



Food and Agriculture
Organization of the
United Nations



World Health
Organization

Antimicrobial Resistance Multi-Partner Trust Fund (AMR MPTF)

1st Steering Committee meeting

via video due to COVID 19 travel restrictions

18 March 2020

9:30-12:30 & 13:30-17:00

Minutes

Session 1.

Welcome and introductions

The Chair, Dr Matthew Stone, (OIE) welcomed the participants and thanked the resource partners for investing in this important initiative.

- The Steering Committee members gave introductory remarks and introduced the other participants from their organisations participating on the call.
- It was noted that the representatives from the United Kingdom Fleming Fund and Sweden International Development Agency participated in the meeting as observers with full speaking rights.

Role and responsibilities of the Steering Committee

- The Chair made reference to the four responsibilities of the Steering Committee as described in the AMR MPTF Terms of Reference and Operations Manual, namely: programmatic oversight; appraisal and approval of projects; monitoring and reporting; and advocacy, partnership and resource mobilization.
- The Chair mentioned that a more detailed discussion on these documents and the operating principles and modalities would be discussed in further detail during Session 2; this session would take place in the afternoon to enable participation from the UNDP MPTF office positioned in another timezone.

Objective of the meeting

- The Chair introduced the objectives of the meeting which included: firstly, initiation of the AMR MPTF including a review and update of the Terms of Reference and Operations Manual; secondly, strategic planning for a balanced portfolio of global and national programmes; and lastly, concept note project appraisal and further commissioning of detailed project proposals.

Session 3.

Country concept notes - information on the development process and countries involved

- Dr Berhe Tekola from FAO briefed the participants on the concept note development process. The 12 national programme concept notes were developed by the Tripartite country teams in collaboration with the Member States, and then subjected to a review process by technical experts from each of the three Tripartite organizations.
- The process resulted in the submission of the following four documents to the Steering Committee: (i) the 12 concept notes; (ii) a summary matrix of the concept note review; (iii) summary of the technical review for each concept note; and, (iv) a background paper detailing the concept note development and review process.

Review and approval of country concept notes

- Prior to an introduction of the concept notes by the Tripartite Joint Secretariat on AMR to the Steering Committee, the Chair reminded the participants that during the review of the country concept notes, considerations will need to be made in terms of the available funding (earmarked versus non-earmarked),

that a certain envelope of funding would need to be reserved for the global programming cycle, and that all decisions on funding allocations would be made during the afternoon session 2.

- The Chair also reminded the participants that the guidance and feedback provided by the Steering Committee would be shared with the programmes chosen for further development .
- The country concept notes were presented in the following order:
 - *Enhancing Governance and Coordination Mechanisms to Reduce Antimicrobial Resistance in Cambodia* includes support to the interministerial coordination committee, M and E, review of policy and legislative frameworks, optimizing antimicrobial use and communication and awareness raising.
 - *Combating AMR in Indonesia through multi-sectoral approaches to infection reduction and improved stewardship* focuses on stakeholder engagement, infection prevention and control and optimizing use.
 - *Kenya: Preventive Approaches to Containment of AMR* has a focus on updating the National Action Plan, policy frameworks and regulation enforcement, infection prevention and control, optimal use and awareness.
 - *Morocco: Support the implementation of the Antimicrobial Resistance National Action Plan (AMR-NAP) through a One Health approach* includes activities on policy frameworks, mainstreaming and costing AMR, systems for surveillance, infection prevention and control, and awareness raising.
 - *One Health capacity building to support priority actions for tackling AMR in Tajikistan* introduces ambitious activities in systems for surveillance, infection prevention and control, optimizing use and awareness raising.
 - *Combatting AMR using One Health Approach in Zimbabwe* offers a focus on infection prevention and control, including vaccination, antibiotic supply chain management, optimizing use and awareness raising.
 - *Strengthening and unifying Costa Rica's capacities towards decision making based on better data on antimicrobial resistance and use of antimicrobials in critical sectors* focuses on AMU and AMR surveillance systems, optimizing use, and awareness raising.
 - *Ghana: One Health AMR MPTF Project* emerges from longstanding AMR work in the country, and includes policy frameworks, systems for surveillance, infection prevention and control, optimizing use and awareness raising activities.
 - *Fighting Antimicrobial Resistance in Peru under the One Health approach* proposes an ambitious range of activities including policy frameworks, costing AMR, stakeholder engagement, systems for surveillance, infection prevention and control, optimizing use and awareness raising as well as building evidence base for AMR work.
 - *Establishing an Integrated Sustainable ONE HEALTH National AMR Surveillance System in Sudan* is a focused but ambitious proposal centered on stakeholder engagement and systems for surveillance.
 - *Support the implementation of the Ethiopian one health AMR prevention and containment strategy: a system strengthening approach* is a broad proposal with active government involvement, covering activities on policy frameworks, systems for surveillance, infection prevention and control, optimizing use and awareness raising.
 - *Senegal: One Health National Approach to Reducing Antimicrobial Resistance* proposes a range of activities on systems for surveillance, infection prevention and control, optimizing use and awareness raising.
- During the concept notes discussion, the following points were underlined as important for the development of the full project proposals: the need for project proposals to more thoroughly address sustainability in the full project proposals, e.g. inclusion of a sufficient exit strategy; the importance of applying a One Health approach including engagement with the environment sector; efforts should not only centre on addressing regulation enforcement issues but also consider the implications on access to antibiotics; the importance of working in partnership with relevant stakeholders, including private sector. Programmes should complement and leverage existing efforts and investments at country level; and be

based on international norms, standards, best practices, supporting highest degree of regional/national (NAP)/local ownership as well as existing Tripartite guidance.

DECISION:

The Steering Committee approved the below listed nine (9) national programme concept notes for development into full project proposals with the following funding envelopes. Upon development, the full project proposals will be submitted to the approval of the Steering Committee:

- Cambodia USD 1 000,000.00
- Indonesia USD 999,956
- Kenya USD 1 000,000.00
- Morocco USD 979,000.00
- Peru, USD 1 000 000
- Ghana USD 999,794.90
- Tajikistan, USD 928,238.45,
- Sudan, USD 1 000,000.00,
- Zimbabwe, USD 1 000,000.00.

The Steering Committee agreed that revised concept notes would be requested for the Ethiopia and Senegal national programmes integrating feedback from both the technical review and the Steering Committee. The revised concept notes will be submitted to the approval of the Steering Committee once developed.

The Steering Committee decided to not include upper middle income countries in the scope of the AMR MPTF national programme. In consideration of this coupled with the fact that the concept note did not fulfill the eligibility criteria pertaining to One Health implementation at country level, the Steering Committee therefore decided to reject the concept note on Costa Rica.

Session 2.

- Dr Getahun (WHO) introduced the session which focused on the fundamental documentation linked to the AMR MPTF as well as information sharing on progress made to date.

Presentation of Financial Framework and timeline for programme development cycle

- Ms Jennifer Topping congratulated the AMR MPTF Steering Committee members on the steady progress made since last year, and the important milestones (concept notes developed) reached to fully operationalize the Fund and embark on the first resource allocations.
- She presented the Funding Framework of the Fund and invited the participants' review:
 - Table 1: Since its establishment in August 2019, the AMR MPTF has received USD 7,065,602 in contributions and USD 15,186 in interest earned on these contributions.
 - USD 70,656 has been deducted as the one-time 1% Administrative Agent fee.
 - As of today, the AMR MPTF Fund Account has a total in USD 7,010,088 in funding.
 - Table 2 presents Net Deposits, amounting to USD 6,994,946.
 - Of this amount, USD 3,943,728 is earmarked for National Component, and USD 3,051,218 is unearmarked.
 - On March 13, the UK Department of Health and Social Care concluded a contribution agreement for GBP 5,000,000.
 - While waiting for the deposit from the UK, scheduled for 25 March 2020, the MPTF Office has included the estimated net future deposits to enable the AMR MPTF Steering Committee to include this new contribution into the resource allocation decisions at this meeting.
 - Additional information presented under Table 2 presents the current contributions already received and commitments signed but not yet received (subject to the rate of exchange on the date of

transaction). An estimated amount of USD 9,706,988 is available for the National Component programming and USD 3,691,581 is available as unearmarked contributions.

- Ms Topping reiterated that the MPTF Office stands ready to support the AMR MPTF in every possible way building on the experience and knowledge of working with over 80 UN MPTFs/joint instruments.
- Dr Elizabeth Tayler (WHO) informed the Steering Committee that the Tripartite Joint Secretariat on AMR would inform the submitters of the concept notes of the outcomes from the Steering Committee so that the development of full project proposals could be developed in a realistic timeframe cognizant of the fact that COVID-19 situation may impact project proposal development timeframes.

Theory of Change

- Dr Tayler informed the participants that this revised Theory of Change had been shared with the Steering Committee, as proposals were based on this results matrix, which focused more specifically on tripartite and one health action. The final iteration will be submitted at the next Steering Committee.

Review of the Term of Reference of AMR MPTF

- Dr Getahun signaled that the participants had received a revised Terms of Reference incorporating edits to reflect ongoing developments in the implementation of the AMR MPTF and results matrix (section V. Fund implementation) and a revision on the composition of the Steering Committee.

DECISION:

The Steering Committee approved the revisions to the Terms of References.

With regards to the composition of the Steering Committee, it was agreed that the 3-5 resource partners could participate as members in the Steering Committee.

A small group could be established in the future to explore the issue of sustainability concerning resource partner composition to the Steering Committee.

Review the Operational Manual of AMR MPTF

- Ms Tagliaro (OIE) asked the Committee to take note of the first draft of the Operations Manual that was shared prior to the meeting electronically.
- Clarifications were provided further to the questions from Sweden, pertaining to (i) differences between the Operations Manual and Terms of Reference; (ii) maximum costs for the Secretariat as percentage of the Fund as per UNDP MPTF benchmarks; role of the private sector as a funding partner and as a delivery agent for change.
- Further to a comment from the Netherlands and building on the discussion concerning private sector engagement, revisions to the Operations Manual would include in the selection criteria the following additional elements: alignment of all funding proposals with the scope of interest of the AMR MPTF; allocations from the Fund must be in complement to or synergistic with existing investments to avoid overlaps; and, information about engagement with other relevant AMR programmes/projects including the private sector be detailed as part of the national programming cycle concept notes and project proposals.
- The next iteration of the Operations Manual including all annexes will be shared with the Steering Committee in advance of the next meeting.

Pre-financing for proposal development: discussion and decision on proposal

- Ms Aleshina (MPTF) informed the Steering Committee of discussions within the Tripartite Joint Secretariat on AMR to invoke a mechanism which would enable one Participating Organization per country to disburse their own resources for a maximum threshold of USD 25 000 to prefinance a specific preparatory activity (eg. support to proposal development, convening of consultation meetings) prior to a resource allocation being made. Any such amount disbursed would be eligible for reimbursement through inclusion in the budget of the final proposal, while noting that the budget of the final proposal must still remain within the agreed funding envelope.

DECISION:

The Steering Committee approved use of prefinancing mechanism for a maximum threshold of USD 25 000 per country.

Session 5.

Review and approval of MPTF coordination and support concept note and funding envelope

- The Chair introduced the AMR MPTF Coordination and Technical Support concept note submitted to the Steering Committee in advance of the meeting. He also took the opportunity to underscore that significant amount of in-kind resources were being dedicated to the AMR MPTF and AMR more broadly by the Tripartite organizations. The resource partner representatives of the Steering Committee signaled the significant cost of the salary allocation for the Programme manager aligned with UN salary rates. The importance of the Programme managers independence and neutrality was also signaled.
- The Chair also introduced the contents of a Global Programme concept note memo outlining prioritized global activities emerging the Tripartite work plan for which the Tripartite are developing concept notes relating to the following activities:
 - Support to the establishment of the Global Leaders Group and Independent Panel on Evidence (not in the original 2019-2020 Workplan but in-line with United Nation's Inter-Agency Coordination Group recommendations, which the UN Secretary-General subsequently directed the Tripartite to implement);
 - Assessment of the economic benefits of reducing AMR;
 - Guidance on the analysis of legal frameworks and regulatory options to address AMR;
 - Global repository of One Health AMR communications resources;
 - TISSA: Tripartite Integrated System for Surveillance of AMR;
 - Implementation of the M&E Framework;
 - Improved understanding of AMR risks to the environment;
 - Technical support to country programmes.

The UK Fleming Fund signaled the importance of focus on regulatory and legislation frameworks, as well as the need to engage with other partners (eg. UNEP, World Bank) for certain of the above-mentioned activities.

DECISION:

The Steering Committee agreed to approve the Global Coordination and support concept notes.

The Steering Committee requested that Concept Notes providing additional information on each of the activities described in the Global Programme be developed to support funding allocation decisions by the Steering Committee, noting that some prioritization will be necessary to remain within available unearmarked MPTF funding. Once the concept notes have been agreed upon by the Steering Committee, full project proposals will be developed for approval of the Steering Committee.

Session 4.

Funding allocation decisions and timeline of programme development cycle

- The Chair summarized the progress made by the Steering Committee to approve concept notes from both the national programming cycle and the global programming cycle, which will progress to full project proposals. These allocation decisions are tabled in Annex 1, Fund Allocation Matrix.

Session 6.

Resource mobilisation for the AMR MPTF

- Ms Tagliaro (OIE) gave a short overview of actions undertaken to date in terms of the development of a resource mobilisation strategy for AMR MPTF, including a thorough mapping of potential investors (public or private). Efforts have been made to take stock of all existing events to advocate for the AMR MPTF, also through the use of a revised brochure.
- In addition to the responsibilities of the Steering Committee as described in the Operations Manual, the Tripartite would like to ask the resource partner representatives to also contribute, in principle, to support

the implementation of resource mobilisation efforts on behalf of the Fund. A bullet point will be added to the future iteration of the Operations Manual and be discussed in the next Steering Committee.

- The importance of demonstrating results will be fundamental in harnessing additional investments to the Fund.
- The importance of broad private sector engagement (beyond just financing) was raised, in terms of leveraging broader investments and incentivizing behaviour change.

Conclusions – Date of the next Steering Committee

- The date of the next meeting will be communicated at a later date; potentially in June 2020 and hopefully in person.
- The Chair thanked the participants for the contribution and engagement to this meeting, ensuring a successful outcome.

List of Participants

The Netherlands

Rosa Peran, Senior Advisor, Ministry of Health, Welfare and Sport, Department of International Affairs (Member)

Atty Bruins, Deputy Director, Ministry of Health, Welfare and Sport, Department of International Affairs (Observer)

Sweden

Nina Viberg, AMR Focal, Ministry of Health (Member)

Gunilla Eklund, AMR Focal Point at Ministry of Enterprise and Innovation (Observer)

Mats Åberg, FAO Focal Point at Sida (Observer)

Sofia Norlin, WHO Focal Point at Sida (Observer)

United Kingdom of Great Britain and Northern Ireland

Lucy Andrews, Head of the Fleming Fund, Global Health Security Programme, Department of Health and Social Care (Observer)

Holly Jones, Fleming Fund Deputy Team Leader, Department of Health and Social Care (Observer)

WHO

Hanan Balkhy, Assistant Director-General for AMR (Member)

Haileyesus Getahun, Director, Global Coordination and Partnership on AMR (Alternate Member)

Elizabeth Tayler, Liaison Officer, Global Coordination and Partnership on AMR (Observer)

Tim Corrigan, Technical Officer, Global Coordination and Partnership on AMR (Observer)

FAO

Maria Helena Semedo, Deputy Director-General Climate and Natural Resources (Member)

Berhe Tekola, Director, Animal Production and Health Division (Alternate Member)

Henk Jan Ormel, Senior Veterinary Policy Advisor, Animal Health Service (Observer)

Song Junxia, Senior Animal Health Officer, Animal Health Service (Observer)

Katrin Taylor, Business Development and Resource Mobilization Programme Officer (Observer)

Jing Xu, Animal Health Officer and Tripartite Liaison Officer (Observer)

Julio Pinto, Animal Health Officer (Observer)

OIE

Matthew Stone, Deputy Director General International Standards and Science (**Chair**, Member)

Emily Tagliaro, Head Engagement and Investment Department (Alternate Member)

Elisabeth Erlacher-Vindel, Antimicrobial Resistance and Veterinary Products Department (Observer)

Saija Kalenius, Tripartite Liaison Officer, Antimicrobial Resistance and Veterinary Products Department (Observer)

Ben Davies, Chargé de mission, Antimicrobial Resistance and Veterinary Products Department (Observer)

Stéphane Renaudin, Grants manager Engagement and Investment Department (Observer)

UNDP MPTF-O

Jennifer Topping, Executive Director (Ex-Officio member)

Olga Aleshina, Senior Portfolio Manager (Observer)

Annex 1: FUND ALLOCATION MATRIX

Antimicrobial Resistance Multi-Partner Trust Fund (AMR MPTF)

Summary of submitted concept notes

Financial Allocation Matrix		(Allocation Caps per country)
Date of allocation decision/meeting:	18 March 2020	
Estimated amount available for programming (from Funding Framework):		12 830 280
Earmarked to National Component:	\$ 9 181 917	
Unearmarked:	\$ 3 648 362	
Total allocation approved (USD):		
Earmarked to National Component:		

Allocation Decision of the AMR MPTF Steering Committee							
Country Proposals, Global Proposal	Programme Number/Title	Name of Lead PO	Name of other POs	Requested budget per Concept Note	Total approved amount (USD) per national proposal	Total approved amount (USD) global/ per proposal	Steering Committee Decision: A) Approved, request full proposal; B) Request submission of revised concept note; D) Reject concept note
Cambodia	Enhancing governance and coordination mechanism to reduce AMR in Cambodia	WHO	FAO, OIE	1 000 000	1 000 000		A) Approved, request full proposal
Indonesia	Combatting AMR in Indonesia through multi-sectoral approaches to infection reduction and improved stewardship	WHO	FAO, OIE	999 956	999 956		A) Approved, request full proposal
Kenya	Preventive approaches to containment of AMR	OIE	WHO FA0	1 085 000	1 000 000		A) Approved, request full proposal
Morocco	Support the implementation of the AMR NAP through a One Health approach	WHO	FAO, OIE	979 000	979 000		A) Approved, request full proposal
Tajikistan	One Health capacity building to support priority actions for tackling AMR in Tajikistan	WHO	FAO, OIE	1 000 000	1 000 000		A) Approved, request full proposal
Zimbabwe	Combatting AMR using a One Health approach in Zimbabwe	WHO	FAO, OIE	1 000 000	1 000 000		A) Approved, request full proposal
Costa Rica	Strengthening and unifying Costa Rica's capacities towards decision making based matters on better AMR data and use of antimicrobials	WHO	FAO, OIE	993 000	-		D) Rejected
Ghana	Ghana One Health AMR MPTF Project	WHO	FAO, OIE	999 795	999 795		A) Approved, request full proposal
Peru	Fighting AMR in Peru under the One Health approach	TBD	WHO FA0 OIE	1 000 000	1 000 000		A) Approved, request full proposal
Sudan	Establishing an Integrated sustainable One Health	WHO	FAO, OIE	1 300 000	1 000 000		A) Approved, request full proposal
Ethiopia	AMR MPTF Support to the implementation of the Ethiopia One Health AMR prevention and containment strategy: a system strengthening approach	TBD	WHO FA0 OIE	1 000 000	-		B) Request submission of revised concept note
Senegal	Senegal 'One Health' national approach to reducing AMR	FAO	WHO, OIE	928 238	-		B) Request submission of revised concept note
Global	AMR MPTF Coordination and Technical Support	FAO, OIE, WHO		775 000		786 450	A) Approved, request full proposal**
Global	Support to global programmes	FAO, OIE, WHO		2 000 000		-	Additional information required
TOTAL ALLOCATIONS:				15 059 989	8 978 751	786 450	
TOTAL FUNDING AVAILABLE:					12 830 280		
TOTAL FUNDING APPROVED BY STEERING COMMITTEE FOR FULL PROPOSAL DEVELOPMENT:					9 765 201		
BALANCE LEFT IN NATIONAL COMPONENT EARMARKING WINDOW:					203 166		
BALANCE LEFT IN UNEARMARKED WINDOW:					2 861 912		
TOTAL BALANCE LEFT UNPROGRAMMED:					3 065 079		

* Indirect cost charged by PO for oversight functions (7%)

**NOTE: Given the expiry of the UK grant the AMR MPTF Coordination and Technical Support proposal will use the unearmarked component of the UK funding.

Mandatory supporting documentation:

- Proposals/Concept Note
- Summary of Appraisals

Approved by: AMR MPTF Steering Committee Chair

Signature:  Dr Matthew Stone