



JOINT PROGRAMME DOCUMENT
Joint Programme for Support to the Electoral Process in the Federal Republic of Somalia

Programme Title: Joint Programme for Support to the Electoral Process in the Federal Republic of Somalia

PSG No and title: PSG 1 Inclusive Politics: Achieve a stable and peaceful federal Somalia through inclusive political processes

PSG priority: Priority 3: Prepare for and hold credible elections by 2016

Overall strategic objective of the JP towards contributing to the PSG: To enable Somalia to prepare for and hold credible elections through the provision of support to the National Independent Electoral Commission (NIEC), the development of the legal framework for elections and support to promote better understanding of the electoral process.

Joint Programme Outcomes:

The Joint Programme for Support to the Electoral Process in the Federal Republic of Somalia will provide support to enable Somalia to prepare for and hold credible elections by 2016 in line with priorities of the Federal Government of Somalia (Peacebuilding and Statebuilding Goal - PSG 1, Priority 3).

Programme Duration:	6 months
Start Date:	1 April 2015
End Date:	30 September 2015

Total amount:	USD 3,010,450
Sources of funding:	
1. Somalia UN MPTF	USD 2,710,450
2. Other sources of funding:	
• UNDP	USD 300,000

Short description of the Joint Programme

The Joint Programme will support Somalia to prepare for and hold credible elections by 2016 in line with priorities of the Federal Government of Somalia (PSG 1 Priority 3) and Vision 2016. The Joint Programme will provide initial support for six months from 1 April to 30 September 2015 and will be implemented by UNDP, UNSOM and UN Women led by the UN Integrated Electoral Support Group in partnership with the Ministry of Interior and Federal Affairs (MoIFA) and the National Independent Electoral Commission (NIEC) when it is established. The Joint Programme will build on the work of the UNDP Project Initiation Plan (PIP), which will roll over into the Joint Programme when the latter is established.

The Joint Programme will have the following outputs:

1. Physical establishment of the NIEC
2. NIEC supported to develop capacity to conduct elections and referendum
3. Legal framework for elections and referendum developed
4. Increased understanding of the electoral legal framework and electoral processes amongst stakeholders
5. Coordination of electoral assistance
6. Project management

After the NIEC is established a full Joint Programme will be developed together with the NIEC, MoIFA and relevant stakeholders.

Names and signatures of national counterparts and Participating UN Organizations

Participating UN Organizations (PUNOs):	National Coordinating Authorities:
<p>UN <i>Philippe Lazzarini, Deputy SRSG / UN Resident Coordinator / UNDP Resident Representative</i></p> <p>Signature: _____</p> <p>Date and Seal:</p>	<p>Ministry of Interior and Federal Affairs <i>H.E. Abdirashid Hidig, State Minister, Ministry of Interior and Federal Affairs, Federal Government of Somalia</i></p> <p>Signature: _____</p> <p>Date and Seal:</p>

Joint Programme Document

1. Executive Summary

The term of the Federal Parliament is set to end in 2016 and the Federal Government of Somalia (FGS) has committed to holding a referendum on the Constitution and federal parliamentary elections by March and September 2016 respectively. The Joint Programme for Support to the Electoral Process in the Federal Republic of Somalia is in line with Priority 3 of PSG 1 “to prepare for and hold credible elections in 2016”. The Joint Programme will provide support for the physical establishment of the National Independent Electoral Commission (NIEC); capacity development of the NIEC; development of the legal framework for elections and referendum; and support to increase understanding of the electoral legal framework and electoral processes amongst stakeholders.

The Joint Programme builds on the previous work of the UNDP Project Initiation Plan (PIP) for electoral support and expands the scope of support with the anticipated establishment of the NIEC. This proposal brings together three UN entities, UNDP, UNSOM and UN Women, working collaboratively to implement the Joint Programme in partnership with the Federal Government of Somalia. A fourth UN entity, the UN Office for Project Services (UNOPS), will likely become part of the Joint Programme at a later stage to undertake large-scale construction work for NIEC premises.

This proposal is for a six month period from 1 April to 30 September 2015 with the intention that a full Joint Programme will be developed together with the NIEC, FGS and other stakeholders after the NIEC is established and in line with recommendations of a follow-up UN Needs Assessment Mission (NAM) later in the year.

2. Situation Analysis

The Provisional Constitution of Somalia adopted in 2012 provides for a parliamentary system of government with the President as head of state, the Prime Minister as head of government and a Federal Parliament consisting of the House of the People and the Upper House (the latter is not formed yet). The term of the Federal Parliament ends in 2016 and the Federal Government of Somalia (FGS) has committed to holding a referendum on the constitution and federal parliamentary elections by March and September 2016 respectively. However preparations for the referendum and elections have not progressed as quickly as expected and preparations are behind schedule. The bill to establish the National Independent Electoral Commission (NIEC) was passed by Federal Parliament on 11 February 2015 however the process to select the nine commissioners could take upwards of a couple months to be completed. The electoral law which would detail the electoral system has not been drafted yet. Other electoral-related legislation such as the political party law and citizenship law are undergoing parliamentary review. The constitutional review process which would precede the referendum is also behind schedule.

The NIEC bill gives the NIEC the authority to conduct elections and referendum and to determine the electoral timetable. It also provides for a secretariat to be established as the operational arm of the NIEC. Given the limited time that is left before the referendum and elections are due the NIEC will need to become functional as soon as its commissioners and senior secretariat staff are appointed. The last national parliamentary elections in Somalia were held in 1984 and awareness and understanding of electoral processes will need to be strengthened amongst a broad range of stakeholders that include the FGS, regions and states, members of parliaments, NIEC, political parties, civil society and voters.

A UN Needs Assessment Mission (NAM) which visited Somalia in November 2013 at the request of the Somali authorities recommended UN electoral support be provided in a phased approach:

- Phase 1: Period leading to the establishment of the NIEC
- Phase 2: Strengthening the capacity of NIEC and supporting the establishment of the legal framework for elections
- Phase 3: Operational phase (direct support to the referendum and elections)

In line with this recommendation UNDP established a Project Initiation Plan (PIP) for electoral support in June 2014. Technical expertise was provided through the PIP to the FGS and the parliamentary committee drafting the NIEC bill. Support through the PIP is ongoing and planning is underway to provide technical expertise and capacity development to the NIEC as soon as its commissioners and staff are appointed and to provide technical expertise on comparative electoral systems and electoral law to the FGS and Federal Parliament. Plans are also underway to support the physical establishment of the NIEC in Mogadishu through rehabilitation of interim premises and procurement of equipment.

The Joint Programme builds on the previous work of the PIP and expands the scope of support as Phase 1 transitions into Phase 2 with the anticipated establishment of the NIEC. The Joint Programme will provide support for the physical establishment of the NIEC; capacity development of the NIEC; development of the legal framework for elections and referendum; and support to increase understanding of the electoral legal framework and electoral processes amongst stakeholders. The activities of the PIP will roll over into the Joint Programme once the latter is established.

This proposal brings together three UN entities, UNDP, UNSOM and UN Women, working collaboratively to implement the Joint Programme in partnership with the Federal Government of Somalia. UNDP and the UN Secretariat have extensive experience providing electoral support adding significant value through the sharing of comparative examples and best practices in electoral assistance. The UN Integrated Electoral Support Group, comprising UNSOM and UNDP, have been engaging with the FGS and the Federal Parliament to provide technical expertise. The inclusion of UN Women will further strengthen UN efforts to encourage greater participation and representation of women in all facets of elections including increased representation of women in federal parliament and participation of women in decision-making on electoral matters and in the NIEC. A fourth UN entity, the UN Office for Project Services (UNOPS), will likely become part of the Joint Programme at a later stage to undertake large-scale construction work for NIEC premises.

3. Strategies, including lessons learned, and the proposed joint programme

The Joint Programme for Support to the Electoral Process in the Federal Republic of Somalia is in line with the Somali Compact Peacebuilding and Statebuilding Goal (PSG) 1 to “achieve a stable and peaceful federal Somalia through inclusive political processes”. It addresses PSG 1 Priority 3 which is to “prepare for and hold credible elections by 2016”. The Joint Programme will succeed the UNDP Project Initiation Plan (PIP) which was established in June 2014. The PIP funded by Norway, Swedish International Development Corporation (SIDA) and UNDP enabled technical expertise to be provided to the FGS and Federal Parliament on electoral-related matters including the NIEC law. The PIP will roll over into the Joint Programme once the latter is established. The Joint Programme builds on the work of the PIP and expands the support in anticipation of the establishment of the NIEC. The Joint Programme brings together UNDP, UNSOM and UN Women working collaboratively to implement the work plan. The UN Integrated Electoral Support Group will liaise and work closely with other parts of the UN whose work relate to the referendum and elections such as the UNSOM Political Affairs and Mediation Group (PAMG), the UNDP parliamentary support project, and the new programme on support for constitutional affairs. Information-sharing and coordination of support will also be a priority with non-UN entities such as the African Union/AMISOM, Conflict Dynamics International (CDI), Electoral Institute for Sustainable Democracy in Africa (EISA), Interpeace, National Democratic Institute (NDI) and the Oslo Center who provide electoral-related assistance. Key partners for the Joint Programme will be the NIEC, the FGS in particular the Ministry of Interior and Federal Affairs (MoIFA) and the Federal Parliamentary Committee on Internal Affairs, Regional Governance and Government Security.

The Joint Programme identifies four immediate priorities to assist Somalia to prepare for and hold credible elections: the physical establishment of the NIEC; capacity development of the NIEC; development of the legal framework for elections and referendum; and support to increase understanding of the electoral legal framework

and electoral processes amongst stakeholders. In addition to these, the Joint Programme will also strengthen coordination amongst electoral assistance providers and strengthen management of the Joint Programme.

Correspondingly, the outputs of the Joint Programme are:

Output 1: The physical establishment of the NIEC

Under this output support will be provided to rehabilitate interim premises for the NIEC and to procure equipment to enable the NIEC to become functional and operational as soon as possible upon the appointment of the NIEC commissioners. Support will also be provided for planning and site assessments for a future longer-term or permanent site for the NIEC. All rehabilitation and construction work will be closely supervised and monitored by UNDP to ensure value for money.

An initial site assessment has been conducted and options have been drafted for a site on Airport Road identified by the FGS as a potential longer-term location for the NIEC, the Independent Constitutional Review and Implementation Commission (ICRIC) and the Boundaries and Federation Commission (BFC). The cost of construction work at the Airport Road site estimated at \$3.7 million is not included in this current proposal and will be part of an amendment to the Joint Programme if the construction goes ahead. The UN Office for Project Services (UNOPS) will likely be responsible for this work or any other large scale construction work for future NIEC offices.

Output 2: NIEC supported to develop capacity to conduct elections and referendum

The NIEC will be supported through the provision of technical expertise to develop its capacity to administer and conduct elections and referendum. Advisory support will be provided to assist the NIEC to develop an organizational structure, develop a budget and set in place internal management and planning processes. Electoral experts will also support NIEC commissioners and secretariat staff in strategic planning and preparing for elections and referendum. There will be support for training, workshops and study tour(s) under this output.

Advisory support to the NIEC will pay particular attention to ensure that the needs of women, youth and marginalized groups are identified and supported in NIEC policies. The NIEC will be supported to put in place a strategy to have women represented in its staff at all levels including senior levels.

Tentatively, pending discussion with and the agreement of the NIEC after it is established a series of workshops to be supported could include: a 3-5 day electoral cycle and strategic planning retreat/workshop; one or two BRIDGE¹ electoral administrators workshops; and a 2 day workshop to finalize a NIEC strategic plan. These workshops could be held in Somalia or abroad. If timing and circumstances permit a study tour to an electoral management body (EMB) could be supported to enable NIEC commissioners and senior secretariat staff to gain a better understanding of how an EMB is organized and how it functions. The number of workshops or events that take place within the six month period of this initial Joint Programme will depend greatly on when the NIEC is established.

Output 3: Legal framework for elections and referendum developed

In this output the FGS in particular the Ministry of Interior and Federal Affairs (MoIFA) as the lead and the Federal Parliament will be supported to develop and draft the legal framework required for elections and referendum through the provision of legal and electoral expertise. A key component of the electoral law will be the electoral system for Somalia and technical expertise will be provided on comparative electoral systems. Workshops on electoral systems and electoral law for the Federal Parliamentary Committee on Internal Affairs, Regional Governance and Government Security; MoIFA, NIEC and other stakeholders will be supported. Roundtables on the

¹ Building Resources in Democratic Governance and Elections (BRIDGE) is an internationally recognized course for electoral administrators and electoral stakeholders that is conducted by accredited facilitators.

electoral system and draft electoral law will be supported to enable consultations to take place at federal and regional levels and to encourage broader inputs and consensus on the legislation. A compilation of previous electoral legislation in Somalia is planned.

Advice provided on the legal framework will include options and recommendations to encourage greater representation of women in parliament.

Tentatively, pending discussion with and the agreement of MoIFA, Federal parliament and relevant stakeholders the workshops, roundtables and consultations to be supported could include: a 6-7 day workshop on electoral systems held in Somalia or abroad, a national consultation and two consultations in each region.

Output 4: Increased understanding of the electoral legal framework and electoral processes amongst stakeholders

Under this output support will be provided for roundtables and workshops to enhance understanding and raise awareness of electoral legislation and electoral processes among the FGS, regions and civil society. A lexicon of English-Somali electoral terminology is planned. These together with the printing of the NIEC law and the development of factsheets and other electoral-related material will contribute to spreading awareness and information about elections. The NIEC and MoIFA will be supported to commence the development of a public outreach strategy in relation to elections and the legal framework. This public outreach strategy will pay particular attention in helping to ensure that information reaches regional areas, women, youth and marginalized groups which will contribute to greater participation of these groups in future electoral processes.

Assistance under this output will complement public outreach carried out by civil society organizations and cooperation between MoIFA, NIEC and civil society organizations at federal and regional levels will be supported through the establishment of information-sharing mechanisms.

Output 5: Coordination of electoral assistance

An information-sharing and coordination mechanism for electoral assistance providers will be supported as well as the development, updating and sharing of a matrix of electoral assistance.

Output 6: Project management

The project management component will be strengthened through the recruitment of core project and support staff. Support will also be provided to MoIFA to manage the establishment and timely operationalization of the NIEC. A key activity will be the development of a full Joint Programme after the NIEC is established and in line with recommendations of a follow-up UN Needs Assessment Mission (NAM) expected later in the year.

Underlying principles of inclusivity, gender sensitivity and conflict sensitivity will guide the planning and implementation of the Joint Programme. Special emphasis will be placed on gender parity and enhanced representation of women in line with the provision enshrined in the Provisional Constitution and the gender equality commitments outlined in the Compact.

Implementation of the Joint Programme will be led by the UN Integrated Electoral Support Group. In addition to resources mobilized through the UN MPTF for the Joint Programme UNSOM will provide in-kind contribution in the form of technical experts as well as logistical and transportation support. This contribution will complement support provided through the Joint Programme, UNDP and UN Women. UN Women will provide expertise on the promotion of gender equality cutting across output areas of the Joint Programme.

4. Results Framework

The Results Framework in Annex 1 sets out the outputs of the Joint Programme which will contribute to the overarching PSG 1 outcome. Immediate results indicators, baselines and means of verification are outlined in each of the six outputs.

5. Work plans and budgets

The work plan and budget of the Joint Programme are attached in Annex 2a and 2b.

6. Management and Coordination Arrangements

A Project Board will be established comprising representatives from MoIFA, NIEC, UNDP, UNSOM and development partners. The role of the Project Board will be to provide overall guidance and direction to the Joint Programme; review, amend and approve work plans and budgets; ensure risk management measures are in place; and ensure effective implementation of the Joint Programme. The Board may invite representatives from other organizations, regions and civil society to attend project board meetings as observers. The Project Board will meet quarterly or more frequently as needed. Minutes of Project Board meetings will be prepared and distributed. The Project Board will provide updates to the PSG1 Sub-Working Group 3 on elections.

This JP will follow the pass-through fund management modality according to the UNDG Guidelines on UN Joint Programming. The UNDP MPTF Office, serving as the Administrative Agent of the Somalia UN MPTF, as set out in the Memorandum of Understanding (MOU) for Somalia UN MPTF will perform the following functions:

- (a) Receive contributions from donors that wish to provide financial support to the Joint Programme;
- (b) Administer such funds received, in accordance with this MOU;
- (c) Subject to availability of funds, disburse such funds to each of the Participating UN Organizations in accordance with instructions from the governing body [Management Committee], taking into account the budget set out in the Joint Programme Document, as amended in writing from time to time by the Management Committee;
- (d) Consolidate financial reports, based on submissions provided to the Administrative Agent by each Participating UN Organization (PUNO), and provide these to each donor that has contributed to the Joint Programme Account, to the JP Management Committee, PUNOs, and the SDRF Steering Committee;
- (e) Provide final reporting, including notification that the Joint Programme has been operationally completed;
- (f) Disburse funds to any PUNO for any additional costs of the task that the Management Committee may decide to allocate in accordance with Joint Programme Document.

Each Participating UN Organization assumes complete programmatic and financial accountability for the funds disbursed to it by the Administrative Agent and can decide on the execution process with its partners and counterparts following the organization's own regulations. PUNOs will establish a separate ledger account for the recipient and administration of the funds disbursed to them by the Administrative Agent. PUNOs are entitled to deduct their indirect costs (7%) on contributions received according to their own regulations and rules.

7. Monitoring, Evaluation and Reporting

The Monitoring Framework and Risk Log is attached in Annex 3. Provisions for annual reviews and evaluations will be elaborated in the full Joint Programme. Reporting will be undertaken on a quarterly basis.

Annex 1: Results Framework

JP/Project title: Joint Programme for Support to the Electoral Process in the Federal Republic of Somalia					
Fund Outcome to which the JP/project will contribute:	<i>To take from the Somalia UN MPTF Results Framework / Somalia Compact Result Framework</i> PSG 1 Inclusive Politics: Achieve a stable and peaceful federal Somalia through inclusive political processes				
Fund Outcome indicators:	Geographic areas	Baseline data	Final targets	Means of verification	Responsible organization
<i>Include only the effect/outcome indicators of the Fund to which the JP/project will contribute. To take from the Somalia UN MPTF Results Framework / Somalia Compact Result Framework</i>					
Output 1	Physical establishment of the NIEC				
Immediate results indicators	Geographic areas	Baseline data	Final targets	Means of verification	Responsible organization
NIEC physically established	Federal level	No NIEC in place	NIEC physically established and functional	Reports from the UN Integrated Electoral Support Group	MoIFA, UNDP and UNSOM
Output 2	NIEC supported to develop capacity to conduct elections and referendum				
Immediate results indicators	Geographic areas	Baseline data	Final targets	Means of verification	Responsible organization
NIEC Secretariat organizational structure in place	Federal level	No NIEC organizational structure in place	NIEC organizational chart and ToRs developed	Reports from the UN Integrated Electoral Support Group	MoIFA, NIEC, UNDP and UNSOM
Number of briefings, workshops, study tours and/or training sessions held for the NIEC	Federal level	No capacity development carried out so far	At least 1 briefing or workshop for the NIEC to be held in six	Reports from the UN Integrated Electoral Support Group	MoIFA, NIEC. UNDP and UNSOM

			months		
Output 3	Legal framework for elections and referendum developed				
Immediate results indicators	Geographic areas	Baseline data	Final targets	Means of verification	Responsible organization
Number of meetings and/or workshops with the parliamentary committee and Somali authorities responsible for drafting electoral legislation	Federal level	4 meetings held in January 2015 with the parliamentary committee	At least 5 meetings to be held in six months	Reports from the UN Integrated Electoral Support Group	MoIFA, Parliament, UNDP and UNSOM
Number of consultations and roundtables with stakeholders supported by the UN	Federal level	No consultations/roundtables held so far	At least 1 consultation/roundtable to be held in six months	Reports from the UN Integrated Electoral Support Group	MoIFA, Parliament, UNDP and UNSOM
Output 4	Increased understanding of the electoral legal framework and electoral processes amongst stakeholders				
Immediate results indicators	Geographic areas	Baseline data	Final targets	Means of verification	Responsible organization
Number of roundtables and/or workshops to enhance understanding of electoral systems and electoral processes held for Somali authorities and stakeholders	Federal level	No roundtables/workshops held so far	At least 1 roundtable/workshop to be held in six months	Reports from the UN Integrated Electoral Support Group	MoIFA, NIEC, UNDP and UNSOM
Compilation of Somalia's previous (historical) electoral legislation	Federal level	No compilation so far	Compilation developed and printed	Dissemination of the compilation	MoIFA, NIEC, UNDP and UNSOM
Development and printing of an English-Somali lexicon of electoral terminology	Federal level	No lexicon exists so far	Lexicon developed	Dissemination of the lexicon	MoIFA, NIEC, UNDP and UNSOM
Development and printing of electoral-related material and factsheets; and printing of the NIEC law	Federal level	No electoral-related factsheets developed so far NIEC law and its English translation not disseminated widely	At least 1 factsheet to be developed in six months NIEC law printed	Dissemination of printed materials	MoIFA, NIEC, UNDP and UNSOM
Output 5	Coordination of electoral assistance				

Immediate results indicators	Geographic areas	Baseline data	Final targets	Means of verification	Responsible organization
Number of coordination and information-sharing meetings held	Federal level	Only bilateral information-sharing meetings held so far	Coordination mechanism established and functioning	Minutes of meetings	UNDP and UNSOM
Matrix mapping out electoral assistance undertaken by different providers	Federal level	No matrix developed so far	Matrix developed and updated regularly	Dissemination of the matrix	UNDP and UNSOM
Output 6	Project management				
Immediate results indicators	Geographic areas	Baseline data	Final targets	Means of verification	Responsible organization
Core project management staff recruited	Federal level	Minimal project management staff in place	Project management structure strengthened with increased staffing	Reports from the UN Integrated Electoral Support Group	UNDP
Full programme document developed after the establishment of the NIEC and in line with recommendations of a follow-up UN Needs Assessment Mission (NAM) in 2015	Federal level	Full programme document not developed so far	Full programme document developed	Dissemination of the programme document	MoIFA, NIEC, UNDP and UNSOM

Annex 2a: Work Plan and Budget

Work plan of: **The Joint Programme for Electoral Support to the Federal Republic of Somalia**

Duration of the JP/Project: **Six months, 1 April – 30 September 2015**

Specific Objectives of the Fund:												
Expected products of the JP/project	Key activities	Calendar (by activity)								Geographic area	Responsible Participating Organization	Planned budget (by product/activity)
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4			
Output 1												
Physical establishment of the NIEC	Activity 1.1 Construction work to rehabilitate interim premises for NIEC headquarters in Mogadishu		X	X						Federal level	MoIFA and UNDP	240,000
	Activity 1.2 Procurement for NIEC in Mogadishu: - Office equipment and furniture - Two armoured vehicles		X	X						Federal level	MoIFA, NIEC and UNDP	140,000 360,000
	Activity 1.3 Planning for and site assessment of a permanent location for NIEC headquarters in Mogadishu		X	X						Federal level	MoIFA, NIEC and UNDP	-
	Activity 1.4 Provision of technical support: Architect and procurement specialist. One national procurement officer to be attached to MoIFA		X	X						Federal level	MoIFA, NIEC and UNDP	170,000
Output 2												
NIEC supported to develop capacity to conduct elections and referendum	Activity 2.1 Support NIEC to develop its organizational structure, staff ToRs, NIEC budget, and planning and management processes		X	X						Federal level	NIEC, MoIFA, UNDP and UNSOM	-
	Activity 2.2 Briefings, workshops, retreats, training and study tour(s) for NIEC		X	X						Federal and state level	NIEC, MoIFA, UNDP and UNSOM	80,000
	Activity 2.3 Provision of technical expertise:		X	X						Federal and state level	NIEC, MoIFA,	60,000

	- Training specialist - Strategic planning consultant											UNDP and UNSOM	
Output 3													
Legal framework for elections and referendum developed	Activity 3.1 Consultations, roundtables, meetings and workshops related to the development and drafting of electoral legislation -Workshop on electoral systems -National and regional consultations		X	X							Federal and state level	MoIFA, Parliament, UNDP and UNSOM	70,000 180,000
	Activity 3.2 Compilation of Somalia's previous (historical) electoral legislation		X	X							Federal and state level	MoIFA, UNDP and UNSOM	15,000
	Activity 3.3 Provision of technical expertise: - Electoral systems consultants and expert speakers for electoral systems workshop - Gender and elections specialist - National legal consultants		X	X							Federal and state level	MoIFA, Parliament, UNDP and UNSOM	120,000
Output 4													
Increased understanding of the electoral legal framework and electoral processes amongst stakeholders	Activity 4.1 Consultations, roundtables, meetings and workshops related to increasing understanding and awareness raising		X	X							Federal and state level	NIEC, MoIFA, UNDP and UNSOM	60,000
	Activity 4.2 Development and printing of an English-Somali lexicon of electoral terminology		X	X							Federal and state level	NIEC, MoIFA, UNDP and UNSOM	40,000
	Activity 4.3 Development and printing of electoral-related material and factsheets; printing of the NIEC law; and development of an outreach program		X	X							Federal and state level	NIEC, MoIFA, UNDP and UNSOM	50,000
	Activity 4.4 Provision of technical expertise: - Civic/Voter Education specialist -Translation, graphic design and		X	X							Federal and state level	NIEC, MoIFA, UNDP and UNSOM	70,000

	website design services											
Output 5												
Coordination of electoral assistance	Activity 5.1 Information-sharing and coordination meetings		X	X						Federal and state level	MoIFA, NIEC, UNDP and UNSOM	10,000
	Activity 5.2 Development and updating of a matrix mapping out electoral assistance undertaken by different providers		X	X						Federal and states	MoIFA, NIEC, UNDP and UNSOM	-
Output 6												
Project Management	Activity 6.1 Staffing: Deputy Chief Electoral Adviser, Planning, Implementation and Coordination Specialist and operations, administrative, finance, reporting and M&E personnel.		X	X						Federal level	UNDP	480,000
	Activity 6.1a Support to MoIFA for the establishment and timely operationalization of the NIEC which includes a senior national coordination adviser, a national operations officer, a national finance officer and a national reporting officer		X	X						Federal level	MoIFA, UNDP	100,000
	Activity 6.2 Office, IT and communications equipment and one armoured vehicle - Office, IT and communications - One armoured vehicle		X	X						Federal level	UNDP	20,000 180,000
	Activity 6.2a Support to MoIFA for office equipment and communications for MoIFA staff with responsibility for democratization and elections		X	X						Federal level	MoIFA, UNDP	40,000
	Activity 6.3		X	X						Federal and state	UNDP and	-

	Development of a full programme document after the establishment of the NIEC and in line with recommendations of a follow-up UN Needs Assessment Mission (NAM) in 2015										level	UNSOM	
	Activity 6.4 Meetings of the Project Board		X	X							Federal level	NIEC, MoIFA, UNDP and UNSOM	-
	Communications Support												24,850
	Operations Support												111,825
	Security Equipment and Support												62,125
M & E - Evaluation													
	M&E and Oversight												124,250
Sub-Total													2,808,050
GMS (7%)													175,564
Administrative Agent cost													26,836
Total planned budget													3,010,450

UNDG Budget Categories Elections

SOMALIA UN MPTF PROJECT BUDGET*			
CATEGORIES	UNDP	[name of PUNO]	[name of PUNO]
1. Staff and other personnel costs	354,513		
2. Supplies, Commodities, Materials	100,000		
3. Equipment, Vehicles and Furniture including Depreciation	700,000		
4. Contractual Services	765,000		
5. Travel	300,000		
6. Transfers and Grants to Counterparts	0		
7. General Operating and Other Direct Costs	288,538		
Sub-Total Project Costs	2,508,051		
Indirect Support Costs **	175,564		
TOTAL	2,683,615		

Annex 3: Monitoring Framework and Risk Log

JP Expected Outcomes & Key Activities	Indicators (with baselines & indicative timeframe)	Means of verification	Collection methods (with indicative time frame & frequency)	Responsibilities	Risks description, likelihood and impact	Mitigating measures
From JP Results Framework (Annex 1)	From JP Results Framework (Annex 1). Baselines are a measure of the indicator at the start of the JP	From identified data and information sources	How is it to be obtained?	Specific responsibilities of PUNOs (including in case of shared results)	Summary of risks for each result	
1. Physical establishment of the NIEC	Indicator: NIEC physically established in interim premises by May 2015 Baseline: No NIEC in place	Reports from the UN Integrated Electoral Support Group		UNDP to undertake infrastructure works and procurement	There is a risk that delay in identifying an interim location, delay in completing infrastructure works and/or delay in procuring equipment will lead to the NIEC becoming physically established and functional later than expected which may adversely impact the NIEC's preparations to carry out its mandate	UNSOM and UNDP to continue high-level engagement with FGS to expedite the necessary decision-making on the interim location and infrastructure works required. UNDP to prioritize infrastructure works and procurement to ensure both will be completed within agreed timeframes
2. NIEC supported to develop capacity to conduct elections and referendum	Indicator: NIEC Secretariat organizational structure in place/organizational chart and ToRs developed by September 2015 Baseline: No NIEC organizational structure in place	Reports from the UN Integrated Electoral Support Group		FGS, UNDP and UNSOM jointly to provide complementary technical expertise	There is a risk that delays in appointing NIEC commissioners and the NIEC Secretary-General staff will in turn delay decision-making on the organizational structure of the NIEC Secretariat	UNSOM and UNDP to continue high-level engagement with FGS to expedite the selection and appointment of NIEC commissioners and senior NIEC Secretariat staff
	Indicator: At least 1 briefing or workshop for the	Reports from the UN Integrated		FGS, UNDP and UNSOM jointly to provide	There is a risk that delays in appointing NIEC commissioners and	UNSOM and UNDP to continue high-level engagement with

	NIEC to be held by September 2015 Baseline: No capacity development carried out so far	Electoral Support Group		complementary technical expertise; UNDP to support the organization of the workshop(s)	key NIEC Secretariat staff will in turn delay the commencement of capacity development	FGS to expedite the selection and appointment of NIEC commissioners and senior NIEC Secretariat staff
3. Legal framework for elections and referendum developed	Indicator: At least 5 meetings with the parliamentary committee and Somali authorities responsible for drafting electoral legislation to be held by September 2015 Baseline: 4 meetings held in January 2015 on the NIEC bill with the parliamentary committee	Reports from the UN Integrated Electoral Support Group		FGS, UNDP and UNSOM jointly to provide complementary technical expertise and support for the organization of meetings and/or workshop(s)	There is a risk that responsibility for drafting electoral legislation may become unclear and delay the development and drafting of electoral legislation There is also a risk that a deterioration in security may limit the number of occasions advisers are able to meet with those drafting the legislation	UNSOM and UNDP to continue high-level engagement with FGS and the Speaker to expedite the development and drafting of electoral legislation Alternative measures will be taken such as holding meetings or workshops within the AMISOM Protected Area, outside of Somalia, or delivering technical expertise via email or videoconferencing
	Indicator: At least 1 consultation/roundtable with stakeholders to held by September 2015 Baseline: No consultations/ Roundtables held so far	Reports from the UN Integrated Electoral Support Group		FGS, UNDP and UNSOM jointly to provide complementary technical expertise and support for the organization of consultations, roundtables and/or workshops	There is a risk that responsibility for drafting electoral legislation may become unclear and in turn delay consultations with stakeholders	UNSOM and UNDP to continue high-level engagement with FGS and the Speaker to expedite the development and drafting of electoral legislation
4. Increased understanding of electoral legal framework and	Indicator: At least 1 roundtable/workshop to enhance	Reports from the UN Integrated Electoral		FGS, UNDP and UNSOM jointly to provide complementary	-	-

electoral processes amongst stakeholders	<p>understanding of electoral systems and electoral processes held for Somali authorities and stakeholders</p> <p>Baseline: No roundtables/ workshops held so far</p>	Support Group		<p>technical expertise and support for the organization of consultations, roundtables and/or workshops</p>		
	<p>Indicator: Compilation of Somalia's previous (historical) electoral legislation</p> <p>Baseline: No compilation so far</p>	Dissemination of the compilation		<p>FGS, UNDP and UNSOM jointly to provide complementary technical expertise; UNDP to support translation, graphic design and printing</p>	-	-
	<p>Indicator: Development and printing of an English-Somali lexicon of electoral terminology</p> <p>Baseline: No lexicon exists so far</p>	Dissemination of the lexicon		<p>FGS, UNDP and UNSOM jointly to provide complementary technical expertise; UNDP to support translation, graphic design and printing</p>	<p>There is a risk that the lexicon may take more time to complete due to the need to engage in extensive consultation to agree on the most suitable Somali terminology</p>	<p>Seek the collaboration of leading Somali linguists and scholars in developing the lexicon from the start of the project</p>
	<p>Indicator: At least 1 factsheet to be developed in six months</p> <p>NIEC law printed</p> <p>Baseline: No electoral-related factsheets developed so far</p> <p>NIEC law and its</p>	Dissemination of the printed material		<p>FGS, UNDP and UNSOM jointly to provide complementary technical expertise; UNDP to support translation, graphic design and printing</p>	-	-

	English translation not disseminated widely					
5. Coordination of electoral assistance providers	Indicator: Coordination mechanism established and functioning with at least 2 meetings held Baseline: Only bilateral information-sharing meetings held so far	Minutes of meetings		FGS, UNDP and UNSOM jointly	-	-
	Indicator: Matrix of electoral assistance developed, updated and shared at least every 3 months Baseline: No matrix developed so far	Dissemination of the matrix		FGS, UNDP and UNSOM jointly	-	-
6. Project management	Indicator: Core project management staff recruited by September 2015 Baseline: Minimal project staff in place	Reports from the UN Integrated Electoral Support Group		FGS, UNDP	-	-
	Indicator: Full programme document developed after the establishment of the NIEC and in line with recommendations of a follow-up UN Needs Assessment Mission (NAM) in	Dissemination of the full programme document		FGS, UNDP and UNSOM jointly	There is a risk that a delay in appointing NIEC commissioners will in turn delay the development of a full programme document	UNSOM and UNDP to continue high-level engagement with FGS to expedite the selection and appointment of NIEC commissioners

	2015					
	Baseline: Project Initiation Plan (PIP) set up in 2014 and Joint Programme- being set up					