

United Nations Development Group Iraq Trust Fund

Project #:E4-18

Date and Quarter Updated: 31 March 2010

Participating UN Organisation: UN-HABITAT and UNDP **Sector:** Shelter

Government of Iraq – Responsible Line Ministry: Ministry of Municipalities and Public Works –KRG

Title	Improving the Housing Delivery System in Erbil				
Geographic Location	Erbil				
Project Cost	\$5,087,296				
Duration	24 Months				
Approval Date (SC)	4 December 2008	Starting Date	9 Dec. 08	Completion Date	9 Dec. 10
Project Description	In Erbil City the housing delivery system suffers from various shortfalls according to Erbil Housing Strategy. Although land is distributed, lack of housing finance, unaffordable costs of building materials, insufficient private sector involvement in delivery, and poor regulatory capacities constrain efficient housing delivery. Unless urgent measures are taken, there will be a breakdown in urban services coupled with large scale proliferation of slums and illegal land and housing development. A strategy for addressing these challenges has already been formulated by the relevant KRG authorities with UN-HABITAT support. This project aims to support the implementation of that strategy by helping to strengthen local capacities through institutional reform measures. The project will also demonstrate environment friendly and cost effective approaches to housing design and area upgrading.				

Development Goal and Immediate Objectives

1. To improve Governorate capacity in implementing the Erbil Housing Strategy.
2. To enhance slum upgrading and energy efficient housing practices.
3. To widely disseminate “decentralized housing strategy implementation experience” for replication and further policy and legislative reform.

Outputs, Key activities and Procurement

Outputs	<ol style="list-style-type: none"> 1.1. Erbil governorate supported to undertake a gender sensitive organizational development and capacity building needs assessment study of Erbil <ol style="list-style-type: none"> 1.1.1. Erbil governorate supported to undertake a gender sensitive organizational development and capacity building needs assessment study of Erbil (UN-HABITAT) 1.2. A multi-year Capacity Development Plan (CDP) for institutions in place. <ol style="list-style-type: none"> 1.2.1. A multi-year Capacity Development Plan (CDP) for institutions in place. (UN-HABITAT) 1.3. Improved skills of key personnel (men and women) in identified priority areas housing delivery, land management, slum upgrading, participatory budgeting and management of change. <ol style="list-style-type: none"> 1.3.1. Increased knowledge of municipal staff on housing delivery, land
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	<p>management and slum upgrading. (UN-HABITAT)</p> <p>1.3.2. Increased knowledge of municipal Staff on participatory budgeting and management of change. (UNDP)</p> <p>1.4. Erbil Governorate has a functional Electronic Data Processing (EDP) infrastructure</p> <p>1.4.1 Erbil governorate supported to identify specifications for supplies in support of EDP. (UN-HABITAT)</p> <p>1.4.2 Erbil governorate supported in the institutionalization of EDP. (UNDP)</p> <p>1.5. A framework for Public Private Partnerships in the delivery of low-cost housing developed</p> <p>1.5.1 A framework for Public Private Partnerships in the delivery of low-cost housing developed. (UN-HABITAT)</p> <p>2.1 Erbil Governorate supported in development and implementation of undertaking slum improvement schemes in Erbil.</p> <p>2.1.1 Capacity of Erbil Governorate enhanced to develop and implement slum area improvement designs and frameworks. (UN-HABITAT)</p> <p>2.1.2 Implementation of microfinance strategies and small loan scheme communities in selected areas of Erbil. (UN-HABITAT)</p> <p>2.2 Low-income housing models incorporating energy efficient and environment friendly construction and design features</p> <p>2.2.1 Erbil Governorate supported to incorporate energy efficient and environmental friendly construction and design features in low-cost housing. (UN-HABITAT)</p> <p>2.2.2 Erbil Governorate supported for the construction of low-cost energy efficient housing models. (UNDP)</p> <p>2.3 An inclusive and integrated pilot neighbourhood improvement plan available.</p> <p>2.3.1 An inclusive and integrated pilot neighbourhood improvement plan developed. (UN-HABITAT)</p> <p>3.1 Documentation of experience and learning from Erbil Housing produced and disseminated for learning and scaling up in other governorates</p> <p>3.1.1 Six UN HABITAT published reports on the experience of implementing the Erbil Housing Strategy and plans. (UN-HABITAT)</p> <p>3.1.2 Two UN-HABITAT conference papers on slum upgrading and capacity building lessons learnt produced. (UN-HABITAT)</p> <p>3.1.3 Raising awareness of Erbil governorate and municipality on energy efficient housing. (UNDP)</p>
Activities	<p>1.1 Undertake detailed interviews and consultations with stakeholders.</p> <p>1.2 Undertake a series of consultations on organization development and link to it formulation of the CDP to develop the plan</p> <p>1.3</p> <p>1.3.1 Develop national training courses and seminars</p> <p>1.3.2 Develop Training of Trainers</p> <p>1.3.3 Prepare training courses and study tours outside Iraq</p> <p>1.4 Procure and install Land management equipment and software</p> <p>1.5 Review current practices and develop a framework for revised partnership approach</p>

	<p>2.1</p> <p>2.1.1 Prepare designs and plans of improvement and avail them for municipality to implement</p> <p>2.1.2 Formation of community structures and capacity building for asset management and service delivery</p> <p>2.2</p> <p>2.2.1 Energy efficient demonstration housing with private sector participation.</p> <p>2.2.2 Prepare designs and manuals for energy efficient housing</p> <p>2.2.3 Construction of energy efficient housing</p> <p>2.3 Provide technical assistance for Municipality to prepare the plan</p> <p>3.1</p> <p>3.1.1 Engage academia and research professional in project activities to prepare specific research and policy papers</p> <p>3.1.2 Assist Ministry of Municipality in Erbil and Governorate to prepare the conference</p>
Procurement (major items)	Construction of energy efficient housing.

Funds Committed	573,170.76	% of approved	35%
Funds Disbursed	364,617.75	% of approved	22%
Forecast final date	9 December 2010	Delay (months)	NA

Direct Beneficiaries	Number of Beneficiaries	% of planned (current status)
Men	The project will help to build capacity of local institutions for accelerated supply of housing especially for the poor and vulnerable. Therefore potentially benefits the entire governorate population.	
Women		
Children		
IDPs		
Others		
Indirect beneficiaries		
Employment generation (men/women)		

Quantitative achievements against objectives and results			
Undertake detailed interviews and consultations with stakeholders	<p>A joint UNDP, UN-HABITAT team visited Erbil from 28 – 30 March 2009 to initiate start-up activities.</p> <p>Second visit was conducted to Erbil (end June 09) by a team from both UNDP and UN-HABITAT experts to further explain the project scope to the counterparts and work-plan implementation activities with budget implications. The team also carried out site visits to selected neighbourhoods for upgrading.</p> <p>A third visit was undertaken to Erbil to present upgrading options and agree on pilot demonstration house design in two neighbourhoods in end September.</p> <p>A forth project team meeting was carried out in mid Nov. 2009 in Erbil to follow up on project implementation activities with local consultants as well as a coordination meeting with the local</p>	% of planned	30%

	<p>authorities.</p> <p>A fifth consultation meeting took place in Erbil at the end of March 2010 to discuss results of surveys in the selected neighbourhoods for upgrading and required support from the Governorate.</p>		
Undertake a series of consultations on organizational development and link it to the formulation of the CDP	The project is yet to commence field implementation. It is therefore early for achieving substantive results.	% of planned	0%
Develop national training courses and seminars Develop Training of Trainers Prepare training courses and study tours outside Iraq	<p>A study tour was conducted to Istanbul visiting similar cases of upgrading neighbourhoods in slum like situations. (16-20 August 09), number of participants from the governorate side 8 (7 male 1 female).</p> <p>TOR is under preparation for carrying out an institutional capacity assessment to identify gaps. This will be followed by a training programme.</p>	% of planned	10%
Procure and install Land management equipment and software	<p>Four local consultants contracted for 5 months from the relevant local public institutions for advice on the current practices and regulations on land management.</p>	% of planned	0%
Review current practices and develop a framework for revised partnership approach	<p>Improvement plans for slum upgrading are under discussion with counterparts. The process of neighbourhood planning will start shortly after agreeing on principles.</p>	% of planned	10% Deleted: 0
<p>Prepare designs and plans of improvement and avail them for municipality to implement</p> <p>Formation of community structures and capacity building for asset management and service delivery</p>	<p>Local consultants supported by assistant architects and social workers (who provided supporting data for the work) are finalizing the first stage of the master plan for two neighbourhoods in coordination with local project steering committee.</p> <p>Detailed surveys took place in the two pilot neighbourhoods to determine size and ownership of housing units, in preparation for slum upgrading and reallocation of inhabitants.</p> <p>A housing facilitation unit (HFU) has been formed in the concerned communities consisting of technical persons and community workers, in-order to engage and explain to the community the development process.</p>	% of planned	45%

	<p>The HFU team led by national consultants are finalizing vital data gathered from the site regarding household plots in order to calculate compensations during the upgrading process.</p> <p>The Governorate has completed the construction of the first HFU base in one of the neighborhoods following the design developed by the local architectural team, guided by the international consultant.</p> <p>Energy efficient housing analysis and designs compiled in reports.</p>		
<p>Energy efficient demonstration housing with private sector participation. Prepare designs and manuals for energy efficient housing Construction of energy efficient housing</p>		% of planned	40%
<p>Provide technical assistance for Municipality to prepare the neighbourhood improvement plan</p>		% of planned	0%
<p>Engage academia and research professional in project activities to prepare specific research and policy papers Assist Ministry of Municipality in Erbil and Governorate to prepare the conference</p>		% of planned	0%

Qualitative achievements against objectives and results

A number of preparatory activities primarily related to the establishment of project management structures along with the terms of reference have been carried out. These pertain to the:

- 1- Project Steering Committee
- 2- Project Executive Team (PET)
- 3- Housing Facilitation Units established in the two pilot neighbourhoods
- 4- Study tour with the Project Steering Committee conducted to Istanbul to learn about slum-like neighbourhoods upgrading experiences in its vicinity.
- 5- A master plan is being finalized for the two selected neighbourhoods which present a learning exercise on the development process.
- 6- Physical, legal and social surveys conducted in the pilot neighbourhoods to determine the compensation scheme in view of implementing the neighbourhood upgrading plans.
- 7- Analysis for introducing energy efficient housing in the pilot neighbourhoods and energy efficient

housing designs compiled in reports.

A close communication is being established with the community through the work of the Housing Facilitation Unit. The numerous meetings with the steering committee members in Erbil and elsewhere will ensure smooth implementation and acceptance of beneficiaries and counterparts.

Main implementation constraints & challenges (2-3 sentences)

The nature of slum upgrading projects with its long implementation duration remains a challenge to convince the local authorities of the importance of capacity building and technical assistance to ensure sustainability of the implementation in comparison with the hard component.

Phased implementation is a long process, but the governorate's plans turned out to be quite ambitious regarding the type of upgrading they wish to implement. Accommodating the governorate's plan within the available funding or the span of the project may remain a challenge.

Introducing change in existing systems and procedures (within government bureaus) in order to improve the housing delivery has always been a complex and challenging task.