

United Nations Development Group Iraq Trust Fund

Project #:C9-22

Date and Quarter Updated: January – March, 1st Quarter 2010

Participating UN Organisation: UNOPS

Sector: Governance

Government of Iraq – Responsible Line Ministry: Deputy Prime Ministers Office

Title	Assistance for the Establishment of the Secretariat for the International Compact with Iraq (Compact Secretariat)				
Geographic Location	Activities will mostly take place in Baghdad and Erbil, however participants will come from all Governorate Elections Offices.				
Project Cost	USD 3,414,816				
Duration	12 months				
Approval Date (SC)	4 th October 2007	Starting Date	10 th October 2007	Completion Date	9 th October 2008 Extended until June 2010
Project Description	The project aims to provide assistance in establishing the Secretariat for the International Compact with Iraq (ICI). The Secretariat will be the Government of Iraq's mechanism in charge of coordinating, monitoring and reporting on the implementation of the Compact. Support will be provided through the engagement of an external management consultancy to support the implementation of the ICI				

Development Goal and Immediate Objectives

Effective, accountable and sustainable Iraqi mechanism for policy planning, program design, monitoring and evaluation to support the implementation of the ICI in line with UNCT Goal 4: *Promote good governance and democratic processes, assist to uphold the rule of law and establish a human right regime.*

The Immediate objectives are:

1. Provide policy, program, technical and administrative support for the implementation of the International Compact with Iraq (ICI) by the identification and contracting of an external management company. The company will
 - Establish and manage the Administrative and Technical Support Unit
 - Provide procurement support to the Unit in terms of identifying and contracting consultants (4) in the relevant fields to shadow/ mentor the government staff seconded as programme Officers to the PPU
 - Select consultants to support the Secretariat administratively and technically on a short term basis as requested by the Secretariat Director
 - Work with the Compact Steering Committee to develop a programme/ project pipeline
2. Support the selected service provider by acting as a liaison with other UN agencies, development partners and any other relevant stakeholders

Outputs, Key activities and Procurement

Outputs	<ol style="list-style-type: none"> 1.1 Definition of Management Company ToR by UN and development partners and agreement to these by the Secretariat Director and Compact Steering Committee 1.2 Successfully implemented Management Company procurement process in line with UN procurement procedures 1.3 Engagement of selected company, according to UN and UNOPS procedures, by UNOPS to provide the required consultancy services as defined in the approved ToR 1.4 Support to the Administrative and Technical Support Unit from one full time management consultant and activities as defined in the ToR for the Secretariat 1.5 Recruitment of Management Consultants (pool of experts) with the specific skill sets as defined in ToRs to be responsible for “shadowing” the Programme Officers seconded from the GoI (Deputy Minister/ DG) sit in PPU 1.6 Necessary skills have been put in place to ensure Iraqi management of the Secretariat in line with the pre-defined transition arrangements through the work of the Management Consultants together with the GoI Secretariat Organisation 2.1 Assigned UNOPS project manager to act as liaison with other stakeholders and
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	facilitate coordination between this project and complementary projects managed by other agencies.
Activities	<p>1.1.1 UNOPS, together with other relevant stakeholders defines ToRs for the external management company</p> <p>1.1.2 Submission of ToRs to GoI, Secretariat Director for approval</p> <p>1.1.3 Approval of ToRs by GoI Secretariat General</p> <p>1.2.1 Publish Expression of Interest (EoI) on UN/ UNOPS website</p> <p>1.2.2 Alert companies with proven and current Iraq experience and relevant expertise to EoI</p> <p>1.2.3 Transmission of Request for Proposal to potential contracting companies based on positive responses to EoI</p> <p>1.2.4 Evaluation of received proposals by UNOPS with the participation of the ICI Secretariat and UNAMI</p> <p>1.2.5 Selection of management consultancy based on evaluation</p> <p>1.3.1 Engagement by UNOPS of selected management consultancy company to provide the services as specified in the ToRs, and as proposed in the company submission</p> <p>1.4.1 The engaged management consultancy company will identify one consultant to work with the Administrative and Technical Assistance Unit to establish Secretariat activities, as defined in the Compact Secretariat ToR (annexe A)</p> <p>1.5.1 The management consultancy company will engage a pool of consultants to “shadow” the Programme Officers seconded from the relevant GoI ministries to the PPU on a part time basis. This activity will be undertaken in consultation with other stakeholders (UNDP/ WB) who have also contracted to provide expert advisors to the PPU</p> <p>1.5.2 The selected consultants will mentor the GoI officials inside the project duration to ensure that activities undertaken are in line with the overall Compact Secretariat objectives and principles.</p> <p>1.6.1 The consultants in both Administrative and Technical Support and in PPU will work with the GoI staff to ensure that transition to an Iraqi-led reconstruction model is on track and proceeding to schedule</p> <p>2.1.1 Assignment of part-time Project manager to follow and monitor the implementation of the project and initiate corrective action when required</p> <p>2.1.2 Establish links with other stakeholders (UN, development partners)</p> <p>2.1.3 Follow-up on specific project activities and outcomes to ensure quality of service and timely delivery.</p>
Procurement (major items)	None

Funds Committed	3,221,983.10 USD	% of approved	94 %
Funds Disbursed	3,200,109.73 USD	% of approved	94%
Forecast final date	30th June 2010	Delay (months)	

Direct Beneficiaries	Number of Beneficiaries	% of planned (current status)
Men	10	n/a
Women	1	
Children		
IDPs		
Others		
Indirect beneficiaries	Population of Iraq	
Employment generation (men/women)	2(men) 1 (woman)	
Quantitative achievements against objectives and results		

Output 1 Provide policy, program, technical and administrative support for the implementation of the International Compact with Iraq (ICI) by the identification and contracting of an external management company.		
1.1 Definition of Management Company ToR by UN and development partners and agreement to these by the Secretariat Director and Compact Steering Committee	100	% of planned
1.2 Successfully implemented Management Company procurement process in line with UN procurement procedures	100	% of planned
1.3 Engagement of selected company, according to UN and UNOPS procedures, by UNOPS to provide the required consultancy services as defined in the approved ToR	100	% of planned
1.4 Support to the Administrative and Technical Support Unit from one full time management consultant and activities as defined in the ToR for the Secretariat	90	% of planned
1.5 Recruitment of Management Consultants (pool of experts) with the specific skill sets as defined in ToRs to be responsible for “shadowing” the Programme Officers seconded from the GoI (Deputy Minister/ DG) sit in PPU	80	% of planned
1.6 Necessary skills have been put in place to ensure Iraqi management of the Secretariat in line with the pre-defined transition arrangements through the work of the Management Consultants together with the GoI Secretariat Organisation	80	% of planned
Output 2 Support the selected service provider by acting as a liaison with other UN agencies, development partners and any other relevant stakeholders		
2.1 Assigned UNOPS project manager to act as liaison with other stakeholders and facilitate coordination between this project and complementary projects managed by other agencies.	95	% of planned

Qualitative achievements against objectives and results during this reporting period		
Output 1		
<ul style="list-style-type: none"> • Further to the agreed restructuring on this project to provide expertise to specific Prime Minister’s Advisory Council (PMAC) Advisors, identified through a consultative process lead by the Director of the ICI Secretariat, and as the shape and direction of the ICI changed during 2009, the GoI identified a number of focused areas of support for which they requested that the resources of the project be used. Through these interventions, the UN was able to provide technical support to key national processes. <ul style="list-style-type: none"> - Support to the National Development Plan, two senior national specialists were engaged to support the development of the NDP. - Support to the Common Country Assessment/United Nations Development Assistance Framework (CCA/UNDAF) The UN, taking its cue from the GoI and its production of the first ever medium term national development plan, undertook a complementary process to produce the Common Country Assessment followed by the first ever UNDAF for Iraq. The data and analysis developed for the CCA were linked to and support the sectoral analyses undertaken for the NDP, thereby ensuring alignment and harmonisation of evidence based planning between the NDP and the UNDAF. To ensure consistent GoI engagement and ownership of both processes, the project provided a consultant (an editor). - UNAMI/ WFP / GoI requested the provision of a Public Distribution System consultant to provide interim and transitional support to the emerging NDP process. 		
Output 2		
<ul style="list-style-type: none"> • The project manager has been liaising with the stakeholders as required. • 		

Main implementation constraints & challenges (2-3 sentences)

The project objectives were not fully achieved because the ICI Secretariat structure initially envisaged in the Secretariat TORs has not been fully realized by the GoI counterparts. Subsequently, the Compact Secretariat was restructured with the Policy Planning Unit relocating to the Prime Minister's Advisory Council/ Board (PMAC/B) and the Administrative and Technical Unit remaining with the Deputy Prime Minister until August 2009. The lead for the ICI Secretariat was then transferred to the Ministry of Finance, but with physical locations remaining the same.

Subject to the approved change of provision of expertise within the project (output 1.5) in line with the changed GoI structure, the project successfully deployed consultants. The changes in security management of the International Zone continued to present logistical challenges, especially for national consultants.