

Project Document

Revision Cover Page



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**Project Title:** Reconciliation and Federalism Support Project (REFS) for Somalia

**Project Number:** 00116524/ Output 00114169 and 00113636

**Implementing Partner:** UNDP

**Responsible Parties:** Ministry of Interior, Federal Affairs and Reconciliation (MoIFAR); Office of the Prime Minister of the Federal Government of Somalia (OPM); Office of Boundaries and Federalism commission (BFC); Office of the President South West State of Somalia (OOP- SWS); Ministry of Constitution and Federal Affairs-Jubaland State of Somalia (JSS); Office of the President - Hirshabelle State of Somalia (OOP-HSS); Ministry of Interior Federalism and Democratization - Puntland State of Somalia; Ministry of Reconciliation and Federal Affairs (MoRFA) Galmudug State of Somalia; CSOs, UNDP and UNSOM.

**Start Date:** 01 September 2018

**End Date:** 31 December 2021

**PAC date:** 12 December 2018

**Brief Description**

The REFS-ProDoc with 27-month timeline entailing the total budget of \$10,911,342, was signed up by UNDP on 27 Dec 2018 and MOIFAR on 03 Jan 2019. The project has achieved a number of key milestones in 2019 and during the first two quarters of 2020, however, the project remained under-resourced with funding gap of \$3.7 million to implement remaining activities.

In view of existing funding gap REFS presented three scenarios on budget/activity prioritization: Scenario A – mandatory activities to be covered in the period of July-December 2020, with available resources amounting to be \$1,955,479; Scenario B – additional activities to be covered in the period of July-December 2020 with corresponding funding gap of \$1,008,311; and Scenario C – remaining activities to be covered in the period of Jan-December 2021 with funding gap of \$ 2,688,768.

Given the financial resources at hand it was decided that Scenario A with available resources (\$1,955,479) would be implemented in 2020, while scenario B and C would be merged with aggregated financial implications to the tune of \$3,697,079; and a subsequent framework of implementation would be developed for 2021. This required No-

Cost-Extension for nine months i.e. April-December 2021 allowing to carry forward the remaining activities and mobilizing required resources to support thereof.

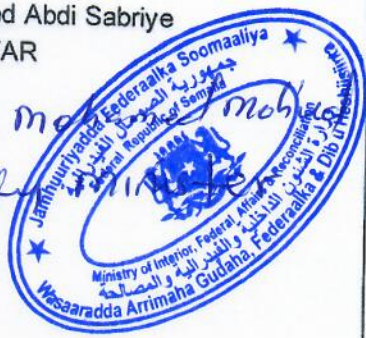
Additionally, in view of COVID 19, political impasse on electoral model and ongoing negotiations between FGS and FMSEs on allocation of power, the REFS project implementation framework has been revised responding to the transient dynamics of reconciliation and federalisation in Somalia. The funding gap faced by the project necessitated budget reprioritisation with mandatory activities to be undertaken by 31st December 2020 and remaining activities to be implemented by 31st December 2021.

Against this backdrop, a mid-year Project Board Meeting was convened on 21 July 2020 and the Board reviewed the REFS Project progress (January-June 2020), discussed the Revised Project Implementation Strategy giving policy directions on AWP (addressing funding gap) and agreed in principle on the no-cost extension of REFS Project until 31st December 2021. In follow up of the Project Board meeting, a consultation with donors was held on 29th July 2020 wherein MPTF donors (Germany, Sweden and Switzerland) supporting REFS approved the no cost project extension until 31 Dec 2021.

<p><b>Contributing Outcome (CPD):</b></p> <p>UNSF STRATEGIC PRIORITY INVOLVING UNDP 1: Strategic plan, goal 1: Deepening federalism and state-building, supporting conflict resolution and reconciliation, and preparing for universal elections.</p> <p>NDP 9 Pillar: Inclusive Politics GEN2 Gender equality as a significant objective</p>	<p><b>Total Resources required: USD</b> 10,911,342</p> <hr/> <p><b>Total resources allocated:</b></p> <p>USD 10,911,342</p> <ul style="list-style-type: none"> <li>o MPTF: USD 4,464,490</li> <li>o PBF: USD 2,598,173</li> <li>o TRAC: USD 151,600</li> </ul> <hr/> <p><b>Unfunded: USD 3,697,079</b></p>
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Agreed by (signatures)

<p>United Nations (UN)</p>	<p>Ministry of Interior, Federal Affairs and Reconciliation (MoIFAR)</p>
<p><i>Raisedon</i> Signature &amp; Date: 27/09/2020</p> <hr/> <p>Raisedon Zenenga Deputy Special Representative of the Secretary-General UNSOM</p>	<p><i>[Signature]</i> Signature &amp; Date: 30/09/2020</p> <hr/> <p>H.E. Mohamed Abdi Sabriye Minister MoIFAR</p>
<p>Digitally signed by Jocelyn Mason DN: cn=Jocelyn Mason, o=UNDP, ou=Resident Representative, email=jocelyn.mason @undp.org, c=SO Date: 2020.09.25 15:38:32 +03'00'</p> <p><i>[Signature]</i> Signature &amp; Date:</p> <hr/> <p>Jocelyn Mason Resident Representative UNDP</p>	<p><i>Isak mo</i> <i>Deputy</i></p> 

**Results Framework**

(for the period from Jan - Dec 2021)

<p><b>Intended Outcome as stated in the Country Programme Document (CPD):</b>  The project will contribute to the UNDP CPD Output: 1.1.1. Strengthened systems and institutions at FGS, FMS, and local government implementing relevant policy, legal and regulatory frameworks and programmes on inclusive and accountable politics and restorative/transformational justice</p>
<p><b>UN Strategic Framework Outcome (UN 2017-2020):</b>  The project contributes to the UNSF Strategic Priorities:  SP2: Supporting institutions to improve Peace, Security, Justice, the Rule of Law and safety of Somalis, and  SP3: Strengthening accountability and supporting institutions that protect</p>
<p><b>NDP 9 Pillar: Pillar 1: Inclusive Politics</b>  <b>NDP 9 Strategy:</b> In line with the FGS National Development Plan (NDP) vision for public administration <i>"We are committed to developing a functioning public administration that is able to deliver quality services efficiently and consistently to all citizens across the whole territory of the Federal Republic of Somalia."</i></p>
<p><b>Project title and Atlas Project Number: Reconciliation and Federalism Support Project (REFS) for Somalia</b>  00116524/ Output 00114169 and 0011363</p>



Expected Outputs	Output Indicators	Data Source	Baseline		Targets (by frequency of data collection)		
			Value	Year	2019	2020	2021 (Including extension period April -December 2021)
<p><b>Output 1:</b> Reconciliation mechanisms established in support of Administrative Arrangements in the Federal context (regional outreach and inclusiveness, boundaries)</p>	<p><b>1.1 National Reconciliation Commission/ Forum established and Reconciliation Plans at FGS and FMS prepared and implemented</b></p> <p><b>Output indicator:</b> Processes for inclusive and gender responsive national reconciliation mechanisms established and operational</p> <p><b>Output Indicator</b> National reconciliation</p>	<p>Commission Reports, Agreed Plans</p>		<p>Absence of a mechanism for consultations on federalism</p>	<p>1. Ongoing Institutional capacity development, training and support for necessary research and diagnostics, support to national reconciliation conferences and associated meetings</p> <p>2. Public grievance System processes defined in drafted SOPs</p> <p>3. Legal framework in place to establish National Trust and Reconciliation Commission;</p> <p>4. A public grievance Mechanism developed at FGS and FMS level</p> <p>5. Reconciliation plans for FMS in</p>	<p>1. Grievance process manual/SOPS endorsed and under implementation in three FMS Grievance Units.</p> <p>2. National Trust and Reconciliation Commission mediates at least 4 forums at FGS and FMS.</p> <p>3. National Trust and Reconciliation Commission mediates at least 4 forums at FGS and FMS.</p>	<p>Continued support to the implementation of Reconciliation Outreach Strategy and Plan (2020-21)</p> <p>Establish Reconciliation Resource Centre at FMSs levels – connected database between FGS and FMSs</p> <p>Develop and launch Somalia Reconciliation Index (SRI) based on conflict mapping study and embedded with Management Information System (MSI) at Federal and FMSes level</p> <p>Formulation of 2 Regional Reconciliation Plans (Jubaland, Puntland)</p> <p>Support reconciliatory interventions at clan/sub-clan</p>

					place and at least 2 Administrative mediation processes with FGS towards state building		levels – based on Regional and District Reconciliation Plans (Districts: 107 Villages: 9673) Establish and activate National Trust and Reconciliation Commission
	<p><b>1.2 Independent Commission for Boundaries and Federalism (ICBF) has a unified, standardized, appropriate, and collaborative process for the establishment of administrative boundaries and an Appeal Process</b></p> <p><b>Output Indicator:</b> Independent Commission for Boundaries and Federalism (ICBF) leads an inclusive process on boundaries demarcation</p>	SOPs, Records of Decisions		No Standard Operating Procedures (SOPs), no GIS/Tools	<p>1. Boundary demarcation for federal member state is initiated in consultation with FGS and FMS</p> <p>2. Formalization of states as per criteria endorsed by FGS parliament.</p> <p>3. Draft proposal for Independent Appeals process agreed</p>	<p>1. Mediation on Administrative boundary disputes managed by formalized mechanisms.</p>	<p>Draft first report on complete demarcation of FGS and FMS administrative boundaries</p> <p>Developed criteria (SOPs) for formalization of pre-existing states</p> <p>ICBF state-level representation and structures established</p> <p>Support to Determination of Mogadishu status as the capital city of Somalia and its status within the federation</p> <p>Boundary demarcation for federal member state is initiated in consultation with FGS and FMS</p> <p>Formalization of states as per criteria endorsed by FGS parliament</p>



	<p><b>Output Indicator:</b> <i>Independent Appeals Process in Place</i></p>						<p>Draft proposal for Independent Appeals process agreed</p> <p>Mediation on administrative boundary disputes managed by formalized mechanisms</p>
	<p><b>1.3 State and Non-State Actors Capacity in Conflict Mitigation and Government-Citizen Engagement Strengthened</b></p> <p><b>Output Indicator:</b> <i>State and Non State/civil society engage on state-building;</i></p> <p><b>Output Indicator:</b> <i>Citizen Report Card System in place</i></p>				<p>1. Annual "Citizen reports" for all Federal Member States developed and disseminated through Public Accountability Forums.</p> <p>2. At least 4 engagement workshops for Non-State actors/ elders, and other community leaders (including women) on state building.</p>	<p>1. CSO Networks established and strengthened towards state building agenda.</p> <p>2. At least 4 engagement workshops for Non-State actors/ elders, and other community leaders (including women) on state building.</p> <p>3. Annual "Citizen Report Cards" for all Federal Member States developed and disseminated through Public Accountability Forums.</p>	<p>Low-Value-Grant (LVG) for Civic Engagement on Reconciliation and Peacebuilding to be continued with increased allocations (\$300,000)</p> <p>Designing and facilitating cross-visit programs between FMS communities and authorities to enhance integration</p> <p>Establish and support Women Caucuses on Reconciliation and Peacebuilding at FMSs level</p> <p>Launch Annual Report based on Citizens Report Card</p> <p>Conduct (5) Public Accountability Forums at FMSes level</p>

							Continued support to the CSO Network on Reconciliation and Peacebuilding
<b>Output 2:</b> Federalism is organised, consistent and coherent in all Federal Member States (organisation, roles, responsibilities, powers)	<b>2.1 Vertical coherence, consistency and coordination across all levels of Government is achieved</b>  <b>Output indicator:</b> Road map for further federalization with roles and responsibilities at different levels of government agreed.			Absence of roles and responsibilities	1. at least 3 Intergovernmental Relations Forums (FGS and FMS) on federalism conducted.  2. Support provided to Technical Committees and other stakeholders in drafting policy documents on Federal/FMS roles and relations	1. At least 4 Consultations on federalism including guidelines on roles and responsibilities at different levels of government drafted.  2. Guidelines on federalism, including on roles and responsibilities at different levels of government drafted and agreed	Develop and activate Federal Filter [Federalism Management Information System (FMIS)] – connecting FGS and FMSes through MIS  Support to designated Technical Committee/Forums and follow up conferences/dialogues  Conduct IGR Dialogue Forum(s)  Organise DGs Conference on IGR/Federalism  Organise Multi-stakeholder Validation Conference on draft Framework of Federalism – for discussion and adoption
	<b>2.2 Public Awareness and CSO Engagement on the Federalism Model Strengthened</b>  <b>Output indicator:</b>	Communications Strategy, printed materials		No communications strategy	1. 6 Regional Conferences held	1. CSOs/women's groups strengthened to engage with the Federalism model.	Continued support to the implementation of Federalism Communication Outreach Strategy and Plan (2020-21)  Organise 3 regional conferences on federalism



	<i>Communication Strategy Agreed and being Implemented.</i>						Low-Value-Grant (LVG) for Civic Engagement on Federalism and Decentralisation to be continued with increased allocations (\$400,000)
<b>Output 3:</b> Federal administration is enabled to operate effectively and efficiently (operational support, small scale works to support infrastructure, transport, essential equipment)	<i>3.1 Support provided to High Priority Ministry. Refurbishments and Reequipping including IT and MIS.</i>			<i>All baseline assessments of key priority office infrastructure completed in all FMS.</i>	<i>1. Three key infrastructure projects initiated and improved basic physical working environments complete</i>	<i>1. Four key infrastructure projects initiated and improved basic physical working environments complete</i>	Initiate 3 remaining key infrastructure projects (4 infrastructure projects completed)
	<b>Output Indicator:</b> <i>Basic infrastructure and working environment in place for high priority ministries at FGS and FMS</i>						

Expected Outputs	Planned Activities	Planned budget by Year		Responsible Party	Planned Budget		
		2020	2021		Funding Source	Budget Description	Amount
<b>Output 1:</b> Reconciliation support Administrative Arrangements in the Federal context (regional outreach and inclusiveness, boundaries)	Activity 1.1 Establishment of, and Support to, the National Reconciliation Commission/Forum, and Reconciliation Plans at FGS and FMS working through MoIFAR and state bodies	954,314	1,054,024	UNDP UNSOM FGS FMS	Donor/ MPTF/ PBF	71800-Contractual Services-Imp Partner	2,008,338
	Activity 1.2 Institutional Support and Technical Assistance to the Independent Commission for Boundaries and Federalism (ICBF)					75700-Training, Workshops and Conferences	
	Activity 1.3 Strengthen State and Non-State Actors Capacity in Conflict Mitigation and Government-Citizen Engagement					71600 - Travel 71200- International Consultants  72600 - Grants to Instit & other Benef	
<b>Output 2:</b> Federalism is organised and implemented in all Federal Member States (organisation, roles, responsibilities, powers)	Activity 2.1 Vertical coherence, consistency and coordination across all levels of Government is achieved	962,896	1,009,167	UNDP UNSOM FGS	Donor/ MPTF/ PBF	71800-Contractual Services-Imp Partner	1,972,063
	Activity 2.2 Increasing Public Awareness and CSO Engagement					75700- Training/Workshops 71600 - Travel 71200-Intern'l Consultants 72600 - Grants to Instit & other Benef	
<b>Output 3:</b> Federal administration is enabled to operate effectively and	Activity 3.1 Support to High Priority Ministry Refurbishments and Re-equipping including IT and MIS	425,147	599,829	UNDP FGS		73200-Premises Alternations 72200-Equipment and Furniture	1,024,976



efficiently (operational support, small scale works to support infrastructure, transport, essential equipment)				FMS	Donor/ MPTF/ PBF	72500 – Supplies 73400-Leased Vehicle	
<b>Output 4: Project Management and Implementation support</b>	Activity 4.1 Project appropriately staffed to ensure successful delivery and accountability	794,051	952,791	UNDP	Donor/ MPTF/ PBF	61300 – Salary 71600 – Travel 71500 – UNVs 73100 – Rental premises 72200 – Equipment/Furniture 72400 – Communication 72800 – IT Equipment 72200 – Equipment/Furniture	1,746,842
	Activity 4.2 Project appropriately resources with accommodation, office space, and equipment/supplies to ensure successful delivery and accountability						
	Activity 4.3 Project appropriately services with logistical support and professional services						
<b>General Management Support (7%)</b>	208,937	253,107		UNDP	Donor		462,044
<b>Totals</b>	<b>3,345,345</b>	<b>3,868,918</b>					<b>7,214,263</b>