



United Nations Peacebuilding Support Office (PBSO)/ Peacebuilding Fund  
(PBF)

IRF REVISED PROJECT DOCUMENT<sup>1</sup>

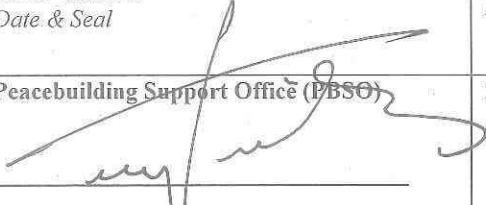

|   |  |
|---|--|
| <p><b>Project Title:</b> Risk Management Support for the UNMPTF and SDRF</p>  | <p><b>Initial Recipient UN Organization(s):</b> UNDP</p> <p><b>Revised Recipient UN Organization(s)</b> <i>N/A</i></p>   |
| <p><b>Project Contact:</b><br/>Marc Jacquand<br/>Telephone: +254 719 229 312<br/>E-mail: marc.jacquand@one.un.org</p>   | <p><b>Implementing Partner(s) – name &amp; type (Government, CSO, etc):</b><br/>Ministry of Finance, Ministry of Planning, World Bank, UNDP/Risk Management Unit (RMU)</p>   |
| <p><b>Project Number:</b><br/><i>UNDP MPTF: 00096372; PBF/IRF-120</i></p>   | <p><b>Project Location:</b> Somalia</p>  |
| <p><b>Project Description:</b><br/>The project provides technical capacity to government, the UN and other partners to implement the Risk Management Strategy developed for the SDRF Trust Funds to ensure that they achieve their objectives of supporting the implementation of the National Development Plan. The project will also help to transfer risk management knowledge to National counterparts for use in longer term planning and programming efforts and increase the safeguards of the government funds and foreign aid assistance for Somalia at the Federal and Federal Member States.</p> | <p><b>Initial approved PBF budget:</b> \$ 300,000<br/><b>Additional PBF budget requested:</b> \$ 286,974<br/><b>Additional Government contribution:</b> 0<br/><b>Additional Other contribution:</b> 0<br/><b>Total PBF Project Budget:</b> \$ 586,974</p> <p><b>Project Start Date:</b> 15 July 2015<br/><b>Initial Project End Date:</b> 15 July 2016<br/><b>Revised End Date:</b> 28 February 2018</p> |
| <p><b>Gender Marker Score<sup>2</sup>:</b> <u>  2  </u><br/><i>Score 1 for projects that will contribute in some way to gender equality, but not significantly.</i></p>   |  |
| <p><b>Project Outcomes:</b> The SDRF Trust Funds provide an effective contribution to Somalia's peacebuilding and state building priorities due to better risk mitigation in the design and implementation of support initiatives.</p>  |  |

<sup>1</sup> Use this template ONLY if (i) there is an increase to the project budget; or (ii) if there is a substantive change to the project scope/outcomes.

<sup>2</sup>PBSO monitors the inclusion of gender equality and women's empowerment all PBF projects, in line with SC Resolutions 1325, 1888, 1889, 1960 and 2122, and as mandated by the Secretary-General in his Seven-Point Action Plan on Gender Responsive Peacebuilding.

**PBF Focus Area<sup>3</sup>** which best summarizes the focus of the project (*Select only one focus area*):

(4.3) Governance of peacebuilding resources (including JSC Secretariats)

| <i>(for IRF-funded projects)</i>  |  |
|---|--|
| <p><b>Recipient UN Organization(s)<sup>4</sup></b></p>  <p><small>Digitally signed by George Conway<br/>DN: cn=George Conway, o=UNDP,<br/>ou=UNDP Somalia,<br/>email=george.conway@undp.org, c=SO<br/>Date: 2017.02.06 09:52:30 +03'00'</small></p> <p>George Conway<br/>Country Director<br/>UNDP Somalia<br/>Date &amp; Seal</p> | <p><b>Representative of National Authorities</b></p> <hr/> <p>Name of Government Counterpart<br/>Signature<br/>Title<br/>Date &amp; Seal</p>   |
| <p><b>Peacebuilding Support Office (PBSO)</b></p>  <p>Oscar Fernandez-Varanco<br/>Assistant Secretary-General for Peacebuilding Support,<br/>Peacebuilding Support Office, NY<br/>Date &amp; Seal</p>  | <p><b>Resident Coordinator (RC)</b></p>  <p><small>Digitally signed by George Conway<br/>DN: cn=George Conway, o=UNDP,<br/>ou=UNDP Somalia,<br/>email=george.conway@undp.org, c=SO<br/>Date: 2017.02.06 09:52:16 +03'00'</small></p> <p>Peter de Clercq For, a. i.<br/>Deputy Special Representative of the Secretary General<br/>Signature<br/>Date &amp; Seal</p> |

<sup>3</sup> PBF Focus Areas:

1: Support the implementation of peace agreements and political dialogue (Priority Area 1):

(1.1) SSR; (1.2) RoL; (1.3) DDR; (1.4) Political Dialogue;

2: Promote coexistence and peaceful resolution of conflicts (Priority Area 2):

(2.1) National reconciliation; (2.1) Democratic Governance; (2.3) Conflict prevention/management;

3: Revitalize the economy and generate immediate peace dividends (Priority Area 3):

(3.1) Employment; (3.2) Equitable access to social services

4) (Re)-establish essential administrative services (Priority Area 4)

(4.1) Strengthening of essential national state capacity; (4.2) extension of state authority/local administration; (4.3)

Governance of peacebuilding resources (including JSC Secretariats)

<sup>4</sup> Include one signature block for every RUNO receiving funds under this IRF project.

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## **PROJECT COMPONENTS:**

### **I. Peacebuilding Context and Rationale for PBF support**

#### **a) Changes to peacebuilding context:**

Over the past four years, Somalia has made significant progress in the field of politics and security as well as establishing a comprehensive aid architecture bridging peace, security, justice and development priorities. Noticeable achievements have also been made in state formation which has resulted in the establishment of four interim regional administrations: Jubbaland, South-West as well as Galmudug and HirShabelle in the last twelve months.

These gains notwithstanding, the constitutional review process has been hampered in the past four years by political instability (three cabinets, multiple emergencies) and Somali expectations that states needed to be formed prior to talks on federalism. Some of the most contentious issues that remain outstanding are those dealing with fiscal federalism, power and resource sharing.

In the New Deal Compact review, Donors, Government and NGOs said that the political and security gains made were good but fell short of providing tangible improvements to the life standard of most Somalis. Over the next three years, through the implementation of the National Development Plan, the international community will have to put the emphasis on decentralizing governance and service delivery in Somalia to help the new Federal and Member State governments re-establish a social contract with their citizens.

For the citizens to buy into the Somali state building approach they will need to experience a tangible difference in their lives under a new political order, which means that a) the government will need to be associated with an improvement in the quality of life of the citizens and b) the government system itself needs to be service oriented, transparent, accountable and predictable in its functioning. It is predominantly through these two elements that the peace dividend materializes

The state-building process is gradually reaching a crucial juncture whereby it is going to become more and more difficult for the FGS and Federal Member State governments to put up institutional facades without delivering on their mandates. Particularly in light of the severe drought and looming faming conditions which are currently affecting Somalia, the lack of tangible deliverables would discredit the Government and UN's legitimacy in the view of the Somali population and perhaps reinforce the perception that aid is not reaching them due to corruption and lack of accountability. This would in turn jeopardize the gains made in state formation.

#### **b) Rationale for and impact of this project revision:**

In 2016, the Risk Management support to the UNMPTF and SDRF project was instrumental in increasing accountability for donor funds through improved risk analysis and risk management. The Risk Manager contributed to improve the coordination on risk management between the Fund Administrators, donors and government through Risk Management Working Group. The technical support provided by this project also

contributed to a significant increase in the awareness and capacities on risk management across UN Joint Programmes, donors, and government.

The most noteworthy achievement of this project has been increase trust and possibility for donors to increase their funding to both the UN and National Window. This has enabled the SDRF Trust Funds to provide an effective contribution to Somalia's peacebuilding and state-building priorities due to better risk mitigation in the design and implementation of support interventions. In that respect this project constitutes a core element of the UN's effort to support not only state authority, but more importantly state accountability and responsibility (to deliver services directly to its citizens on the basis of sound risk management procedures).

As mentioned above, in the next 3 years, the Federal Government, Federal Member States and the international community need to focus their efforts on making the political gains tangible for Somalis in concrete by improving their economic, social and security conditions. This will require increased service delivery through the MPTF UN and National Windows in areas under the FGS control or recently recovered. For UN AFPs, INGOs and the Government institutions to be able to work effectively in urban, peri-urban and rural locations and in partnership with the local private sector, functioning risk management strategies and measures (TPMs, Monitoring Agents, remote monitoring and approval systems, etc) and intergovernmental financial systems need to be in place.

In order to provide its contribution to the risk management and the strengthening of the Use of the Country Systems, subsequently potentially expanding the national window at the Federal and State level, the MPTF Risk Manager has become part of different forums and committees such as Financial Governance Forum for Somalia, Use of Country Systems, UNDP Local Project Appraisal committee (LPAC) and has conducted and will conduct training to the Government Officials on the Risk Management, Financial Reporting, Audit Requirements, FGS Public Procurement and Financial Management.

With risk management not yet mainstreamed in UN agency programming and systems, or in MPTF standard functions, and in light of the highly complex environment in Somalia, the project both filled a critical yet unfunded function, and equipped the MPTF with essential risk management capacities. For this reason, it is crucial that the post of MPTF Risk Manager be extended for another year throughout 2017-February 2018.

In the past year, there has been a close cooperation between the UN and the WB in both Risk Management Strategy implementation, Use of Country Systems and the National Window. On the risk management, as fund administrator, we have worked together in updating and analyzing the risks as well as sharing experience on managing the risks at the organization and programme level.

On the Use of Country Systems, we have coordinated efforts in implementing programmes (UN National Window and the WB funded programmes). While acknowledging the differences between both organization, the scope of cooperation has been through developing and implementing joint plans – work plan, risk management plan, capacity development plan etc. when working with the same partner. UN and WB are also working together in strengthening and improving the use of country systems in Somalia based on the international standards and lessons learned through the implementation of the projects.

The PBF's added value is to provide a catalytic investment into one of the only RMU's in the world and contribute directly to improve the use of national systems in fragile states.

This will contribute to increase the global knowledge on how to rebuild state institutions in conflict affected countries.

## II. Objectives of PBF support and proposed implementation

### a) New Project outcomes, theory of change, activities, targets and sequencing:

In 2017, the MPTF Risk Manager will continue to support the UN to implement its mandates and the new initiatives – support to the implementation of FGS National Development Plan, the development and implementation of the UN MPTF Strategy and risk management, and the CRESTAS/A approach through implementing a robust risk management. The UN will also further increase the design and implementation of collective risk management approaches, between the SDRF, fund administrators, within the UN, and between the UN, Somali institutions, and donors under the framework of the Joint Risk Management Strategy for SDRF funds. As such, the MPTF will be used as a vehicle and entry point for the transfer of tools and skills on risk management to Somali institutions (government and NGOs). In 2017, support will be provided in updating the SDRF funds Operational Manual and the Joint Risk Management Strategy.

Also, the MPTF Risk Manager will support successful implementation and expansion of the UN National Window at the Federal and Federal Member States, and contribute to the strengthening and use of the national systems and the capacity development of the government implementing partners at all levels.

If the MPTF Risk Manager position is maintained, **Then** the SDRF Trust Funds, including the UN-MPTF, will have dedicated risk management capacity to reduce the occurrence and impact of risks on the Trust Funds **Because** the MPTF risk manager will contribute to build the capacity of UN, WB and Government counterparts to effectively implement the risk management strategy by sharing knowledge and providing tailored risk management trainings and tools.

### b) Revised Budget:

Table 1: Indicative Project Activity Revised Budget

| Outcome/<br>Output<br>number   | Output name   | Output budget<br>by RUNO | UN budget<br>category (see<br>table below for<br>list of<br>categories) | Any remarks (e.g.<br>on types of inputs<br>provided or<br>budget<br>justification) |
|--|---|--------------------------|---|--|
| <b>Outcome 1:</b> The implementation of the Compact, and the political transition, is facilitated by effective funding instruments |   |                          |   |  |
| <b>Output 1.1:</b>   | RM strategy implemented   | \$ 279,374               | Staff & Personnel   | 58% of Risk Manager time   |
| <b>Output 1.2</b>  | Technical assistance to Government counterparts (trainings, mentoring, etc. | \$ 200,000               | Staff & Personnel   | 42% of Risk Manager time   |

| <b>Outcome 2: Project Management and Implementation Team</b> |   |                  |                     |  |
|--|---|------------------|---------------------|--|
| <b>Output 2.1</b>  | RMU management  | \$10,000         | RMU Management      |  |
| <b>Output 2.2</b>  | Equipment / supplies                                    | \$6,000          | Equipment/ Supplies | 1 PPE, laptop, phone + SSAFE training  |
| <b>Output 2.3</b>  | Travel  | \$15,000         | Travel              | 2 trips to Somalia/month flight + DSA) |
| <b>Output 2.4</b>  | M&E and Oversight                                       | \$14,500         | M&E and Oversight   | Project to be evaluated in 2017, Q4    |
| <b>DPC</b>   | General Operating and other Direct Costs (UNDP Somalia) | 33,700           |                     |  |
| <b>General Management Services</b>                           |   |                  |                     |  |
| <b>GMS</b>   | GMS (7%)  | 38,400           | GMS                 |  |
| <b>Total</b>   |   | <b>\$586,974</b> |                     |  |

**Table 2: Project budget by UN categories by RUNO**

| <b>PBF PROJECT BUDGET – RUNO 1 (add other tables if more than 1 RUNO)</b> |                        |                                    |                                |
|---|------------------------|------------------------------------|--------------------------------|
| <b>CATEGORIES</b>   | <b>Original Budget</b> | <b>Proposed increase/ decrease</b> | <b>Proposed revised budget</b> |
| <i>1. Staff and other personnel</i>                                       | 234,374                | 235,000                            | 469,374                        |
| <i>2. Supplies, Commodities, Materials</i>                                | 6,000                  | 0                                  | 6,000                          |
| <i>3. Equipment, Vehicles, and Furniture (including Depreciation)</i>     | 10,000                 | 0                                  | 10,000                         |
| <i>4. Contractual services</i>  |                        | 0                                  |                                |
| <i>5. Travel</i>  | 15,000                 | 0                                  | 15,000                         |
| <i>6. M&amp;E and Oversight 5%</i>  |                        | 14,500                             | 14,500                         |
| <i>7. General Operating and other Direct Costs (UNDP cost)</i>            | 15,000                 | 18,700                             | 33,700                         |
| <b>Sub-Total Project Costs*</b>   | <b>280,374</b>         | <b>268,200</b>                     | <b>548,574</b>                 |
| <i>8. Indirect Support Costs*</i>   | 19,626                 | 18,774                             | 38,400                         |
| <b>TOTAL</b>  | <b>300,000</b>         | <b>286,974</b>                     | <b>586,974</b>                 |

c) Capacity of any new RUNO(s) and implementing partners:

No changes are foreseen in the implementing partner of this project.

III. Management and coordination

a) Project management:

No changes are foreseen in the project management.

**b) Risk management:**

The project is not exposed to new risks. The risks and mitigation strategies identified during the first phase of the project remain valid.

**Table 3 – Risk management matrix**

| Risks to the achievement of PBF outcomes  | Likelihood of occurrence (high, medium, low) | Severity of risk impact (high, medium, low) | Mitigating Strategy (and Person/Unit responsible)  |
|---|--|---|--|
| <u>Security</u> : the security situation prevents the Risk Manager from accessing areas/interlocutors   | High   | Low   | Existing remote control management measures (RM, RMU)  |
| <u>Stakeholder buy in</u> : as risk (and risk sources) are identified, the government and/or donors do not support the implementation of the strategy | Medium                                       | High  | Thorough consultations during the design phase (completed)<br>Assessment of donor interest (RMU)<br>Advocacy (DSRSG/RC/HC) through regularly scheduled monthly briefings |
| <u>SDRF/Trust Fund interruption</u> : the trust fund operations are interrupted due to lack of funding/political crisis/etc.                          | Low  | High  | Advocacy (DSRSG/RC/HC, UNSOM) through regularly scheduled monthly briefings<br>Risk management strategy*   |

*[\*NB: the risk management strategy includes a detailed risk register on the risks to the Fund – and therefore the overall outcomes – along with treatment measures (mitigation and adaptation). The update risk management dashboard is attached]*

**c) Monitoring & Evaluation:**

The present project operates in accordance with the programming policies and procedures outlined in the UNDP Programme and Operations Policies and Procedures.

In this context, the following quality assurance and monitoring arrangements will be implemented:

- RMU oversight (daily): RMU will focus in particular on the process of strategy implementation and support adjustments/changes to the various elements of the strategy (the risk management dashboard), and on managerial oversight of the Risk Manager function (work plan, deliverables, performance, etc.)
- ODI review (as foreseen in risk management strategy – semi-annual): As a participant in the design of the strategy, ODI will now be called upon to undertake independent reviews at regular intervals to assess stakeholder perceptions of the strategy, its impact, and recommend changes to the Fund administrators



- The project will submit to the MPTF and PBSO quarterly and yearly progress reports:
  - 3 quarterly reports (Q1, Q2 and Q3 and one yearly progress report focusing on status of implementation and the progress towards the completion of key results. This report will equally serve to document adaptations of the past quarter and the expected adaptations for the coming quarter.
  - One final report after completion of the project (after twelve months) providing a comprehensive overview of activities implemented, results achieved and financial resources deployed as well as lessons learned.
- A project evaluation has been foreseen to take place during the last quarter of 2017. The evaluation will take place in close coordination with PBSO, MPTF Office and UNDP Somalia in compliance with the UNDP POPP and UNDP Monitoring and Evaluation Handbook.

#### d) Administrative arrangements

The UNDP MPTF Office serves as the Administrative Agent (AA) of the PBF and is responsible for the receipt of donor contributions, the transfer of funds to Recipient UN Organizations, the consolidation of narrative and financial reports and the submission of these to the PBSO and the PBF donors. As the Administrative Agent of the PBF, MPTF Office transfers funds to RUNOS on the basis of the signed Memorandum of Understanding between each RUNO and the MPTF Office.

#### AA Functions

On behalf of the Participating Organizations, and in accordance with the UNDG-approved "Protocol on the Administrative Agent for Multi Donor Trust Funds and Joint Programmes, and One UN funds" (2008), the MPTF Office as the AA of the PBF will:

- Disburse funds to each of the RUNO in accordance with instructions from the PBSO. The AA will normally make each disbursement within three (3) to five (5) business days after having received instructions from the PBSO along with the relevant Submission form and Project document signed by all participants concerned;
- Consolidate narrative reports and financial statements (Annual and Final), based on submissions provided to the AA by RUNOS and provide the PBF consolidated progress reports to the donors and the PBSO;
- Proceed with the operational and financial closure of the project in the MPTF Office system once the completion is notified by the RUNO (accompanied by the final narrative report, the final certified financial statement and the balance refund);
- Disburse funds to any RUNO for any costs extension that the PBSO may decide in accordance with the PBF rules & regulations.

## **Accountability, transparency and reporting of the Recipient United Nations Organizations**

Recipient United Nations Organizations will assume full programmatic and financial accountability for the funds disbursed to them by the Administrative Agent. Such funds will be administered by each RUNO in accordance with its own regulations, rules, directives and procedures.

Each RUNO shall establish a separate ledger account for the receipt and administration of the funds disbursed to it by the Administrative Agent from the PBF account. This separate ledger account shall be administered by each RUNO in accordance with its own regulations, rules, directives and procedures, including those relating to interest. The separate ledger account shall be subject exclusively to the internal and external auditing procedures laid down in the financial regulations, rules, directives and procedures applicable to the RUNO.

Each RUNO will provide the Administrative Agent and the PBSO (for narrative reports only) with:

- Bi-annual progress reports to be provide no later than 15 July;
- Annual and final narrative reports, to be provided no later than three months (31 March) after the end of the calendar year;
- Annual financial statements as of 31 December with respect to the funds disbursed to it from the PBF, to be provided no later than four months (30 April) after the end of the calendar year;
- Certified final financial statements after the completion of the activities in the approved programmatic document, to be provided no later than six months (30 June) of the year following the completion of the activities.
- Unspent Balance at the closure of the project would have to be refunded and a notification sent to the MPTF Office, no later than six months (30 June) of the year following the completion of the activities.

## **Ownership of Equipment, Supplies and Other Property**

Ownership of equipment, supplies and other property financed from the PBF shall vest in the RUNO undertaking the activities. Matters relating to the transfer of ownership by the RUNO shall be determined in accordance with its own applicable policies and procedures.

## **Public Disclosure**

The PBSO and Administrative Agent will ensure that operations of the PBF are publicly disclosed on the PBF website (<http://unpbf.org>) and the Administrative Agent's website (<http://mptf.undp.org>).

| IRF Revised Results Framework  |   |  |   |        |   |        |   |            |  |
|--|---|--|---|--------|---|--------|---|------------|--|
| Country name: Somalia  |   |  |   |        |   |        |   |            |  |
| Project Effective Dates: 15 July 2015 (actual starting date 1 march 2016) – 28 February 2018   |   |  |   |        |   |        |   |            |  |
| PBF Focus Area: 4.3 Governance of peacebuilding resources (including JSC/PBF Secretariats)   |   |  |   |        |   |        |   |            |  |
| IRF Theory of Change: If the MPTF Risk Manager position is maintained, Then the SDRF Trust Funds, including the UN MPTF, will have dedicated risk management capacity to reduce the occurrence and impact of risks on the Trust Funds Because the MPTF risk manager will contribute to build the capacity of UN, WB and Government counterparts to effectively implement the risk management strategy by sharing knowledge and providing tailored risk management trainings and tools. |   |  |   |        |   |        |   |            |  |
| Outcomes   | Outputs   | Indicators   | Progress to date  | Year 1 |   | Year 2 |   | Milestones |  |
| <p>Outcome 1:</p> <p>The SDRF Trust Funds provide an effective contribution to Somalia's peacebuilding and state building priorities due to better risk mitigation in the design and implementation of support interventions</p>   |   | <p>Outcome Indicator 1 a: Somalia ISF completion rate</p> <p>Baseline: 23% off track, 17% delayed, 60% on track, (from February 2016 internal ISF monitoring report<sup>2</sup>)</p> <p>Target: 15% off track, 20% delayed, 65% on track (due to drought conditions if delivery can be maintained on political and development outcomes it will be a significant progress)</p> | <p>Based on MPTF JP quarterly reports progress, most projects are on track in support of Compact objectives, with delays caused due to the prolonged electoral process in Somalia, security related constraints and limited capacity of government partners. The percentage of ISF Outcomes that are on track has improved since the MPTF RM was hired.</p> |        |   |        |   |            |  |
|  | <p>Output 1.1: Somalia Risk Management strategy implemented</p> | <p>Output Indicator 1.1.1: Risk analysis and RMG convened according to strategy (see risk management strategy)</p> <p>Baseline: RMG met monthly since RM was hired.<br/>Target: RMG meets monthly in 2017.</p>   | <p>7 meetings have been conducted in 2016 (March, April, May, June, August, October, December)</p> <p>New risks were identified and analyzed and existing risks were updated in 2016.</p> <p>- MPTF inter-programme risk analysis completed<br/>-New members have</p>   | X      | X | X      | X | X          | X  |
|  |   |  |   |        |   |        |   |            | <p>UN MPTF results framework includes risk related indicator<br/>- Project documents and quarterly progress reports include risk management updates</p> <p>Baseline: Yes<br/>Target 2017: 100%</p> |

|   |  |  |  |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|--|--|--|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
|   |  |  | joined the RMG - EU and A/DB since Infrastructure funds became operation   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|   | Output 1.2: Technical assistance provided  | Output Indicator 1.2.1: Number of trainings on risk management (related to trust fund strategy)<br>Baseline: 5<br>Target: 11   | Target exceeded: 5 RM training conducted to:<br>- PIU/Ministry of Finance (Apr)<br>- MoIFA & Support to stabilisation project staff (June)<br>- MPTF JP funded programmed (Sep)<br>- JP RoL programme partners (Sep)<br>- PIU/MOF and 6 other government partners (Sep)<br>- Total number of government officials trained - 70 | X | X | X | X | X | X | X | X | X | X | X | X | X | X | Trainings organized by RMU  |
|   |  | Output Indicator 1.2.2: Proportion of recommendations to offset emergent risks implemented<br>Baseline: 100%<br>Target: 100%   | Target achieved.<br><br>Due to the political situation/Elections, new risks have been identified and analyzed.   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
| Output 1.3: Do no harm approach implemented in UN MPTF projects | Output Indicator 1.3.1: Percentage of projects implemented through Trust Fund that proactively manage potential risks to gender equality, and women's empowerment<br>Baseline: 75%<br>Target: 100% | Most of the MPTF projects refer to 'do-no harm' approach in the project document and progress reports<br>In addition, Government officials – National window PIU staff and other government officials implementing seven other MPTF funded projects have |  |   |   |   |   |   |   |   |   |   |   |   |   |   |   | Output Indicator 1.3.1: Percentage of projects implemented through Trust Fund that proactively apply do-no-harm /conflict sensitivity.<br><br>Baseline: 75%<br>Target: 100% |

|  |  |   |  |  |  |  |  |  |  |  |  |  |
|--|--|---|--|--|--|--|--|--|--|--|--|--|
|  |  |   | received comprehensive training on 'Do-no harm/conflict sensitivity' approach to assess and monitor the impact that the projects' interventions are having on the context they are being implemented and cause no additional tensions.   |  |  |  |  |  |  |  |  |  |
|  |  | <p>Output Indicator 1.3.2<br/> Percentage of Trust Fund resources allocated to gender specific activities/interventions</p> <p>Baseline: 13%<br/> Target: 20%</p> | <p>The RCO and the UN Women have been working to introduce the gender indicators in the quarterly reporting of the MPTF funded programmes. Work is ongoing to support agencies in tracking gender specific expenditures. In 2016 these efforts enabled the MPTF programmes to report that 13% of their resources were allocated to gender specific interventions which was an improvement from having no data.</p> <p>In addition, reporting on gender indicators at the JP and portfolio level has become part of the MPTF quarterly progress reports.</p> <p>Also, number of government officials' female staff are part of the capacity development</p> |  |  |  |  |  |  |  |  |  |

|  |                              |  |  |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|--|------------------------------|--|--|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
|  |                              |  | activities.  |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|  |                              | Output Indicator 1.3.3<br>Percentage of projects implemented through Trust Fund that systematically monitor stakeholder vulnerability/concerns.<br><br>Baseline: 75%<br>Target: 100% | MPTF funded joint programmes and projects incorporate in the project document risk analysis of the stakeholders engaged in the project implementation. Contractor/partner capacity assessments are conducted prior to engagement agreement is put in place, and risk management/engagement plans are developed and jointly implemented with the respective partners. |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   | Baseline 75%<br>2017 Target: 100%   |
| Outcome 2:<br>Sound project management implemented |                              | Outcome Indicator 2 a<br><br>Baseline:<br>Target:  | Project implementation team comprises of one person – MPTF Risk Manager. The MPTF Risk manager has closely monitored the project implementation plan related to the implementation of the SDRF funds risk management strategy. MPTF funded inter-programme risk analysis, support the UN national window and capacity development activities                         |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|  | Output 2.1<br>RMU Management | Output Indicator 2.1.1<br><br>Baseline:<br>Target:   | RM strategy implemented. New risks identified and analyzed and, the existing risks updated. JP inter-programme risks analyzed, support and   | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | Percentage of project applying comprehensive risk management<br><br>Baseline: 70%<br>Target: 100% |

|  |                                  |  |   |  |  |  |  |  |  |  |  |  |  |  |  |  |   |   |
|--|----------------------------------|--|---|--|--|--|--|--|--|--|--|--|--|--|--|--|---|---|
|  |                                  |  | training provided on risk management.   |  |  |  |  |  |  |  |  |  |  |  |  |  |   |   |
|  | Output 2.2<br>Equipment/supplies | Output Indicator 2.2.1<br><br>Baseline:<br>Target: | Office facilities and equipment provided to the MPTF Risk Manager   |  |  |  |  |  |  |  |  |  |  |  |  |  |   |   |
|  | Output 2.3<br>Travel             | Output Indicator 2.3.1<br><br>Baseline:<br>Target: | 10 travels to Mogadishu have taken place during 2016 which have been related to risk updates and training on risk management to MPTF joint programme staff and Government officials contributing to an improved risk management for MPTF funds. |  |  |  |  |  |  |  |  |  |  |  |  |  |   | Output indicator 2.3.1:<br>Number of travels to Somalia on RM and National window<br><br>Baseline: 10 (2016)<br>Target: once a month (12) |
|  | Output 2.4.<br>M&E and Oversight |  |   |  |  |  |  |  |  |  |  |  |  |  |  |  | X | Output indicator 2.4:<br>Project evaluated once in its project life<br><br>Baseline:<br>Target: project evaluated in 2017, Q4             |