



## PEACE BUILDING FUND

### ANNUAL PROGRAMME<sup>1</sup> NARRATIVE PROGRESS REPORT

REPORTING PERIOD: 1 JANUARY – 31 DECEMBER 2011

<p><b>Programme Title &amp; Project Number</b></p> <ul style="list-style-type: none"> <li>Programme Title: PBF Guatemala PBF/GTM/B-1: Strengthening of National Capacities for Criminal Investigations</li> <li>Programme Number (if applicable)</li> <li>MPTF Office Project Reference Number: 00080403</li> </ul>	<p><b>Country, Locality(s), Thematic/Priority Area(s)<sup>2</sup></b></p> <p>Country/Region: Guatemala, mainly Guatemala City</p> <p>Thematic/Priority: PBF Priority Area 1: Support to the implementation of Peace Agreements and political dialogue</p>
<p><b>Participating Organization(s)</b></p> <ul style="list-style-type: none"> <li>UNDP</li> <li>UNODC</li> <li>UN Women</li> </ul>	<p><b>Implementing Partners</b></p> <ul style="list-style-type: none"> <li>Office of the Attorney General (Ministerio Público)</li> <li>Ministry of the Interior (Ministerio de Gobernación)</li> <li>National Institute of Forensic Sciences (Instituto Nacional de Ciencias Forenses)</li> </ul>
<p><b>Programme/Project Cost (US\$)</b></p> <p>MPTF/JP Contribution:</p> <ul style="list-style-type: none"> <li>UNDP: 1,372,570</li> <li>UNODC: 544,630</li> <li>UN Women: 42,800</li> </ul> <p>Agency Contribution: 0</p> <p>Government Contribution: 0</p> <p>Other Contributions: 0</p> <p><b>TOTAL: 1,960,000</b></p>	<p><b>Programme Duration</b></p> <p>Overall Duration: 24 months</p> <p>Start Date<sup>3</sup> 30.11.2011</p> <p>End Date 30.11.2013<sup>4</sup></p> <p>Operational Closure Date<sup>5</sup> 30.11.2013</p> <p>Expected Financial Closure Date 28.02.2014</p>
<p><b>Programme Assessment/Review/Mid-Term Eval.</b></p> <p>Assessment/Review - if applicable please attach</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Date: dd.mm.yyyy</p> <p>Mid-Term Evaluation Report – if applicable please attach</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Date: dd.mm.yyyy</p>	<p><b>Report Submitted By</b></p> <ul style="list-style-type: none"> <li>Name: Mauricio Ramírez</li> <li>Title: Country Director a.i.</li> <li>Participating Organization (Lead): UNDP</li> <li>Email address: mauricio.ramirez@undp.org</li> </ul>

<sup>1</sup> The term “programme” is used for programmes, joint programmes and projects.

<sup>2</sup> Strategic Results, as formulated in the Performance Management Plan (PMP) for the PBF; Sector for the UNDG ITF.

<sup>3</sup> The start date is the date of the first transfer of the funds from the MPTF Office as Administrative Agent. Transfer date is available on the [MPTF Office GATEWAY](#)

<sup>4</sup> As per approval by the relevant decision-making body/Steering Committee.

<sup>5</sup> All activities for which a Participating Organization is responsible under an approved MPTF programme have been completed. Agencies to advise the MPTF Office.

## Acronyms:

ANASJ:	National Agreement for the Advancement of Security and Justice
CICIG:	United Nations International Commission against Impunity in Guatemala
DICRI:	Prosecutor General's Office's Department of Criminal Investigations
JSC:	Joint Steering Committee
MP:	Ministerio Público, Prosecutor General's Office
PNC:	Policía Nacional Civil, National Civilian Police

## I. Purpose

- Main objective: To strengthen national (state) capacities for the analysis and investigations of crime.
- Expected outcomes:
  - Strengthened capacities of the Prosecutor General's Office's Department of Criminal Investigations for the processing of crime scenes, monitoring and surveillance of criminal activities.
  - Strengthened capacities of the Prosecutor General's Office for knowledge management and intelligence of criminal phenomena through the expansion and strengthening of its Analysis Unit.
  - Strengthened capacities of the Prosecutor General's Office for strategic penal prosecution of femicide, human trafficking, and violence against women and children within the specialized prosecution units.
  - Strengthening of the National Civilian Police's Department for the investigation of sexual crimes, human trafficking, and crimes against children and adolescents through the implementation of a new management model for criminal investigation.
  - Enhanced inter-institutional coordination and external cooperation to strengthen criminal investigation capacities and penal prosecution among the Prosecutor General's Office, the Ministry of the Interior and the National Institute of Forensic Sciences.
- The Program responds to PBF Priority Area 1: Support to the implementation of Peace Agreements and political dialogue in particular in relation to strengthening of national institutions and processes set up under those agreements; and within it specifically to PBF Outcome 2: Judiciary system is strengthened through equipping, staffing and training of Office of the Magistrate and courts to establish credibility, professionalism, independence, and efficiency in the judiciary system and inclusive law reform in order to institute rule of law.

The program is providing support to crucial justice system institutions to bring down impunity rates, strengthen the rule of law and the provision of justice. These are key elements in the effort to bring down violence levels which are among the worst in the world (UNODC, "Global Study on Homicide" 2011) and which keep Guatemala from achieving the peace agreed upon in its 1996 Accords. The lack of peace, security and justice are understood as the main obstacles for achieving the development agenda set forth in the Peace Accords and taken up in the United Nations Development Assistance Framework document as well as the national governments plans.

## II. Resources

### *Financial Resources:*

- All project activities are being financed with Peace Building Fund resources.
- Transfers were received by the 3 recipient agencies almost immediately after the request was sent to MPTF and PBSO.
- No issues have being brought forward in this regard during the reporting period.

### *Human Resources:*

- During the reporting period no national or international staff was hired.

### **III. Implementation and Monitoring Arrangements**

- The Guatemala Peacebuilding Fund Joint Steering Committee (JSC) is established to oversee and coordinate the operations of the Peacebuilding Fund (PBF) in Guatemala in accordance with the Terms of Reference (ToR) of the Peacebuilding Fund of 22 August 2006, revised on 13 April 2009, and within the framework of Guatemala's approved Priority Plan approved on 27 May 2011.
- The JSC has the delegated responsibility from the Assistant Secretary General for Peacebuilding for managing the PBF allocation at the country level and ensuring that the results set out in the Priority Plan are achieved. The JSC will provide strategic guidance and oversight as well as make decisions on the allocation of the PBF at the country level. The JSC will strive to make all decisions by consensus. Should this mechanism fail after an appropriate effort, decisions will be reached by simple majority voting. The JSC is co-coordinated by the Minister of Interior (Ministro de Gobernación) and the United Nations Resident Coordinator.
- The Executive Committee of the JSC is responsible for reviewing and approving the terms of reference of the JSC and updating and/or modifying them, as necessary; reviewing and approving the General Framework for PBF Implementation; reviewing and adopting the Priority Plan for the PBF in Guatemala, and making revisions as appropriate; ensuring consistency between the Guatemala PBF country allocation and the Guatemala PBF Priority Plan by: i) promoting the concerted and strategic priority agenda of the National Agreement for the Advancement of Security and Justice; ii) facilitating an effective accompaniment of the UN System in the transferring and building of national capacities, as well as in the implementation of the strategic reforms that are essential in the framework of CICIG's work; iii) promoting gender equality and empowering women from their ethnic and cultural diversity within a peacebuilding framework; and iv) strengthening national capacities to promote coexistence, and peaceful management and the transformation of conflicts.
- The Technical Committee of the JSC is responsible for reviewing and approving projects and programmes submitted by eligible "Recipient UN Organizations" and which are included in the General Framework for PBF Implementation and that have previously been agreed upon by the participating UN entities in each of the initiatives ; project proposals will be jointly presented to the Technical Committee by one or more Recipient UN Organization and one or more national partners; carry out consultative processes with key stakeholders at the country level, to ensure the coherence and complementarity between the PBF and other funding mechanisms; propose independent impact evaluations to be carried out; monitor the implementation of PBF projects and carry out pertinent measures when necessary; reviewing and remaining informed of annual progress reports prepared by the Administrative Agent, the Multi-Donor Trust Fund Office (MDTF).
- Regular Recipient UN organizations' procurement procedures are utilized in the implementation of the program.
- The Guatemala Priority Plan established an indicators framework which will be used to monitor the overall program's efficacy. The project document establishes a specific monitoring framework with which the project will be monitored and evaluated. The framework is provided in section VI below.
- No assessments, evaluations or studies have been undertaken during the reporting period.

### **IV. Results**

- As the project start date was 30 November 2011 it was active only during December 2011 of the reporting period. During that month the project budget was defined and the terms of reference for the project coordinator were drafted.
- It is not possible to provide an assessment of the program based on performance indicators as the implementation time is too short.

**V. Future Work Plan (if applicable)**

- The Project will enter full implementation during 2012. A detailed annual work plan is being prepared by the implementing partners.
- The overall monitoring and evaluation process will be operationalized simultaneously with the implementation of project activities.

## VI. INDICATOR BASED PERFORMANCE ASSESSMENT

	Performance Indicators	Indicator Baselines	Planned Indicator Targets	Achieved Indicator Targets	Reasons for Variance (if any)	Source of Verification	Comments (if any)
<p><b>PBF Outcome 2<sup>6</sup>:</b> Judiciary system is strengthened through equipping, staffing and training of Office of the Magistrate and courts to establish credibility, professionalism, independence, and efficiency in the judiciary system and inclusive law reform in order to institute rule of law.</p> <p><b>Project Objective:</b> To strengthen national (state) capacities for the analysis and investigations of crime</p>							
<p><b>Output 1.1</b> Strengthened capacities of the Prosecutor General's Office's Department of Criminal Investigations for the processing of crime scenes, monitoring and surveillance of criminal activities.</p>	<p>Indicator 1.1.1 Number of reports generated by DICRI with the utilization of the monitoring and surveillance equipment provided by the project.</p>	0	<p>Reporting period: 0</p> <p>For project period of implementation: 25</p>	0		Information collected mainly through revision of institutional reports.	
<p><b>Output 1.2</b> Strengthened capacities of the Prosecutor General's Office for knowledge management and intelligence of criminal phenomena through the expansion and</p>	<p>Indicator 1.2.1 Number of Analysis Unit liaison offices created in specialized prosecution offices.</p>	0	<p>Reporting period: 0</p> <p>For project period of implementation: 5</p>	0		Information collected mainly through revision of institutional reports.	
	<p>Indicator 1.2.2 Number of cases (per prosecution</p>	0	<p>Reporting period: 0</p> <p>For project period of imple-</p>	0		Information collected mainly through revision of institutional	

<sup>6</sup> For PBF: Either country relevant or PMP specific.

strengthening of its Analysis Unit.	office) in which mapping of criminal phenomena produced by the liaison offices of the Analysis Unit is used.		entation: 5			reports.	
	Indicator 1.2.3 Existence of an institutional communications strategy.	Non existent	Reporting period: 0 For project period of imple- mentation: Exists	0		Information collected mainly through revision of institutional reports.	
<b>Output 1.3</b> Strengthened capacities of the Prosecutor General's Office for strategic penal prosecution of femicide, human trafficking, and violence against women and children within the specialized prosecution units.	Indicator 1.3.1 Effectiveness rate of the Prosecution Office of crimes against women.	17%	Reporting period: no change expected For project period of imple- mentation: 25%	17%		Information collected mainly through revision of institutional reports.	
	Indicator 1.3.2 Effectiveness rate of the Human Trafficking Unit.	15%	Reporting period: no change expected For project period of imple- mentation: 25%	15%		Information collected mainly through revision of institutional reports.	

<p><b>Output 1.4</b> Strengthening of the National Civilian Police's Department for the investigation of sexual crimes, human trafficking, and crimes against children and adolescents through the implementation of a new management model for criminal investigation.</p>	<p>Indicator 1.4.1 Number of cases that have used evidence produced through the new management model to be implemented within the National Civilian Police's Department for the investigation of sexual crimes, human trafficking, and crimes against children and adolescents.</p>	<p>0</p>	<p>Reporting period: 0 For project period of implementation: 20</p>	<p>0</p>		<p>Information collected mainly through revision of institutional reports.</p>	
<p><b>Output 1.5</b> Enhanced inter-institutional coordination and external cooperation to strengthen criminal investigation capacities and penal prosecution among the Prosecutor General's Office, the Ministry of the Interior and the</p>	<p>Indicator 1.5.1 Number of protocols for inter-institutional collaboration in matters of criminal investigation and penal prosecution designed.</p>	<p>0</p>	<p>Reporting period: 0 For project period of implementation: 1</p>	<p>0</p>		<p>Information collected mainly through revision of institutional reports.</p>	
	<p>Indicator 1.5.2 Number of charges (accusations)</p>	<p>0</p>	<p>Reporting period: 0 For project period of imple-</p>	<p>0</p>		<p>Information collected mainly through revision of institutional</p>	

National Institute of Forensic Sciences.	produced based on collaborative work framed within the protocol for inter-institutional collaboration in matters of criminal investigation and penal prosecution.		entation: 100			reports.	
<p>The indicators will be monitored in a semester basis.  Risks and assumptions: Open access is expected to institutional reports and to site visits if deemed necessary.</p>							