assessment covered financial management of Forest Department with particular regard to the capacity needed to efficiently and effectively manage financial resources. Analysis of the current practices and the rules and regulations of the three agencies regarding cash transfers, including assessment of possible barriers were undertaken. Recommendations on cash transfer modality to be employed by the UNREDD programme in Zambia were made. The assessment also proposed capacity strengthening safeguard and implementing partner systems to be put in place to employ the proposed cash transfer modalities

## 8. KPIs (NP by Numbers)

This section is designed to assess and aggregate quantitative performance indicators relating to annual delivery of the National Programme. These relate to governance, capacity development and technical capacity, supporting the qualitative information provided in the previous sections and are intended to respond to requests from the Policy Board to better quantify and disaggregate the reach of the UN-REDD Programme. For *each* section please include the value, when data is available, for each year since NP start date up until the current reporting year. Please mark Not Available where such information has not been collected.

### 8.1 Governance KPIs

Indicator	Year 1 (2011)	Year 2 (2012)	Year 3 (2013)	Year 4 (2014)	Total
Number of steering committee meetings held	1	1	1	1	4
Number of institutes represented in steering committee	12	12	12	12	48
Number of women members of the steering committee	6	6	6	6	24
Number of people actively engaged in advising on the development of the national strategy	150	250	450	1000	1850
Number of civil society organizations actively engaged in different aspects of the programme (e.g. National Strategy, Defining RELs/FREs, Steering Committee, Working Groups)	3	15	30	45	93
Number of sub-national REDD+ sensitization, awareness raising and capacity building initiatives.	3	5	14	16	38
Other: Click here to enter text.					

### 8.2 Capacity KPIs

Indicator	Year 1 (2011)	Year 2 (2012)	Year 3 (2013)	Year 4 (2014)	Total
Number of people trained on REDD+ and climate change	Men:	Men:	Men:	Men:	Men:
	Women:	Women:	Women:	Women:	Women:
Number of CSOs trained	3	15	30	45	93
Number of REDD+ University events					
Number of national consultation workshops held	3	5	14	16	38
Number of information notes produced	0	3	14	16	33

Number of information gathering events	3	5	14	16	38
Number of stakeholders registered in the REDD+ database					
Other: Click here to enter text.					

### 8.3 Technical KPIs

Indicator	Year 1 (2011)	Year 2 (2012)	Year 3 (2013)	Year 4 (2014)	Total
Total area potentially supported towards emission reduction (km <sup>2</sup> )					
Total forest area					
Number of land use plots assessed through remote sensing	0	0	2100	2100	4200
Number of MRV training events	1	4	3	3	11
Number of people trained on forest monitoring and MRV	25	70	60	50	205
Number of CSOs trained on technical matters	5	15	30	35	85
Number of people trained in NFMS for REDD+	25	70	60	50	205
Number of MRV training participants from CSOs	5	10	10	10	35
Other: Click here to enter text.					

## 9. Annex – UNDG Guidelines: Definitions

The following definitions for results based reporting from the UNDP Guidelines are to be used for the annual report:

- **Results:** A result is a describable or measurable change that is derived from a cause-and-effect relationship. There are three types of such changes – outputs, outcomes and impact – which can be set in motion by a development intervention.
- **Results Based Reporting:** Seeks to shift attention away from activities to communicating important results that the programme has achieved at output and outcome levels. An effective results-based report communicates and demonstrates the effectiveness of the intervention. It makes the case to stakeholders and donors for continued support and resources.
- **Results Matrix:** An important aid in results-based reporting is the results matrix, which clearly articulates the results at output and outcome level and the indicators, baselines and targets. These items, along the review of indicators, assumptions and risks, should serve as guides for reporting on results.
  - **Outcomes:** Outcomes describe the intended changes in development conditions resulting from UNCT cooperation. Outcomes relate to changes in institutional performance or behaviour among individuals or groups as viewed through a human rights-based approach lens.
  - **Outputs:** Outputs are changes in skills or abilities, or the availability of new products and services that are achieved with the resources provided within the time period specified. Outputs are the level of result in which the clear comparative advantages of individual agencies emerge and accountability is clearest. Outputs are linked to those account-able from them giving the results chain a much stronger internal logic.
  - **Indicators:** Indicators help measure outcomes and outputs, adding greater precision. Indicators ensure that decision-making is informed by relevant data.