



SOMALIA UN MPTF

PROGRAMME QUARTERLY PROGRESS REPORT
Period: Q3 2016

Project Name	Pilot Project to Strengthen Service Delivery Through Federal Government Systems, Federal Member States and Interim Regional Administrations (National Window)
Gateway ID	00100391
Start date	17 th February 2016
Planned end date (as per last approval)	17 th September 2017
Focal Person	(Name): Ali Haji Adam, Programme Coordinator, Special Financing Facility for Local Development, FGS Ministry of Finance
	(Email): alihaji@sff-id-mof.so
	(Tel): +252-615746161
PSG	PSG 5: Revenue & Service
Priority	<p>(1) Building legitimate public sector institutions and the strengthening of central-regional links, by showing that the FGS is investing in its regions and its people.</p> <p>(2) Strengthening government systems to demonstrate they can work and increase donor trust in, and use of country systems.</p> <p>(3) Supporting creation of essential rule of law and other public infrastructure in one of the most war-affected parts of the Somali territory.</p>
Milestone	<ol style="list-style-type: none"> 1. The Project Implementation Unit of the Ministry of Finance successfully managed the rehabilitation / construction of two small-scale infrastructures in three Member State / Interim Regional Administration and identify third sub-project. 2. The Project Implementation Unit developed a successful communication campaign to promote the work of the FGS/IRAs in rehabilitating infrastructures 3. PIU and FMSs/IRAs civil servants use participatory monitoring tool to measure the satisfaction of citizens with the rehabilitated infrastructures 4. The PIU's project cycle management and financial management systems are strengthened through tailored trainings and on the job coaching.
Location	Somalia
Gender Marker	2 (Sub- projects gender equality as a significant objective)

Total Budget as per ProDoc	US\$ 2,062,083
MPTF:	US\$ 2,062,083
	PBF: US\$ 2,062,083 (all funding from PBF channeled through MPTF)



SOMALIA UN MPTF

Non MPTF sources:	Trac: N/A
	Other: N/A

Total MPTF Funds Received			Total non-MPTF Funds Received	
PUNO	Current quarter	Cumulative	Current quarter	Cumulative
FGS Ministry of Finance	0	899,408	0	0

JP Expenditure of MPTF Funds ¹			JP Expenditure of non-MPTF Funds	
PUNO	Current quarter	Cumulative	Current quarter	Cumulative
FGS Ministry of Finance	22,437	33,866	0	0

SITUATION UPDATE

The Pilot project under the national funding stream has started its implementation in April 2016. The political situation in Somalia has not directly affected the project during the reporting period since the ground work has not yet started, rather the project has been progressing with the assessment and design phase. However, implementation may be affected at the time of implementation. Therefore, the Ministry of Finance/Project Implementation Unit has developed a comprehensive project risk management plan to address challenges expected to be encountered during implementation.

The government, the World Bank (WB) and the UN are working together to coordinate the intervention and cooperate to avoid any overlapping and apply an integrated approach to address issues and ensure a smooth implementation of the parallel projects that are being implemented by the Project Implementation Unit at the Ministry of Finance.

Both projects are running concurrently in order to benefit from economies of scale and complement each other.

¹ **Uncertified expenditures.** Certified annual expenditures can be found in the Annual Financial Report of MPTF Office (<http://mptf.undp.org/factsheet/fund/4SO00>)



SOMALIA UN MPTF

QUARTERLY PROGRESS REPORT RESULTS MATRIX

OUTCOME STATEMENT

PSG 5: Revenue and Services

Strategic Objectives: Build public service capacity to raise revenues, manage resources and ensure the provision of streamlined quality services in an accountable and transparent manner that guarantees inclusiveness and equity.

SUB-OUTCOME 1 STATEMENT

Somali citizens in the target locations perceive their Regional Authorities and the Federal Government of Somalia as being more legitimate thanks to the improvement of infrastructures in their communities.

Output 1.1: The Project Implementation Unit of the Ministry of Finance successfully managed the rehabilitation / construction of two small scale infrastructures in two Member State / Interim Regional Administration

INDICATOR	TARGET	PROGRESS ON OUTPUT INDICATOR ²	
		THIS QUARTER	CUMULATIVE 2016
PIU management of rehabilitation of court house, solar streetlights in three districts of Benadir and one other small-scale infrastructure to be identified.	3 (target revised from 1 in the prodoc to 3 in order to match with the number of infrastructure projects)	2 <ul style="list-style-type: none"> o PIU Engineer accompanied by ECHOTEC team and Jubbaland administration did a site visit and agreed on the designs of the courthouse. o The technical assessment along with the preliminary design have been completed for both projects (Kismayo Court House and Benadir street lights) in Q3 and the design is ongoing – expected to be completed in October 2016. 	2

Sources of evidence (as per current QPR) Bidding and evaluation report, Notice of award, assessment reports and preliminary design report prepared by ECHOTECH and reviewed by PIU.

² Fill in only the numbers or yes/no; no explanations to be given here.



SOMALIA UN MPTF

Output 1.2: The Project Implementation Unit developed a successful communication campaign to promote the work of the FGS/IRAs in rehabilitating infrastructures.			
Output 1.2.1. Indicator: Number of Public Service Announcements are aired on popular radios in target locations where infrastructures are being rehabilitated/constructed	3	0 PIU is in the process of developing a communication strategy that would inform a broader audience on SFF-LD activities.	0
Output 1.2.2. Indicator: PIU Facebook page	Targets set on the draft M&E Plan: a) Page created b) Min. 3 posts per week during project implementation c) At least 1000 likes by the end of the project	(a) Facebook page has been created.	(a) completed
Sources of evidence (as per current QPR) PIU draft communication strategy plan.			
Output 1.3: PIU and IRA civil servants use participatory monitoring tool to measure the satisfaction of citizens with the rehabilitated infrastructures			
Output 1.3.1. Indicator M&E capacity of PIU and target IRA staff	PIU staffs to undertake 2 M&E trainings.	<ul style="list-style-type: none"> o PIU, has an M&E specialist, very much conversant with monitoring and assessing peace building activities. o PIU team so far, received 2 trainings on M&E. 	2 trainings on M&E
Output 1.3.2 Indicator: Number of community consultations held by PIU and JA staff	One (1) community consultation to be held.	0 No community consultation held so far, however an expert on community engagement recruitment is at its final stage.	0
Sources of evidence (as per current QPR) TOR developed for community engagement expert, PIU Capacity assessment need on M&E, draft M&E plan developed			



SOMALIA UN MPTF

SUB-OUTCOME 2 STATEMENT

National systems strengthened with the Ministry of Finance’s PIU established as a sound project management model able to deliver tangible deliverables thereby improving its credibility with the donors.

Output 2.1

Output 2.1.1 Indicator: Number of donors who express interest in replicating the PIU model in other FGS and/or IRA line ministries increases by 20% by the end of the project	20% increase of the number of donors to express interest in replicating PIU MOF	0% ○ Priority plan of the new funding indicates funds being channeled through the UN and National window (no percentage indicated) ○ UN updates the MPTF donors monthly on the national window and there is a noticeable interest on the progress made under MPTF stream.	0%
PIU disbursement rates	At least 85%	No cash flow for the quarter has been developed. However, the PIU disbursement rate is on target and in line with the plan of activities.	
PIU accuracy of financial forecasting	No more than 20% variation on budget lines	0% deviation Financial accuracy is on target – no variations from the budget lines	

Sources of evidence (as per current QPR): MPTF donor briefing/updates, financial reports

Output 2.2: The PIU’s project cycle management and financial management systems are strengthened through tailored trainings and on the job coaching

Number of narrative and financial reports delivered on time and all information provided	All reports delivered on time and with all information provided Target for 2016: 3 narrative reports and 2	Two (2) narrative reports (Report to the Project Steering committee and Q3 MPTF) and one (1) financial report were delivered on time to date.	Two narrative reports and one financial report
--	---	---	--



SOMALIA UN MPTF

	financial reports		
Financial Reporting	financial reports are accurate	All financial reports are generated from FGS FMIS & ATLAS on the basis of which the MPTF template is filled. Expenditure have been in line with the MPTF/PBF budget allocation	
Sources of evidence (as per current QPR): Quarterly narrative reports and financial report prepared by PIU and EAFS respectively.			

NARRATIVE

The present report focuses on the progress achieved in implementing the pilot project to strengthen public service delivery through federal government systems for the third quarter of 2016. The Project implementation Unit (PIU), housed in the Federal Ministry of Finance has been tasked to execute small-scale infrastructural sub-projects on behalf of the federal government to prove to the broader stakeholder community, that the latter has the capacity to deliver services to its citizens and contribute to the peace building process in Somalia.

In ensuring all the outcomes agreed in the project document are met, the PIU accomplished series of activities that are both geared towards laying right foundations and incrementally contributing to the projects core objectives.

Production of an integrated workplan: In an effort of harmonizing streams of work arising from both UN and World Bank activities, PIU generated an integrated work plan that clearly highlights areas where the two Fund’s activities could cooperate and remove duplication of efforts and resultant wastage of resources. The unified work plan also provides PIU management with clear guidelines on achieving multiple tasks through division of labor among its staff and ease subsequent follow up of each activity by the unit’s management.

Establishment of program steering committee: Upon request of the UN, the PIU worked closely with Federal Member States (FMS) and Interim Regional Administrations (IRA) in nominating a representative for the program steering committee. The core responsibility of the SC is to approve SFF-LD plans, annual budget, reviewing SFF-LD performance and provide advice on SFF-LD management and future program operations in light of lesson learned from activity implementation.

On 19 July, the SC for SFF-LD met for the first time to discuss achievements made and challenges encountered in SFF Norway project and to be on the same page on how the SFF-LD program is structured. In addition, the SC was convened to discuss and approve key items; PIU annual Work plan, PIU Annual operational budget, PIU procurement plan, suggested next phases of SFF-LD regional interventions, and lastly and most importantly SC TOR. Participation comprised of the Minister for Finance as the chair of the SC, PIU staff and delegates from regions including Jubbaland, Southwest, Hiiraan & M.Shebelle, Galmudug and Benadir. Puntland representative was unable to attend the SC meeting and sent an apology through the PIU project coordinator.

Selection of an Engineering consulting firm: In total, 15 firms sent expressions of interest (EOI) for the development of



SOMALIA UN MPTF

preliminary and detailed engineering designs and bill of quantities (BoQs). The main services with which the selected firms are to provide the program are review of the set of investment priorities that the regions have identified, preparation of preliminary designs with detailed engineering designs and associated cost estimates, and administration of environmental impact assessments for the sub-projects. In the absence of a FGS procurement legal framework (FGS Procurement Act was still in draft at the time of acquiring the services of a design and supervision firm), WB procurement processes have been followed, provided that the PIU will be acquiring infrastructure projects for both the WB and UN. To ensure the bid opening and evaluation were undertaken in a fair and transparent manner, the bid evaluation committee members were drawn from PIU, EAFS (External Assistance Fiduciary Support Unit) and Procurement Department of the MOF. After a thorough bid evaluation, mainly encompassing assessing firms' technical capabilities and financial estimates for the service, ECHOTEC was awarded the contract. No-objection from the WB has been obtained.

Prior to the issuance of the Notice of Award to ECHOTEC, a series of meeting occurred between the PIU and ECHOTEC to discuss on how the assignment is to be rolled out in different sub-project locations. The main discussion focused on the practicality of the work schedule prepared by ECHOTEC and deliverables expected from the consultant for the duration of the contract.

PIU Capacity building: In the first phase of SFF-LD program, PIU capacity building was highly prioritized. In light of this, PIU undertook an internal capacity assessment exercise whereby staff were required to list all relevant areas where capacity injection was needed. The consolidated capacity assessment report highlighted capacity within the PIU, types of training each individual PIU staff required to dispense work effectively, appropriate mode of training.

During 18th -21st September 2016, a team from the UN provided an intensive four-day custom tailored workshop in Mogadishu for PIU staff. The training covered Project Cycle Management, Monitoring and Evaluation of sub projects, Public Procurement, Financial & Progress Reporting, Auditing, Risk Management, and Do No Harm. One-to-one training during Q4 will be provided to the M&E Officer who was not able to attend this training.

Recruitment of Regional project officers: In the project document, recruitment of a regional project officer was prioritized for active FMSs and IRAs to support the PIU team in the development, monitoring, reporting, and to liaise with the local administration on all active SFF-LD activities in the region. In the process of engaging regional project officers, PIU developed a ToR for the position and published the vacancy notice. In total, five were shortlisted for each FMS/IRA. PIU staged intense vetting processes for the shortlisted candidates comprising of written and oral assessments following which a candidate was selected to assume the PIU role in each of the five FMSs.

Infrastructural sub-project progress:

Kismayo: On 4 August 2016, a team of engineers led by the SFF-LD engineer visited Kismayo to kick-start the preliminary and detailed design of the Regional Court. The team consisted of engineers, architects and environmental experts from Ecotech, the consulting engineering firm contracted to carry out the design of the new Regional Court.

The team conducted a consultation meeting with all relevant authorities of Jubbaland administration, including the Deputy Minister of Justice and Religious Affairs, Mr. Aden Insar, Chairman of the Appeals Court, Chairperson Lower Court and Attorney General of Jubbaland State. During the meeting, various design options were discussed and agreement was reached on the design of a 2-storey building consisting of 32 rooms complete with auditoriums, judge platforms, latrines, parking, and entrance and exit gates. A rain-water storage reservoir was also included in the design.



SOMALIA UN MPTF

Banadir: On 3 August, a kick off meeting for the design work for the Banadir Solar streetlights Projects was held in Banadir Regional Administration (BRA) offices. In an effort to harmonize the Solar projects in Banadir region, an agreement was made for BRA team to provide specifications for the poles, solar panels and batteries. Additionally, it was agreed that the poles to have concrete base to withstand damage.

Other Key Achievements

- Revamping of SFF website: PIU restructured the SFF website which is seen as strategic in communicating SFF-LD activities target audiences, and mounting support and legitimacy among the populace for both the local administrations and the central government.
- Support provided to other PIUs: PIU has been supporting BRA in its urban investment project funded by the World Bank. PIU has provided significant advisory support to BRA on the recruitments of staff. Moreover, PIU has given BRA guidance on setting up of project structures, efficient channels of engaging project stakeholders and shared lessons learned recorded by SFF-LD throughout implementation of its infrastructure sub-projects.

Challenges (incl: Delays or Deviations) and Lessons Learnt:

Since the program is at its initial phase of execution and implementation has not yet commenced, few challenges have been reported at program level. However, a significant issue reported is the systems that exist within the government to support the smooth execution of program activities. Despite the introduction of financial management information system (FMIS), a slow process of payments for project activities has been reported which has resulted in payments being processed manually at the ministry level. In the long run, delays on payments would have a negative impact on completion of sub-project activities within set timeline. With regards to the payment processes, a PIU Financial Management Specialist has been trained on usage of the system which is expected to speed up processes and ensure payments of both vendors and staff are settled in real time.

Delays in project implementation: SFF-LD could not roll out community consultations during the reporting period. Delays emanated from the need to hire a community engagement consultant which was not originally envisaged in the project document. It was later deemed necessary to bring on board a consultant to help PIU in building the capacity of regional project officers as well as to lead the community consultation sessions for the identification of priority projects. The recruitment of the consultant has been initiated which PIU is expecting to finalize in early November.

Recruitment of regional project officers (RPO): Initially, the plan was to hire an RPO for each active region. However, following lengthy discussions between SFF-LD management, UN and WB, an agreement was reached to bring on board a RPO for each FMS/IRA. This decision was guided by the fact that experience and skills made in one region could be rolled out in the next active region of the same FMS/IRA.

Peacebuilding impact

The pilot project was designed as part of the larger effort to rebuild the state-citizen relations. The use of the national window for channeling the funding and for the implementation of these small scale infrastructure projects will contribute to this objective as Somali citizens in the target locations will be able to associate improved access to services thanks to the Federal Government's actions. During the reporting period, assessments to reconstruct the Kismayo regional and district court house were undertaken. The rehabilitation of the court house will contribute to improve the delivery of and access to justice in Kismayo and Lower Jubba and thus to the realization of PSG 3 on Justice. Similarly, an assessment was conducted to rehabilitate street lights in Benadir. This



SOMALIA UN MPTF

<p>rehabilitation of the street lights is expected to reduce insecurity and improve economic activity in the neighborhoods targeted by this activity. This will contribute to the realization of PSGs 2 and 4.</p>		
<p>Catalytic effects: The pilot project has demonstrated to some level the capacity of FGS's national systems to manage small scale infrastructure projects. This has triggered donor community to channel more funds into country systems. This is evident in the additional PIU project model that has come up including SCORE, urban investment funded through WB MPF.</p>		
<p>Gender In an effort of encouraging female candidates to show interest in the Regional Project Officer position, PIU added a clause in the advert to incentivize more female candidates to apply.</p>		
<p>Proportion of gender specific outputs in Joint Programme³</p>	<p>Total no. of Outputs</p>	<p>Total no. of gender specific Outputs</p>
	<p>4</p>	<p>0</p>
<p>Proportion of Joint Programme staff with responsibility for gender issues⁴</p>	<p>Total no. of Staff</p>	<p>Total no. of staff with responsibility for gender issues</p>
	<p>9</p>	<p>0</p>
<p>Communications & Visibility: SFF-LD has developed a communication strategy for the UN MPTF and WB programs to reach the local population, raise awareness, build relationship with various stakeholders and to set standards for clear and consistent messaging and promote transparency. The strategy also aims to raise awareness about SFF-LD's objectives, activities and contribution to the local community, as well as the MoF/FGS's initiatives/intentions to strengthen state legitimacy and government effectiveness.</p> <p>On 19 July 2016, the 1st Steering Committee meeting was held in Mogadishu. To cover this important event and in an effort of raising awareness about SFF-LD activities, Somali National TV (SNTV) and Universal TV were invited. A press release was also widely distributed to diverse stakeholders.</p> <p>Furthermore, the process of revamping of SFF-LD website has commenced with the goal of aligning the programs' website with that of the UN MPTF. SFF-LD is expecting to hold Community Consultations. Program materials, such as pamphlets, booklets and other materials, will be developed to enhance visibility.</p> <p>There are some limitations in measuring public perception due to safety concerns and therefore SFF-LD will not be able to approach ordinary citizens, for instance in Baidoa or Kismayo, to measure public perceptions. The only opportunities SFF-LD has to measure perception or the image of SFF-LD is through community consultations. The program intends to use social media (Facebook and twitter) to engage with the public.</p> <p>PIU is planning to procure high resolution video cameras for communication and M&E staff to record community consultations and communicate sub-project impacts.</p>		
<p>Looking ahead: SFF-LD is planning to make the best out of the planned community consultations, with regards to enhancing communication and visibility of SFF-LD activities. The plan underway is to air the event on national television. Messaging tools; posters, booklets, fliers and other materials will be developed to heighten visibility.</p>		

³ Gender Specific Outputs are those that are specifically designed to directly and explicitly contribute to the promotion of Gender Equality and Women's Empowerment.

⁴ Staff members are those contracted to undertaken work for the Joint Programme including full time staff, consultants, advisors, interns, etc. Staff members with responsibility for gender issues are those who have gender related activities included in their Terms of Reference.



SOMALIA UN MPTF

ANNEX 1. RISK MANAGEMENT

Type of Risk ⁵	Description of Risk	Mitigating Measures
Security		
Risk assessment as per project Doc.	Security incidence at the project location; clan conflict, armed conflict and terrorist activities.	<ul style="list-style-type: none"> ○ Regular review of security measures. ○ Local authority to maintain security of project area as per project agreement. ○ Recruit project officers who are conversant with the locality.
Risk reporting for Q3	No risk reported during this reporting period.	
Environmental and Economic shock		
Risk assessment as per project Doc.	This can be informing of inflation and natural calamities.	<ul style="list-style-type: none"> ○ SFF-LD has taken into account possible economic shock during budget allocation for sub-projects. ○ PIU through a consulting firm to conduct environmental impact assessment prior commencement of subproject implementation.
Risk reporting for Q3.	No risk reported during this reporting period.	
Political Risk: Strained FGS and Regional relations		
Risk assessment as per project Doc.	Overlapping governmental structures and absence of guidelines on governments (FGS and FMS's role and responsibility on project formulation, design, implementation and monitoring.	<ul style="list-style-type: none"> ○ Close coordination between MOF and project counterpart ministries at FMS's. ○ Clear guideline on the roles of different offices in both FGS and regional entities. ○ Regional authorities participating in steering committee meetings.
Risk reporting for Q3	Confusion aroused around which organ in the FMS and IRA to host the SFF-LD project.	SFF-LD management reached out to the highest office in the FMS and IRA to provide guidance and nominate a representative for the project SC members.
Financial		
Risk assessment as per project Doc.	Risk of losing financial resources / Fiduciary risk, this could happen	<ul style="list-style-type: none"> ○ Share with our partners the relevant policy on UN suppliers code of conduct.

⁵ Environmental; Financial; Operational; Organizational; Political; Regulatory; Security; Strategic; Other.



SOMALIA UN MPTF

	through mismanagement of funds by the implementing agency and failure of the government to implement transparent measures and systems	<ul style="list-style-type: none"> ○ Check the complaining mechanisms and be prepared to address any complaint on timely manner ○ EAFS/PFM/PPM control systems of checking through financial documentations, to ensure there are no misappropriation of funds and resources are used for intended purpose.
	Risk of Asset loss, this can happen inform of; misplacement of assets, lack of regular physical verification, diversion of assets, lack of safeguards.	<ul style="list-style-type: none"> ○ Establish strong asset management systems; ○ Transfer of assets to the beneficiaries to be made on timely manner and proper record to be kept for future reference. ○ Physical verification of assets under the use of project or UN staff in the field to be organized twice a year.
Risk reporting for Q3	No risk reported during this reporting period.	
Operational		
Risk assessment as per project Doc. Risk reporting for Q3	Weak Internal Controls and internal audit function, this could possibly arise due to poor documentations and lack of segregation of responsibilities. No risk reported during this reporting period.	Enhanced assurance activities (field monitoring, spot checks, programme monitoring and audit) will be applied to the activities implemented by the RP according to the UNDP Policies.
	Weak procurement processes.	All procurement under the UN National Funding Stream shall follow the FGS Public Procurement Procedures.
Risk reporting for Q3	There was confusion around what type of procurement process has to be made use of in procuring IT equipment.	SFF-LD developed a comprehensive procurement plan and sought guidance from UN to what type of procurement procedure is appropriate in purchasing IT equipment.
	Risk of Bid Security Fraud (or fraudulent bidding), fraudulent activities around bidding arising from contractors and corrupt bidding committee members	<ul style="list-style-type: none"> ○ Establish standard procedure for SWIFT verifications of Bid Securities ○ engage the Monitoring Agent for security bid verification ○ Rigorous reference checking of previous work done by the company. ○ Putting in place a robust and practical complaint handling mechanism. ○ To publish EOI to the public using diverse channels. ○ Clear Specifications of materials and products in the contract.



SOMALIA UN MPTF

		<ul style="list-style-type: none"> ○ Inspection and approval of project materials prior to usage. ○ Testing and inspection procedures for project output be adopted. ○ Payment be tied to the quality and milestones of work done.
Risk reporting for Q3	No risk reported during this reporting period.	
Organizational		
Risk assessment as per project Doc.	Weak capacity and resources within PIU, this may take the form of inadequate staffing and lack of qualified staffs within PIU.	PIU to conduct periodic capacity assessment to gauge the capacity level of PIU staffs, identify the capacity gaps and allocate funds in the project to organize training programs (on the job training, workshops and staff exposure).
Risk reporting for Q3	PIU staffs, through individual assessment reported capacity gaps that existed within the program.	A custom tailored training was developed with UN, a team from UN trained SFF-LD staffs on range of trainings; project cycle management, monitoring and evaluation, risk management, Do no harm, financial reporting, public procurement and reporting.
Risk assessment as per project Doc.	Risk of poor quality of project deliverables; this can arise from weak performance of contractors and deviation from TS/TORs.	PIU Develop detailed technical specifications for the infrastructure work (three projects under the pilot project); technical specifications for purchase of equipment to justify the purpose (without identifying the brand); TORs for consultancy work etc.
Risk reporting for Q3	No risk reported during this reporting period.	
Risk assessment as per project Doc.	Risk of delay in project implementation, the scenario is mainly caused by poor planning and unforeseen external shocks.	SFF-LD has set- up a detailed project-monitoring plan and has generated quarterly MPTF progress and financial report on time.
Risk reporting for Q3	In this quarter, SFF-LD has recorded delays on some core activities, delays on engineering consulting firm to finalize preliminary designs. This was mainly caused by bureaucracy within the payment procedures that brought about delays on payments of fees to the firm.	A bilateral discussion has been initiated by WB with the government to expedite payment processes within the national system. At program level PIU Financial Management Specialist has been trained on usage of FMIS, this is expected to speed up the processes and ensure payments of both vendors and staff remuneration are settled in real time.



SOMALIA UN MPTF

Regulatory		
Risk assessment as per project Doc.	Conflict of interest, which may arise from SFF-LD staffs and bidding committee.	- PIU staff, contractors to signed off declaration of confidentiality or conflict of interest; - The PIU and the MOF to regulate by policy the procedures on the conflict of interest as part of FGS financial and procurement manuals
Risk reporting for Q3	No risk reported during this reporting period.	



SOMALIA UN MPTF

ANNEX 2. MONITORING AND OVERSIGHT ACTIVITIES

Monitoring Activity	Date	Description & Comments	Key Findings / Recommendations
Programme oversight field monitoring visit		No such activity was reported on this quarter.	
Program Steering Committee meeting	19 th July 2016	The SC for SFF-LD met for the first time in 2016, to discuss the achievements made and challenges encountered in SFF Norway project and be on the same page on how the SFF-LD program is structured.	In the meeting, SC discussed and approved key items; PIU annual Work plan, PIU Annual operational budget, PIU procurement plan, suggested Next phases of SFF-LD regional interventions and lastly and most importantly SC TOR.
DIM Audit		No auditing exercise happened in this reporting period.	
Independent Evaluation		The program is at its inception stage; independent evaluation will be done once core activities records implemented.	
Engineering site visit (Kismayo)	4 th August 2016	A team of engineers led by SFF-LD engineer went to visit Kismayo to kick-start the preliminary and detailed design of the district and regional Court.	In the site visit, Ecotech Engineers, SFF-LD engineers and Jubbaland authorities came to an agreement to the design of a 2-storey building consisting of 32 rooms complete with auditoriums having judges' platforms, latrines, parking area, entrance and exit gates. Aside from that, it was decided the design should also include rain-water storage reservoir.
Engineering site visit (Baidoa)	3 rd , August 2016	A kick off meeting was held in BRA offices, for the design of work for Banadir Solar Projects.	What followed the brief meeting was a site visit by SFF-LD staffs accompanied by ECOTECH engineers and Banadir Officials. In an effort to harmonize the Solar projects in Banadir region, an agreement was made, whereby BRA team to provide specifications of the poles, solar panels and batteries. Additionally, it was agreed that the solar poles to have concrete base to withstand damage.
Stakeholder Review Consultation			



SOMALIA UN MPTF

ANNEX 3. TRAINING DATA

#	Target Group		Dates	# of participants			Title of the training	Location of training	Training provider
	Ministry. District or UN staff	Others		M	F	Total			
	1.	PIU, MoF and other government officials		18-22 September 2016	5	3	8	<p>Programme/Project Cycle Management</p> <ul style="list-style-type: none"> ✓ General overview of Programme/Project Cycle ✓ Justifying & defining a project ✓ Initiating a project ✓ Implementing a project ✓ Closing a project <p>FGS Public Procurement procedures</p> <ul style="list-style-type: none"> ✓ General Procurement Overview ✓ Procurement Ethics ✓ Procurement Methods ✓ How to write good Technical Specifications, Terms of Reference and Work requirements ✓ Bidding documents ✓ Bidding process ✓ Bid Evaluations ✓ Contract award and management <p>Monitoring, Evaluation and Reporting</p> <ul style="list-style-type: none"> ✓ Situating M&E in PCM ✓ Results chain ✓ Intervention logic ✓ Log Frame ✓ Theory of Change ✓ Indicators (SMART, KISS) ✓ Monitoring Plan (exercise): designing national window M&E plan ✓ MPTF reporting template <p>Communication and Visibility</p>	UNSOM compound



SOMALIA UN MPTF

#	Target Group		Dates	# of participants			Title of the training	Location of training	Training provider
	Ministry. District or UN staff	Others		M	F	Total			
							<ul style="list-style-type: none"> ✓ Introduction ✓ Principles & Guidelines/ Existing Material Exercise: Draft Example Communication & Visibility Plan Do no-harm approach ✓ Introduction ✓ Seven Lessons of DNH Presentation of framework ✓ Case Study Risk Management & Audit ✓ Risk Management Standards ✓ Project Risks & Monitoring ✓ Group work on Risk analysis ✓ General Audit requirements and procedures 		
2.	PIU, SFF-LD		12 April	3	3	6	Induction workshop MPTF <ul style="list-style-type: none"> - Project Development & Financial Flow - Financial Management & Disbursement - MPTF National Window Somalia Public Procurement - Monitoring & Evaluation Projects, MPTF national Window Stream - Audit, Oversight and Risk Management - Reporting on MPTF National Window 	Chelsea Village at MIA	Merita Jorgo
Totals:				8	6	14			