



PEACEBUILDING FUND

IRF project Budget or Duration Revision with No Overall Cost Implication¹

<p>Project Title: “Cross-border Cooperation for Sustainable Peace and Development (Tajikistan)”</p>	<p>Recipient UN Organization(s) in Tajikistan: WFP Tajikistan, FAO Tajikistan, UNICEF Tajikistan, UNDP Tajikistan, UN Women Multi-Country Office for Central Asia in Almaty, Kazakhstan (covering interventions in Kyrgyzstan and Tajikistan)</p> <p>Strategic partners: UNRCCA, OHCHR Regional Office for Central Asia, UN Women in Tajikistan</p>
<p>Project Contact in Tajikistan: Oleh Protsyk, Peace and Development Advisor Address: 39, Ayni St., Dushanbe, Tajikistan, 734024 Telephone: +992 (44) 600 56 00 ext. 203 E-mail: oleh.protsyk@undp.org</p>	<p>Implementing Partner(s) in Tajikistan: Ministry of Foreign Affairs of the Republic of Tajikistan (Co-chair of the PBF Joint Steering Committee)</p>
<p>MPTF Office Project Number: <i>Use existing MPTF project number</i></p>	<p>Project Location: Pilot village clusters with high cross-border tensions in Batken province of Kyrgyzstan and Sughd province of Tajikistan (see more details in the original Prodoc, table under section II.):</p>

¹ Please use this form ONLY to request (i) an extension of project implementation time with no cost increase and no substantive scope outcome change or (ii) a budget reallocation within the existing project budget with an effect of more than 15% on any budget category and no substantive outcome change.

<p>Project Description:</p> <p>The project aims to increase cooperation and trust between communities in pilot Tajik-Kyrgyz village clusters towards mitigating immediate risks of renewed cross-border violence.</p>	<p>Total Project Cost: USD 5,859,972.00 Peacebuilding Fund: USD 3,000,000 (UN in Tajikistan: USD 1,400,000; UN in Kyrgyzstan: USD 1,400,000²;</p> <ul style="list-style-type: none"> • WFP Tajikistan: \$ 300,000 • FAO Tajikistan: \$ 100,000 • UNDP Tajikistan: \$ 725,000 • UNICEF Tajikistan: \$ 275,000 • UN Women: \$200,000 <p>SDC: USD 2,023,410 Other: USD 836,561.93 (UNDP Bureau for Policy and Programme Support – funds allocated for cross-border interventions in Kyrgyzstan and Tajikistan)</p> <hr/> <p>Project Start Date: 15 December 2015 Initial Project End Date: 14 June 2017 Revised End Date (if applicable): 14 December 2017</p>
<p>Gender Marker Score³: <u>2</u> <i>Score 2 for projects that have gender equality as a significant objective.</i></p>	
<p>Project Outcomes: <i>Outcome 1: Cooperation and trust between communities increased towards mitigating risks of renewed violence</i></p>	
<p>PBF Focus Area⁴ which best summarizes the focus of the project: Promote coexistence and peaceful resolution of conflicts (Priority Area 2): 2.3 Conflict prevention/management</p>	

² UN Women MCO in Almaty manages and oversees interventions in both countries from Khujand (Tajikistan), hence related cost such as staffing incur in Tajikistan. Funds for programmatic activities are used equally on both sides of the border. UN Women MCO in Almaty is responsible for cross-border work in both countries, therefore allocation of the entire amount of USD 200,000 was made to this office under the PBF IRF submission of Tajikistan instead of splitting the amount into 2 separate allocations for both countries.

³ PBSO monitors the inclusion of gender equality and women’s empowerment all PBF projects, in line with SC Resolutions 1325, 1888, 1889, 1960 and 2122, and as mandated by the Secretary-General in his [Seven-Point Action Plan on Gender Responsive Peacebuilding](#).

⁴ PBF Focus Areas

1: *Support the implementation of peace agreements and political dialogue (Priority Area 1):*
(1.1) SSR, (1.2) RoL; (1.3) DDR; (1.4) Political Dialogue;
2: *Promote coexistence and peaceful resolution of conflicts (Priority Area 2):*
(2.1) National reconciliation; (2.1) Democratic Governance; (2.3) Conflict prevention/management;
3: *Revitalise the economy and generate immediate peace dividends (Priority Area 3):*
(3.1) Employment; (3.2) Equitable access to social services
4) *(Re)-establish essential administrative services (Priority Area 4)*
(4.1) Strengthening of essential national state capacity; (4.2) extension of state authority/local administration; (4.3) Governance of peacebuilding resources (including JSC/PBF Secretariats)

(for Peacebuilding Fund (PBF) Immediate Response Facility (IRF)-funded interventions implemented in Tajikistan)*

Note: While this cross-border cooperation project is jointly implemented by UN organizations in Kyrgyzstan and Tajikistan, RUNOs in each country engage with their respective national counterparts to achieve common project objectives. Signing this form below therefore confirms the agreement between RUNOs in Tajikistan and their national counterparts to continue implementation of respective activities in cross-border areas of Tajikistan. All infrastructure objects that will be constructed in border districts between Tajikistan and Kyrgyzstan under this project will not affect the process of delimitation and demarcation of Tajik-Kyrgyz state border

<p>UN Resident Coordinator in the republic of Tajikistan (RC) Name of Representative: <u>Pratibha Mehta</u> Signature _____ Date & Seal <u>12/07/17</u></p> 	<p>Representative of National Authorities Name of Government Counterpart: <u>Sirodjidin Aslov</u> Signature _____ Title <u>Minister of Foreign Affairs of the Republic of Tajikistan</u> Date & Seal <u>14.07.2017</u></p> 
<p>Recipient UN Organization(s) Name of Representative: <u>Lucia Elmi</u> Signature <u>Lucia Elmi</u> Name of Agency <u>United Nations Children's Fund (UNICEF)</u> Date & Seal _____</p> 	<p>Recipient UN Organization(s) Name of Representative: <u>Elaine Conkievich</u> Signature _____ Name of Agency: <u>UN Women Multi-country office in Kazakhstan (Central Asia)</u> Date & Seal <u>17/7/17</u></p> 
<p>Recipient UN Organization(s) Name of Representative: <u>Paolo Mattei</u> Signature _____ Name of Agency <u>UN World Food Programme (WFP)</u> Date & Seal <u>12/7/17</u></p> 	<p>Recipient UN Organization(s) Name of Representative: <u>Viorel Gutu</u> Signature _____ Name of Agency: <u>Food and Agriculture Organization (FAO)</u> Date & Seal <u>10/07/2017</u></p> 
<p>Peacebuilding Support Office (PBSO) Name of Representative _____ Signature _____ <u>Peacebuilding Support Office, NY</u> Date & Seal _____</p>	<p>Recipient UN Organization(s) Name of Representative: <u>Jan Harfst</u> Signature _____ <u>United Nations Development Programme in the Republic of Tajikistan</u> Date & Seal _____</p> 

Table of contents:

I. Reason for changes to the project and justification

II. Budget impact

I. Reason for changes to the project and justification

Nature of change and justification: *This section outlines the nature of the revision being sought and the justification for the change.*

WFP:

Nature of change: No-cost extension of the Project duration and budget revision.

Justification: WFP adjusted project implementation timeline taking into the consideration seasonality and priorities of vulnerable populations engaged in traditional household activities. Thereby, proposed no cost extension will allow WFP to complete and finalize on-going FFA / FFT projects under OUTPUT 2-3. Moreover, WFP will use remaining resources, which is totaled 13%, to implement complementary FFA activities identified and agreed within cross border community members at the joint consultation meetings.

UNICEF:

Nature of change: No-cost extension of the Project duration and budget revision.

Justification: Given the fact that it is the cross-border cooperation between two countries, the project invested significant time and efforts to build solid foundation in order to be mindful of the sensitivity of the conflict and the high risk of further cross-border tensions. At the local level cross-border conflict incidents continue to occur from time to time and this fact indicates the persistence of proximate causes of inter-communal tensions. For the soft programme component of the project, UNICEF in Tajikistan has also had to identify proper strategies to engage with a range of stakeholders, including institutions, community members, in a thoughtful, context specific and sensitive manner. The process of engagement with stakeholders at national and local levels, an engagement that is crucial to the achievement of outlined results, has required further time and effort. This necessary consultative process has caused delays in the implementation of the process. Although since Q1 of 2017, activity implementation has taken momentum, some activities under Output 1.1. and Output 1.3. are behind the schedule.

Furthermore, the target group of UNICEF under Output 1.3 namely adolescent girls and boys, including those from marginalized groups, constitute a critical target group for interventions related to peacebuilding. Adolescence is a delicate period of transition, full of possibilities and great potential as well as a propensity towards risk taking. Hence, working for positive engagement of adolescents of diverse ethnic groups as agents of peace and social cohesion is an important contribution to fostering peace in the cross border area. The project team and partners have also spent time in developing and designing monitoring tools for the project that can help with measuring progress towards indicators identified in the project document. For this kind of interventions to bear fruit and to reach tangible measurable results, more time is required for engagement with all relevant stakeholders.

Given the above mentioned, the no-cost extension of the project would serve the purpose of catching up with the delays in implementation now that we have obtained greater buy-in by a large group of stakeholders. More time will also contribute to deepening interventions, with more space and capacity to monitor change in the communities and among the target groups over time.

UNDP:

Nature of change: No-cost extension of the Project duration and budget revision.

Justification: A certain part of the project activity was started later than planned, due to the following circumstances:

- the complexity of the project geography which consists of many disputed, uncertain territories; the lack of accurate information about the status of a particular land plot that leads 1) to the fact that the local population is suspicious to the work throughout the territory along the border 2) to emergence of new land plots the status and ownership of those become under dispute.

The above mentioned circumstance as well as the priority of the "Do no harm" principle became the basis for UNDP's decision to use approach on maximum coordination of actions at the local level BEFORE its actual implementation on the ground that took longer time in comparison with other projects implemented by UNDP.

Therefore, despite the existence of signed agreement with the Governments and guided by the fact that any action can be perceived by the other side as an active development of the disputed territories, the project had to deal with the following aspects:

- Obtaining support from line ministries on project activities under respective outputs;
- Harmonization of interventions with each partner agencies involved in the implementation of respective project output;
- Organization of each event required separate extra efforts of the project to get agreement with local authorities and took longer time than planned initially;

In this regard, UNDP requests the extension of the existing contract without budget revision that will allow qualitatively complete the initiated infrastructure projects and soft activities; to perform monitoring; to strengthen newly re-established basis for dialogue and cooperation and carry out impact assessment/final project evaluation.

FAO:

Nature of change: No-cost extension of the Project duration and budget revision.

Justification: FAO Tajikistan started project implementation with a delay due to difficulties in recruitment of the national project implementation team and operationalization of the project. In order to successfully complete the small-scale infrastructure projects that consequently were initiated with delay, as well as hand over to local partners for utilization and further maintenance, extra time would be needed.

UN WOMEN:

Nature of change: No-cost extension of the Project duration and budget revision; revised timeframe for conducting the final project evaluation.

Justification: **a)** To provide support (technical and grants) for the implementation and monitoring of women's cross-border initiatives (small projects), which were developed through women's capacity building (training) and series of consultations meetings carried out with project's key stakeholders (authorities at regional, district and community levels; UN agencies, iNGOs, NGOs). **b)** To oversee the implementation of project's Gender Mainstreaming Plan and Communications Plan developed and jointly adopted by RUNOs.

II. Budget impact

Table 1: Indicative Project Activity Budget⁵

Outcome/ Output number	Output name	Output budget by RUNO	UN budget category (see table below for list of categories)	Any remarks (e.g. on types of inputs provided or budget justification)
Outcome 1: Cooperation and trust between communities increased towards mitigating risks of renewed violence				
Output 1.1	Improved linkages and cooperation between security providers, local authorities and communities to reduce violent incidents	WFP Kg 15,000 WFP Taj 15,000 UNICEF Kg 45,000 UNICEF Taj 45,000 UNDP Kg 120,000 UNDP Taj 120,000	1-7	
Output 1.2	Communities restore cross-border linkages and cooperation by jointly addressing interdependent needs/ challenges associated with community infrastructure and natural resources	WFP Kg 270,000 WFP Taj 270,000 FAO 100,000 FAO 100,000 UNDP Kg 380,000 UNDP Taj 380,000	1-7	
Output 1.3	At-risk youth have increased their level of inter-ethnic tolerance and are less likely to engage in violence	WFP Kg 15,000 WFP Taj 15,000 UNICEF Kg 230,000 UNICEF Taj 230,000 UNDP Kg 225,000 UNDP Taj 225,000	1-7	
Output 1.4	Women enhance cooperation and trust between communities through actively participating in the identification and implementation of cross-border initiatives	UN Women MCO 200,000	1-7	
TOTAL ⁶		WFP Kyrgyzstan: \$ 300,000 WFP Tajikistan: \$ 300,000 FAO Kyrgyzstan: \$ 100,000 FAO Tajikistan: \$ 100,000 UNDP Kyrgyzstan: \$ 725,000 UNDP Tajikistan: \$ 725,000 UNICEF Kyrgyzstan: 275,000 UNICEF Tajikistan: \$ 275,000 UN Women MCO \$ 200,000	1-7	

⁵ Project outcomes listed must be those stated in the original project document. If revisions to the outcomes are being requested, please use template 2.2.

⁶ As this is a no-cost extension, the overall total must remain the same as in the approved, original project document.

Table 2: Project budget by UN categories by RUNO⁷

PBF PROJECT BUDGET – UNDP				
CATEGORIES	Original Budget	Proposed increase/decrease	Proposed new budget	Comments
1. Staff and other personnel	120,000	+ 6,080	126,080	
2. Supplies, Commodities, Materials	20,000	+ 2,817	22, 817	
3. Equipment, Vehicles, and Furniture (including Depreciation)	25,000	+ 3,189	28,189	
4. Contractual services	240,000	+ 141,305	381,305	The major part of project implementation is done and will be done by using UNDP Procurement tools and procedure. This is related to the outsourcing of soft and infrastructure activities. In this regard, the savings from the budget line 6. Transfers and Grants to Counterparts is requested to allocate to the current budget line. i.e. 3. Contractual Services
5.Travel	40,000	+ 7,279	47,279	Coordination and matching activities between communities as well as between RUNOs requires frequent travel of project staff to the target area. Therefore it is proposed to reallocate additional funds for the travel from the budget line 6. Contractual services.
6. Transfers and Grants to Counterparts	210,000	- 163,770	46,230	To ensure transparency and follow the UNDP rules the tender procedures are used for the Implementing Partners selection which brought to the savings under this budget line which is proposed to be shifted as per comments below. (\$141,305 to the budget line 4. Contractual services, \$7,279 to the Travel expenses and the rest to reallocate to the budget lines as per proposed in the table)
7. General Operating and other Direct Costs	22,570	+ 3,100	25,670	
Sub-Total Project Costs	677,570		677,570	
8. Indirect Support Costs	47,430		47,430	
TOTAL	725,000		725,000	

Delivery rate of UNDP funds under the project is 72% by the end of April 2017.

⁷ As this is a no-cost extension request, sub-total and total budget must remain the same as in the approved, original project document

PBF PROJECT BUDGET – WFP				
CATEGORIES	Original Budget	Proposed increase/ decrease	Proposed new budget	
1. Staff and other personnel	70,000	-	70,000	
2. Supplies, Commodities, Materials	30,400	-	30,400	
3. Equipment, Vehicles, and Furniture (including Depreciation)	0,0	-	0,0	
4. Contractual services	0,0	-	0,0	
5. Travel	0,0	-	0,0	
6. Transfers and Grants to Counterparts	150,000	-	150,000	
7. General Operating and other Direct Costs	29,974	-	29,974	
Sub-Total Project Costs	280,374		280,374	No shifting money around the budget line is expected to implement
8. Indirect Support Costs	19,626		19,626	
TOTAL	300,000		300,000	

Delivery rate of WFP funds under the project is 87% by the end of April 2017.

PBF PROJECT BUDGET – UNICEF				
CATEGORIES	Original Budget	Proposed increase/ decrease	Proposed new budget	Comments
1. Staff and other personnel	40,000	-	40,000.0	
2. Supplies, Commodities, Materials	30,000	-23,614.13	6,385.87	
3. Equipment, Vehicles, and Furniture (including Depreciation)	0,0	-	0,0	
4. Contractual services	25,000	-9,402.87	15,597.13	
5. Travel	20,000	+3,026	23,026.0	
6. Transfers and Grants to Counterparts	115,009	+29,991	145,000.0	The main reason for movement of funds from the line of “Supply, Commodities, Materials” and “Contractual Service” to “Transfers and Grants to Counterparts” is related to the modality of work that UNICEF takes in its programming. UNICEF works usually with CSOs for implementation of its programmatic interventions, particularly when it relates to a soft component of the PBF project. Hence, the expenses under the lines of “Supply, Commodities, Materials” and “Contractual Service” is limited, whereas working with CSOs for promotion of tolerance building and peace education among at-risk youth requires more transfers and grants to counterparts
7. General Operating and other Direct Costs	27,000	-	27,000.0	
Sub-Total Project Costs	257,009		257,009.0	The changes proposed for the budget allocated for UNICEF Tajikistan in relation to movement of funds from one line to another is due to the revision of the approaches towards programmatic interventions. In the light of dynamic developments in the project clusters as well as the needs identified during the trainings with duty-bearers on improvement of complaint mechanism and support provided to adolescent and youth certain adjustments were made in the design of activities to achieve relevant outputs. These adjustments had the above impact on the scope of budget utilization.
8. Indirect Support Costs	17,991		17,991.0	
TOTAL	275,000		275,000.0	

Delivery rate of UNICEF funds under the project is 75% by the end of April 2017.

PBF PROJECT BUDGET – FAO				
CATEGORIES	Original Budget	Proposed increase/decrease	Proposed new budget	Comments
1. Staff and other personnel	30,000	- 2,011	27,989	The FAO Tajikistan started its part of the Project implementation with a delay due to difficulties in recruitment of the national project implementation team and operationalization of the project. This has caused saving in the budget line “1. Staff and other personnel”. The remained funds from this budget line is proposed to be shifted to budget line “5. Travel”.
2. Supplies, Commodities, Materials	10,000	+ 34,408	44,408	The small-scale infrastructure projects, capacity building activities and development and printing of information, educational and communication materials are on-going and planned under this budget line. All these activities will be done with involvement of partner Water Users Association, national consultants as well as involvement of national/regional partners such as Pasture Trust under Ministry of Agriculture, Geobotanics department under State Committee for land management and geodesy. The additional fund for implementation of all these activities are proposed to be used from the budget line “4.Contractual services”.
3. Equipment, Vehicles, and Furniture (including Depreciation)	5,000	- 5,000	0	The FAO Tajikistan has not and will not procure equipment, vehicles and furniture under the PBF cross-border project. Therefore, the funds in this budget categories is proposed to be transferred to the budget line “5. Travel”.
4. Contractual services	35,458	- 35,458	0	Under the PBF cross-border project, the FAO Tajikistan has not and will not procure contractual services. With involvement of partner Water Users Associations in the field for implementation of irrigation infrastructure projects and recruitment of national consultants for conduction of capacity building activities, the funds from budget line “4.Contractual services” is proposed to be transferred to the budget line “2. Supplies, Commodities, Materials”.
5.Travel	3,000	+ 8,464	11,464	Due to the following reasons, the FAO Tajikistan has and will have over-expenditure in this budget line. <ul style="list-style-type: none"> - All the project staff and other personnel are based in Dushanbe, with over 450 km (one way) from the target project sites; - The FAO Tajikistan involves national and regional partners representatives in the project activities in the field. This adds to travel related costs of the project staff and other personnel. - Due to unviability of its own vehicles and drivers, the FAO Tajikistan has rented external vehicles with drivers through UNDP, which significantly added to the transportation and travel related costs. This includes also daily wages of the external drivers and their DSA; - The FAO Tajikistan works in irrigation water and pasture management directions, which are priority areas and require a lot of coordination and joint stakeholders' activities in the field.
6. Transfers and Grants to Counterparts	0,0	0	0	
7. General Operating and other Direct Costs	10,000	- 403	9,597	Due to late operationalization of the project by the FAO Tajikistan and estimating the necessary expenditures till the proposed extended date, this budget line will have remainder, which is proposed to be shifted to budget line “5. Travel”.
Sub-Total Project Costs	93,458		93,458	
8. Indirect Support Costs	6,542		6,542	
TOTAL	100,000		100,000	

Delivery rate as of end of April 2017 is 60.17% (\$60,167.64 of \$100,000.0)

PBF PROJECT BUDGET – UN WOMEN				
CATEGORIES	Original Budget	Proposed increase/ decrease	Proposed new budget	Comments
1. Staff and other personnel	83,000	-	-	
2. Supplies, Commodities, Materials	1,000	-	-	
3. Equipment, Vehicles, and Furniture (including Depreciation)	2,000	-	-	
4. Contractual services	21,750	-	13,000	
5. Travel	16,000	-	-	
6. Transfers and Grants to Counterparts	59,416	-	50,000	
7. General Operating and other Direct Costs	3,750	-	-	
Sub-Total Project Costs	186,916		186,916	The initial budget of \$200,000 remains with no changes (no increase / decrease or shifting of money around in the budget lines). The budget expenditure mentioned above is for the 6 months of extension period (June-December 2017)
8. Indirect Support Costs	13,084		13,084	
TOTAL	200,000		200,000	

Delivery rate of UN Women funds under the project is 68,5%.