



SOMALIA UN MPTF

PROGRAMME QUARTERLY PROGRESS REPORT

Period (Quarter-Year): Q-1, 2016

| | |
|---|---|
| Project Name | Support to Building Inclusive Institutions of Parliament in Somalia (UNDP SOM10 Project ID 00094911) |
| Gateway ID | 00096825 |
| Start date | March 2013 |
| Planned end date (as per last approval) | December 2016 |
| Focal Person | (Name): Oskar Lehner |
| | (Email): oskar.lehner@undp.org |
| PSG | PSG (s): 1: Inclusive politics: Achieve a stable and peaceful Somalia through inclusive political processes |
| Priority | Priority 1: Advance inclusive political dialogue to clarify and settle relations between the federal government and existing and emerging administrations and initiate processes of reconciliation between communities. |
| Milestone | |
| Location | Federal Level, Somaliland, Puntland, Galmudug, Jubaland, ISWA, IRAs |
| Gender Marker | 2 |

| | |
|-----------------------------------|------------------|
| Total Budget as per ProDoc | USD 11,700,000 |
| MPTF: | 1,459,054 |
| Non MPTF sources: | PBF: 7,045,524 |
| | Trac: 2,268,619 |
| | Other: 1,110,055 |

| Total MPTF Funds Received | | | Total non-MPTF Funds Received | |
|---------------------------|-----------------|---------------------|-------------------------------|-----------------------|
| PUNO | Current quarter | Cumulative (2016) | Current quarter | Cumulative (2016) |
| PSG1 (4SOU1) | 500,000 | 500,000 (2016 only) | 1,414,117 | 1,414,117 (2016 only) |

| JP Expenditure of MPTF Funds ¹ | | | JP Expenditure of non-MPTF Funds | |
|---|-----------------|-------------------|----------------------------------|---------------------|
| PUNO | Current quarter | Cumulative (2016) | Current quarter | Cumulative (2016) |
| PSG1 (4SOU1) | 0 | 0 (2016 only) | 395,913 | 395,913 (2016 only) |

¹ **Uncertified expenditures.** Certified annual expenditures can be found in the Annual Financial Report of MPTF Office (<http://mptf.undp.org/factsheet/fund/4SO00>)



SOMALIA UN MPTF

SITUATION UPDATE

During the reporting period extensive consultation was undertaken with national counterparts and relevant donors on the development of an Annual Work Plan (AWP) for 2016. The strategy and priorities of the AWP are based on an understanding that the National Federal Parliament (NFP) and state assemblies are crucial to Somalia's political processes and are where consultations on future federal model are undertaken. This consultation also resulted in the development of workplans for all existing regional parliaments.

Other key considerations include support to NFP in completing its 4-year mandate and enabling a successful transition to its new mandate. The AWP 2016 was submitted to Project Board Meetings held with the NFP; however, the board recommended the postponement of the AWP's approval until funding issues were clarified. Despite financial uncertainties, the project remained committed to supporting Somali Parliaments.

The project delivered capacity building activities for the NFP, Somaliland, Galmudug and South West Parliaments benefitting 155 MPs and staff (M:129; W: 26) in areas of legislative drafting, outreach, rules of procedure, and principles of democracy and democratic society.

Emerging regional Parliaments are still in early stages of development. Therefore a roadmap should be developed that will outline future multi-annual support. To support this exercise, the project has initiated needs assessments of emerging parliaments which will contribute to the development of multi-year strategic plans. Basic trainings should be conducted for new MP's and staff similar to those that have been undertaken in other areas as mentioned above.

QUARTERLY PROGRESS REPORT RESULTS MATRIX

OUTCOME STATEMENT

OUTCOME 1: NFP AND PARLIAMENTS OF SOMALILAND AND PUNTLAND CAPACITATED TO OPERATE AS INCLUSIVE, TRANSPARENT AND EFFECTIVE LAW-MAKING, OVERSIGHT AND REPRESENTATIVE BODIES CONTRIBUTING TO NATIONAL PEACEBUILDING AND NATION-BUILDING GOALS

OUTCOME INDICATORS

Outcome Indicator 1.1:

Parliament passes legislation which contributes to peace-building and state-building agenda.

Outcome Indicator 1.2:

Functional committees in Parliament with capacitated MPs engaging with public and civil society on priority policy and legislation development.

Outcome Indicator 1.3:

Parliament inclusively, transparently and peacefully produces core legislation for the New Deal/Vision 2016 process.



SOMALIA UN MPTF

| Output 1: NFP, Somaliland and Puntland supported to enact quality legislation and to maintain effective oversight of Executive. | | | |
|--|--|---|------------|
| INDICATOR | TARGET | PROGRESS ON OUTPUT INDICATOR ² | |
| | | THIS QUARTER | CUMULATIVE |
| Set of committees established by Parliament with defined functions | Hardware of library set up by end of 1st semester | 0 | 0 |
| | At least 3 trainings on legal drafting implemented in Somaliland Parliament | 2 | 2 |
| | At least one online library subscription | 0 | 0 |
| | One training on basic legislative research and drafting delivered to MPs and committee staff | 0 | 0 |
| | Work plan of GA developed and submitted to Parliament Leadership by end of 1st semester | 1 | 1 |
| | Strategic plan of JA developed and submitted to Parliament Leadership by end of 1st semester | 0 | 0 |
| Committees able to provide effective oversight of laws and policy | NFP Budget committee producing 1 reports with recommendations for the Executive | 0 | 0 |
| | Minimum 3 priority legislation processes supported in each Parliament | 0 | 0 |
| | Guidelines developed for drafting of gender sensitive legislation, including identification of discriminatory legislation from bills table in 7th and 8th sessions | 0 | 0 |
| | 15 of MPs trained on key functions of committees in parliament. | 0 | 0 |
| | At least one training delivered to MP's and committee and secretariat staff on Gender sensitive legislative | 0 | 0 |
| Parliament effectively engaged in budget cycle, incl. undertaking oversight of key ministries expenditures | At least 3 oversight missions conducted | 0 | 0 |
| | At least 2 study missions and participation to international/regional conferences facilitated and implemented | 2 | 2 |
| UNDP ONLY: sources of evidence (as per current QPR) | | | |

² Fill in only the numbers or yes/no; no explanations to be given here.



SOMALIA UN MPTF

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|---|---|---|---|
| Sources of evidence for the above results are project staff monitoring BTORs, Training attendance sheets, training reports/curricula, monthly newsletters. Sources of evidence available upon request. | | | |
| Output 2: NFP and Parliaments of Somaliland and Puntland established as an effective institution, with a functioning administration and infrastructure and leadership supported to discharge their constitutional mandates to fairly, inclusively and transparently manage the business of Parliament and lead the Parliamentary Administration. | | | |
| # of members of Parliamentary leadership supported to manage the business of the Chamber | 2 members of Parliamentary leadership supported to develop agendas, order of business, etc. | 0 | 0 |
| | At least one inter-parliamentary mission conducted between NFP and one emerging parliament | 1 | 1 |
| | Table of legislative agenda of the session developed and approved by Parliament Leadership | 0 | 0 |
| Staff capacity increased to provide support services to Office of the Speaker & MPs | Training provided to 20 members of staff of Office of the Speaker, and Administration, Finance and IT departments | 0 | 0 |
| | At least one training provided for parliament staff on Hansard and Guideline developed; | 0 | 0 |
| | TV studio of NFP completed by end of 2016-01-27 | 0 | 0 |
| | At least 1 Trainings provided to staff of departments on finance, admin, procurement and/or new software | 0 | 0 |
| | Parliamentary financial management systems in place and working effectively (software functioning by July 2016) or (at least one department adopted software for its daily activities) | 0 | 0 |
| | | | |
| | All procurement processes completed by end of 2016 | 0 | 0 |
| | At least one training on gender empowerment conducted | 0 | 0 |
| NFP, Somaliland and Puntland Strategic Plans inclusively developed and implementation progressed | Security protocol and guideline developed and approved (can include proposal of plan for training in this area) | 0 | 0 |
| | Construction of security perimeter completed by end 2016 | 0 | 0 |
| | Guideline for production and archive of Hansard developed and approved by parliament; | 0 | 0 |
| | Hansard system fully functioning by end of 1st semester 2016; | 0 | 0 |
| | Draft of Strategic plan developed and submitted to Guurti by May 2016 | 0 | 0 |
| | GA library established by end of 2016 | 0 | 0 |



SOMALIA UN MPTF

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|--|--|----------|----------|
| Pre/Post assessment by contracted company indicates improvements against HACT criteria | 100% of recommendations re high/significant risks implemented | 0 | 0 |
| | 100% of assessment recommendations were fully adopted in 2015. | Complete | Complete |
| | Survey conducted in at least 3 regions each quarter | 0 | 0 |
| | Capacity assessment in line with HACT completed by end of 1st semester. | 0 | 0 |
| <p>UNDP ONLY: sources of evidence (as per current QPR) Sources of evidence for the above results are project staff monitoring BTORs, monthly newsletters.</p> | | | |
| <p>Output 3: MPs throughout the country are empowered to represent and remain accountable to the people and to provide leadership in a way that promotes national unity, including young people and women, participative & representative democracy and more effective contribution to political decision-making.</p> | | | |
| Improved understanding of key Public target groups of the role of Parliament and MPs | Communications and Outreach Plan developed for NFP to raise awareness with public | 0 | 0 |
| | 3 pilot Constituency Offices fully functioning by end of 2016 | 0 | 0 |
| | Minimum 1 study Tour attended by MPs | 0 | 0 |
| | One HR training delivered to MPs and staff of GA | 0 | 0 |
| Parliaments supported to develop and implement communication and outreach strategies with a particular focus on youth, women and marginalized groups | At least one targeted outreach campaign for women or youth groups organized | 0 | 0 |
| | Develop guideline for Women caucus activities and gender related activities (guidelines for gender sensitive legislation, Messaging, etc.) | 0 | 0 |
| | Youth National Conference held by end of 2016 | 0 | 0 |
| | Development of a strategic plan for Youth Parliament | 0 | 0 |
| | At least 3 activities from communication strategy implemented | 0 | 0 |
| | Targeted outreach campaigns for women and youth groups organized (at least one per group) | 0 | 0 |
| | At least 2 outreach missions conducted per quarter | 0 | 0 |
| | Minimum 2 trainings on outreach and communication | 1 | 1 |
| | One gender training | 0 | 0 |
| Website of GA/JA functioning by end of 2016 | 0 | 0 | |
| <p>UNDP ONLY: sources of evidence (as per current QPR) Sources of evidence for the above results are project staff monitoring BTORs, information posted on UNDP Somalia media, monthly newsletters.</p> | | | |



SOMALIA UN MPTF

NARRATIVE

Uncertainty related to funding has hampered the delivery of many of the project's planned activities for this quarter. Considering available resources, the narrative below provides details on priority activities implemented during this quarter.,

OUTPUT 1 (Strategic Plan Objectives): NFP and Parliaments of Somaliland and Puntland supported to enact quality legislation and to maintain effective oversight over the other branches of government according to the interests of all people and in support of peace-building and nation building.

The capacity of parliaments in Somalia, particularly of Somaliland and Galmudug, has been enhanced during this quarter with the delivery of 3 day workshops on legislative drafting. In Somaliland, 24 MPs (all men) of the House of Representatives and members of the House of Elders (Guurti) participated in the workshops. Topics covered contributed to the project's efforts of enhancing MPs' knowledge on fundamental principles and general background of legislation, principles and techniques in legislative drafting, current local legislative process, international standards and internationally recommended practices. A similar training was conducted for Galmudug state assembly committees and MPs (M:51; W:3). Third party monitoring undertaken by IBTCI showed that UNDP support was considered useful by all respondents.

1st Deputy Speaker and Secretary General of the NFP participated in an International Parliamentary Conference on Sustainability from 14-17 March 2016, in London. As a result, NFP leadership now has a better understanding of the critical role of parliamentarians in shaping and monitoring Sustainable Development Goals related to energy and sustainability, including executive performance oversight and expenditure for this area.

Members of the NFP Youth Caucus had the opportunity to engage with other parliaments and to develop a network with informed parliamentarians engaged with international development by participating in the Inter-Parliamentary Union Conference, held in Zambia from 16-25th March.

Following their participation in a 3 day induction workshop on principles of democracy and democratic society, 30 (M:22; W:8) Southwest State House of Representatives are more knowledgeable of their mandates and role.,

OUTPUT 2 (Strategic Plan Objectives): NFP and Parliaments of Somaliland and Puntland established as an effective institution, with a functioning administration and infrastructure and leadership supported to discharge their constitutional mandates to fairly, inclusively and transparently manage the business of Parliament and lead the Parliamentary Administration

Thirty nine (39) staff of NFP, including committee staff participated in Phase II of the training on Rules of Procedure (M:26; W:13). The final phase of the training will be completed in Q2 2016.

NFP has met the target on implementation of recommendations of the third party assessment of their systems and procedures resulting in positive impacts on the organizational and institutional capacities of the Parliament. During the reporting period a consultancy firm supported the completion of the ABRIMO recommendations in January 2016. The consultancy firm developed and produced a range of manuals and guidelines including HR policy and procedures, financial management and accounting, procurement management, recruitment selection policy, internal audit charter, internal controls policy and risk management guideline as well as inventory management guideline. The firm has conducted training for the heads of departments, sections and staff to help them start implementation of the policies, manuals and guidelines developed.

Communication between NFP, donors and implementing partners has been significantly improved with the provision of Video and Teleconferencing equipment. This will contribute to reduced travel costs and decrease in the exposure to security risks. Training was provided to the NFP's ICT staff on the new equipment.



SOMALIA UN MPTF

The construction of the Somaliland and Puntland Parliament's security perimeter walls are ongoing. Completion of the perimeter walls will enable MPs to fulfil their functions in a safer environment.

NFP remains committed to its continued cooperation with emerging Parliaments.

The NFP deployed 2 legal officers for one month to Galmudug Parliament to support the development and approval of the Parliamentary Agenda for its second session and the amendment of the Rules of Procedure, particularly pursuant changes in articles 12 and 81.

OUTPUT 3 (Strategic Plan Objectives): MPs throughout the country are empowered to represent and remain accountable to the people and to provide leadership in a way that promotes national unity for Somalis, including young people and women, participative & representative democracy and more effective contribution to political decision-making.

8 NFP Outreach Department Staff (M:6, W:2) received a 3 day public outreach training. The training addressed key principles, strategies, mechanisms and tools for public outreach and has enabled participants and MPs to mobilize and implement outreach activities.

An assessment mission was undertaken to the Somaliland parliament regional office in Awdal region. Amongst its findings, the mission identified the need to deliver further training to regional office staff to help them better serve as a link between MPs and the population. The objective of the visit was to meet staff and evaluate the overall situation of the regional office and outreach capacities in particular. The mission found that the regional office has established good working relations with regional administration and local district councilors. The outreach program includes two out of five universities and eleven secondary schools in the region. The office functions as a venue for discussions with committees and MPs that visit Awdal region.

Other Key Achievements

- Needs assessments of emerging parliaments have commenced. Its findings and recommendations should be completed in second quarter.
- Development of Templates for Outreach Missions of MP's for NFP, SL, and PL. Templates include pre-mission action plans and report template. NFP has approved the templates which should be used in the future missions.
- NFP representative participated as an observer in the mission for needs assessment in SW.

Challenges (incl. Delays or Deviations) and Lessons Learnt:

The delay in the approval of the AWP 2016 due to funding uncertainties has been a challenge in implementing activities during the reporting period. This prevented the development of Letter of Agreements with counterparts for the current year. However, the project and its counterparts remain committed to implementation and have prioritized capacity building activities delivered by the project's internal expertise.

Security and operational conditions in Somalia continue to hamper the project's activities both at national and regional level, particularly in emerging states where UN presence is still limited. Challenges included limited options for secure venues, difficulty in accessibility for both counterparts and project staff, and visa processes for MP's to attend international conferences.

Peacebuilding impact

N/A



SOMALIA UN MPTF

Looking ahead

With the upcoming electoral process of 2016 and the foreseen transition between mandates of Parliament, the project is working with the NFP on handover in areas such as House Records, Handover of Committees, as well as anticipation of the new parliamentary session and induction of the new MP's.

The results of the needs assessment conducted for the emerging Parliaments will provide findings and recommendations for the anticipated development of the Parliaments' multi-annual strategic plans, as well as the development of more tailored technical assistance.



SOMALIA UN MPTF

ANNEX 1. RISK MANAGEMENT

This section can be used to update or use the risk logs developed during the project development stage and provide any mitigation measures being undertaken by the project.

| Type of Risk³ | Description of Risk | Mitigating Measures |
|---------------------------------|--|--|
| Environmental Security | Outbreak of violence or serious deterioration of the security environment in Somalia. | Programme team (in close cooperation with UN MISSION and UNCT) adjusts programme activities to changes in the security situation. Use of a more regionally balanced strategy, which focuses on more equal support to all legislatures of Somalia. |
| Environmental Security | Terrorist activities force UN / UNDP to significantly reduce its activities | UNDP has reduced movement of staff between MIA and UNCC, and reallocated Programme/Operation staff to MIA. As a result of this operational challenge, project has sought alternative venues to conduct the trainings. Also mitigation measures taken by UN in Mogadishu and Garowe, such as flexible work arrangements for national staff. |
| Operational Organizational | Significant shortfall in resource mobilization from bilateral partners | Funding gap for implementation of the project activities for 2016. Uncertainty of funding has hampered the approval of the AWP 2016. The project and counterparts are working in close cooperation for resource mobilization and provision of information to donors on strategy and priorities for 2016. |
| Operational Organizational | Insufficient involvement of key stakeholders in defining and steering programme implementation | Open, consultative programme planning and development approach; close coordination with key counterparts in each region including project board meetings and endorsements of AWP by Governments counterparts; inclusion of senior stakeholder representatives on Programme Board. Risk Mitigation plans for implementation of LoAs developed and agreed with counterparts. |
| Environmental | Serious deterioration in relations between UNDP Somalia and the NFP or | Maintenance of respectful, collaborative approach and transparency; |

³ Environmental; Financial; Operational; Organizational; Political; Regulatory; Security; Strategic; Other.



SOMALIA UN MPTF

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|---------------------------------|---|---|
| Political | Government | |
| Operational Organizational | Low absorption capacity among counter-parts – slower process of capacity building than expected aligned with ongoing high expectations | Regular revisions of implementation progress and aligning expecting timing to current condition of staff and infrastructure within the institution. Conduct assessment of parliaments’ administrative capacity; include capacity development and risk mitigation measures |
| Environmental Political | Political conflicts between key state institutions or within the parliament hamper the parliament’s ability to function as a legislative body | UNSOM / international community to conduct conflict mediation |
| Environmental Political | Predominant male members of parliament fail to adopt gender-sensitive legislation | Project includes activities which should familiarize MPs with regard to gender-sensitive legislation and gender-sensitive budgeting. Project promotes activities to increase number of female MPs and empowerment of current female MPs. |
| Environmental Organizational | Trained parliamentary staff leave institution for better paid positions | Apart from training staff the project focuses on institution building incl. organizational reform, preparation of guidelines and SoPs, which shall make the parliaments resilient to staff turn-over |
| Political | National Electoral process in 2016 and transition between mandates of Parliament | Project supporting the planning of the handover and transition between Parliaments. |
| Political | Upcoming elections in SL may lead to large turnover of MPs and loss of built capacity | The Somaliland House of Elders—an unelected legislative body—postponed the presidential and parliamentary elections until April, 2017. Seventy-two of the eighty-two member legislature voted to approve the presidential and House of Representatives terms of extension to one year and ten months. |



SOMALIA UN MPTF

ANNEX 2. MONITORING AND OVERSIGHT ACTIVITIES

| Monitoring Activity | Date | Description & Comments | Key Findings / Recommendations |
|----------------------------------|--------------------|--|--|
| Project Board Meeting-Mogadishu | 11/02/2016 | This project board meeting took place in Mogadishu and participated by National Federal Parliament of Somalia, UNDP and donors. The purpose of the meeting was to discuss on activities and achievements of 2015, and approval of 2016 AWP. | <ul style="list-style-type: none"> . The board members noted the need and importance to conduct a mid-term evaluation in order to better identify and evaluate what so far has been done or achieved and come up with recommendations for follow up project continuity. . Donors reinforced the need to better explain the priorities of intervention of the project for 2016 and it was agreed that NFP and UNDP were to submit a strategic concept. . The members noted the need for further discussion on prioritization and funding of the project AWP for 2016. .The project to have a PBM in March, 2016 with donors for estimated approval of 2016 AWP. |
| Project Board Meeting-Somaliland | 7th February 2016 | This project board meeting took place in Hargeisa and participated by Somaliland House of Representatives and UNDP, and delegation from donor community. The purpose of the meeting was: <ul style="list-style-type: none"> . To receive and provide inputs to improve the implementation of the project . Past achievements and current work plan for 2015 . To compile the suggestions and recommendations from the project board meeting | <ul style="list-style-type: none"> The members of the board noted with satisfaction the level of the project delivery and the overall success for fully implementation of 2015 AWP as most targets in 2015 were reached. The members acknowledged the coordination and the working relations between the project and House of Representatives |
| Project Board Meeting-Garowe | 10th February 2016 | This project board meeting held in Garowe and partook by Puntland House of Representatives and UNDP. The aim of the meeting was to discuss on: <ul style="list-style-type: none"> . Past achievements of 2015 and current work plan for 2016 . To provide strategic guidance to the project and make necessary recommendations . To compile the suggestions and recommendations from the project board meeting | <ul style="list-style-type: none"> . UNDP uses direct implementation modality in Puntland. All funds must be implemented according to UNDP rules. . The project can only implement funds in the amount provided by donors. This year the project is underfunded . All procurements to be conducted by the HoR using UNDP/LoA funds must be monitored by UNDP staff. . UNDP advised that Puntland Parliament to invite project |



SOMALIA UN MPTF

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| | | | | staff to attend the bid opening evaluation ceremonies and technical evaluation processes. |
| Outreach Somaliland | Visit-Borama, | February 2016 | The objective of the visit was to find out what services these offices are practically providing and to identify areas which could be improved in the future and the conditions required for such to happen. | <p>The Somaliland HoR regional office has established an outreach program with two out of the five universities and 11 secondary schools in the region.</p> <p>The office functions as a venue for discussions when parliamentary committees and MPs</p> <p>Staff indicated that they need more training in order to better serve as a link between MPs and population.</p> <p>There is need for the HoR to maintain regional parliamentary offices that are accessible to all constituents, regardless of party affiliation, since the offices are channels for the public to participate and to serve as two-way communication mechanism.</p> |



SOMALIA UN MPTF

ANNEX 3. TRAINING DATA

| # | Target Group | | Dates | # of participants | | Title of the training | Location of training | Training provider |
|-----------------|---|--------|--------------------------|-------------------|----|---|----------------------|---|
| | Ministry. District or UN staff | Others | | M | F | | | |
| | | | | | | | | |
| Output 1 | | | | | | | | |
| 1. | Somaliland House of Representatives | | 8-10 February 2016 | 24 | 0 | Training of Legislative Drafting | Hargeisa | Valentina Hoxha - UNDP Legal Officer |
| 2. | Galmudug State Assembly | | 05 – 07 March 2016 | 51 | 3 | Three day workshop on Legislative drafting | Adado | Mr. Mohamed Ali Farah Research Officer - PSP |
| 3. | Southwest House of Representatives | | 20, 22 and 23 March 2016 | 22 | 8 | Three days induction workshop on principles of democracy and democratic society | Baidoa | UNDP/UNSOM Mohamed Ali Farah, Kimberly, Jibril, Warsame and Usman Dogar |
| Output 2 | | | | | | | | |
| 4. | National Federal Parliament | | 21 – 28 March 2016 | 26 | 13 | Phase II - Training on Rules of Procedure | Mogadishu | Mr. George Crawford UNDP-PSP |
| Output 3 | | | | | | | | |
| 5. | National Federal parliament outreach department | | 15 – 17 February 2016 | 6 | 2 | Three days training on Public Outreach | Mogadishu | Mr. Abdullahi Ibrahim – Outreach and Civic engagement officer - PSP |
| Total | | | | 129 | 26 | | | |