Multi-Stakeholder Forum Discussion on Pooled Funding
1 Dec 2020
Agenda

Welcome and opening remarks

Inter-agency pooled funding: a year of growth and learning

Translating the Funding Compact commitments into action

Wrap-up, next forum and final remarks
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Wrap-up, next forum and final remarks
Follow-up July 2019 Discussion Forum

- Key conclusions from previous forums
- Moving into digital version
- Online digital repository with minutes and background information
Deposits to UN inter-agency pooled funds, 2015-2019

STATUS POOLED FUNDS

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<th>Year</th>
<th>Humanitarian</th>
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Deposits to inter-agency pooled funds from the 12 largest contributors, and share of their total earmarked contributions to the UN, 2019

22 countries contributed 10% or more of their total earmarked funding to the UN through inter-agency pooled funds in 2019 (16 in 2016)
Countries contributing 10% or more of their total earmarked funding to the UN through inter-agency pooled funds, 2019

- Albania: 64%
- Belgium: 54%
- Ireland: 39%
- Uzbekistan: 37%
- United Kingdom: 36%
- Sweden: 32%
- Norway: 30%
- Lithuania: 21%
- Denmark: 20%
- Netherlands: 19%
- Liechtenstein: 18%
- Canada: 17%
- Estonia: 17%
- Luxembourg: 17%
- Australia: 15%
- Finland: 14%
- New Zealand: 13%
- Germany: 13%
- Switzerland: 12%
- Guyana: 11%
- Poland: 10%
- Spain: 10%
STATUS POOLED FUNDS

Share of earmarked revenue through inter-agency pooled funds, 2019

- UN Women: 30.3%
- UNFPA: 19.3%
- UNDP: 14.4%
- UNCDF: 14.2%
- FAO: 13.1%
- ILO: 12.4%
- UNAIDS: 8.9%
- UN-HABITAT: 7.4%
- UNICEF: 7.2%
- WHO: 6.2%
Countries with 10% or more of earmarked development related expenditure from UN inter-agency pooled funds, 2018 (21 in 2015)

Source: Report of the Secretary-General (A/75/79-E/2020/55) and UN Pooled Funds Database
STATUS POOLED FUNDS

Deposits to MPTF Office administered funds, 2015-2019

Total pooled funds deposits administered by MPTF Office - US$ millions

- 2013: 715
- 2014: 889
- 2015: 692
- 2016: 697
- 2017: 814
- 2018: 1005
- 2019: 1250
- 2020: 1350 (1200 as of Nov)
Some key recent milestones in pooled funds

• Funding Compact commitments on pooled funding (to be discussed later)
• Update of UNSDG legal templates for inter-agency pooled funds to incorporate SEA and SH provisions
• UNSDG guidance for country-level pooled funds
NEW AND RENEWED FUNDS

Featured pooled funds and developments

- United Nations in the Pacific
- UN COVID-19 Response and Recovery Fund
- Migration Multi-Partner Trust Fund
- Global Fund for Coral Reefs
- Generation Unlimited
UNSDG Guidance Note in Country-Level Pooled Funds (Feb)

- **Flexible instruments** at the service of UNCTs
- **Aligned with UNSCDF**, around some key strategic areas of joint work
- Only 28 UNCTs with 10% or more of non-core development resources through pooled funds*
- Collaboration with UN DCO and UN entities in supporting *(training and guidance)* the establishment and improvement of pooled funds
- New funds recently established (i.e. Uganda, Pacific Fund…) or revised (Somalia).

*2018 figures and there is a positive trend
INNOVATIVE FINANCE

Fund Structure

- **MEMBER STATES**
  - $62.5M donated
  - $125M in grants for pipeline building, research and impact assessments
  - Financial structuring
  - Technical Assistance
  - Capacity development
  - Develop policy
  - Background research
  - M&E
  - Recoverable grants
  - “Blue” stimulus packages in times of crisis

- **PRIVATE FOUNDATIONS**
  - $62.5M donated

- **CONCESSIONARY CAPITAL**
  - MOU Cross-check between the two windows
  - De-risking
  - Guarantees, concessional loans and early equity investments

- **IMPACT INVESTORS**
  - Private investment
  - Private investment

- **INVESTMENT WINDOW**
  - Revenue Generating Projects
    - Eco-tourism
    - Sustainable fisheries and aquaculture
    - MPAs with user fees
    - Blue Carbon
    - Waste management
    - Recycling
    - Water treatment
    - Alternative activities for subsistence and income
    - Other

- **PORTFOLIOS OF INVESTMENT OPPORTUNITIES**
  - Debt for SMEs
  - Debt for start-up projects
  - Equity
  - Loans
  - Bonds

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$125M
In donor funds through the grant manager

$375M
In partner assets deployed through the holding company

$2-3BN
Unlocked from global public and private financial instruments
• First multi-country pooled fund
• Meeting development priorities in 14 Pacific Island countries and territories (Cook Islands, Fiji, Federated States of Micronesia, Kiribati, Nauru, Niue, Palau, Republic of Marshall Islands, Samoa, Solomon Islands, Tokelau, Tonga, Tuvalu, and Vanuatu).
• Outcome areas funded: Gender Equality, Equitable Basic Services and Governance
• With initial contribution from government of New Zealand
NEW DIGITAL DEVELOPMENTS

Technology development:

**Fund Administration System**
- Back end administration system
- Automatization processes
- New data warehouse to allow further real-time analysis
- New contact management system
- Further efficiencies in internal process

**Fund Management System**
- Platform for Fund’s Secretariats, including a grant management system
- Pilot implantation for COVID-19 MPTF call for proposals
- Results-Based-Management system
- Marker system in place, allowing better tracking, i.e. GEM

**External Gateway**
- Redesigned external site
- Developed additional contents on pooled funding
- Exploiting data analysis potential of new data structure
- Access to ongoing and pipeline fund portfolio.
- Improved visibility and access to results
Pooled funding flexibility options for COVID-19 response

1. **Funding through global funds** (humanitarian, transition or development)

2. **Existing country-level pooled fund** (humanitarian, transition or development)

3. **Global Secretary-General UN COVID-19 Response and Recovery Trust Fund.**

4. *Establish a joint programme or new country-level pooled fund, aligned with the UN Cooperation Framework*
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Funding Compact – The Quality of UN funding

Why a Funding Compact?
A partnership to deliver better results on the ground

**A FUNDING COMPACT**

- makes it possible to
  - plan **strategically**
  - offer **coordinated** and **integrated solutions**
  - act **quickly**
  - leverage **development and climate finance**

**MEMBER STATES**

- contribute more **core resources**
- double contributions to **pooled and thematic funds**
- increase **multi-year commitments**

**UN DEVELOPMENT SYSTEM**

- works jointly for common objectives
- improves transparency and reports better on resources, results and impact
- increases efficiency

**strengthened trust** of governments and general public in **multilateralism**

Version: 26 Feb 2019
FUNDING COMPACT COMMITMENTS

Interagency Pooled Funds targets

**Member States Commitments**
- 10% of non-core resources for development related activities channeled through interagency pooled funds. *(9% in 2019)*
- $290 million annual capitalization of the Joint Fund for Agenda 2030 *(55 million in 2019)*
- $500 million annual contribution to the Peacebuilding Fund *(135 in 2019)*
- 100 Member States contributing to development related inter-agency pooled funds *(41 in 2019)*

**UN entities commitments**
- 75% of UNSDG entities reporting at least 15% of development related activities on joint activities *(45% in 2019)*
- 100% of inter-agency pooled funds posting evaluation reports on the UNEG website *(100% in 2019)*
- Specific mention of individual contributors in all results reporting by pooled funds administrators *(MPTFO 100%)*
- Present clear funding frameworks for each UNDAF (UNSDCF) *(in progress)*
- Common management features across all inter-agency pooled funds* *(in progress)*

*Common management features*: well-articulated strategy, including innovation features where relevant, clear theories of change, solid Results-Based Management systems, well-functioning governance bodies supported by effective secretariats, quality assurance on issues of United Nations norms and values; risk management systems and strategies; operational effectiveness/reporting/visibility/transparency standards; and planning and funding for joint and system wide evaluations that meet UNEG norms and standards.
Common Management Features across all pooled funds

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1. INNOVATION FEATURES
2. THEORY OF CHANGE
3. RBM SYSTEM
4. WELL-FUNCTIONING GOVERNANCE
5. EFFECTIVE SECRETARIATS
6. UN NORMS & VALUES
7. RISK MANAGEMENT
8. OPERATIONAL EFFECTIVENESS
9. REPORTING
10. VISIBILITY
11. TRANSPARENCY
12. EVALUATIONS
### MPTF Office Commitment 14 implementation

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<th>Innovation features</th>
<th>Clear Theory of Change</th>
<th>RBM system</th>
<th>Well-functioning governance bodies</th>
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**ONGOING**

- Additional ongoing work by MPTF Office (2020/2021)
**COMMITMENT 14**

**Progress in FC C14 via new Fund Management Platform – COVID-19 MPTF Pilot (1)**

**OPERATIONAL EFFECTIVENESS**
- Enhanced data collection, including SDGs.
- Direct access for UN country teams to work jointly preparing proposals.
- Pilot COVID-19 MPTF: +100 country teams submitting 282 Concept Notes through the system.

**EFFECTIVE SECRETARIATS**
- Secretariat full access to each proposal status (drafting, review, approval, completion, archive...)
- Project portfolio dashboard will highlight priorities, sectors, countries...

**TRANSPARENCY**
- A more transparent and informed resources mobilization strategy, thanks to pipeline of proposals ready for new funding.
- Solutions Catalogue 1.0
Progress in FC C14 via new Fund Management Platform – COVID-19 MPTF Pilot (2)

**UN NORMS & VALUES**

- Pre-set with universal and specific markers (such as GEM).

**RESULTS-BASED MANAGEMENT SYSTEM**

- A new **full RBM system** tracking:
  - ✓ Contribution to the SDGs.
  - ✓ Funds Results at Outcome and Output level.
  - ✓ Signature indicators at fund level.

**WELL-FUNCTIONING GOVERNANCE**

- The system enables stakeholders (Contributors, Country Teams (participating organizations), Secretariats and Administrative Agent) to run analytics for informed and transparent actions.
COVID-19 RESPONSE

Key results

- 1,100+ temporary jobs created for ex-combatants
- 52,512 people benefitted from improvements to community infrastructure
- 30,026 children and adolescents educated on leadership skills and human rights
- 23,771 survivors of conflict received psychosocial care
- 7,575 women accessed reproductive health services
- 58,000 square meters cleansed of UXO (benefiting 33,000 people)

SDGs Dashboard

Transfers by Goal

Transfers by Target

United Nations
MPTF Office
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Thanks!

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