Kenya SDG Partnership Platform MPTF

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EXECUTIVE SUMMARY

Looking back at where the story of positioning a local vehicle that catalyzes the achievement of the Sustainable Development Goals in Kenya begins, in its elaborate design process; 2017, is the year that the Government of Kenya announced at the UN General Assembly, the establishment of the SDG Partnership Platform, Kenya (SDGPP).¹

The Platform was established under the leadership of the Government of Kenya, and with the support of the UN System, development partners, and partners from the private sector and philanthropy.

The SDGPP has become a flagship initiative under Kenya's UNDCF 2018-2022². In 2018, the platform received global recognition from UNDCO and the Dag Hammarskjold Foundation for best practice to accelerate SDG financing.³

The SDGPP convenes and connects leadership from UN agencies, Government, development partners, private sector, philanthropy, civil society, and academia to create SDG accelerator windows and consequently catalyze SDG partnerships, financing and innovations in alignment with Government development priorities.

Primary Healthcare (PHC) was the first window established with the goal of being a key driver towards attainment of Universal Health Coverage (UHC) in line with Kenya's SDG3 framework, Big Four Agenda ⁴, and UHC roadmap.

Under the leadership of the SDGPP co-chairs, the Cabinet Secretary for Ministry of Health in Kenya, the Chair of the Council of Governors Health Committee, and the UNAIDS Country Director as the

¹ https://gadebate.un.org/sites/default/files/gastatements/72/ke_en.pdf

²https://www.undp.org/content/dam/kenya/docs/unct/UNITED%20NATIONS%20DEVELOPMENT%20ASSISTANCE%20FRAME WORK%20(UNDAF)%20B5%20web.pdf

³ http://daghammarskjold.se/wp-content/uploads/2018/06/UNDG-CountryStudy-Kenya.pdf

⁴ http://www.president.go.ke/



Coordinator of the UN H6 agencies, the PHC Window established a Steering Committee which meets quarterly to jointly discuss and offer strategic guidance for the implementation of the Window's 5-year strategic plan.

Implementation of the PHC Window strategic plan is coordinated by the Platform's Secretariat and facilitated through SDGPP partners with comparative advantage to lead on specific SDGPP strategic support areas (e.g. World Bank and WHO are supporting the development of the Health PPC strategy and Resource guide, UNDP is driving innovation through the SDG Accelerator Lab, and UNFPA leads on research, analysis, innovative financing, and Technical Assistance to MOH ,FCDC and COG for private sector engagement for RMNCAH).

The Secretariat also coordinates the mobilization of necessary talent, expertise, and financial support from the SDGPP membership to collectively resource the implementation of its strategic plan. So far, partners have contributed approximately \$7,655,000 of funding and in-kind support to the PHC Window for the implementation of its 5-year strategic plan.

Establishment of SDG Partnership Platform Window 2 on Food and Nutrition Security.

After a successful initiation of the first window of SDGPP on Primary Healthcare in 2017, the second one on Food and Nutrition Security was launched on February 26, 2020, with Cabinet Secretary for Agriculture, Livestock, Fisheries and Cooperatives, as well as with key stakeholders including FAO, IFAD, UNIDO KEPSA & Kenya National Chamber of Commerce and Industries, representing some 400 sector members and actors.

This overall goal of the window is pursued through strategic efforts towards the following objectives:

- Increased SDG-compliant investment in nutrition-sensitive, climate proofed agriculture
 of USD 100 million in the next five years
- Increased **income and employment**, especially for women and youth, in the nutrition-sensitive agriculture sector and food system for 50,000 Kenyans (50% women; 30% youth)
- Increased access for 100,000 local consumers to safe and nutritious foods



• Strengthened **policies**, **systems** and **structures** at national and county levels to help catalyze investments in the delivery of the Agriculture Sector Growth and Transformation Strategy (ASGTS), Kenya Food and Nutrition Security Policy, it's Implementation Framework (FNSP-IF), and Kenya National Nutrition Action Plan.

The Window 2 focused on setting up the window structure, engage with the key stakeholders including the governments and private sectors, setting up the steering committees and window leadership as well as develop the workplan for the next five years. All these activities are catalytic and aim to enable the window to achieve the above target within the five years period. More detailed activities can be found below under the key milestones achieved under the Window2.

Key milestones in 2021 include:

- Increased pool of partners and participating organizations, to contribute towards effecting broad based development gains. In 2021, the platform welcomed partners such as Aga Khan University, UNIDO, UNHCR, FRINTINET, Equity Bank and Astra Zeneca.
- Identified and curated Innovative initiatives in Kenya with a potential to seek, shape and support groundbreaking solutions in Health, Nutrition, Affordable Housing and Manufacturing. A pipeline of USD 165 million at the SDGPP, is envisaged to influence investments by using private sector capital to unlock public sector financing for healthcare delivery.
- Continued to enhance trust and understanding between public and private sectors partners.

To push the boundaries of collective knowledge and action, the convening strength of the platform supported forums for dialogue, experience sharing, trainings and designing of solutions collaboratively, such as the *support to the Government-led COVID-19 response in Kenya*, Achievements include support to multistakeholder platforms and expert panels, a review of digital health technologies, development of a digitized tool to identify beneficiaries of the UHC scheme



and progressive steps including a proposal submission to unlocking \$7 million catalytic financing to launch World's first Adolescent Sexual Reproductive Health Development Impact Bond.

Enhanced government capacities to advance public private collaboration for PHC financing and delivery through support to a Regional Investment Conference, Support of the Devolution Conference, development and printing of Health PPC strategy and resource guide, Training of 27 persons on the PPC Resource Guide, technical assistance to the MOH for refinement of PHC policies and strategies and transaction support to FCDC Bloc, Makueni and Isiolo counties on PHC PPP etc.

Several strategic partnerships created in the food and nutrition sectors to advance SDG compliant financing and to unlock investments. SDGPP has highlighted its approach and achievements in a number of national, regional and international fora, while also made hallmark achievements working with counties on a host of activities to transform the sector, including the identification of pipelines of high-potential value chains for further business case development and curation.

I. Purpose

The SDG Partnership Platform aims at contributing to the Government of Kenya's efforts to attain the SDG target 17.17: Effective public-private and civil society partnerships, building on the experience and resourcing strategies encouraged and promoted in Kenya. More specifically, the SDG3 Primary Healthcare Accelerator Window 's support is contributing to Government of Kenya's efforts in attaining the SDG target 3.8: Achieve universal health coverage (UHC), including financial risk protection, access to quality essential health care services, and access to safe, effective, quality, and affordable essential medicines and vaccines for all. Additionally, the SDGP Window 2 on Food and Nutrition Security contributes to following SDG targets: SDG1: No Poverty: Target 1.2, 1.5, 1.a; SDG2: Zero Hunger: Target 2.1, 2.2, 2.3, 2.4, 2.a; SDG5: Gender Equality and Empower all women: Target 5.a.1; SDG8: Decent Work and Economic Growth: Target 8.3, 8.10; SDG9: Industry, Innovation and Infrastructure: Target 9.2, 9.3; SDG 13: Climate Action: Targets 13.1., 13.a., 13.b; SDG17: Partnerships for the Goals: Target 17.1, 17.3, 17.16, 17.17



This goal is pursued through strategic efforts towards the following objectives:

- Enhanced trust and understanding between public and private sector to partner for financing and delivery of UHC
- Enhanced government capacities to advance public private collaborations for the financing and delivery of PHC.
- Innovative partnerships and financing mechanisms advanced in support of Kenya's domestic resource mobilization agenda for Health.
- Innovative solutions catalyzed to strengthen PHC delivery
- Increased SDG-compliant investment in nutrition-sensitive, climate proofed agriculture of USD 100 million in the next five years
- Increased income and employment, especially for women and youth, in the nutrition-sensitive agriculture sector and food system for 50,000 Kenyans (50% women; 30% youth)
- Increased access for 100,000 local consumers to safe and nutritious foods
- Strengthened policies, systems and structures at national and county levels to help catalyze investments in the delivery of the Agriculture Sector Growth and Transformation Strategy (ASGTS), Kenya Food and Nutrition Security Policy, its Implementation Framework (FNSP-IF), and Kenya National Nutrition Action Plan.

II. Results

i) Narrative reporting on results – Window 1 on Primary Healthcare:

From January to December 2021 the following key results were achieved towards the following objectives:

Increased pool of partners and participating organizations, to contribute towards effecting broad based development gains.



Globally, health systems across the world are turning to Private Sector to provide and contribute to service delivery which was previously the preserve of governments. Public Private collaborations are bridging the gap of financial and technical resources required to meet the demands for healthcare services by populations. A recent study by McKinsey suggests that supporting private sector participation in Primary Healthcare provision, will require \$6billion in investments over the next 10 years.

The SDG Partnership Platform initiated several strategic engagements aimed at bolstering concrete commitments that contribute to positive outcomes in the health sector.

The Aga Khan University (AKU), through its Institute of Human Development, raised funding (\$3.5M) for the promotion and strengthening of Early Childhood Development (ECD) in areas with intractable challenges of access to early education. Education and access to healthcare and proper nutrition are not mutually exclusive in Arid and Semi-Arid areas, where children lose out on education, as a result of malnourishment. In 2021, the SDG PP, identified the Frontier Counties Development Council (FCDC), a regional block comprising of seven (7) counties that occupy 46% of Kenya's land area (including ASALs) 0, was identified as a suitable partner for the ECD improvement program by AKU.

Provision of transitionary support for Private Public collaborations is a necessity in driving innovative models in healthcare financing forward. The SDGPP bolstered its partnership with UNIDO whose mandate is to support inclusive sustainable industrial development in Kenya through the Programme for Country Partnership (PCP) whose interventions aim to unlock public and private investment in selected priority industrial sectors. Under the partnership, the SDGPP will provide eco-system support for PCP implementation.

United Nations Human Commissioner for Refugees (UNHCR) became the 11th UN agency to join the SDG PP platform. The 11 agencies are UNDP, UNFPA, UNAIDS, UNIDO, UNOPS, UNICEF, WHO, UNHRC, FAO, IFAD, WFP. It is envisaged that the partnership will exercise profound



forethought in strategic and impactful solutions to health, nutrition and ECD in marginalized immigrant areas, with the support of Kenya Humanitarian Private Partnerships.

The Development Impact Bond (DIB) for Adolescent Sexual Reproductive Health (ASRH). The SDGPP platform, co-convened several partners such as UNFPA, KOIS, UNAIDS, TRIGERISE, CIFF, WHO, COG, UNRCO and other independent experts to design the world's first \$7milion DIB. The DIB seeks to impact 400,000 adolescents in 10 counties in Kenya.

The SDGPP facilitated the setting up of the Finance, Trade and Investment Network (FINTRINET) which seeks to catalyse Kenya's post-COVID-19 economic recovery, transform and align it with the Country's Vision 2030. FINTRINET was launched in Q4 of 2021 and has made several strides in operationalizing its activities. As a corollary, the SDGPP is playing a pivotal role in one of the FINTRINET pillars which involves the establishment of the National Advisory Board for Impact Investing under the auspices of The Global Steering Group for Impact Investment (GSG), a UK based organisation focused on catalysing impact investment and entrepreneurship to benefit people and the planet.

Enhanced government capacities to advance public private collaboration for PHC financing and delivery.

Rebasing of Kenya as a lower middle-income Country has led to reduced external support/funding from the donors. This implies that the Country must find alternative mechanisms of sustaining the gains made over the years with limited resources. One of the mechanisms supported by the SDG partnership platform is the public private collaboration. In 2021, the platform supported printing of the Health PPC strategy and resource guide and the roll out to selected Counties. Through this process, the Ministry of Health with support from the UN SDGPP and other stakeholders including COG trained 119 officers from County governments, Private sector, and other sagas on the PPC covering 23 counties. The remaining Counties in coastal and central bloc counties (region) will be prioritized in 2022.



The SDG Partnership Platform supported the Government of Kenya to catalyze Private and Public Sector investments for the promotion of Primary healthcare, food and nutrition and manufacturing specifically in Kisumu County. The Kisumu Investment Conference, that attracted 11 investments for the county government of Kisumu in Food & Nutrition, Health Systems Strengthening, Environmental Conservations and Informal Sector support. In addition, support to the Devolution Conference through technical support and information packages via COG, further reinforced the goals of the SDG Partnership Platform in Convening and Catalyzing partnerships and investments from Private and Public Sector collaborations that promote positive outcomes the health wellbeing of the people of Kenya.

Technical assistance to the MOH for refinement of PHC policies and strategies like PPP Bill and the health promotion policy and transaction support to FCDC and LREB Blocs, Makueni and Isiolo counties on PHC PPP etc, continued in the 2021, as a significant contribution to supporting the enabling environment for advancement in Primary Healthcare innovations.

Enhanced trust and understanding between public and private sector to partner for the financing and delivery of UHC in Kenya

Since the commencement of the platform in 2017, mutually beneficial partnerships have provided significant opportunities for public sector and private sector to uniquely expand, collaborate, enhance and sustain all efforts towards quality healthcare provision.

In 2021, the Platform facilitated technical and coordination support in refinement of policies and strategies for Primary Health Care (PHC) partnerships, financing and innovations. The platform also facilitated technical and coordination support to the Ministry of Health (MOH) Private Public Partnerships (PPP) Unit, Department of Central Planning and Project Monitoring Department, to finalize and implement the Health Public Private Collaboration (PPC) strategy and training resource guide. In support of County governments, facilitation and coordination support to enhance the capacity and strengthen public private partnerships for health has been accorded to the Council of Governors (COG) Health Secretariat as well as enhanced technical and coordination at FCDC



Secretariat in support of County PHC PPP initiatives, and to facilitate more structured dialogue and inputs from ASAL Counties in PPC policy and financing discussions

The platform supported the 14th and 15th Ministerial Stakeholders Forums in April and August 2021. Matters affecting both sectors were discussed as per the 6 WHO building blocs.

The SDP PP secretariat seconded to the Ministry and the Council of Governors are part of the planning and coordination committee for the health sector intergovernmental forums for health. The 15th HSIGF held in the month of March 2021 focused on Covid 19; impact and county preparedness for response. The 16th HSIGF held in April 2021, focused on strengthening technical thematic groups (Health financing, Information systems, HPT and supply chain, Human resources for health Service delivery and Governance) by integrating County teams, National teams and development partners in delivery of service. The year ended with the 18th IGF that took place on 4th December 2021.the key issues discussed were Covid 19 preparedness, NHIF reforms and National Health Information System among others. Both Health sector stakeholders including Private sector, development partners ministry of Health and County governments were in attendance.

Through secretariat support at the Council of Governors collaboratively with the Ministry of health with facilitation from one of the UNH6, WHO, the Council of governors planned and convened the healthcare financing technical thematic committee quarterly meeting to discuss key matters in Health care financing including Capitation, FIF models being implemented in Counties as well as waiver systems for services. Meetings for Human resource committee and the Quality assurance and standards committee were planned and conducted virtually ahead of the 18th health sector intergovernmental forum whose main theme was Beyond Covid 19 to achieve Universal Health Coverage.

The SDG Partnership platform has continuously committed to support the Council of Governors both technically through being part of the planning process and financially through resource mobilizing. In 2021, the UNSDGPP undertook resource mobilisation for the 7th and Last annual devolution conference that took place in November 2021. In the series of consultative meetings with AstraZeneca, Huawei, UNFPA, and UNAIDs, the Platform was able to secure resources from UNFPA and UNAIDS to enrich the two health sector break away sessions one on Covid19 and the



other on Climate change. Factsheets communicating on Primary healthcare and universal health care were developed.

Through resources from the Multi donor trust fund under the Transforming Health system World bank (THS-WB) program with technical and Coordination support from MOH and COG 23 County governments were supported to develop the County annual performance reports for the financial year 2020/2021 demonstrating the extent to which health sector investments has contributed to the documented results, as described in the Kenyan health Policy and the Kenya Health sector Strategic Plan.

Coordination support to the Council of Governors (COG) in development of a Realtime dashboard to map out implementing partners for RMNCAH in collaboration with PATH. This dashboard is expected to enhance coordination of partners as they implement in Counties and to inform gap areas in RMNCAH for possible financing or funding. Updates on outgoing and incoming partners are done annually.

Continue to provide support to FCDC Secretariat for advancing County PHC PPP initiatives, and to facilitate more structured dialogue and inputs from the FCDC / ASAL Counties in PPC policy and financing discussions

Innovative partnerships and financing mechanisms advanced in support of Kenya's domestic resource mobilization agenda for Health.

Engagement with Ministry of Health and Philips on the new PHC funding opportunities which includes a medical fund and health financing coalition fund targeting an estimated fund portfolio of \$100M. In addition, plans of European Union healthcare financing vehicle for Africa is underway. Regular engagements between MOH and key stakeholders will continue to be convened to assure strategic guidance, knowledge exchange, and learning for replication and growth.

Philips and UNFPA through the coordination of the SDG PP, have been able to give technical support to Makueni County Government in reviewing and processing the P4PC PIIP. A consultative



meeting with the Governor of Makueni County assured the support of the leadership unlocking funding for the Makueni P4PC PIIP

Organized and Coordinated Isiolo PHC - PPP Support Initial engagements started in 2019 and spilled over to 2021 with more emphasis on improving primary healthcare facilities' infrastructure and service delivery. Milestones reported by CHMT included increased human resources for Health (HRH), improved waste management and solar systems, strengthened referral systems through CHVs, direct financing to Level 2 Facilities through NHIF (in collaboration with the National Government and Living Goods). Insurance uptake also increased from 14% to 47%. However, there is need for additional resources towards infrastructural investments e to improve the road networks, internet services; strengthening the capacity of CHMT to process the NHIF Claims and Follow-ups; private sector engagements.

UN SDGPP partnership with Equity Bank Group leading the private sector to drive shared value & profit with purpose initiatives to accelerate economic & social SDG impacts simultaneously.

Through the enhanced partnership, Equity will work together with the UN Resident Coordinators Office, the SDG Partnership Platform and the 23 UN agencies with operations in Kenya to accelerate knowledge management for SDG achievement, with training and catalytic investments to facilitate market access and cross border trade across diverse value chains especially for women and youth entrepreneurs on the development and implementation of Equity's 'Africa Plan for Resilience and Recovery', in light of the impacts of COVID-19.

The platform supported Consultative meetings with UNFPA to explore other ways to redirect activities and resources and add value through Digital Village Initiative Project (coordinated by Huawei). Further engagements with Huawei and all Partners on DVI Health Sector Project will continue – towards plugging in SDG PP support, ensuring that there is no duplication of programs or intervention

The platform supported a covid 19 health financing report validation exercise whose main objective was to audit the covid 19 funds for three fiscal years and give recommendations on how best to finance covid or other emergencies in future.



The platform supported a provider-payer engagement forum where payers (NHIF and private insurance) and providers (public and private hospitals) to develop a joint workplan emphasizing the need to curb the challenges facing the two sectors and promote cohesion.

Other Additional Coordination & Administrative Support Provided to public sector partners (MOH, COG & FCDC Secretariat) include the following

- **A.** Activities supporting the development and completion of national and county reports and guidelines
 - Review and development of guidelines i.e health promotion policy, PPP Act, Health financing strategy collaboration with the Ministry of Health to champion for devolution
 - Development of a compendium of COVID -19 best practices across 15 Counties focusing on different areas including health and partnerships. These documentations are expected to enhance PHC collaborations and steer realisation of UHC agenda.
 - Compilation of the FCDC Annual Report 2020 & FCDC AWP 2021and submitted to the FCDC Governors for strategic planning and decision- making.
 - Supported development of key MOH documents like Annual Work Plan, MTEF, CS performance contract.

B. Activities to support coordination of public sector engagements

- Coordination support to County and National governments in rolling out the training of all 47 Counties on Covid-19 vaccine and case management.
- Roche Pharmaceuticals committed to support FCDC Secretariat with Cancer Screening and Treatment Centres, including training Healthcare Personnel. SDG PP provided additional capacity to the team in the review of drafted of the MOU.
- The FCDC and the SDG PP independent dialogues on United Nations Forum for Sustainable standards (UNFSS) to strengthen antimicrobial resistance surveillance (AMR) in Mombasa and Kilifi Counties, in collaboration with the AMR Division, MOH, Ministry of Agriculture, Livestock and Fisheries and PATH International. To scale up this initiative, engagements



have commenced with the Deputy Governor Mombasa County and the Governor Kilifi County championing for AMR surveillance.

- Support Coordination engagements between the Ministry of Health (Office of the Chief Administrative Secretary (CAS)), the COG, MOH COVID-19 Vaccination task force and the 2021 Devolution Conference Steering Committee. The collaboration focused on the adherence of MOH COVID-19 protocols during the conference
- Provide coordination support to COG Health secretariat, during the Committee Retreat themed "Revitalizing the County Health Systems to deliver on Universal Health Care: Solidifying Strategic Partnerships and Coordination through the COG Health Committee".
- The session included consultative engagements between Governors, Ministry of health, Counties and Development partners on the subject matter, the Coordinator of the UNSDGPP was a key partner who spoke to the role of private sector in financing for health.
- Launch of the National Food Fortification Summit, Food fortification database and the Public Health Officers' Training guidelines in collaboration with the MOH Department of Nutrition.

C. Participation of Strategic Convening with the public sector

 Participated in the FCDC – EU Multisectoral Meeting which was the initial stages of FCDC Secretariat. EU Partnership discussions around upcoming development projects towards supporting the Frontier Counties. Continue to provide technical and coordination support based on need.

ii. Narrative reporting on results – SDG Partnership Platform Window 2 on Food and Nutrition Security

1. Mobilizing SDGPP W2 key partners around the strategy of the Window. During 2021, wide consensus on the Window 2 overall five-year strategy was reached as on February 3, 2021, an induction meeting of Co-Chairs and key partners was organized to seek consensus on the modalities of the delivery under the Window, including the set-up of the Steering Committee and other governing arrangements.



2. Strategic support to co-created partnership networks: Agriculture Sector Network (ASNET) and Finance, Trade and Investment Network (FINTRINET)

In collaboration with the Kenya Private Sector Alliance (KEPSA), the Platform co-created the Agriculture Sector Network (ASNET) in 2020. Subsequently, during 2021, ASNET has been supported to cocreate with all 5 regional counties in East Africa the Agriculture Business Consortium of East Africa linking all private sector networks in agriculture together to foster cross border trade and processing opportunities. This is receiving support from relevant EAC related Ministries as well as the EAC Secretariat.

ASNET has further established a public-private dialogue platform where matters affecting agricultural production and trade are discussed are resolved expeditiously, providing a strong consultative forum to influence major policy issues in the sector before these proceed to legislation.

Also, SDGPP is functioning as a co-Chair of the Finance, Trade and Investment Newtork (FINTRINET).

3. Support to key Value Chains

Following work undertaken in 2020 to convene stakeholders in the Aquaculture sector, following four key areas were identified as:

- i. Increasing the raw material production of feed in the country
- ii. Increasing and improving the quality of fingerling production in the country
- iii. Improving the policy and regulatory framework for fish farming in Kenya
- iv. Improving extension and marketing of fish and fish products

Business cases developed based on this fed into an investment conference held in November 2021 in Kisumu, bringing on board private sector partners, financiers, the Government and other development partners, while the same initiative was also undertaken in the Coastal region,



culminating into the Jumiya County Agribusiness Summit in December 2021. Investment pipelines from these conferences are currently being assessed and curated further into concrete projects. In Nyamira county, SDGPP Agencies UNIDO and FAO initiated a feasibility study and stakeholder consultation for an Agro-Industrial park in late 2021 which is being finalized. This Park will be used by the local communities and value chain actors to conduct value addition and processing of various crops including indigenous vegetables. This initiative will verify the investment plan developed by UNIDO and is layered with the FAO's Agrinvest project.

During 2021, eight sub-national Dialogues by County Blocs and two National Dialogues were convened by the Food Systems Summit Kenya National Convener, Cabinet Secretary Peter Munya, driven by the National Secretariat with leadership by the Agriculture Transformation Office. SDG Partnership Platform gave key coordination support to the functioning of the National Secretariat for FSS and to UN participation in it. The Food System summit dialogues formed major discussion subjects at the AGRF 2021, while also forming key components for the work undertaken through the FSS National Coalitions as Summit follow-up.

Further, a Food Systems Summit Independent Dialogue on financing and investment opportunities present in Kenya's Frontier Countries was convened by UNSDPP in 2021 collaboration with Frontier Counties Development Council Secretariat and Concern Worldwide Kenya; the findings from this Dialogue are feeding into the work undertaken by the Platform to identify and cultivate investments in several County Blocs.

New partnerships and initiatives were also fostered to scale up partnership programs in the Food and Nutrition sector:

- A partnership between the SDGPP and Equity Bank Group. Key sectors for deepening partnerships and synergies and unlocking significant financing pipelines include Agriculture, SDG financing in health, and education/youth engagement.
- A partnership with the Aga Khan Development Network which includes its social and economic institutions and investments including the food & nutrition sector



- SDGPP has also been actively engaging in strengthening the support network of over 15 Multistakeholder Platforms globally supported by GIZ and funded by the Government of Germany.
- Collaboration with the Public-Private Partnerships unit of Kenya Government following a request by the CS Treasury. Presentation on investment opportunities in Kenya have been jointly pitched globally at the UNDESA and GISD supported SDG Investment fair, following which TA support of USD 100,000 is being unlocked to strengthen investment pipelines
- The SDGPP is Deputy Chair of the growing Finance Trade and Investment Network of Kenya, FINTRINET, with private sector, government and development partners which is now a registered entity with 5 hubs across finance, investments, trade, SDGPP-NAB-TF, and strategic projects for Kenya@60.
- The National Advisory Board for Impact Finance Task Force Chaired by SDGPP brings together all key financing networks in Kenya (KBA, EAVCA, EAPN, Convergence, ANDE, Pensions, AVPA, SESOK etc) in partnership with the global G7 launched GSG.

4. Support to the UN Food Systems Summit in Kenya

During 2021, eight sub-national Dialogues by County Blocs were convened by the Food Systems Summit Kenya National Convener, Cabinet Secretary Peter Munya, driven by the National Secretariat with leadership by the Agriculture Transformation Office. Further, in July, a Food Systems Summit Policy Dialogue was organized at national level to discuss and agree on key steps to be taken to propel an enabling environment for agriculture, fisheries, and nutrition sectors in the country. SDG Partnership Platform W2 has given key coordination support to the Food Systems Summit Kenya chapter overall, to bring together UN agencies active in Food Systems Summit, and to mobilise resources from the UN Development Coordination Office at global level to help implement the activities required for running the sub-national Dialogues outlining Kenya's priorities to transform food systems in the country.

Further, The Platform organized in June 2021 a Food Systems Summit Independent Dialogue on concrete financing and investment opportunities present in Kenya's Frontier Countries in collaboration with Frontier Counties Development Council Secretariat and Concern Worldwide



Kenya; the findings from this Dialogue are feeding into the work undertaken by the Platform to identify and cultivate investments in several County Blocs by the end of 2021 as discussed earlier.

The Food System summit dialogues will form the major discussion subjects at the AGRF 2021. This is extremely important as key issues affecting agricultural investments in Kenya emerging from the dialogues will be on the frontline of those discussions. These include digitization, consumer awareness on nutrition, enabling policy and inclusive Investments and policies. Food Systems Summit events have been organised through virtual platforms, due to which there has been no related costs incurred by the Platform.

New partnerships and initiatives were also fostered to scale up partnership programs in the Food and Nutrition sector:

- A partnership has been announced between the SDGPP and Equity Bank Group led by UN Resident Coordinator, Dr Stephen Jackson and Dr. James Mwangi for the Equity Bank Group. Key sectors for deepening partnerships and synergies and unlocking significant financing pipelines include Agriculture, SDG financing (Equity has \$6 billion on its balance sheet for capex and working capital financing in the region), health, and youth training and engagement.
- President Kenyatta launched the UNDO model on PCP for Industrialization recently followed by the PCP Coordinator CS Fred Matiangi's request for ecosystem support from the SDG partnership Platform in addition to TA from UNIDO. The first of a series of Agro Industrial Parks has been launched by the President in Nyamira and the feasibility study has now been commissioned. Additional parks are being envisaged in other county blocks currently.
- A partnership is also growing with the Aga Khan Development Network which includes its social and economic institutions and investments including the food & nutrition sector (such as Frigoken, Farmers Choice, premier Foods, All-Fruit, Leather Industries etc.)
- SDGPP has also been actively engaging in strengthening the support network of over 15 Multistakeholder Platforms globally supported by GIZ and funded by the Government of Germany.
- Collaboration with the Public-Private Partnerships unit of Kenya Government is ongoing following a request by the CS Treasury. Presentation on investment opportunities in Kenya have



been jointly pitched globally at the UNDESA and GISD supported SDG Investment fair following which TA support is being unlocked to strength investment pipelines

- The SDGPP is Deputy Chair of the growing Finance Trade and Investment Network of Kenya FINTRINET with private sector, government and development partners which is now a registered entity with 5 hubs across finance, investments, trade, SDGPP-NAB-TF, and strategic projects for Kenya@60.
- The National Advisory Board for Impact Finance task Force Chaired by SDGPP brings together all key financing networks in Kenya (KBA, EAVCA, EAPN, Convergence, ANDE, Pensions, AVPA, SESOK etc) in partnership with the global G7 launched GSG. This NAB-TF is now being formally launched in the last quarter of 2021.

Delays in implementation, challenges, lessons learned & best practices

Operationally, the SDG Partnership Platform's novel approach requires risk appetite and flexibility within the United Nations system to advance innovation so the UNCT can balance the demand for concrete results with experimentation, trial and error. One main impediment is that legal and administrative restrictions and obstacles slow internal processes. This may stem from the fact that current operational and financial frameworks were set up at a time when overseas development assistance through grants was the norm and they have not been fully adapted to newer financing models under the UN Reform's aspirations to lead on SDG Funding to Financing transitions championed by the UN Secretary General.

Some of the best-practices applied by the SDGPP to address the challenges described above are:

- 1. National and Sub-National Ownership:
- The SDGPP is owned locally and addresses needs on the ground and promotion of a country led and country owned systems approach, in which public authorities are strengthened in their capacity to plan, manage, monitor and report on priority interventions.



- The SDGPP focusses on systems strengthening efforts and use of institutional infrastructure for programme implementation (rather than establishing parallel systems).
- The SDGPP co-creates under the leadership of the Government together with key partners from private sector models aiming to offer greatest value for money, based on research and evidence, so that national and county governments can scale up these approaches beyond the programme period.
- The SDGPP invests in advocacy and policy dialogue with governments, communities, and partners to build trust and understanding and to sustain relevant achievements.
- 2. A common agenda: SDGPP Partners have a shared vision for change and a joint approach to solution. This not only helps in aligning efforts but also defines partners commitment and determines how information will be shared within and outside the group. The agenda takes each partner's perspective and interests into consideration to ensure adequate incentivization.
- 3. A shared measurement system: SDGPP Partners have determined how success will be measured and reported and set each other on annual base milestones and targets to be achieved.
- 4. Mutually reinforcing activities: diverse partners are engaged in mutually reinforcing activities. Each focuses on what it can do best. Typically, initiatives would be developed from multiple working groups, each addressing a complementary aspect of the development issue.
- 5. Constant communication: All partners engage in frequent and structured communications to build trust and coordinate mutual objectives. Monthly updates are shared with Steering Committee members and the Steering Committee meetings on quarterly base.
- 6. Dedicated backbone support: The Secretariat the "backbone" of the programme— guides the Steering Committee in pursuing common goal and objectives, as well as provision of



strategic oversight in implementation of strategy, activities, measuring impact, communicating results and expanding the resource and partnership base.

As a result of all of this, the Platform has become a Government of Kenya UNDAF (2018 – 2022) Flagship initiative and received global recognition from UNDCO and the Dag Hammarskjold Foundation as a best practice to accelerate SDG financing⁵. Subsequently, and catalysed by its learnings, the Platform upon the request of the Government of Kenya is activating new windows to support thematic SDG clusters under Kenya's "Big Four" agenda commencing with food security and nutrition launched on 26 February 2020.

Qualitative assessment:

The SDGPP is meant to convene and connect leadership from Government, development partners, private sector, philanthropy, civil society, and academia to create SDG accelerator windows to catalyze SDG partnerships, financing, and innovations in alignment with Government development priorities. Primary Healthcare (PHC) has been the first window established with as goal to be a key driver towards attainment of Universal Health Coverage (UHC) in line with Kenya's SDG3 framework, and the Big Four.

Under the leadership of the SDGPP co-chairs, the Cabinet Secretary for Ministry of Health in Kenya, the Chair of the Council of Governors Health Committee, and the UN Resident Coordinator to Kenya, the PHC Window has established a Steering Committee with its membership including the Co-Chairs, a Representative of the Kenya Healthcare Federation (local private sector network), UNH6 leadership, as well as all PHC Window investors (e.g. Netherlands, USAID, World Bank, Sweden, Switzerland, Conrad N. Hilton Foundation, Rockefeller Foundation, Philips, AstraZeneca, Huawei, and Merck for Mothers) to jointly discuss and offer strategic guidance for the implementation of the Window's 5-year strategic plan.

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⁵ https://www.daghammarskjold.se/publication/unlocking-sdg-financing/



Implementation of the PHC Window strategic plan is coordinated by the Platform's Secretariat and facilitated through SDGPP partners with comparative advantage to deliver as one on specific SDGPP strategic support areas (e.g. World Bank and WHO are supporting the MOH in developing the Kenya Health PPP strategy and toolkit, the Ministry of ICT, Innovation and Youth with support of UNDP and private sector partners as Philips are advancing innovation through the SDG Accelerator Lab, and the World Bank and UNFPA lead support on research, analysis, and technical assistance to MOH and COG for private sector engagement and RMNCAH).



Abbreviations / Acronyms

AGRF - Africa Green Revolution Conference

- Aga Khan university **AKU**

ANDE - Aspen Network of Development Entrepreneurs

AVPA - Africa Venture Philanthropy Alliance

ASNET - Agriculture Sector Network

- Antimicrobial Resistance Surveillance **AMR**

ASAL - Arid and Semi-Arid Land

ASGTS - Agriculture Sector Growth and Transformation Strategy

- Adolescent Sexual Reproductive Health **ASRH**

CAS - Chief Administrative Secretary - Child Investment Fund Foundation CIFF **CHMT** - County Health Management Team

COG - Council of Governors' - Development Impact Bond DIB

- East Africa Philanthropy Network EAPN **EAVCA** - East Africa Venture Capital Association

- Early Childhood Development **ECD**

- European Union EU

- Food and Agriculture Organization FAO - Frontier Counties Development Council **FCDC** FINTRINET - Finance, Trade and Investment Network

GSG - Global Steering Group HRH - Human Resource for Health

- Health Sector Intergovernmental Forum **HSIGF ICT** - Information Communication and Technology - International Fund for Agricultural Development **IFAD**

- Intergovernmental Forum **IGH** - Kenya Bankers' Association **KBA** - Kenya Healthcare Federation KHF

- Ministry of Health MOH

MOU - Memorandum of Understanding

MTEF - Medium Term Expenditure Framework - National Hospital Insurance Fund NHIF

- Program for Appropriate Technology in Health **PATH**

PCP - Programme for Country Partnership

P4PC - Partnership for Primary Care

- Primary Healthcare **PHC**

PPC -Public Private Collaboration PPP -Public Private Partnership

RMNCAH - Reproductive, Maternal, Newborn and Child and Health

SESOK - Social Enterprise Society of Kenya - Sustainable Development Goals **SDG** - Universal Health Coverage **UHC**



UNAIDS - Joint United Nations Programme on HIV/AIDS

UNCT - United Nations Country Team

UNDAFUN Development Assistance FrameworkUNDPUnited Nations Development Programme

UN SDG PP - United Nation Sustainable Development Goals Partnership Platform

UNFSS - United Nations Forum for Sustainable standards

UNFPA - United Nations Population Fund

UNHCR - United Nations Human Commissioner for Refugees

UNICEF - The United Nations Children's Fund

UNIDO - United Nations Industrial Development Organization

UNOPS - United Nations Office for Project ServicesUNRCO - United Nations Resident Coordinator's Office

USAID - United States Agency for International Development

WHO - World Health OrganizationWFP - World Food Programme

Q4 - Quarter Four



Indicator Based Performance Assessment: A) SDGPP Window 1 on Primary Healthcare

Workplan output	Targets 2021	Achievements 2021	Progress
1.1 Technical support	Enhanced trust and understanding	Review and provision of input on the PPP Bill of February 2021. Bill was	
provided to review	between key stakeholders to	signed into law 9 th December 2021	
policies and	support UHC rollout and potential	Development of the Health Promotion Policy 2021.	
regulations to optimize	PHC PPP through support to at	Dissemination of the Health Financing Strategy 2020-2030 in October 2021.	
public private	least 10 health stakeholder	Development of the UHC Essential benefit package	
collaboration for health.	forums/public private dialogues	Development of advisories and position papers on COG stand on NHIF reforms.	
		Review of the Reproductive health and the ASRH policy.	
		Development and dissemination of the operationalization of the Kenya	
		partnership and coordination framework 2018-2030	
1.2 National and	Enhanced MOH leadership and	SDG PP provided human capacity (UNVS) to provide technical and coordination	
County Government	capacities to steward PHC PPP	support to MOH, COG and FCDC for implementation.	
capacities built to steward private sector	through finalization and implementation of Health PPC	Training of 9 counties and the Kenya Healthcare Federation (KHF) on Public Private Collaboration (PPC)	
engagement for health	strategy and refinement of PHC policies and practices	At least 118 cumulative public officers trained in the PPC strategy and toolkit	
	poneres and practices	_	
	Enhanced capacities of at least	Covid 19 health financing report validation convening for feedback on private sector contribution and participation.	
	118public officers to facilitate PHC PPP through training in Health PPP toolkit	Partner engagement and coordination of the RMNCAH (Reproductive, Maternal, Newborn, Child and Adolescent Health) multistakeholder investment platform	
		Provision of facilitation and coordination support to the Healthcare Financing Technical thematic committee comprising of WHO, CECM (<i>County Executive Committee Member</i>), MOH, COG and KHF.	
		Two ministerial stakeholders' forums conducted with the participation of private sector, on various issues on Primary Health.	



I LAII VIIII					
2.1 Evidence-base on potential new business models for universal access to quality affordable PHC developed and promoted	New PPP opportunities identified through pre-feasibility studies done on PHC networks hub and spokesmodel expanding local pharma and support to health technology Assessment	Coordination of UNDP/ Norwegian Support to FCDC, for distribution of Covid-19 Essential Supplies & Equipment to the Frontier Counties. Covid-19 Testing Kits including re-agents were distributed to 9 Frontier Counties (Mandera, Garissa, Wajir, West-Pokot, Isiolo, Samburu Lamu, Tana River - except Turkana). 3 ICU Equipment also distributed to 3 Counties. Coordination support to 23 Counties in the development of the Annual performance review for the financial year 2020/21. Coordination support in organization of a high level round table meeting between the Governors, CECM, MOH, to deliberate on Policies issues in the devolved context. New opportunities identified through: • AHDP feasibility studies • PHC Impact Investment Fund • Building ASRH DIB consortium and submission of investment proposal • Strategic engagement with MoIDT, MoH, Merck/Dawa consortium • Supported development of business plan for Johari Beads initiative • Screening for Maternal Outcomes Matter Alliance (Merck for Mothers, OPIC, USAID)			
2.2 Public, private and blended financing models brokered to accelerate universal access to quality affordable PHC	Makueni and Isiolo County Governments sign PHC PPP agreements offering value for money with transaction support of SDGPP Investment pipeline expanded to 200 million UD with a minimum of 20 % transacted in 2020 SDG Partnership Platform partnership and resource base expanded	Supported Makueni P4PC consortium in submission and review of PIIP to MCG Supported Isiolo County Government in sourcing transaction support to advance its PHC PPP concept (transaction support in early 2021) Mobilized additional support for Isiolo County Government through partnership with Huawei Smart Village project Current investment pipeline of selected projects supported is estimated at approximately 150 millions USD but no new projects closed in 2020: Makeuni P4PC – 60million USD ASRH DIB – 30 million USD Local vaccine production facility – 30 million USD AHDP – 15 million USD Isiolo PHC PPP – 5 million USD			



		• Other (e.g., Penda Health, Zenysis Zipline etc) – 10 million USD			
		SDGPP co-founded NBCC to support fight against Covid-19			
		SDGPP partnership base expanded with 2 new partners (Johnson and Johnson and PharmAccess)			
		SDGPP resource base grown with 400,000 USD for own programming. Support mobilized for Covid-19 with approximately 5 million USD			
		SDGPP funding and in-kind pipeline: 7,645,000 USD			
3.1 Innovative	Digital Health Solution led with	PHC Innovation research progressing			
solutions and partnerships catalyzed	support of SDG Accelerator Lab	AI and Robotics for Covid-10 response supported			
to strengthen the					
delivery of PHC					
systems 3.2 Support design and	UHC media and communication	SDGPP website being finalized			
testing of innovative partnerships and	supported through SDGPP platforms	Various high-level events and convenings organized engaging SDGPP partners			
solutions		NBCC best-practice documents			
	Best practice documents capturing successes and lessons learned to inform progressive policy and practices for SDG partnership platforms around the world	SDGPP captured as best practice in SDG Partnership Accelerator toolkit			
4 SDGPP secretariat operational capacities		SDGPP has received new secondments from Fintrinet and PharmAccess Foundation.			
enhanced		Approval for 2022 UNVs was done in Dec 2021 for the following positions: Communications, Monitoring and Evaluation and Finance Analyst (UNIDO). Equity Bank, has approved a secondment to the SDG PP for an Operations Specialist.			



Indicator Based Performance Assessment: B) SDGPP Window 2 on Food and Nutrition Security

Workplan output	Targets 2021*	Achievements 2021	Progress
Establishment and	SDGPP FSN Strategic Plan	A 5-year strategy, incl. a 3-year workplan with a results framework and	
operationalization of	developed	indicators, was finalized in 2020. In 2021, it was further consulted with	
the SDG Partnership	SDGPP SDG2 Window M&E	relevant stakeholders for buy-in and further institutionalization of the Window.	
Platform Food and	tools developed and implemented		
Nutrition Security			
Window	Quarterly digital media and	SDGPP W2 shares communication materials with W1, while the SDGPP	
	communications materials	website has window-specific sites. Communication capacities currently being	
	developed and disseminated	strengthened through a dedicated post.	
	Presentation SDG2 Window	Engagement in a network of over 15 Multistakeholder Platforms globally	
	successes and lessons learned at key national, regional and global	supported by GIZ and funded by the Government of Germany.	
	events	Collaboration with the Public-Private Partnerships unit of Kenya Government.	
		Presentation on investment opportunities in Kenya have been jointly pitched globally at the UNDESA and GISD supported SDG Investment fair following which TA support is being unlocked to strength investment pipelines	
		The SDGPP is Deputy Chair of the growing Finance Trade and Investment Network of Kenya FINTRINET with private sector, government and development partners, a registered entity with 5 hubs across finance, investments, trade, SDGPP-NAB-TF, and strategic projects for Kenya@60.	
		The National Advisory Board for Impact Finance task Force Chaired by SDGPP brings together all key financing networks in Kenya (KBA, EAVCA, EAPN, Convergence	



		A partnership between the SDGPP and Equity Bank Group has been launched. Key sectors for deepening partnerships and synergies and unlocking significant financing pipelines include Agriculture, education, and health.
		SDGPP Secretariat has managed to secure key human resources to deliver on its objectives, however further expertise is required, particularly as SDGPP will be constituting a major role in the upcoming UN Sustainable Development Coordination Framework.
		The first of a series of Agro Industrial Parks has been launched by the President of Kenya in Nyamira county. Additional parks are being envisaged in other county blocks currently.
		A partnership is growing with the Aga Khan Development Network which includes its social and economic institutions and investments including the food & nutrition sector (such as Frigoken, Farmers Choice, premier Foods, All-Fruit, Leather Industries)
		The National Advisory Board for Impact Finance task Force Chaired by SDGPP brings together all key financing networks in Kenya (KBA, EAVCA, EAPN, Convergence, ANDE, Pensions, AVPA, SESOK etc) in partnership with the global G7. This NAB-TF is being launched.
		SDGPP highlighted at the AGRF 2021 and the national Devolution Conference
	SDGPP SDG2 Window Secretariat fully operational	SDGPP Secretariat has managed to secure key human resources to deliver on its objectives, however further expertise is required, particularly as SDGPP will be constituting a major role in the upcoming UN Sustainable Development Coordination Framework.
Advance SDGPP W2 partnerships, financing and innovations	Suitability analysis for 1 regional bloc Value chains selected and analysed	Business case development for feed production on land and aggregation and fish processing, cashew nut, marine/blue economy; herbs and spices



Policy review conducted	Investment summits have been organized in Kisumu and Jumuiya ya Kaunti z
	Pwani, with key opportunity pipelines being assessed.
selected value chains	Key coordination support to UN Food Systems Summit National Dialogues;

Key coordination support to UN Food Systems Summit National Dialogues; organizing an Independent Dialogue on Financing and Investments in Frontier Counties' crops, livestock and fisheries with 4 highest priority value chains identified.

Development of Turkana County Groundnut Strategy (2021-2026) completed and launched.

Technical support for Turkana Youth Agribusiness Strategy

^{*}SDGPP W2 targets for 2021 aligned with the activities required to initiate and establish SDGPPW2. A no-cost extension to roll a major part of 2020 deliverables over to 2021 was granted largely due to COVID-19 impacts.



III. Other Assessments or Evaluations (if applicable)

Njibu, Peris: Mapping of partners supporting RNMCAH activates in the 47 Counties, SDGPP, 2020.

Alpert, J.L., Akinola, S., Booty, E., Dimitrova, D., Do, T.T., Emmanuel, A.L., Fröhlicher, P., Gitonga, C.W., Hang, P.L.T., Hunt, L., Hussein, S., Kiarie, H., Mistry, S., Ngechu, R., Nikolic, I.A., Olago, A., Pollack, T.M., Vellenga, R., Wispelwey, B.P. and Duong, D.B., 2020. Annual Primary Care 2030 Convening: Creating an Enabling Ecosystem for Person-Centered Primary Healthcare Models to Achieve Universal Health Coverage in Low- and Middle-Income Countries. Annals of Global Health, 86(1), p.106: <u>Annual Primary Care 2030 Convening: Creating an Enabling Ecosystem for Person-Centered Primary Healthcare Models to Achieve Universal Health Coverage in Low- and Middle-Income Countries (annalsofglobalhealth.org)</u>

Siddharth Chatterjee: Without Universal Health Coverage we are sitting ducks when the next pandemic strikes in Forbes Africa, 13 May 2020 WITHOUT UNIVERSAL HEALTH COVERAGE WE ARE SITTING DUCKS WHEN THE NEXT PANDEMIC STRIKES - Forbes Africa

Paul Polman, Siddharth Chatterjee and Myriam Sidibe: Op-Ed: How Multilateralism Through Public-Private Partnerships Is Key To Flatten The Curve in Forbes Africa, 17 April 2020 Op-Ed: How Multilateralism Through Public-Private Partnerships Is Key To Flatten The Curve Forbes Africa

IV. Programmatic Revisions

While the COVID-19 pandemic that erupted in March 2020 severely impacted the Platform, the focus has remained on adapting rapidly to ensure that the SDGPP is still able to meet its targets. Several trainings were shifted online given the travel restrictions which remain in place into 2021. Additionally, the SDGPP has been instrumental in the founding of a number of initiatives developed specifically in response to COVID-19. The National Business Compact on COVID-19, which is discussed in the Case Study section of the report, focused on broadcasting messages around handwashing as a prevention method, while the Finance, Trade and Investment Network (FINTRINET) focusses on economic recovery. The Platform remains committed to maintaining its flexibility and adaptability, to best ensure programmatic delivery in spite of the disruption caused by COVID-19.



SDGPP Window 1 Targets for 2022

In line with the SDGPP PHC Window 5-year strategic plan, and building on the achievements made over the last 2 years, the following key milestones and targets have been set for 2021 by the SDGPP PHC Window Steering Committee

- 1. Enhanced MOH capacities to steward implementation of Health PPC strategy, and refinement of PHC policies and practice
- 2. Institutionalize Health PPP training, and enhance capacities of at least 300 public officers to facilitate PHC PPP through online & face to face training in Health PPP Toolkit, as well as establishment of a PHC PPP Community of Practice
- 3. Enhanced trust and understanding between key stakeholders to support UHC rollout, and potential PHC PPPs, through support to at least
- 4. five (5) health stakeholder forums / public private dialogues
- 5. Makueni and Isiolo PHC PPPs, as well as ASRH Development Impact Bond successfully launched
- 6. Advance towards transaction; AHDP County PPCs, Local Vaccine Production Facility, 1-2 more PHC County PPC (e.g. Kisumu, Kilifi)
- 7. Innovations within PHC delivery (including through PCN) informed through research and guidance of practice
- 8. Investment pipeline expanded to \$250 million with a minimum of 10% transacted in 2021
- 9. SDG Partnership Platform partnership and resource base expanded
- 10. SDG Partnership Platform website launched, and online footprint expanded
- 11. Early Childhood Development focus for ASAL areas where vulnerability on Maternal Child Health is high
- 12. Curate and assess Primary Healthcare Innovations for potential private financing
- 13. Prepare and identify Financial Innovative Models for supporting the new cooperation framework to deliver positive outcomes in the overarching pillars of People and Peace, Planet and Prosperity, and partnership.



	Activity	Owner	Status
	2022 SDG PHC Window Workplan	SDG PP	Started
1	Convening & Catalyzing SDG Partnerships		
1.1.0	PHC Pipeline development and Assessment	SDG PP	Scheduled
1.1.1	DIB ASRH Implementation	SDG FUND	Scheduled
1.1.2	Transaction support to Makueni County Government in steering P4PC PIIP and advancing PHC PPC initiatives	UNFPA	Scheduled
1.1.3	Transaction support to Isiolo County Government in designing, financing and procuring the Isiolo County PHC PPP in advancing PHC PPC initiatives	UNFPA	Scheduled
1.1.4	Collaborate with Huawei through Digital Village Initiative (DVI) - Technical support to Isiolo County Government in designing, financing and procuring the Isiolo County PHC	UNFPA/SDG PP/ Huawei	Scheduled
1.1.5	Innovations Conference: PHC Fund for Africa	PharmAccess/UN SDGPP	Scheduled
1.1.6	TA for local manufacturing of ARV and essential medicines	SDG PP/UNAIDS	Scheduled
1.1.7	National Business Compact against Covid 19, 1 year financing for 2022	DFID	Scheduled
1.1.8	Developing a Media platform with AKU, through the GSMC	AKU	Scheduled
1.1.9	Development of the ECD strategy with the FCDC counties & AKU	AKU	Scheduled
1.1.10	Development & Design of MCH opportunities through AKU partnerships	AKU	Scheduled
1.1.11	Convene and Facilitate Bi-annual County or County Bloc Health Stakeholder Forums (e.g., Co-create/ Sensitization Workshops with the FCDC Newly Elected CECMs, Health Symposium with selected Counties.	SDG PP	Scheduled
2	Innovative Financing - Sustainable Impact		
2.1.1	DIB ASRH: Launch at SDG Summit, Completion of Evaluation recommendation and Implementation	DIB Consortium	Started
2.1.2	Design of an ECD Fund for Northern Kenya	SDG PP	Started
2.1.3	Design of a Diaspora Fund with the partnership of IOM & Africa Diaspora network, Connection to existing Gate Foundation opportunity	SDG PP	Started
2.1.4	Facilitate provider-payor engagement to stimulate strategic purchasing for health care services	WHO	Started
2.1.5	Strengthen partnership and coordination for health financing across the health sector -support to health financing, legal and governance TTC/ICC	WHO	Started



3	Public Private Collaborations		
3.1	PPP Tool Kit		
3.1.1	PPP - Develop further core training materials on PPC like short videos, more cases		
	and incorporate in the online platform. Conduct an assessment of Active PPP in the		
	37 Sensitized Counties to evaluate gap areas for scaling up training)	UNFPA	Scheduled
3.1.2		UNAIDS	Scheduled
	Contribute SDGPP PPP work on Maarifa Platform at COG (Enhance visibility of the		
	platform through Documentation of the contributions made.		
	Document the assessments done on available PPPs and PPP gaps in the Counties at		
3.1.3	the Maarifa Platform for best practice sharing)	tbc	Scheduled
3.2	PPP Trainings		
3.2.1	Support to 1-2 more Counties in codifying PHC PPP, financing and innovation	UNAIDS	Scheduled
	initiatives ongoing (e.g., Kisumu) (support/strengthen selected Counties through		
	training of the CHMT on the gap areas on PPP to facilitate successful PPPs for		
	health)		
3.2.2	Facilitate MOH and COG stakeholder engagements with executive and programme	UNAIDS	Scheduled
	leadership of the initiatives the SDGPP is supporting to assure broad-based		
	ownership and buy-in		
3.2.3	Facilitate network and linkages for resource mobilization, as well as coordinate	UNAIDS	Scheduled
	knowledge sharing between SDGPP partners for replication and scaling		
3.2.4	7 1 6 6	UNFPA	Scheduled
3.2.5.	Facilitation support to technical thematic Committee meetings with CECMs on		Scheduled
	investment areas for health specifically, Health care financing, Monitoring,		
	Evaluation and Research.		
4	Governance	Convener	Scheduled
4.1	Convene Bi Annual PHC Window Steering Committee Meetings	SDG PP	Scheduled
4.2	Call to MOH and other members to formally nominate members (& alternates) to		
	participate in the steering Committee meeting	SDG PP	Scheduled
4.3	Make new formal invitations to the Private sector & other stakeholder members to		
	the steering Committee	SDG PP	Scheduled



5	Administration		
5.1	Funding & Resource Mobilization	Funding Source	
5.1.1	No Cost Extension application for 2022	UNAIDS	Scheduled
5.1.2	ECD additional fundraising for FCDC counties	TBC	Scheduled
5.1.3	Development of ECD Fund for ASAL region (FCDC)	TBC	Scheduled
5.1.4	Unlock additional financial streams for DIB	TBC	Scheduled
5.1.5	Identification of additional secondments from partners	TBC	Scheduled
5.1.6	Development of documentation and communication materials	TBC	Scheduled
5.2	Reporting & Communication	Funding Source	
5.2.1	2021 Annual Report	UNAIDS	Scheduled
5.2.2	2022 Bi Annual Report		Scheduled
5.2.3	2022 Annual report		Scheduled
5.2.4	SDGPP Website Development	UNFPA	
5.3	Human Resource	Funding Source	
5.3.1	UNV KHF PHC PPP Liaison	UNAIDS	Started
	UNV MOH Coordination Officer (at MOH Executive Office, CAS Dr. Mercy		
5.3.2	Mwagangi)	UNAIDS	Scheduled
5.3.3	UNV MOH Coordination Officer (Executive Office of CAS Dr. Rashid Aman)	UNFPA	Scheduled
5.3.4	UNV COG secretariat incl coordination support	UNFPA	Complete
5.3.5	UNV FCDC secretariat incl coordination support	UNFPA	Complete
5.3.6	UNV Partnerships Health Officer (MOH - PPP Unit)	UNFPA	Complete
5.3.7	UNV Communications, Resource Mobilization & Market Intelligence Officer	UNFPA	Started
5.3.8	UNV Monitoring and Evaluation Officer	UNFPA	Started

6 Partners

- 6.1.1 AKU: leverage ECD opportunities for FCDC, GSMS & MCH
- 6.1.2 Swed Fund
- 6.1.3 Kenya Diaspora Network
- 6.1.4 Phillips Foundation
- 6.1.5 Development Partners for Health Kenya
- 6.1.6 PharmAccess Foundation
- 6.1.7 Equity Bank
- 6.1.8 Kenya SDG Forum
- 6.1.9 Amkeni CSO Forum

Part II: Kenya SDG Partnership Platform MPTF

Financial Report prepared by the Administrative Agent

May 2022



DEFINITIONS

Allocation

Amount approved by the Steering Committee for a project/programme.

Approved Project/Programme

A project/programme including budget, etc., that is approved by the Steering Committee for fund allocation purposes.

Contributor Commitment

Amount(s) committed by a contributor to a Fund in a signed Standard Administrative Arrangement with the UNDP Multi-Partner Trust Fund Office (MPTF Office), in its capacity as the Administrative Agent. A commitment may be paid or pending payment.

Contributor Deposit

Cash deposit received by the MPTF
Office for the Fund from a contributor in
accordance with a signed Standard
Administrative Arrangement.

Delivery Rate

The percentage of funds that have been utilized, calculated by comparing expenditures reported by a Participating Organization against the 'net funded amount'. This does not include expense commitments by Participating Organization.

Indirect Support Costs

A general cost that cannot be directly related to any particular programme or activity of the Participating Organizations. UNSDG policy establishes a fixed indirect cost rate of 7% of programmable costs for interagency pass-through MPTFs.

Net Funded Amount

Amount transferred to a Participating Organization less any refunds transferred back to the MPTF Office by a Participating Organization.

Participating Organization

A UN Organization or other intergovernmental Organization that is a partner in a Fund, as represented by signing a Memorandum of Understanding (MOU) with the MPTF Office for a particular Fund.

Project Expenditure

The sum of expenses and/or expenditure reported by all Participating Organizations for a Fund irrespective of which basis of accounting each Participating Organization follows for donor reporting.

Project Financial Closure

A project or programme is considered financially closed when all financial obligations of an operationally completed project or programme have been settled, and no further financial charges may be incurred.

Project Operational Closure

A project or programme is considered operationally closed when all programmatic activities for which Participating Organization(s) received funding have been completed.

Project Start Date

Project/ Joint programme start date as per the programmatic document.

Total Approved Budget

This represents the cumulative amount of allocations approved by the Steering Committee.

US Dollar Amount

The financial data in the report is recorded in US Dollars



INTRODUCTION

This Consolidated Annual Financial Report of the **Kenya SDG Partnership Platform MPTF** is prepared by the United Nations Development Programme (UNDP) Multi-Partner Trust Fund Office (MPTF Office) in fulfillment of its obligations as Administrative Agent, as per the terms of Reference (TOR), the Memorandum of Understanding (MOU) signed between the UNDP MPTF Office and the Participating Organizations, and the Standard Administrative Arrangement (SAA) signed with contributors.

The MPTF Office, as Administrative Agent, is responsible for concluding an MOU with Participating Organizations and SAAs with contributors. It receives, administers and

manages contributions, and disburses these funds to the Participating Organizations. The Administrative Agent prepares and submits annual consolidated financial reports, as well as regular financial statements, for transmission to stakeholders.

This consolidated financial report covers the period 1 January to 31 December 2021 and provides financial data on progress made in the implementation of projects of the **Kenya SDG Partnership Platform MPTF**. It is posted on the MPTF Office GATEWAY (https://beta.mptf.undp.org/fund/ken00).



2021 FINANCIAL PERFORMANCE

This chapter presents financial data and analysis of the **Kenya SDG Partnership Platform MPTF** using the pass-through funding modality as of 31 December **2021**. Financial information for this Fund is also available on the MPTF Office GATEWAY, at the following address: https://beta.mptf.undp.org/fund/ken00.

1. SOURCES AND USES OF FUNDS

As of 31 December **2021**, **5** contributors deposited US\$ **2,140,658** and US\$ **11,351** was earned in interest.

The cumulative source of funds was US\$ **2,152,009**.

Of this amount, US\$ 2,028,422 has been net funded to 4 Participating Organizations, of which US\$ 1,627,138 has been reported as expenditure. The Administrative Agent fee has been charged at the approved rate of 1% on deposits and amounts to US\$ 21,407. Table 1 provides an overview of the overall sources, uses, and balance of the Kenya SDG Partnership Platform MPTF as of 31 December 2021.

Table 1. Financial Overview, as of 31 December 2021 (in US Dollars)

	Annual 2020	Annual 2021	Cumulative
Sources of Funds			
Contributions from donors	100,000	100,000	2,140,658
Sub-total Contributions	100,000	100,000	2,140,658
Fund Earned Interest and Investment Income	3,014	205	11,351
Total: Sources of Funds	103,014	100,205	2,152,009
Use of Funds			
Transfers to Participating Organizations	0	298,000	2,028,422
Net Funded Amount	0	298,000	2,028,422
Administrative Agent Fees	1,000	1,000	21,407
Bank Charges	8	2	20
Total: Uses of Funds	1,008	299,002	2,049,848
Change in Fund cash balance with Administrative Agent	102,006	(198,797)	102,160
Opening Fund balance (1 January)	198,951	300,958	
Closing Fund balance (31 December)	300,958	102,160	102,160
Net Funded Amount (Includes Direct Cost)	0	298,000	2,028,422
Participating Organizations Expenditure (Includes Direct Cost)	504,634	178,925	1,627,138
Balance of Funds with Participating Organizations	(504,634)	119,075	401,283



2. PARTNER CONTRIBUTIONS

Table 2 provides information on cumulative contributions received from all contributors to this fund as of 31 December **2021**.

The **Kenya SDG Partnership Platform MPTF** is currently being financed by **5**contributors, as listed in the table below

The table includes financial commitments made by the contributors through signed Standard Administrative Agreements with an anticipated deposit date as per the schedule of payments by 31 December **2021** and deposits received by the same date. It does not include commitments that were made to the fund beyond **2021**.

Table 2. Contributions, as of 31 December 2021 (in US Dollars)

Contributors	Total Commitments	Prior Years as of 31-Dec- 2020 Deposits	Current Year Jan-Dec-2021 Deposits	Total Deposits
AstraZeneca	400,000	300,000	100,000	400,000
Koninklijke Philips	750,000	750,000	0	750,000
Merck & Co.	200,000	200,000	0	200,000
Government of Netherlands	590,658	590,658	0	590,658
Rockefeller Foundation	200,000	200,000	0	200,000
Grand Total	2,140,658	2,040,658	100,000	2,140,658



3. INTEREST EARNED

Interest income is earned in two ways: 1) on the balance of funds held by the Administrative Agent (Fund earned interest), and 2) on the balance of funds held by the Participating Organizations (Agency earned interest) where their Financial Regulations and Rules allow return of interest to the AA.

As of 31 December **2021**, Fund earned interest amounts to US\$ **11,351**.

Interest received from Participating Organizations amounts to US\$ nil, bringing the cumulative interest received to US\$ 11,351. Details are provided in the table below.

Table 3. Sources of Interest and Investment Income, as of 31 December 2021 (in US Dollars)

Interest Earned	Prior Years as of 31-Dec-2020	Current Year Jan-Dec-2021	Total
Administrative Agent			
Fund Earned Interest and Investment Income	11,146	205	11,351
Total: Fund Earned Interest	11,146	205	11,351
Participating Organization			
Total: Agency earned interest			
Grand Total	11,146	205	11,351



4. TRANSFER OF FUNDS

Allocations to Participating Organizations are approved by the Steering Committee and disbursed by the Administrative Agent. As of 31 December **2021**, the AA has transferred US\$ **2,028,422** to **4** Participating Organizations (see list below).

Table 4 provides additional information on the refunds received by the MPTF Office, and the net funded amount for each of the Participating Organizations.

Table 4. Transfer, Refund, and Net Funded Amount by Participating Organization (in US Dollars)

	Prior Years as of 31-Dec-2020		Current Year Jan-Dec-2021			Total			
Participating Organization	Transfers	Refunds	Net Funded	Transfers	Refunds	Net Funded	Transfers	Refunds	Net Funded
FAO				139,000	0	139,000	139,000	0	139,000
UNAIDS				100,000	0	100,000	100,000	0	100,000
UNDP	847,102	0	847,102	59,000	0	59,000	906,102	0	906,102
UNFPA	883,320	0	883,320				883,320	0	883,320
Grand Total	1,730,422	0	1,730,422	298,000	0	298,000	2,028,422	0	2,028,422



5. EXPENDITURE AND FINANCIAL DELIVERY RATES

All final expenditures reported are submitted as certified financial information by the Headquarters of the Participating Organizations. These were consolidated by the MPTF Office.

Joint programme/ project expenditures are incurred and monitored by each Participating Organization, and are reported to the Administrative Agent as per the agreed upon categories for interagency harmonized reporting. The expenditures are reported via the MPTF Office's online expenditure reporting tool. The 2021 expenditure data has been posted on the MPTF Office GATEWAY at https://beta.mptf.undp.org/fund/ken00.

5.1 EXPENDITURE REPORTED BY PARTICIPATING ORGANIZATION

In **2021**, US\$ **298,000** was net funded to Participating Organizations, and US\$ **178,925** was reported in expenditure.

As shown in table below, the cumulative net funded amount is US\$ 2,028,422 and cumulative expenditures reported by the Participating Organizations amount to US\$ 1,627,138. This equates to an overall Fund expenditure delivery rate of 80.22 percent.

Table 5.1. Net Funded Amount and Reported Expenditures by Participating Organization, as of 31 December 2021 (in US Dollars)

Participating Organization	Approved Amount	Net Funded Amount		Expenditure		Delivery Rate %
			Prior Years as of 31-Dec-2020	Current Year Jan-Dec-2021	Cumulative	
FAO	139,000	139,000		52,683	52,683	37.90
UNAIDS	100,000	100,000		25,989	25,989	25.99
UNDP	906,102	906,102	842,815	15,347	858,162	94.71
UNFPA	883,320	883,320	605,398	84,906	690,305	78.15
Grand Total	2,028,422	2,028,422	1,448,214	178,925	1,627,138	80.22



5.2. Expenditures Reported by Category

Project expenditures are incurred and monitored by each Participating Organization and are reported as per the agreed categories for inter-agency harmonized reporting. In 2006 the UN Development Group (UNSDG) established six categories against which UN entities must report inter-agency project expenditures. Effective 1 January 2012, the UN Chief Executive Board (CEB) modified these categories as a result of IPSAS adoption to comprise eight categories. All expenditure incurred prior to 1 January 2012 have been reported in the old categories; post 1 January 2012 all expenditure are reported in the new eight categories. See table below.

Table 5.2. Expenditure by UNSDG Budget Category, as of 31 December 2021 (in US Dollars)

Category		Expenditures		Percentage of Total Programme Cost		
	Prior Years as of 31-Dec-2020	Current Year Jan-Dec-2021	Total			
Staff & Personnel Cost	555,896	42,746	598,642	39.37		
Supplies, commodities and materials	-	37,037	37,037	2.44		
Equipment, vehicles, furniture and depreciation	39,432	-	39,432	2.59		
Contractual Services Expenses	268,478	39,908	308,387	20.28		
Travel	88,918	10,736	99,654	6.55		
General Operating	400,749	36,792	437,541	28.77		
Programme Costs Total	1,353,473	167,220	1,520,692	100.00		
¹ Indirect Support Costs Total	94,741	11,705	106,446	7.00		
Grand Total	1,448,214	178,925	1,627,138			

¹ Indirect Support Costs charged by Participating Organization, based on their financial regulations, can be deducted upfront or at a later stage during implementation. The percentage may therefore appear to exceed the 7% agreed-upon for on-going projects. Once projects are financially closed, this number is not to exceed 7%.



6. COST RECOVERY

Cost recovery policies for the Fund are guided by the applicable provisions of the Terms of Reference, the MOU concluded between the Administrative Agent and Participating Organizations, and the SAAs concluded between the Administrative Agent and Contributors, based on rates approved by UNDG.

The policies in place, as of 31 December 2021, were as follows:

- The Administrative Agent (AA) fee: 1% is charged at the time of contributor deposit and covers services provided on that contribution for the entire duration of the Fund. In the reporting period US\$ 1,000 was deducted in AA-fees. Cumulatively, as of 31 December 2021, US\$ 21,407 has been charged in AA-fees.
- Indirect Costs of Participating Organizations: Participating Organizations may charge 7% indirect costs. In the current reporting period US\$ 11,705 was deducted in indirect costs by Participating Organizations. Cumulatively, indirect costs amount to US\$ 106,446 as of 31 December 2021.

7. ACCOUNTABILITY AND TRANSPARENCY

In order to effectively provide fund administration services and facilitate monitoring and reporting to the UN system and its partners, the MPTF Office has developed a public website, the MPTF Office Gateway (https://mptf.undp.org). Refreshed in real time every two hours from an internal enterprise resource planning system, the MPTF Office Gateway has become a standard setter for providing transparent and accountable trust fund administration services.

The Gateway provides financial information including: contributor commitments and deposits, approved programme budgets, transfers to and expenditures reported by Participating Organizations, interest income and other expenses. In addition, the Gateway provides an overview of the MPTF Office portfolio and extensive information on individual Funds, including their purpose, governance structure and key documents. By providing easy access to the growing number of narrative and financial reports, as well as related project documents, the Gateway collects and preserves important institutional knowledge and facilitates knowledge sharing and management among UN Organizations and their development partners, thereby contributing to UN coherence and development effectiveness.



Annex 1. EXPENDITURE BY PROJECT WITHIN SECTOR

Annex 1 displays the net funded amounts, expenditures reported and the financial delivery rates by Sector by project/ joint programme and Participating Organization.

Annex 1 Expenditure by Project within Sector

Sector / P	roject No. and Project Title	Participating Organization	Project Status	Total Approved Amount	Net Funded Amount	Total Expenditure	Delivery Rate %
Enabling Su	pport to the SDG PP						
00108116	Establishing and operationaliz	UNDP	On Going	535,000	535,000	533,295	99.68
Enabling Support to the SDG PP: Total				535,000	535,000	533,295	99.68
TW1 SDG 3	Cluster PHC						
00108117	SDG3 Primary Healthcare Accele	UNDP	On Going	312,102	312,102	311,687	99.87
00115127	Implementation of the SDG Part	UNAIDS	On Going	100,000	100,000	25,989	25.99
00115127	Implementation of the SDG Part	UNFPA	On Going	883,320	883,320	690,305	78.15
TW1 SDG 3 Cluster PHC: Total				1,295,422	1,295,422	1,027,981	79.35
TW2 SDG2 (Cluster FSN						
00125404	Inception of the SDG Partnersh	FAO	On Going	139,000	139,000	52,683	37.90
00125404	Inception of the SDG Partnersh	UNDP	On Going	59,000	59,000	13,180	22.34
TW2 SDG2 Cluster FSN: Total				198,000	198,000	65,863	33.26
Grand Total				2,028,422	2,028,422	1,627,138	80.22



Contributors

AstraZeneca

Koninklijke Philips

Merck & Co.



Rockefeller Foundation

UN Participating Organizations







