

South Sudan
2014 CHF Standard Allocation Project Proposal

For further CHF information please visit <http://unocha.org/south-sudan/financing/common-humanitarian-fund>
or contact the CHF Technical Secretariat chfsouthsudan@un.org

SECTION I:

CAP Cluster	N/A
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CHF Cluster Priorities for 2014 First Round Standard Allocation	
Cluster Priority Activities for this CHF Round N/A	Cluster Geographic Priorities for this CHF Round 10 States of South Sudan where CHF projects are funded

Project details													
Requesting Organization OCHA	Project Location(s)												
Project CAP Code N/A	<table border="1"> <thead> <tr> <th>State</th> <th>%</th> <th>County</th> </tr> </thead> <tbody> <tr> <td>10 States of SS</td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> </tr> </tbody> </table>	State	%	County	10 States of SS								
State	%	County											
10 States of SS													
CAP Project Title N/A - Direct Cost for Humanitarian Financing Unit to manage the South Sudan Common Humanitarian Fund.													

Total Project Budget requested in the in South Sudan CAP	-	Funding requested from CHF for this project proposal	876,164
Total funding secured for the CAP project (to date)	-	Are some activities in this project proposal co-funded (including in-kind)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, list the item and indicate the amount under column i of the budget sheet)	

Direct Beneficiaries Over 100 humanitarian organizations (UN Agencies and NGOs) registered in the Crisis Response Plan (CRP) to respond to the humanitarian situation in South Sudan	Indirect Beneficiaries
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Implementing Partner/s (Indicate partner/s who will be sub-contracted if applicable and corresponding sub-grant amounts) N/A	CHF Project Duration (12 months max., earliest starting date will be Allocation approval date) Indicate number of months: 12 months (1 January 2014 to 31 December 2014)
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SECTION II

A. Humanitarian Context Analysis

Briefly describe (in no more than 500 words) the current humanitarian situation in the specific locations where CHF funded activities will be implemented. Provide evidence of needs by referencing assessments and key data, including the number and category of the affected population¹

Violence broke out in Juba on 15 December 2013, and quickly spread to other locations. During the first six weeks of the crisis, Central Equatoria, Jonglei, Unity and Upper Nile states saw heavy fighting between Government and opposition forces. Other states have been indirectly affected as displaced people have sought safety there. An agreement to cease hostilities was signed on 23 January 2014, but its impact on the humanitarian situation is not yet clear. Around 865,000 people have been displaced by the conflict so far, including some 740,000 people within South Sudan and 123,000 people who have fled to neighboring countries. Thousands of people have been killed or wounded in the fighting. Hundreds of thousands have lost their livelihoods and access to basic services. Many civilians have been targeted based on political affiliation with ethnic undertones. Aid agencies estimate that the impact of violence to date, and possible clashes in the future, could displace as many as one million people by June, and place over 3 million people at acute or emergency risk of food insecurity. Up to 7 million people are at some risk of food insecurity.

The Crisis Response Plan (CRP) has been launched at the beginning of 2014 that outlines strategic, programmatic and operational goals for the delivery of humanitarian and emergency assistance in South Sudan and includes cluster strategies, objectives and indicators to measure progress. The CHF is one of the key instruments to support the funding of the humanitarian response in South Sudan outlined in the CRP.

As a pool fund mechanism, the objective of the South Sudan CHF is to support the timely allocation and disbursement of donor resources to the most critical humanitarian needs in South Sudan under the direction of the HC, in consultation with an Advisory Board. The South Sudan CHF is only for projects included in the CRP with the exception of Reserve allocations which respond to emergencies and unforeseen humanitarian needs (and as such, will be subject to a separate allocation process). In addition, the South Sudan CHF is intended to improve humanitarian outcomes by: providing committed funds for humanitarian actions earlier than under other modalities; strengthening the planning and coordination process; tying the funding allocation to the CAP; broadening participation in the CAP; channeling funds towards the most urgent needs; and by ensuring that funds are available for rapid responses to unforeseen needs.

B. Grant Request Justification

Briefly describe (in no more than 500 words) the reasons for requesting CHF funding at this time. Explain how CHF funding will help address critical humanitarian gaps in your cluster. Explain the value added by your organization (e.g. geographical presence). Indicate if any other steps have been taken to secure alternative funding.

The South Sudan Common Humanitarian Fund was established in February 2012 by the Humanitarian Coordinator (HC) for South Sudan, UN Agencies and donors to support the timely allocation and disbursement of donor resources to the most critical humanitarian needs in South Sudan under the direction of the HC.

The CHF is a critical coordination tool that brings clusters together to ensure that priority needs are met in a timely and efficient manner through two standard allocation rounds and ad-hoc emergency reserve allocations. The joint OCHA-UNDP Technical Secretariat (TS) headed by OCHA, under the overall supervision of the HC ensure adequate and efficient management of the South Sudan CHF. This grant is being requested to ensure financial support to the OCHA component of the CHF Technical Secretariat i.e. the Humanitarian Financing Unit as direct costs of the fund (see letter from USG for Humanitarian Affairs requesting the funds to allocate direct cost for CHF management units).

C. Project Description (For CHF Component only)

i) Contribution to Cluster Objectives

Briefly describe how CHF funding will be used to contribute to the achievement of the cluster priority activities identified for this allocation.

A sound management of the CHF will contribute to improve coordination, effective emergency preparedness and humanitarian response. Humanitarian funding will be made available to ensure that priority needs are addressed, through the rapid disbursement of funds based on a robust prioritization of needs by partners. CHF funding allocated through standard allocations and reserve will ensure that projects contribute to the overarching strategic objectives and cluster-specific strategies. A strong monitoring and reporting mechanism will ensure that information is collected to assess the performance of partners and collated for future funding decisions.

ii) Project Objective

State the objective/s of this CHF project will achieve. Objective/s should be Specific, Measurable, Achievable, Relevant and Time-bound (SMART)

The project is intended to support the Humanitarian Financing Unit of OCHA to effectively manage the Common Humanitarian Response Fund to address the most critical humanitarian needs in South Sudan.

iii) Proposed Activities

List the main activities to be implemented with CHF funding. As much as possible link activities to the exact location of the operation and the corresponding number of direct beneficiaries (broken down by age and gender to the extent possible).

¹ To the extent possible reference needs assessment findings and include key data such as mortality and morbidity rates and nutritional status, and how the data differs among specific groups and/or geographic regions. Refer situation/data/indicators to national and/or global standards.



Activity	Locality (be as precise as possible)	Target number of <u>direct</u> beneficiaries for each activity (CHF portion only).
<ul style="list-style-type: none"> • Carry out consultative process to develop CHF Policy Papers for each allocation to make recommendations on funding priorities • Provide guidance and oversight to clusters on the identification and technical review of recommendations for allocations • Develop a database to improve the efficiency of the fund and associated processes • Carry out quality assurance of applications against CHF guidelines • Support the roll out of the monitoring and reporting policy framework • Take part and coordinate monitoring visits to CHF projects on a regular basis • Collect, aggregate data and analyze and disseminate results on CHF projects • Conduct training sessions on CHF for partners on the fund's mechanisms 	Throughout South Sudan	Over 100 organizations included in the CRP. Multiple partners in each sector. Will depend on projects accepted for CHF funding in first and potentially second allocation rounds.
		CHF provides secretariat support to HC and CHF Advisory group. It ensures consultative process, provides guidance and oversight to clusters. Support PRTs and clusters defenses process, implements policy decisions by AB.
		40% of CHF projects should be visited per yearly allocations
		95% of CHF projects to submit a final narrative report and cleared by the CHF TS
		95% of NGO-funded projects to submit a quarterly financial report.

iv). Cross Cutting Issues

Briefly describe how cross-cutting issues (e.g. gender, environment, HIV/AIDS) are addressed in the project implementation.

As part of its allocation process, clusters are encouraged to weigh favorably projects that in addition to addressing urgent humanitarian needs also ensure that crosscutting issues, particularly gender, are substantively mainstreamed in the project implementation.

v) Expected Result/s

Briefly describe (in no more than 300 words) the results you expect to achieve at the end of the CHF grant period.

Through this project, the HC and the CHF Advisory Board will be supported in the management of the CHF. Funds will be allocated in a timely fashion to the most urgent humanitarian projects. Two standard CHF allocations will be processed based on the availability of funding. Emergency reserve allocations will be processed depending on funding available and request received. CHF projects will be monitored and reported, with a goal of 50% in projects being directly visited. National actors' capacity to access CHF funding will be built through training.

List below the output indicators you will use to measure the progress and achievement of your project results. At least three of the indicators should be taken from the cluster defined Standard Output Indicators (SOI) (annexed). Put a cross (x) in the first column to identify the cluster defined SOI. Indicate as well the total number of direct beneficiaries disaggregated by gender and age.

SOI (X)	#	Output Indicators (Ensure the output indicators are consistent with the output indicators that will be used in the results framework section III of this project proposal).	Target (indicate numbers or percentages) (Targets should be disaggregated by age and sex as per the standard output indicators list and add-up to the number of direct beneficiaries identified page 1)
•		Percentage of CHF allocations that are made towards highest priority projects of the CRP.	65% of CHF allocations that are made towards highest priority projects.
•		Percentage of funding recommended addressing emergency needs in high priority states.	50% of fund recommended addressing emergency needs in high priority states.
•		Percentage of projects monitored according to agreed monitoring plan.	40% of projects monitored according to an agreed monitoring plan.
•		Percentage of projects submitting narrative reports at project end	95% of CHF projects to submit a final narrative report and cleared
•		Level of stakeholders' satisfaction of OCHA resource mobilization and management of pooled fund process.	80% of stakeholders express satisfaction of OCHA management of pooled fund process.

vi) Implementation Mechanism

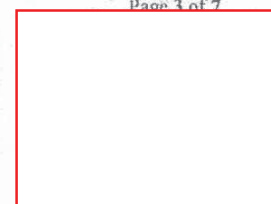
Describe planned mechanisms for implementation of the project. Explain if it is implemented through implementing partners such as NGOs, government actors, or other outside contractors.

The project will be implemented through the Humanitarian Financing Unit of OCHA South Sudan. Activities will last throughout 2014. The HFU works with the humanitarian community including the clusters and the Humanitarian Country Team on the processes of CHF allocation. The project will demonstrate accountability to its beneficiaries through a client satisfaction survey.

vii) Monitoring and Reporting Plan

Describe how you will monitor and report on the progress and achievements of the project. Notably:

1. Explain how will you measure whether a) Activities have been conducted, b) Results have been achieved, c) Cross-cutting issues have been addressed, and d) Project objectives have been met



2. Indicate what monitoring tools and technics will be used
3. Describe how you will analyze and report on the project achievements
4. Ensure key monitoring and reporting activities are included in the project workplan (Section III)².

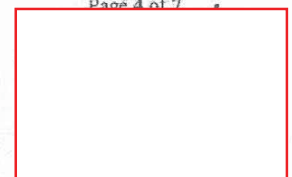
The set-up of the MRWG will aim at strengthening the monitoring and reporting capacity of CHF projects. The CHF Annual Report will include a section on the performance of CHF TS with regard to targets set above. In addition, regular updates on the CHF's progress and results will be provided to the CHF Advisory Board. A perception survey will be carried out with the fund's stakeholders. In addition, the CHF efficiency and effectiveness will be reviewed through the annual OCHA report to CRD and the Country Office Pooled Fund Overview reports.

E. Total funding secured for the CAP project

Please add details of secured funds from other sources for the project in the CAP.

Source/donor and date (month, year)	Amount (USD)
N/A	N/A

² CHF minimum narrative reporting requirements will include the submission of a final narrative report and where applicable a narrative mid-term report. Narrative reports will include a progress on the project achievements using the outputs indicators listed in this project proposal.



SECTION III:

LOGICAL FRAMEWORK		Project title: Direct Cost for Humanitarian Financing Unit to manage CHF allocations		Organisation: OCHA	
CHF ref./CAP Code: N/A					
Overall Objective	<p>Cluster Priority Activities for this CHF Allocation: What are the Cluster Priority activities for this CHF funding round this project is contributing to:</p> <ul style="list-style-type: none"> Strengthening Humanitarian Coordination and services in South Sudan 	<p>Indicators of progress: What are the key indicators related to the achievement of the CAP project objective?</p> <ul style="list-style-type: none"> Timely mobilization of financial resources to highest priority needs 	<p>How indicators will be measured: What are the sources of information on these indicators?</p> <ul style="list-style-type: none"> FTS, OCHA weekly/quarterly bulletin, OCHA Annual Report 		
Purpose	<p>CHF Project Objective: What are the specific objectives to be achieved by the end of this CHF funded project?</p> <ul style="list-style-type: none"> Provide reliable and timely funding to address urgent humanitarian needs in South Sudan through a fully functional HFU. 	<p>Indicators of progress: What indicators will be used to measure whether the CHF Project Objectives are achieved. Indicators may be quantitative and qualitative</p> <ul style="list-style-type: none"> 2 standard allocation completed and emergency allocations supported as relevant 	<p>How indicators will be measured: What sources of information already exist to measure this indicator? How will the project get this information?</p> <ul style="list-style-type: none"> CHF Annual Report and CHF Advisory Board meeting minutes, COBPFOR reports 	<p>Assumptions & risks: What factors not under the control of the project are necessary to achieve these objectives? What factors may get in the way of achieving these objectives?</p> <ul style="list-style-type: none"> Donor funding is available and provided in a timely manner 	
Results	<p>Results - Outcomes (intangible): State the changes that will be observed as a result of this CHF Project. E.g. changes in access, skills, knowledge, practice/behaviors of the direct beneficiaries.</p> <ul style="list-style-type: none"> At least 65% of CHF Allocations support highest priority projects of the CRP Allocations are conducted within set timelines Funding is disbursed to partners in a timely manner Improved monitoring and analysis of CHF contribution to clusters' achievements 	<p>Indicators of progress: What are the indicators to measure whether and to what extent the project achieves the envisaged outcomes?</p> <ul style="list-style-type: none"> FTS funding analysis of CHF allocation by priority level and by state Time lag between receipt of CHF application and disbursement of funds The M&R policy framework is rolled out and operational in 2014 The allocation is conducted in a consultative process to identify critical priorities for funding 	<p>How indicators will be measured: What are the sources of information on these indicators?</p> <ul style="list-style-type: none"> CHF Advisory Board minutes and HCT & OCHA Quarterly updates UNDP reports (until CHF South Sudan database is up and running) MPTF Gateway CHF Annual Report/Dashboard Monitoring methodology and monitoring plan agreed with clusters and implemented in 2013 PRT minutes 	<p>Assumptions & risks: What factors not under the control of the project are necessary to achieve the expected outcomes? What factors may get in the way of achieving these objectives?</p> <ul style="list-style-type: none"> Adequate resources available to launch the allocation process Tight prioritisation exercise agreed upon by the CHF AB and duly reflected in the CHF allocation policy paper to enable clusters identify priority needs for CHF allocation. 	
	<p>Immediate-Results - Outputs (tangible): List the products, goods and services (grouped per areas of work) that will result from the implementation of project activities. Ensure that the outputs are worded in a manner that describes their contribution to the outcomes.</p> <ul style="list-style-type: none"> 2 standard allocation rounds concluded 	<p>Indicators of progress: What are the indicators to measure whether and to what extent the project achieves the envisaged outputs? Ensure the indicators identified in Section II (v) of this proposal are adequately inserted in this section.</p> <ul style="list-style-type: none"> # of allocations round made 	<p>How indicators will be measured: What are the sources of information on these indicators?</p> <ul style="list-style-type: none"> CHF Annual Report PRTs allocation minutes # of training provided to partners MRWG and ISWG meeting minutes 	<p>Assumptions & risks: What factors not under the control of the project are necessary to achieve the expected outcomes? What factors may get in the way of achieving these objectives?</p> <ul style="list-style-type: none"> Clusters are engaged in the monitoring 	



	<p>annually, in Q1 and Q3</p> <ul style="list-style-type: none"> • 50% of funding allocated to critical humanitarian gaps in high priority states • Information on results and expenditures captured and disseminated on a regular basis • Improved partners' access to CHF funding 	<ul style="list-style-type: none"> • Number of mtgs of CHF AB supported by the CHF TS • Number of PRTs supported during allocation process • % of partners receive training on CHF tools (templates and NCE etc) • 4% of projects monitored and reported according to M&R framework – Target 50% • % final narrative reports submitted – target 95% • Level of satisfaction of CHF stakeholders (clusters, CHF AB, partners) on CHF management – target 80% 	<ul style="list-style-type: none"> • FTS reports • Perception survey conducted on a yearly basis 	<p>CHF projects exercise, provide feedback and make Monitoring Specialists available</p>
<p>Activities: List in a chronological order the key activities to be carried out. Ensure that the key activities will result in the project outputs.</p> <ul style="list-style-type: none"> • Carry out consultative process to develop CHF Policy Papers to make recommendations on funding priorities • Provide guidance and oversight to clusters in the identification and technical review of recommendations for allocations • Develop and launch the database • Carry out quality assurance of applications against CHF guidelines • Support the roll out monitoring and reporting policy framework • Take part and coordinate monitoring visits to CHF projects on a regular basis • Collect, aggregate data and analyze and disseminate results on CHF projects • Conduct training sessions on CHF for partners 	<p>Inputs: What inputs are required to implement these activities, e.g. staff time, equipment, travel, publications costs etc.?</p> <ul style="list-style-type: none"> • Funding for travel • Staff costs • Funding for developing a database • Availability of Monitoring Specialists 		<p>Assumptions, risks and pre-conditions: What pre-conditions are required before the project starts? What conditions outside the project's direct control have to be present for the implementation of the planned activities?</p> <ul style="list-style-type: none"> • Staff capacity is available in HFU • High turnover of M&R Specialists • M&R Specialists made available by the clusters as required 	



PROJECT WORK PLAN

This section must include a workplan with clear indication of the specific timeline for each main activity and sub-activity (if applicable). The workplan must be outlined with reference to the quarters of the calendar year.

Activities	Q1/2014			Q2/2014			Q3/2014			Q4/2014			Q1/2015		
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
1. Conduct CHF Standard Allocations	X	X	X				X	X	X						
2. Conduct Emergency Allocations as required	X	X	X	X	X	X	X	X	X	X	X	X			
3. CHF projects narrative reporting		X		X	X		X		X						
4. CHF projects financial reporting				X		X	X			X			X		
5. CHF Projects monitoring visits			X	X	X	X	X	X	X	X	X	X	X	X	X
6. Data collection, aggregation and analysis	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
7. Training session for partners	X					X	X								
8. Database development, test and launch	X	X	X	X	X	X	X								

*: TIMELINE FOR EACH SPECIFIC ACTIVITY MUST BE MARKED WITH AN X AND SHADED GREY 15%

