

**JOINT PROGRAMME MONITORING REPORT:**  
**ECONOMIC GOVERNANCE WINDOW**

This report is due no more than 20 days following the end of the 2<sup>nd</sup> and 4<sup>th</sup> quarter. Please submit to the MDF-G Secretariat at: [mdgf.secretariat@undp.org](mailto:mdgf.secretariat@undp.org)

**Section 1: Identification and Joint Programme Status**

**a. Joint Programme Identification and basic data**

<p><b>Date of Submission:</b> <i>24 February 2009</i></p> <p><b>Submitted by:</b> Name: Kathleen P. Mangune Title: Chief Economic Development Specialist Organization: National Economic and Development Authority Contact information: Email: <a href="mailto:KPMangune@neda.gov.ph">KPMangune@neda.gov.ph</a> Tel.: +632-631-3724 Fax: +632-631-2188</p>	<p><b>Country and Thematic Window</b> <i>Philippines</i> <i>Economic Democratic Governance</i></p>
<p><b>MDTF Atlas Project No:</b> <b>00050712</b></p> <p><b>Title:</b> <i>MDG-F 1919: Enhancing Access to and Provision of Water Services with the Active Participation of the Poor</i></p>	<p><b>Report Number:</b> <b>2</b></p> <p><b>Reporting Period:</b> <i>January – June 2010</i></p> <p><b>Programme Duration:</b> <i>02 June 2009 – 01 June 2012</i></p>
<p><b>Participating UN Organizations</b></p> <ol style="list-style-type: none"> <li>1. <i>United Nations Development Programme (UNDP)</i></li> <li>2. <i>United Nations Children’s Fund (UNICEF)</i></li> </ol>	<p><b>Implementingpartners<sup>1</sup></b></p> <ol style="list-style-type: none"> <li>1. <i>National Economic and Development Authority (NEDA)</i></li> <li>2. <i>Department of the Interior and Local Government (DILG)</i></li> <li>3. <i>National Water Resources Board (NWRB) as ResponsibleParty</i></li> </ol>

<sup>1</sup>Please list all the partners actually working in the joint’s programme implementation, NGOs, Universities, etc. If you are working with a large number of partners please annex the list.

<b>Estimated Budget Summary</b>	
<b>Total Approved Joint Programme Budget:</b> <i>US\$ 5.37 Million</i>	UNDP: <i>US\$ 3.81 Million</i> UNICEF: <i>US\$ 1.56 Million</i> Total: <i>US\$ 5.37 Million</i>
<b>Total Amount of Transferred to date:</b>	UNDP: <i>US\$ 3,143,939<sup>2</sup></i> UNICEF: <i>US\$ 1,362,859</i> Total: <i>US\$ 4,506,798</i>
<b>Estimated Total Budget Committed<sup>3</sup> to date:</b>	UNDP: <i>US\$ 2,222,416</i> UNICEF: <i>US\$ 902,805</i> Total: <i>US\$ 3,125,222</i>
<b>Estimated Total Budget Disbursed<sup>4</sup> to date:</b>	UNDP: <i>US\$ 1,744,850</i> UNICEF: <i>US\$ 584,517</i> Total: <i>US\$ 2,329,367</i>

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<sup>2</sup>Including US\$20,000 Formulation Advances

<sup>3</sup>Based on an exchange rate of US\$1.00:PhP44.03

<sup>4</sup> Based on an exchange rate of US\$1.00:PhP44.03

### Beneficiaries

#### Direct Beneficiaries

Indicate Beneficiary type (i.e. farmers, policy makers, SMEs, etc.)	No. Institutions	No. Women	No. Men	No. Ethnic Groups
Central Government	3	49	58	
Local Government	36	To be validated/determined thru the on-going baseline survey among JP covered regions.		
Water Service Providers	36	To be validated/determined thru the on-going baseline survey among JP covered regions.		
<b>Total</b>	75			

#### Indirect Beneficiaries

Indicate Beneficiary type (i.e. farmers, policy makers, SMEs, etc.)	No. Institutions	No. Women	No. Men	No. Ethnic Groups
Villagers <sup>5</sup>		363,804	368,196	To be validated/ determined
<b>Total</b>		363,804	368,196	

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<sup>5</sup> Based on estimated 122,000 households to be served by 36 beneficiary local water service providers, with an assumed 6 persons per household, and gender disaggregation based on 2000 census.

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### b. Joint Programme M&E framework

Expected Results (Outcomes & outputs)	Indicators (with baselines & indicative timeframe)	Means of verification	Collection methods (with indicative time frame & frequency)	Responsibilities	Risks & assumptions
Output 1.1 Incentives mechanisms and partnership modalities (e.g., leveraging local capital and/or subsidy) developed and enhanced for investments in “waterless” and poor communities.	<b>Indicators:</b> 1 policy issuance promoting the use of the schemes by 2011  <b>Baseline:</b> No other policy issuance except NG-LGU cost-sharing arrangement	Compendium/compilation of policy issuances issued by government  Progress/Annual Reports	Research/Data collection (Feb-Apr 2011)  Regular M&E and Reporting (Quarterly/Annual)  Final evaluation report	NEDA: - Prepare TOR and procure/hire experts - Provide technical counterpart to experts - Assist experts in coordinating with relevant government agencies and in accessing data/information - Review of deliverables - Participate in actual M&E	<b>National and local elections posed difficulties in the conduct of local activities.</b>  <b>Low political commitment at national &amp; local levels, arising from change in administration, may delay project implementation.</b>
Output 1.2.1 Policy on National Government-Local Government Units (NG-LGU) cost sharing arrangement for water supply and sanitation provision for poor municipalities reformulated and recommended for adoption.	<b>Indicator(s):</b> 1policy issuance recommended for cost sharing arrangement by 2011  <b>Baseline:</b> Current cost sharing arrangement based on LGU income class only	Compendium/compilation of executive issuances issued by government  Inventory/Models of NGA-LGU cost sharing arrangements.  Progress/Annual Reports	Research/Data collection (Jan-Mar 2011)  Research/Data collection (Jan-Mar 2011)  Regular M&E and Reporting (Quarterly/Annual)  Final evaluation report	UNICEF: - Review of deliverables - Conduct of actual M&E Other Partners (UNDP, DILG, NWRB): - Review of deliverables - Conduct of/participate in actual M&E	<b>Conflicting national and local laws and policies.</b>  <b>Weak capacity of NGAs and LGUs to implement projects.</b>  <b>Lack of support from the private sector.</b>
Output 1.2.2 Programming policies of the P3W reviewed and amended, and recommended for adoption.	<b>Indicator(s):</b> 1set of guidelines for programming recommended for adoption by 2010  <b>Baseline:</b> Current implementing guidelines available	Progress/Annual Reports	Research/Data collection (July-Sept 2010)  Research/Data collection (July-Sept 2010)  Regular M&E and Reporting (Quarterly/Annual)  Final evaluation report		

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<p><b>Output 1.3</b> WATSAN Councils and Water user associations formed/organized with increased participation of women.</p>	<p><b>Indicator(s):</b>36 WATSAN councils &amp; water user association organized</p> <p><b>Baseline:</b>No WATSAN Councils were organized during the implementation of the P3W</p>	<p>Government Reports (NWRB/LWUA/LGU)</p> <p>2010 Baseline Survey Results/Progress/Annual Reports/Field Visit Reports</p>	<p>Research/Data Collection (Jan-Dec 2010)</p> <p>Regular M&amp;E and Reporting (Quarterly/Annual)</p>	<p>DILG:</p> <ul style="list-style-type: none"> <li>- Prepare TOR</li> <li>- Facilitate the creation of WATSAN councils through issuance of EOs</li> <li>- Assist NGOs, Academe in the formation of users association</li> <li>- Review deliverables of experts</li> <li>- Validate results of the baseline survey</li> <li>- Coordinates with LGUs in all related activities</li> </ul> <p>UNDP:</p> <ul style="list-style-type: none"> <li>- Conduct of actual M&amp;E</li> <li>- Provide overall guidance</li> </ul> <p>LGUs:</p> <ul style="list-style-type: none"> <li>- Submit/validate data</li> </ul> <p>Other Partners (UNICEF, NEDA, NWRB):</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of/participate in actual M&amp;E</li> </ul>	<p><b>National and local elections posed difficulties in the conduct of local activities.</b></p> <p><b>Low political commitment at national &amp; local levels, arising from change in administration, may delay project implementation.</b></p> <p><b>Conflicting national and local laws and policies.</b></p> <p><b>Weak capacity of NGAs and LGUs to implement projects.</b></p> <p><b>Lack of support from the private sector.</b></p>
<p><b>Output 1.4</b> Tariff-setting methodology adjusted for small scale water service providers.</p>	<p><b>Indicator(s):</b> 1 tariff-setting methodology revised and recommended for adoption</p> <p><b>Baseline:</b> Current 5-year tariff-setting methodology available</p>	<p>Progress/Annual Reports</p>	<p>Research/Data collection (July-Sept 2010)</p>	<p>NEDA:</p> <ul style="list-style-type: none"> <li>- Prepare TOR and hire experts</li> <li>- Provide technical counterpart</li> <li>- Assist experts in coordinating with relevant government agencies and in accessing data/information</li> <li>- Review of deliverables</li> <li>- Participate in actual M&amp;E</li> </ul> <p>UNICEF:</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of actual M&amp;E</li> </ul> <p>Other Partners:</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of/participate in actual M&amp;E</li> </ul>	
<p><b>Output 2.1.1</b> Mentoring mechanisms formulated, recommended for adoption and institutionalized.</p>	<p><b>Indicator(s):</b>at least 1 module for mentoring formulated</p> <p><b>Baseline:</b> No available guidelines/modules.</p>	<p>Capacity building &amp; M/E Modules</p> <p>Progress/Annual Reports/Knowledge Products</p>	<p>Research/Data Collection/Module Development/Training Roll-out (Jan 2010 –June 2011)</p> <p>Regular M&amp;E and Reporting (Quarterly/Annual)</p>	<p>NEDA:</p> <ul style="list-style-type: none"> <li>- Prepare TOR and hire experts for assessment</li> <li>- Provide technical counterpart</li> <li>- Assist experts in coordinating with relevant government agencies and in accessing data/information</li> <li>- Review of deliverables</li> <li>- Participate in actual M&amp;E</li> </ul>	

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				<p>DILG:</p> <ul style="list-style-type: none"> <li>- Prepare TOR for capacity assessment and formulation of modules</li> <li>- Review deliverables/outputs</li> <li>- Coordinate with LGUs, WSPs and LWUA</li> <li>- Submit report to relevant partners</li> </ul> <p>UNDP and UNICEF:</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of actual M&amp;E</li> </ul> <p>Other Partners (e.g., NWRB):</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Participate in actual M&amp;E</li> </ul> <p>WSPs:</p> <ul style="list-style-type: none"> <li>- Submit/validate data</li> </ul>	<p><b>National and local elections posed difficulties in the conduct of local activities.</b></p> <p><b>Low political commitment at national &amp; local levels, arising from change in administration, may delay project implementation.</b></p> <p><b>Conflicting national and local laws and policies.</b></p> <p><b>Weak capacity of NGAs and LGUs to implement projects.</b></p>
<p><b>Output 2.1.2</b> WATSAN Toolbox implemented.</p>	<p><b>Indicator(s):</b> 36 LGUs trained in planning and management/financing; 36 user associations trained in operations &amp; management of water facilities; 36 WSPs trained in efficient/effective service delivery</p> <p><b>Baseline:</b> Toolbox available; Data on current level of competency for planning, operations &amp; management to be determined thru the on-going capacity assessment</p>	<p>Capacity building &amp; M/E Modules/ WATSAN Tools/Manuals</p> <p>LGU Development Plans</p> <p>Progress/Annual Reports/Field Visit Reports/Knowledge Products</p>	<p>Research/Data Collection/Module Development/Training Roll-out (June 2010 –June 2011)</p> <p>Research/Data Collection (June 2010- June 2011)</p> <p>Regular M&amp;E and Reporting (Quarterly/Annual)</p> <p>Capacity Assessment (pre and post assessment of competencies level)</p>	<p>DILG:</p> <ul style="list-style-type: none"> <li>- Prepare TOR</li> <li>- Collect/compile/ consolidate monitoring data</li> <li>- Coordinate with LGUs</li> <li>- Review deliverables of experts</li> <li>- Submit report to relevant partners</li> <li>- Assist experts develop the framework on Capacity Assessment as input to the WATSAN Toolbox</li> <li>- Participate in actual M&amp;E</li> </ul> <p>UNDP:</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of actual M&amp;E</li> </ul> <p>Other Partners (e.g., UNICEF, NEDA, NWRB)</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of/participate in actual M&amp;E</li> </ul>	<p><b>Lack of support from the private sector.</b></p>

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<p><b>Output 2.2</b> Improved sector plans formulated and monitoring mechanisms established.</p>	<p><b>Indicator:</b>36 MW4SPs formulated; 36 monitoring systems established</p> <p><b>Baseline:</b> No MW4SPs and monitoring systems in 36 target municipalities</p>	<p>Government Reports (DILG/NWRB/LWUA/LGU)</p> <p>LGU Development Plans</p> <p>Progress/Annual Reports/Field Visit Reports</p>	<p>Research/Data Collection (June 2010- June 2011)</p> <p>Research/Data Collection (June 2010- June 2011)</p> <p>Regular M&amp;E and Reporting (Quarterly/Annual)</p>	<p>DILG:</p> <ul style="list-style-type: none"> <li>- Prepare TOR</li> <li>- Review deliverables/ outputs of contracted firm</li> <li>- Coordinate with LGUs</li> <li>- Submit report to relevant partners</li> <li>- Participate in actual M&amp;E</li> </ul> <p>UNDP:</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of actual M&amp;E</li> </ul> <p>Other Partners (e.g., UNICEF, NEDA, NWRB)</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of/participate in actual M&amp;E</li> </ul>	<p><b>National and local elections posed difficulties in the conduct of local activities.</b></p> <p><b>Low political commitment at national &amp; local levels, arising from change in administration, may delay project implementation.</b></p> <p><b>Conflicting national and local laws and policies.</b></p> <p><b>Weak capacity of NGAs and LGUs to implement projects.</b></p>	
<p><b>Output 2.3</b> Localized Customer Service Code based on the framework for service delivery developed and adopted.</p>	<p><b>Indicator(s):</b>36 localized customer service codes based on manual/guidelines developed</p> <p><b>Baseline:</b>Only Customer Service Code for Level III is available</p>	<p>Progress/Annual Reports/ Field Visit Reports</p> <p>HH Surveys</p>	<p>Regular M&amp;E and Reporting (Quarterly/Annual)</p> <p>Research/Data Collection (Apr 2009)</p>	<p>DILG:</p> <ul style="list-style-type: none"> <li>- Review deliverables</li> <li>- Coordinate with LGUs and WSPs</li> <li>- Submit report to relevant partners</li> <li>- Participate in actual M&amp;E</li> </ul> <p>NWRB:</p> <ul style="list-style-type: none"> <li>- Prepare TOR</li> <li>- Review of deliverables</li> <li>- Participate in actual M&amp;E</li> </ul> <p>UNDP:</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of actual M&amp;E</li> </ul> <p>WSPs:</p> <ul style="list-style-type: none"> <li>- Submit/validate data</li> </ul> <p>Other Partners (e.g., UNICEF, NEDA)</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of/participate in actual M&amp;E</li> </ul>		<p><b>Lack of support from the private sector.</b></p>
<p><b>Output 2.4</b> Advocacy and awareness raised of LGUs, WSPs, and community on a) WSP responsibilities; b) customer service code; c) KPIs and standards; d) tariff setting and regulation; e) management and operations options/</p>	<p><b>Indicator(s):</b>1 national IEC plan; 36 localized IEC plans; % increase over baseline on the level of awareness of LGUs, WSPs, and community</p> <p><b>Baseline:</b> Data on level of awareness of LGUs, WSPs, community to be</p>	<p>Government Reports (DILG/NWRB/LWUA/LGU)</p> <p>LGU Development Plans</p> <p>Progress/Annual Reports</p> <p>IEC National Plan</p>	<p>Research/Data Collection (Jan 2010-Dec 2011)</p> <p>Research/Data Collection (Jan 2010-Dec 2011)</p> <p>Regular M&amp;E and Reporting (Quarterly/Annual)</p> <p>Regular M&amp;E and</p>	<p>DILG:</p> <ul style="list-style-type: none"> <li>- Prepare TOR</li> <li>- Review deliverables/ outputs of consultancy firm</li> <li>- Coordinate with LGUs and WSPs</li> <li>- Participate in actual M&amp;E</li> </ul> <p>UNICEF:</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of actual M&amp;E</li> </ul> <p>Other Partners (e.g., UNDP, NEDA,</p>		<p><b>National and local elections posed difficulties in the conduct</b></p>

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<p>alternatives; and f) sanitation.</p>	<p>determined from the on-going baseline survey</p>	<p>Localized IEC Plans</p>	<p>Reporting (Quarterly/Annual)</p> <p>Government Reports on MDGs esp. on Water &amp; Sanitation (Annual)</p>	<p>NWRB):</p> <ul style="list-style-type: none"> <li>- Review of deliverables</li> <li>- Conduct of/participate in actual M&amp;E</li> </ul>	<p><b>of local activities.</b></p> <p><b>Low political commitment at national &amp; local levels, arising from change in administration, may delay project implementation.</b></p> <p><b>Conflicting national and local laws and policies.</b></p> <p><b>Weak capacity of NGAs and LGUs to implement projects.</b></p> <p><b>Lack of support from the private sector.</b></p>
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c. Joint Programme Results Framework with financial information



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JP output: 1.1 Please highlight the rate of delivery for each joint programme's output: a. Less than 30% b. between 31%-50% c. between 51-60 d. between 61%-70% e. between 71%-80 d. More than 80%											
Outputs	Activity	YEAR		UN AGENCY	RESPONSIBLE PARTY	Source of Funding	Budget description	Estimated Implementation Progress (in '000 US\$)			
		Y1	Y2					NATIONAL/ LOCAL	Total Amount Planned (Y1-Y3)	Estimated Total Amount Committed	Estimated Total Amount Disbursed
Output 1.1 Incentives mechanisms and partnership modalities (e.g., leveraging local capital and/or subsidy) developed and enhanced for investments in "waterless" and poor communities.	1.1.a Prepare TOR for study and experts	X		UNICEF	NEDA	MDG-F	Contracts Equipment Supplies Conference/Training Travel Other Direct Costs	221.26 <sup>6</sup>	130.76	61.21	27.66%
	1.1.b.1 Procurement/Hiring of experts	X		UNICEF	NEDA	MDG-F					
	1.1.b.2 Mobilization of Study Team; preparation of Work and Financial Plan	X		UNICEF	NEDA/Study Team	MDG-F					
	1.1.c.1. Inventory of existing incentives and partnership modalities employed by various programs of government, NGOs, and the PS	X		UNICEF	NEDA/Study Team	MDG-F					
	1.1.c.2 Literature review of other local and international practices	X		UNICEF	NEDA/Study Team	MDG-F					
	1.1.c.3 Consultation with major stakeholders at the national level	X		UNICEF	NEDA/Study Team	MDG-F					
	1.1.c.4 Submission and review of Inception Report	X		UNICEF	NEDA/Study Team/ Study TWG						
	1.1.d.1 Stocktaking of existing policies, laws, issuances and rules and regulations that encourage or discourage either public or private entities to invest at the local level			UNICEF	NEDA/Study Team	MDG-F					
	1.1.d.2 Characterization of WSPs in terms of operations and business practices	X		UNICEF	NEDA/Study Team	MDG-F					
	1.1.d.3 Assessment of locally and internationally available mechanisms for possible application in waterless and rural areas taking consideration the WSP existing in waterless/poor areas	X		UNICEF	NEDA/Study Team	MDG-F					
	1.1.d.4 Submission of draft compendium of partnerships and incentive modalities	X		UNICEF	NEDA/Study Team	MDG-F					
1.1.d.5 National Consultations	X		UNICEF	NEDA/Study Team	MDG-F						

<sup>6</sup>Excluding equipment costs amounting to US\$ 7,500 which is pooled with equipment costs of other NEDA-led outputs under PMU costs and excluding reallocated budget/savings as approved by the PMC and the NSC.

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	1.1.d.6 Development of draft framework for partnerships and incentives provision in the provision of water supply services in waterless and poor communities	X		UNICEF	NEDA/Study Team	MDG-F					
	1.1.d.7 Submission and review of Interim Report		X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.1.e.1 Formulation of recommended enhancements to existing incentives and partnership (with various potential partners) modalities for different WSPs		X	UNICEF	NEDA/Study Team	MDG-F					
	1.1.e.2 Formulation of innovative incentives and partnership (with different potential partners) modalities for WSPs that can be potentially adopted in waterless/rural areas		X	UNICEF	NEDA/Study Team	MDG-F					
	1.1.e.3 Development of a detailed action plan for the implementation of the recommended incentive/partnership mechanisms by different WSPs		X	UNICEF	NEDA/Study Team	MDG-F					
	1.1.e.4 National Consultations (presentation of recommendations)		X	UNICEF	NEDA/Study Team	MDG-F					
	1.1.e.5 Submission and review of Draft Final Report		X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.1.f.1 Draft INFRACOM and other committee resolutions		X	UNICEF	NEDA/Study Team	MDG-F					
	1.1.f.2 Presentation to INFRACOM and other relevant committees		X	UNICEF	NEDA/Study Team	MDG-F					
	1.1.f.3 Final revision of framework and action plan		X	UNICEF	NEDA/Study Team	MDG-F					
	1.1.f.4 Submission and review of Final Report		X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
<b>Total</b>							<b>221.26</b>	<b>130.76</b>	<b>61.21</b>	<b>27.66%</b>	
Output 1.2.1 Policy on National	1. 2.1.a Prepare TOR for study and experts	X		UNICEF	NEDA	MDG-F	Contracts Equipment Supplies Conference/Training	237.18 <sup>7</sup>	114.12	64.66	27.26%
	1. 2.1.b.1 Procurement/Hiring of experts	X		UNICEF	NEDA	MDG-F					
	1.2.1.b.2 Mobilization of Study Team; preparation of Work and Financial Plan	X		UNICEF	NEDA/Study Team	MDG-F					

<sup>7</sup>Excluding equipment costs amounting to US\$ 2,500 which is pooled with equipment costs of other NEDA-led outputs under PMU costs and excluding reallocated budget/savings as approved by the PMC and the NSC.

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	1.2.1.c.1 Initial review of reports and policies on NG-LGU cost-sharing	X		UNICEF	NEDA/Study Team	MDG-F	Travel Other Direct Costs				
	1.2.1.c.2 Identification of LGUs to be covered	X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.c.3 Submission and review of Inception Report	X		UNICEF	NEDA/Study Team/ Study TWG						
	1.2.1.d.1 Assessment of current NG-LGU cost-sharing arrangement thru literature review and FGDs/consultations	X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.d.2 Development of draft grant/subsidy framework thru literature review and FGDs/consultations		X	UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.d.3 Submission and review of Interim Report		X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.2.1.e.1 Formulation of guidelines within new framework		X	UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.e.2 Consultation workshops		X	UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.e.3 Submission and review of Draft Final Report		X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.2.1.f.1 Draft INFRACOM and other committee resolutions		X	UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.f.2 Presentation to INFRACOM and other relevant committees		X	UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.f.3 Final revision of guidelines and framework		X	UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.f.4 Submission and review of Final Report		X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
<b>Total</b>								<b>237.18</b>	<b>114.12</b>	<b>64.66</b>	<b>27.26%</b>
Output 1.2.2 Programming policies of the P3W reviewed and amended, and recommended for adoption.	1.2.2.a Prepare TOR for study and experts	X		UNICEF	NEDA	MDG-F	Contracts Equipment Supplies Conference/Training Travel Other Direct Costs	151.50 <sup>8</sup>	101.98	95.88	63.29%
	1.2.2.b.1 Procurement/Hiring of experts	X		UNICEF	NEDA	MDG-F					
	1.2.2.b.2 Mobilization of Study Team; preparation of Work and Financial Plan	X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.c.1 Initial review of secondary information	X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.c.2 Submission and review of Inception Report	X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.d.1 Review of secondary materials and reports on the program	X		UNICEF	NEDA/Study Team	MDG-F					

<sup>8</sup>Excluding equipment costs amounting to US\$ 2,500 which is pooled with equipment costs of other NEDA-led outputs under PMU costs and excluding reallocated budget/savings as approved by the PMC and the NSC.

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	1.2.2.d.2 Assessment of current implementation of P3W	X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.d.3 Focus Group Discussions	X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.d.4 Submission and review of Assessment Report	X		UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.2.2.e.1 Initial review and redraft of Implementing Guidelines	X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.e.2 Consultation workshops	X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.e.3 Submission and review of Draft Revised Guidelines	X		UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.2.2.f.1 Draft INFRACOM and other committee resolutions			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.f.2 Presentation to INFRACOM and other relevant committees			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.f.3 Final revision of guidelines			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.f.4 Submission and review of Final Report			UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
<b>Total</b>								<b>151.50</b>	<b>101.98</b>	<b>95.88</b>	<b>63.29%</b>
Output: 1.3 WATSAN Councils and User Associations Organized	1.3.1 Conduct baseline survey of waterless areas without organized local user		X	UNDP	DILG	MDG-F	Contracts Personnel Supplies Travel Training Other Direct Costs	1285.68	843.62	795.12	61.84%
	1.3.2 Conduct inclusive consultations and mobilization for WATSAN Councils		X	UNDP	DILG	MDG-F	Supplies Other Direct Costs				
	1.3.3 Conduct inclusive consultations and mobilization for user associations		X	UNDP	DILG	MDG-F	Supplies Travel Transportation Training Other Direct Cost				
<b>Total</b>								<b>1285.68</b>	<b>843.62</b>	<b>795.12</b>	<b>61.84%</b>
Output 1.4 Tariff-setting methodology adjusted	1.4.a Prepare TOR for study and experts	X		UNICEF	NEDA	MDG-F	Contracts	184.23 <sup>9</sup>	103.69	73.76	40.04%
	1.4.b.1 Procurement/Hiring of experts	X		UNICEF	NEDA	MDG-F	Equipment				
	1.4.b.2 Mobilization of Study Team; preparation of Work and Financial Plan	X		UNICEF	NEDA/Study Team	MDG-F	Supplies Conference/Training				

<sup>9</sup>Excluding equipment costs amounting to US\$ 2,500 which is pooled with equipment costs of other NEDA-led outputs under PMU costs.

### MDG-F Monitoring Report

1.4.c.1 Literary review of proven and effective international best practices on economic regulation	X		UNICEF	NEDA/Study Team	MDG-F	Travel Other Direct Costs				
1.4.c.2 Review of existing regulatory framework as well as relevant laws	X		UNICEF	NEDA/Study Team/ Study TWG						
1.4.c.3 Review of existing 5-year tariff-setting methodology used by NWRB as well as other methodologies used currently used in the sector	X		UNICEF	NEDA/Study Team	MDG-F					
1.4.c.4 Key interviews with major stakeholders at the national level	X		UNICEF	NEDA/Study Team	MDG-F					
1.4.c.5 Submission and review of Inception Report	X		UNICEF	NEDA/Study Team/ Study TWG						
1.4.d.1 Gather relevant data for the categorization of WSPs nationwide	X		UNICEF	NEDA/Study Team	MDG-F					
1.4.d.2 Assessment of current implementation of P3W	X		UNICEF		MDG-F					
1.4.d.3 Focus Group Discussions	X		UNICEF	NEDA/Study Team	MDG-F					
1.4.d.4 Submission and review of Assessment Report	X		UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
1.4.e.1 Initial review and redraft of Implementing Guidelines	X		UNICEF	NEDA/Study Team	MDG-F					
1.4.e.2 Consultation workshops	X	X	UNICEF	NEDA/Study Team	MDG-F					
1.4.e.3 Submission and review of Draft Revised Guidelines		X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
1.4.f.1 Draft INFRACOM and other committee resolutions		X	UNICEF	NEDA/Study Team	MDG-F					
1.4.f.2 Presentation to INFRACOM and other relevant committees		X	UNICEF	NEDA/Study Team	MDG-F					
1.4.f.3 Final revision of guidelines		X	UNICEF	NEDA/Study Team	MDG-F					
1.4.f.4 Submission and review of Final Report		X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
						<b>Total</b>	<b>184.23</b>	<b>103.69</b>	<b>73.76</b>	<b>40.04%</b>

## MDG-F Monitoring Report

Output 2.1 Capacities at the local level strengthened	2.1.1 Assess current mentoring practices and practitioners		X	UNDP	NEDA	MDG-F	Contracts Equipment Supplies Conference/Training Travel Other Direct Costs	53.84 <sup>10</sup>	52.52	44.48	82.62%
	2.1.2 Conduct assessment for WATSAN Councils		X	UNDP	DILG	MDG-F	Supplies Travel Transportation Training Other Direct Costs	860.11 <sup>11</sup>	281.78	219.16	25.48%
	2.1.3 Develop and pilot mentoring mechanisms (i.e. how to conduct and operationalize)		X	UNDP	DILG	MDG-F					
	2.1.4 WATSAN toolbox ready for roll-out	X	X	UNDP	DILG	MDG-F					
	2.1.5 Conduct intensive learning program for WATSAN Councils and user associations		X	UNDP	DILG	MDG-F					
<b>Total</b>								<b>913.95</b>	<b>334.30</b>	<b>263.64</b>	<b>28.85%</b>
Output 2.2 Improved sector plans formulated	2.2.1 Formulate sector plans		X	UNDP	DILG	MDG-F	Contracts Personnel	857.16	702.28	293.95	34.29%
	2.2.2 Implement/Install M&E		X	UNDP	DILG	MDG-F	Supplies Travel Training Other Direct Costs				
<b>Total</b>								<b>857.16</b>	<b>702.28</b>	<b>293.95</b>	<b>34.29%</b>
Output 2.3 Localized Customer Service Code	2.3.1 Formulate localized service code using NWRB guidelines		X	UNDP	DILG	MDG-F	Contracts Personnel Supplies Travel Training Other Direct Costs	115.52	92.88	92.71	80.25%
	<b>Total</b>								<b>115.52</b>	<b>92.88</b>	<b>92.71</b>

<sup>10</sup>Excluding reallocated budget/savings as approved by the PMC and the NSC.

<sup>11</sup>Including reallocated budget/savings from output 2.1.1 as approved by the PMC and the NSC.

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Output 2.4 Advocacy and awareness raised of LGUs, WSPs,	2.4.1 Design and implement IEC plan		X	UNICEF	DILG	MDG-F	Contracts Personnel Supplies Personnel Travel Training Counterparts Other Direct Cost	486.92 <sup>12</sup>	262.98	116.96	24.02%
	<b>Total</b>							<b>486.92</b>	<b>262.98</b>	<b>116.96</b>	<b>24.02%</b>
JP Programme Management Support	1. JPD preparation activities	X		UNDP	NEDA	MDG-F	Other Direct Costs	550.41 <sup>13</sup>	356.07	228.43 <sup>14</sup>	41.50%
	2. JPD Launching	X		UNDP	NEDA	MDG-F	Other Direct Costs				
	3. PMC Establishment	X		UNICEF, UNDP	NEDA	MDG-F	Personnel Transport Other Direct Costs				
	4. PMC Meetings	X		UNDP	NEDA	MDG-F	Other Direct Costs				
	5. Coordination Meetings (TWG, other JPs, other agencies, experts, etc.)	X	X	UNDP, UNICEF	NEDA	MDG-F	Other Direct Costs				
	6. Pre-Implementation Workshop	X		UNDP	NEDA	MDG-F	Conference/Training Other Direct Costs				
	7. Orientation Workshop	X		UNICEF	NEDA	MDG-F	Conference/Training Other Direct Costs				
	8. Annual Reviews and Planning Workshop	X		UNICEF	NEDA	MDG-F	Conference/Training Supplies Other Direct Costs				
	9. M&E Framework	X	X	UNICEF, UNDP	NEDA	MDG-F	Contracts Conference/Training Travel Other Direct Costs				
	10. Site Visits	X	X	UNDP	NEDA	MDG-F	Travel Other Direct Costs				
	11. IEC	X	X	UNICEF	NEDA	MDG-F	Contracts Conference/Training Travel Other Direct Costs				
	12. Supplies and Equipment	X		UNICEF	NEDA	MDG-F	Supplies Equipment				

<sup>12</sup>Including reallocated budget/savings from other outputs as approved by the PMC and the NSC.

<sup>13</sup>Inclusive of pooled equipment costs from other NEDA-led Outcome 1 outputs

<sup>14</sup>Cash advance for advance formulation (US\$20,000)

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	13. Annual Audit	X		UNICEF, UNDP	NEDA	MDG-F	Contracts Supplies Other Direct Costs				
	14. Other management establishment support	X	X	UNDP	NEDA	MDG-F	Travel Other Direct Costs				
<b>Total</b>								<b>550.41</b>	<b>356.07</b>	<b>228.43</b>	<b>41.50%</b>



**Section II: Joint Programme Progress**

**a. Narrative on progress, obstacles and contingency measures**

**Progress in outcomes:**

- The Department of Health (DOH) and the National Anti-Poverty Commission (NAPC) agreed to sign a tripartite Memorandum of Agreement (MOA) with DILG for the allocation of budget for the provision of hard infrastructure to the 36 municipalities of the JP.
- Local chief executives (LCEs) who attended the Local Water Governance Fora (LWGF) declared and signed their commitment to increase or allocate a minimum percentage of their development fund for water supply provision. Said LCEs are not limited to the 36 JP municipalities.
- Other commitments made by local stakeholders (LCEs, water service providers, regional line agencies, civil society, members of the academe and the church, students and media) during the LWGF include the following:
  - Protection of watersheds
  - Regulate mining and logging activities in their areas
  - Improve solid waste management
  - Intensify information, education and communication
  - Include water resources management in education curriculum
  - Payment of water bills on time
- Local stakeholders also expressed support for the policies on, among others, (1) adoption of the Integrated Water Resources Management principle; (2) the creation of satellite agencies of the National Water Resources Board (NWRB) and eventually, a single economic regulatory body; (3) benchmarking and ring-fencing; and (4) revisiting the financing guidelines for water projects in waterless communities
- Partnerships with other government agencies/corporations (e.g., Department of Education, National Indigenous People's Commission, Philippine Postal Corporation, Department of Environment and Natural Resources), universities (state-owned and private), and the private sector (SM Cares) will enhance the mobilization of the concerned stakeholders, particularly the youth, in advocating for the prioritization of water supply provision.
- About 200,000 local stakeholders, particularly the schoolchildren and the youth, are being mobilized for the advocacies on providing water supply to Filipinos in waterless areas.

**Progress in outputs:**

- Implementation of activities under the four (4) policy studies under Outputs 1.1, 1.2.1, 1.2.2 and 1.4 of Outcome 1 continued in the second half of the year. Two of the studies have completed actual work and are just pending final presentation to appropriate approving committees prior to finalization. Despite the minor delays encountered particularly arising from (i) difficulties in coordinating and conducting local activities during the period of the election campaign in the first half of the year, as well as in the third quarter, (ii) the schedule and manpower conflicts with the Philippine medium-term planning process, and/or (iii) the cancellation/rescheduling of relevant committee meetings, the studies are expected to be completed within the 3-year implementation period and without compromising the timeframes of other activities of the JP.
- Output 1.3: The target output of "36 WATSAN Councils" organized was achieved through the issuance of corresponding executive orders the 36 target municipalities. Capacity development of the WATSAN councils is planned under the implementation of the Local Water Governance Toolbox (Output 2.1).

### Progress in outputs:

On the other hand, key activities (technical inventory and household survey) of the Baseline Survey in the target regions are completed except in region 2 where field level data gathering is hindered by bad weather conditions since the last quarter of 2010, and in region 9 where a number municipalities are still completing the household surveys and data encoding and uploading. Nevertheless, remaining activities are being fast-tracked, and completion of the baseline reports are expected on February 2011.

- Output 2.1: The assessment of effective mentoring practices and practitioners was completed and served as basis for the development of the mentoring module to be used for the capacity building. The module was completed in December 2010 and was tested to ensure its applicability in other water service providers (WSPs). A needs assessment report was also delivered in December 2010. **The report contains** the results of the capacity assessment conducted among LGUs, WSPs and water user associations in the target regions of the program. It also includes the proposed *Capacity Development Strategy-Competency Development Program* that will address the current needs in terms of developing, operating and managing water supply utilities, and the effective delivery of water supply services.

The enhancement of the Local Water Governance Toolbox (WATSAN Toolbox) will be based on the capacity assessment framework developed, and the results of the capacity assessment at the local level. Procurement of consulting firm is on-going.

- Output 2.2: The consulting firm engaged started the regional orientation workshops and data processing for the formulation of the local sector plans (MW4SPs) and localized monitoring and evaluation (M&E) mechanisms in Regions 9, 10 and 13. The M&E Assessment report at the local level was presented in December 2010 as input to the localized M&E framework of the MW4SP.
- Output 2.3: A total of 10 Localized Customer Service Codes (LCSCs) were completed in December 2010 and are ready for reproduction. Preparation for replication in the remaining 26 LCSCs is on-going.
- Output 2.4: A National Strategic Communication Plan was developed in August 2010. For the local IEC, localized communication plans were developed to advocate and raise awareness on the water supply and sanitation. Implementation of the local plans is on-going.

At the national IEC, a student video advocacy campaign was launched and completed. The campaign opened partnership opportunities (with student leaders, participating universities, and the private sector) in other advocacy activities of the JP.

Five LWGFs were conducted in partnership with universities in the JP's focus regions. The preceding section (Progress in Outcome) indicates the results of said fora. A partnership with the University of the Philippines National College of Public Administration and Governance was forged for the holding of a national dialogue wherein the LWGF outputs will be presented to national policy and decision-makers for consideration in crafting the national executive-legislative agenda.

Preparations for the postcard campaign and the World Water Day celebrations in March 2011 are in full swing. More than 200,000 local stakeholders, mostly children, are being mobilized. Partnerships with various public and private entities are being explored/finalized.

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**Are there difficulties in the implementation? What are the causes of these difficulties? Please check the most suitable option**

- UN agency Coordination
- Coordination with Government
- Coordination within the Government (s)
- Administrative (Procurement, etc) /Financial (management of funds, availability, budget revision, etc)
- Management: 1. Activity and output management 2. Governance/Decision making (PMC/NSC) 3. Accountability
- Joint Programme design
- External to the Joint Programme (elections, natural disaster, cancellation of meetings of relevant committees such as the INFRACOM, conflicts with Medium-Term Philippine Development Plan formulation)
- Other. Please specify:  
Disharmonized UN agency financial rules and guidelines, as well as delayed feedback on guidelines, have caused additional encumbrance to government partners in planning, budgeting, management and implementation

- b. Please, briefly describe (250 words) the current difficulties the Joint Programme is facing. Refer only to progress in relation to the planned in the Joint Program Document. Try to describe facts avoiding interpretations or personal opinions.

Critical delays have been encountered as a result of the protracted procurement of consultancy services for DILG-led activities (Outputs 1.3 and 2.2) by UNDP. The delay, particularly for the baseline survey under Output 1.3, has affected local enthusiasm for other JP activities as expressed several times during various workshops/seminars at the local level.

Late feedback/guidance from UN partners in some instances has also affected the timely delivery of some outputs.

- c. Please, briefly describe (250 words) the current external difficulties (not caused by the joint programme) that delay implementation. Try to describe facts avoiding interpretations or personal opinions.

The national elections in May 2010 and the barangay elections in October 2010 resulted in difficulties in coordinating and conducting local activities during the campaign period particularly in (i) scheduling and siting of workshops/consultations, (ii) confirming participation, and (iii) availability of local government personnel.

The medium-term planning period has also affected the availability of national as well as local stakeholders for various activities of the JP.

The availability of members of relevant approving Committees (e.g., INFRACOM) has set back the presentation, discussion and decision on some policy recommendations of the JP.

The typhoons which hit some of the JP's target areas have also impeded the timely implementation of local activities.

## MDG-F Monitoring Report

- d. Please, briefly explain (250 words) the actions that are or will be taken to eliminate or mitigate the difficulties (internal and external referred B+C) described in the previous **text boxes b and c**. Try to be specific in your answer.

The NSC has issued a Memorandum Circular urging the JPs to use the procurement process (UN or government) which will be more facilitative. In addition, the PMC urged the government partners to commence procurement as early as possible drawing on lessons learned in using UN procurement.

An agreement was reached with the UNICEF AND UNDP focal persons that all communications requiring immediate technical or administrative inputs from said agencies will be provided to them to facilitate response/action.

Additional national and regional personnel have been mobilized to catch up on the external delays encountered. An INFRACOM meeting has been set in February 2011 specifically for the discussion of the JP outputs.

e. Inter-Agency Coordination and Delivering as One

- Is the Joint Programme in line with the UNDAF? Please check the relevant answer

Yes No

- If not, does the Joint Programme fit into the national strategies?

Yes No

If not, please explain:

What types of coordination mechanisms and decisions have been taken to ensure joint delivery? Are different joint programmes in the country coordinating among themselves? Please reflect on these questions above and add any other relevant comments if you consider it necessary:

Apart from the PMC mechanism, the JP Technical Working Group has agreed to meet as necessary. The JP Coordinator has instructed the holding of meetings every month, or if not possible, the submission of monthly progress reports. There is also regular contact via e-groups to ensure joint delivery and timely implementation of activities.

The different JPs coordinate among themselves -- either directly or through the UN Coordination Office (UNCO). UNCO also periodically shares relevant information on existing JPs, when relevant.

A website is being developed specifically to ensure better coordination of activities.

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Please provide the values for each category of the indicator table described below:

Indicators	Baseline	Actual Value	Means of Verification	Collection methods
Number of managerial practices (financial, procurement, etc) implemented jointly by the UN implementing agencies for MDG-F JPs.	0	0	Quarterly meetings, PMC Meetings, progress reports	Inter-agency coordination, review of reports
Number of joint analytical work (studies, diagnostic) undertaken jointly by UN implementing agencies for MDG-F JPs.	0	4 <sup>15</sup>	Quarterly meetings, PMC Meetings, Progress reports	Inter-agency coordination, review of reports
Number of joint missions undertaken jointly by UN implementing agencies for MDG-F JPs.	0	1	Quarterly meetings, PMC Meetings, Progress reports	Inter-agency coordination, review of reports

Please, provide additional information to substantiate the indicators value (150 words). Try to describe qualitative and quantitative facts avoiding interpretations or personal opinions.

f. Development Effectiveness: Paris Declaration and Accra Agenda for Action

**Are Government and other national implementation partners involved in the implementation of activities and the delivery of outputs?**

- Not involved
- Slightly involved
- Fairly involved
- Fully involved

**In what kind of decisions and activities is the government involved? Please check the relevant answer**

- Policy/decision making
- Management:  budget  procurement  service provision  other, specify:

<sup>15</sup> For MDG-F 1919: 1 Government partners' assessment, 2 JP Planning/Review workshops, 1 audit

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### Who leads and/or chair the PMC and how many times have they met?

Institution leading and/or chairing the PMC NEDA co-chairs PMC with UNDP<sup>16</sup> Number of meetings. 3

### Is civil society involved in the implementation of activities and the delivery of outputs?

- Not involved
- Slightly involved
- Fairly involved
- Fully involved

### In what kind of decisions and activities is the civil society involved? Please check the relevant answer

- Policy/decision making
- Management:  budget  procurement  service provision  other, specify:

### Are citizens involved in the implementation of activities and the delivery of outputs?

- Not involved
- Slightly involved
- Fairly involved
- Fully involved (e.g., cooperation with LGUs as beneficiary and implementers)

### In what kind of decisions and activities are citizens involved? Please check the relevant answer

- Policy/decision making
- Management:  budget  procurement  service provision
- other, specify(participatory consultations to surface needs/requirements)

### Where is the joint programme management unit seated?

- National Government  Local Government  UN Agency  By itself  other, specify

Based on your previous answers, briefly describe the current situation of the government, civil society, private sector and citizens in relation of ownership, alignment and mutual accountability of the joint programmes, please, provide some examples. Try to describe facts avoiding interpretations or personal opinions.

Target local government units (LGUs) are receptive to the JP Outcome 2 and are willing to support and be involved in the implementation and achievement of program outputs. This is evidenced by their willingness to formalize the cooperation thru a Memorandum of Agreement and/or local Executive Ordinance. They have also shown ownership in terms of preparing their regional annual work plans to complement the program's over-all work plan. Local chief executives have also agreed to allocate funds for water supply provision in their 2011 budget.

In terms of IPs, accountability is evidenced by the designation of permanent/organic personnel at the national and local/regional levels complemented by a full time project staff.

<sup>16</sup> In accordance with NSC instructions, UNDP to replace UNRC as co-chair of the JP PMC

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### g. Communication and Advocacy

Has the JP articulated an advocacy & communication strategy that helps advance its policy objectives and development outcomes? Please provide a brief explanation of the objectives, key elements and target audience of this strategy, if relevant, please attach (max. 250 words).

Yes  No

A National Strategic Communication Plan has been formulated for the JP. The highlight of the advocacy and communication strategy at the national level is to tap the youth, the media and the civil society, where necessary, to drum up support from the national government, as well as the legislative branch of government, for prioritizing the provision of water to poor communities. Some of the activities in the plan are the national forum for members from the House of Representatives aimed at lobbying for legislative agenda that will enhance provision of water to the poor, a video showcase (participated in by university film students) depicting the condition of the people without access to water, and a postcard campaign (participated in by elementary/secondary school students) aimed at sending the waterless's message (through the youth) to the president, the local representatives and the decision-makers (heads of agencies).

At the local level, the JP will focus on awareness raising for the following: roles and responsibilities of WSPs, tariff setting and regulation, customers service code, key performance indicators and standards, management and operations options, sustainable sanitation to be elaborated in the IEC plan. These core themes can be the bases for increased dialogues on critical MDG related issues among civil society, and eventually encourage or increase participation.

What concrete gains are the advocacy and communication efforts outlined in the JP and/or national strategy contributing towards achieving?

- Increased awareness on MDG related issues amongst citizens and governments
- Increased dialogue among citizens, civil society, local national government in relation to development policy and practice
- New/adopted policy and legislation that advance MDGs and related goals
- Establishment and/or liaison with social networks to advance MDGs and related goals
- Key moments/events of social mobilization that highlight issues
- Media outreach and advocacy
- Others (use box below)

What is the number and type of partnerships that have been established amongst different sectors of society to promote the achievement of the MDGs and related goals? Please explain.

- Faith-based organizations                      Number    1
- Social networks/coalitions                      Number    2
- Local citizen groups                              Number

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- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Private sector documentations) | Number 2 + private WSPs (yet to be accounted from LWGF) |
| <input checked="" type="checkbox"/> Academic institutions          | Number 9  |
| <input checked="" type="checkbox"/> Media groups and journalist    | Number  |
| <input type="checkbox"/> Others (use box below)                    | Number  |

Partnerships with universities forged to add credence to some outputs: (1) Father Saturnino Urios University in Butuan City for the conduct of the Region 13 LWGF; (2) Xavier University in Cagayan de Oro City for the Region 10 LWGF; (3) Jose Rizal Memorial State University in Dapitan City for the LWGF in Region 9; (4) Cagayan State University in Tuguegarao City for the Region 2 LWGF; (5) Ateneo de Naga in Naga City for the Region 5 LWGF; and (6) University of the Philippines NCPAG for the Executive-Legislative Dialogue.

The partnership with the universities also opened partnerships with the university student councils/leaders to join the JP's advocacy, including the postcard campaign. About 200,000 elementary, high school and college/university students from the 36 municipalities and the partner universities alone are being mobilized for the postcard campaign.

Mobilization through additional partnerships are in the works as follows:

- Participating universities/students in the Water Stories(e.g., Assumption College, Ateneo de Manila University, De La Salle University – College of Saint Benilde, Colegio de San Juan de Letran, Mapua Institute of Technology, Pamantasan ng Lungsod ng Maynila, Polytechnic University of the Philippines, San Sebastian College – Recoletos, Siliman University, and Xavier University) – participation in the postcard campaign.
- Department of Education –mobilization of students through its regional offices to join the postcard campaign
- Other universities such as the University of Nueva Caceres (Bicol) and Lyceum of the Philippines University (LPU) – participation in the postcard campaign

Partnerships with the following are also being discussed and/or finalized for the various activities of the JP:

- Philippine Postal Corporation – provision of stamp discounts and coordinating the synchronized mailing of postcards from the regions for the postcard campaign
- Department of the Environment and Natural Resources – inclusion of the Executive-Legislative Dialogue in the World Water Day celebrations program
- SM Cares (private)– screening of Water Stories in its Green Cinema (simultaneous screening in all SM malls nationwide for free to students), providing a booth for the public to join the postcard campaign, and providing a venue for the World Water Day JP events
- Vestergaard Frandsen (private) – presentation of available technological options during the local training and joining the postcard campaign (and possibly providing prizes in kind)

The church has been actively involved in some of the LWGF. The media has covered the Water Stories: Films for Progress and the LWGF, and are on board for the coverage of the postcard campaign and the World Water Day events of the JP. In some LWGF, the media has signed the declaration of commitments and recommendations of the region.

What outreach activities do the programme implement to ensure that local citizens have adequate access to information on the programme and opportunities to actively participate?

- Focus groups discussions
- Household surveys
- Use of local communication mediums such as radio, theatre groups, newspapers, etc



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- Open forum meetings
- Capacity building/trainings
- Others

Mentoring, coaching and field visits are some of the outreach activities that are planned to ensure adequate access to information and participation of local citizens.

### Section III: Millennium Development Goals

#### a. Millennium Development Goals

The MDG-F main objective is to contribute to progress to the attainment of the Millennium Development Goals worldwide. This subsection aims to capture data and information on the joint programmes contribution to 1 or more Millennium Development Goals and targets.

For this purpose the Secretariat has developed a matrix where you should link your joint programme outcomes to 1 or more Millennium Development Goals and Targets. This matrix should be interpreted from left to right. As a first step you should reflect on the contributions that each of the JP outcomes is making to one or more MDGs. Once this linked is established, it needs to be further developed by connecting each joint programme outcome to one or more MDG targets. As a third step you should estimate the number of beneficiaries the JP is reaching in each of the specifics outcomes. Finally you should select the most suitable indicators from your joint programme's M&E framework as a measure of the Millennium targets selected. Please, refer to the example provided below.

MDG #	Joint Programme Outcome 1	MDG Target #	MDG Indicators	JP Indicator
<b>Goal # 7: Ensure environmental sustainability</b>	Outcome 1: Investment support mechanisms established for poor communities/municipalities to improve efficiency, access, affordability and quality of potable water.	Target # 11: Halve the proportion of people with no access to safe drinking water and basic sanitation or those who cannot afford it by 2015	86.8% of population have access to safe drinking water by 2015	4 executive/policy issuance(s) to support investments in poor communities/municipalities in the provision/improvement of water supply services by 2011
	Joint Programme Outcome 2	MDG Target #	MDG Indicator	JP Indicator
	Outcome 2: Enhanced capacities of LGUs and WSPs to develop, operate, and manage potable water services.	Target # 11: Halve the proportion of people with no access to safe drinking water and basic sanitation or those who cannot afford it by 2015	86.8% of population have access to safe drinking water by 2015	% increase in the level of competency of LGUs and WSPs to develop, operate, and manage water services by 2012

#### Additional Narrative comments

Please provide any relevant information and contributions of the programme to the MDGs, whether at national or local level.

The JP is designed to complement the government's program for waterless areas in the Philippines. The JP will provide the soft component (capacity building, organization, policies) to said program which usually focuses on providing the hard infrastructure (water supply systems) to waterless areas. This is consistent with the recommendations of the Philippine Water Supply Sector Roadmap which stresses the equal importance of the soft and hard components of water supply provision.

Section IV: General Thematic Indicators

1. Strengthen national and local governments' capacity to manage and monitor water supply and sanitation services

**1.1. Number of laws, policies or plans** supported by the joint programme that explicitly aim to improve water and sanitation policies and management

Applies  Does not apply, if so please move to section 1.5

Policies

No. National 4

No. Local

Laws

No. National

No. Local

Plans

No. National

No. Local 36

**1.2. Please briefly provide some contextual information on the law, policy or plan and the country/municipality where it will be implemented** (base line, stage of development and approval, potential impact):

The study will come up with recommendations that will be the basis for policy issuances by relevant committees of the government. The issuance on incentives mechanisms and partnership modalities that poor (waterless) communities can adopt /apply to increase public and private investment in the water services provision. The mechanisms would cover an entire range of incentives, modality (leveraging local capital, subsidy) and potential partners, among others, water districts, national government, civil society organizations and the private sector.

A policy issuance on national government (NG)-local government unit (LGU) cost-sharing arrangement based on the review of the current arrangement aims to balance social subsidies with better ownership, accountability and responsibility from recipient communities. The NG-LGU cost sharing arrangement specifies the amount of grant (as a percentage of the total project cost) that the NG can provide to LGUs. The current cost sharing arrangement is based on the LGU's income classification, where higher earning LGU's receive less grant. Cost sharing (for water supply) should consider a) non-viability of areas, wherein grant should be provided regardless of income class of the LGU and b) limit use of NG grant/subsidy to funding capital expenditure for communities in the periphery of populated areas or in the hinterlands, and/or to water supply association formation/capacity development, among others.

An issuance endorsing enhanced guidelines for the effective implementation of the NG's programs for waterless areas aims to ensure sustainability of water supply systems provided by NG's programs for waterless areas, promote better targeting of NG assistance and enhance accountability and ownership.

A policy issuance on the utilization of an adjusted tariff-setting methodology for small water service providers (WSPs), which typically operate

in poor communities, aims to encourage said small WSPs to be formalized and regulated by the National Water Resources Board.

The above issuances will have a nationwide application/scope.

On top of the above original target policies, resulting from the LWGF conducted in the JP's 5 regions, among others, the following national policies have gained the support of local stakeholders: (i) adoption of the Integrated Water Resources Management approach; (ii) creation of a single economic regulatory body, and in the interim, strengthening of the NWRB, including creation of satellite offices; (iii) benchmarking of service providers and ring-fencing of LGU-operated utilities; (iv) identification of a national champion for water; and (v) revisiting policies and laws on water resource management and the mining act among others.

Similarly, support for local policies has surfaced from the JP activities, where initially, none was targeted. Some LCEs committed, during the LWGF, to implement stricter policies on, among others, IEC and advocacy for water supply and sanitation, solid waste management, regulation of logging activities, and protection of watersheds.

A municipal water supply and sanitation plan will be developed for each of the 36 beneficiary municipalities of the JP. The plans will include situation assessment, targets, local policies (guided by national policies), and fund requirements for their local water and sanitation.

**1.3. Sector where the law, policy or plan is focused:**

**Comments**

- Regulation of competencies and integrated management
- Access to drinking water
- Water use and pricing
- Water supply and quality control
- Sanitation services, spills and dumping control
- Infrastructure  Other Specify: Funding for water infrastructure

**1.4. Number of citizens and/or institutions directly affected by the law, policy or plan**  Applies  Does not apply

	Total No.	No. Urban	No. Rural
<input checked="" type="checkbox"/> Citizens			732,000
<input checked="" type="checkbox"/> National Public Institutions	Total No. 3		
<input checked="" type="checkbox"/> Local Public Institutions	Total No. 36	No. Urban	No. Rural 36
<input checked="" type="checkbox"/> Water Service Institutions	Total No. 36		

		No. Urban	No. Rural
<p><b>1.5. Number of institutions, civil servants and/or citizens trained to take informed decisions on water management and sanitation issues</b></p> <p><input checked="" type="checkbox"/> Applies <input type="checkbox"/> Does not apply</p>			
<p><input checked="" type="checkbox"/> Public Institutions</p> <p><input type="checkbox"/> Private Sector Institutions</p> <p><input type="checkbox"/> NGOs</p> <p><input checked="" type="checkbox"/> Community based organizations</p> <p><input checked="" type="checkbox"/> Civil servants</p> <p><input checked="" type="checkbox"/> Citizens</p> <p><input type="checkbox"/> Other Specify:</p>	<p>Total No. 39</p> <p>Total No.</p> <p>Total No.</p> <p>Total No. 36</p> <p>Total No. 107* (excludes local)</p> <p>Total No.</p>	<p>Women 49</p> <p>Women</p> <p>Women</p>	<p>Men 58</p> <p>Men</p> <p>Men</p>
<p><b>1.6. Increase in the area covered by the water supply and sanitation monitoring systems due to the JP Intervention:</b></p> <p><input checked="" type="checkbox"/> Applies <input type="checkbox"/> Does not apply</p>			
<p><input checked="" type="checkbox"/> Water supply system: % increase</p> <p><input checked="" type="checkbox"/> Sanitation system: % increase</p>	<p>Level of analysis of the information compiled</p> <p><input type="checkbox"/> National information system <input checked="" type="checkbox"/> Local information system</p>		
<p>Note: Percentage increase can only be determined after the on-going assessment of the technical inventory of the Baseline Survey, which will be completed in Feb 2011.</p>			
<p><b>1.7. <sup>17</sup>Government budget allocated to water and sanitation services before the implementation of the Joint Programme</b></p>		<p><b>Comments</b></p>	
<p>National budget: 204,217.4 \$ USD</p> <p>Total Local budget (s) : 204,217.4 \$ USD</p> <p><i>(in localities of intervention of the JP)</i></p>	<p>Figures are based on the budget allocated for Regions 2, 5, 9, 10 &amp;13 of the President’s Priority Program on Water (P3W). Percentage of actual budget utilized to be determined after the Baseline Survey, which will be completed in Feb 2011</p>		

<sup>17</sup> For indicators 1.7 and 1.8 the Secretariat acknowledges the potential difficulties to obtain the information requested. Therefore, if not available, please provide the best estimate available. The information requested refers to the budgetary year in which the monitoring report falls

<p><b>1.8. Variation (%) in government budget allocated to provide water and sanitation services from the beginning of the joint programme to present time:</b></p> <p>National budget:      % Overall                                 % Triggered by the Joint Programme</p>	<p><b>Comments</b></p> <p>To be determined after the Baseline Survey, which will be completed in Feb 2011, and during the formulation of the Municipal Water Supply Sanitation Sewerage Sector Plan (MW4SP).</p> <p>Nevertheless, the Department of Health and the National Anti-Poverty Commission (NAPC) agreed to sign a tri-partite Memorandum of Agreement (MOA) with DILG for the allocation of budget for the provision of hard infrastructure to the 36 municipalities of the JP.</p>
<p>Local budget:      % Overall                                 % Triggered by the Joint Programme</p>	<p>To be determined after the Baseline Survey, which will be completed in Feb 2011, and during the formulation of the Municipal Water Supply Sanitation Sewerage Sector Plan (MW4SP).</p> <p>Notwithstanding, local chief executives (LCEs), including those outside of the JP's 36 municipalities, declared and signed their commitment to increase or allocate a minimum percentage of their development fund for water supply provision.</p>

## 2. Improve access to safe drinking water (physical and financial access)

**2.1. Number of citizens that gained access to safe and affordable drinking water with the support of the JP.**  Applies  Does not apply

No. Citizens 732,000

No. Women 363,804

No. Men 368,196

Note: Targeted number based on the planned interventions but benefit may accrue to all 732,000 beyond the 3-year JP period (as JP provides only soft component, i.e., setting the stage for investments on hard infrastructure). Within the JP period, this will be determined during the formulation of MW4SPs

## 2.2. Variation (%) of the population with access to drinking water in the region of intervention from the beginning of the programme to present time: %

Note: To be determined after the conduct of the Baseline Survey, which will be completed in Feb 2011.

## 2.3. Number of municipalities/communities/cities with increased access to safe and affordable drinking water through the JP

Total Number 36

No. of Urban Communities

No. of Rural Communities

## 2.4<sup>18</sup>. Based on available data, indicate the type of improvements produced on the wellbeing of the population through increased access to potable water:

- Health
- Women and children safety<sup>19</sup>
- Improvement of livelihoods
- Children schooling<sup>20</sup>
- Affordability
- Others, specify: \_\_\_\_\_

### Comments

The following are the expected improvements based on the planned interventions of the program, e.g., advocacy on water supply and sanitation issues, organization of water user associations, capacity development among water service providers and local government units, among others.

## 3. Community empowerment and participation in water management decision processes

<sup>18</sup> This indicator requires the use of baseline information from which a variation can be observed. If this data is not available the Secretariat recommends collecting it in order to complete this impact indicator for next reporting period.

<sup>19</sup> By eliminating the need to search for water at natural water streams

<sup>20</sup> By reducing unavailability due to illness and the time invested in searching for water

<b>3.1. Number of community organizations<sup>21</sup> strengthened or created leading to increased citizen participation in decision making processes:</b> <input checked="" type="checkbox"/> Applies <input type="checkbox"/> Does not apply				
No. Organisations 36	No. Women	No. Men	%from Ethnic groups	
<b>3.2. Number of citizens sensitized on hygiene and sanitation issues.</b> <input checked="" type="checkbox"/> Applies <input type="checkbox"/> Does not apply				
Total No. 458	No. Children: 100	No. Women	No. Men	%from Ethnic groups
Note: Figure is based on the initial IEC Research Study conducted with school children and community organizations, and is expected to increase during the actual IEC campaign				

**4. Water supply and sanitation service providers strengthened**

<b>4.1. Number and type of water and sanitation service providers strengthened:</b> <input checked="" type="checkbox"/> Applies <input type="checkbox"/> Does not apply			
<input checked="" type="checkbox"/> Public institutions <input checked="" type="checkbox"/> Private Institutions <input checked="" type="checkbox"/> Community organizations <input type="checkbox"/> Public Private Partnership <input type="checkbox"/> Other: Specify	No.	No.	<b>National Level No.</b> <b>Local Level No.</b>  Note: To be determined after the Baseline Survey, which will be completed in Feb 2011
Note: To be determined after the Baseline Survey, which will be completed in Feb 2011	Note: To be determined after the Baseline Survey, which will be completed in Feb 2011	Note: To be determined after the Baseline Survey, which will be completed in Feb 2011	

<sup>21</sup>These included NGOs, cooperatives, civil society networks, local committees, women and/or youth groups, neighborhood associations, etc.



**4.2. Indicate the type of intervention used to strengthen water and sanitation service providers:** Training Knowledge transfer Equipment provision Human resources reinforcement Establishment of public private partnerships**4.3. Number of water and sanitation service providers mentioned above that have developed or improved a financial plan and sustainability system:**

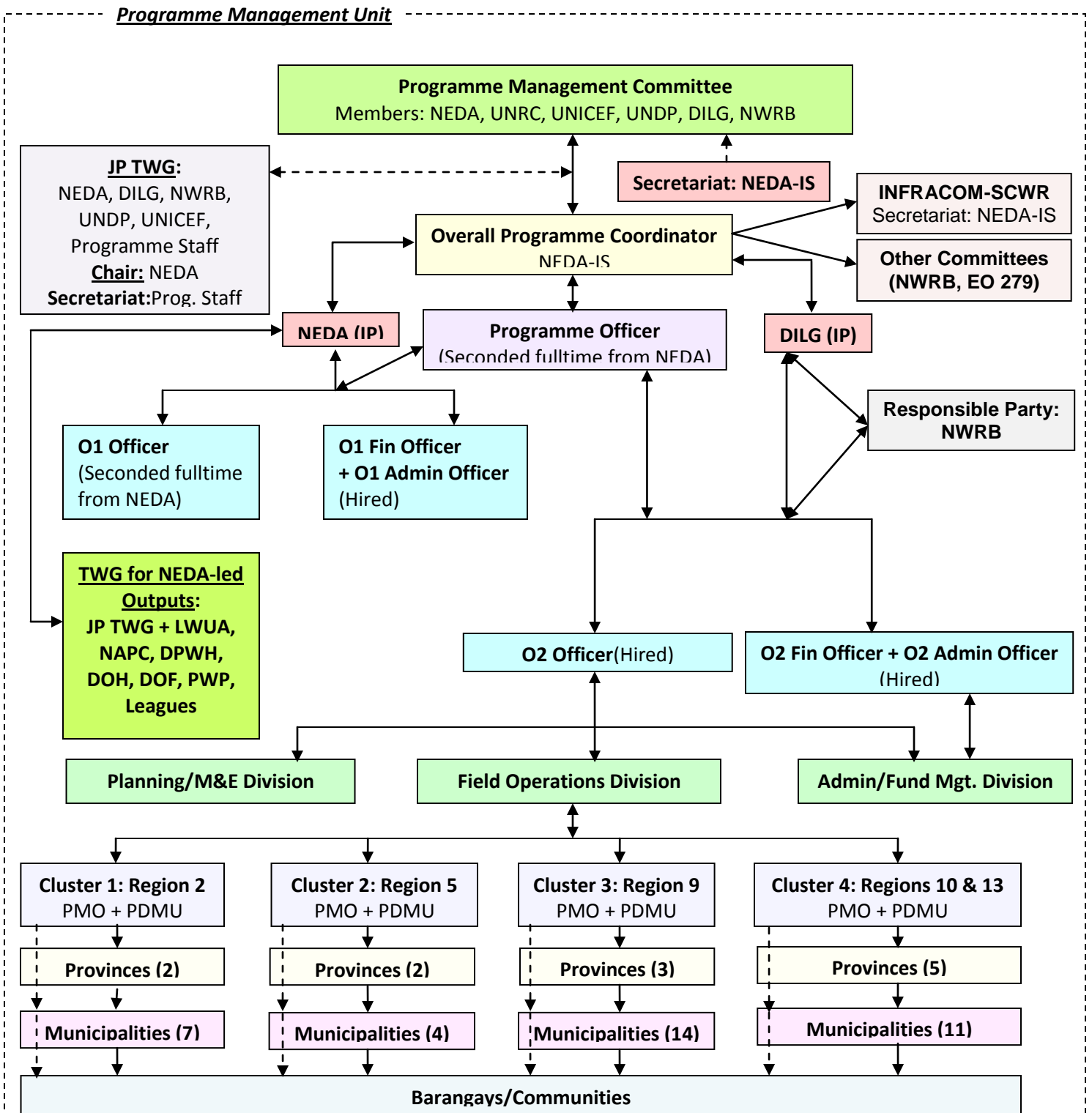
Total Number

Note: To be determined after the Baseline Survey, which will be completed in Feb 2011

Type of financial plan:

 Water use tariff structures Loans and grant funds Environmental services payment mechanisms Others:

ANNEX: ORGANIZATIONAL STRUCTURE



JP TWG: Core TWG undertakes JP work planning, budgeting, report preparation, and technical level discussions on implementation concerns.

TWG: Expanded TWG to review outputs/deliverables (e.g., policy study reports, IEC plan) of experts hired under the JP.

Regional Coordinators for 4 Clusters are hired in Manila but based in the regions supervise region-led activity implementation, and coordinate and facilitate activities of IPs, RP and experts at the local level.

Programme Staff: Programme Officer (NEDA), Outcome Officers (NEDA & DILG), Finance Officers (NEDA & DILG), Admin Officers (NEDA & DILG)