

**Consolidated Annual Narrative and Financial
report for the**

**SUDAN FINANCING PLATFORM
MULTI-PARTNER TRUST FUND**

REPORTING PERIOD: 1 DECEMBER 2019 – 31 DECEMBER 2020

PARTICIPATING ORGANIZATIONS



UNDP

CONTRIBUTORS



DEPARTMENT FOR INT'L DEVELOPMENT
(DFID)

DEFINITIONS

Allocation

Amount approved by the Steering Committee for a project/programme.

Approved Project/Programme

A project/programme including budget, etc., that is approved by the Steering Committee for fund allocation purposes.

Contributor Commitment

Amount(s) committed by a donor to a Fund in a signed Standard Administrative Arrangement with the UNDP Multi-Partner Trust Fund Office (MPTF Office), in its capacity as the Administrative Agent. A commitment may be paid or pending payment.

Contributor Deposit

Cash deposit received by the MPTF Office for the Fund from a contributor in accordance with a signed Standard Administrative Arrangement.

Delivery Rate

The percentage of funds that have been utilized, calculated by comparing expenditures reported by a Participating Organization against the 'net funded amount'.

Indirect Support Costs

A general cost that cannot be directly related to any particular programme or activity of the Participating Organizations. UNDG policy establishes a fixed indirect cost rate of 7% of programmable costs.

Net Funded Amount

Amount transferred to a Participating Organization less any refunds transferred back to the MPTF Office by a Participating Organization.

Participating Organization

A UN Organization or other inter-governmental Organization that is an implementing partner in a Fund, as represented by signing a Memorandum of Understanding (MOU) with the MPTF Office for a particular Fund.

Project Expenditure

The sum of expenses and/or expenditure reported by all Participating Organizations for a Fund irrespective of which basis of accounting each Participating Organization follows for donor reporting.

Project Financial Closure

A project or programme is considered financially closed when all financial obligations of an operationally completed project or programme have been settled, and no further financial charges may be incurred.

Project Operational Closure

A project or programme is considered operationally closed when all programmatic activities for which Participating Organization(s) received funding have been completed.

Project Start Date

Date of transfer of first instalment from the MPTF Office to the Participating Organization.

Total Approved Budget

This represents the cumulative amount of allocations approved by the Steering Committee.

US Dollar Amount

The financial data in the report is recorded in US Dollars and due to rounding off of numbers, the totals may not add up.

Review of the the Sudan International Partners Forum (SIPF) Secretariat under the ‘Enablers’ Window programme

Period covered: December 2019 until December 2020:

1. Main activities / products delivered

Funding for the SIPF secretariat was officiated in December 2019 and a recruitment process started the same month and resulted in appointing a head of secretariat in March 2020 with a starting date in May 2020. To bridge the gap a part time consultant was hired by the RC/HC to cover the immediate period after the HDPN advisor left the Country in February 2020.

Tangible outputs by the SIPF secretariat facilitating donor collaboration and/or increased aid management effectivities in support of economic and governance reform undertaken by the transitional Government of Sudan:

❖ February March 2020: Technical assistance mapping

The SIPF secretariat coordinated with members of the steering committee a mapping of Technical Advisory Support (either ongoing, under consideration or implemented) provided by the international community to the Transitional Government Institutions of Sudan. The TA mapping was divided into 3 clusters:

- Cluster A - Centre of Government: representing the FFC, Prime Minister Office, Minister of Cabinet Affairs, Chamber of Federal Governance, Ministry of Foreign Affairs, Ministry of Interior, Ministry of Justice, Peace Commission, Election Commission, Human Rights Commission, Transitional Justice Commission, Constitutional Reforms Commission, Women and Gender Commission as well as Civil Service Reform Commission
- Cluster B - Economics & Finance: representing the Minister of Agriculture, Ministry of Finance and Economic Planning, Ministry of Energy and Mining, Ministry of Industry and Trade, Ministry of Labour, Central Bureau of Statistics
- Cluster C - Socio-Cultural: representing the Minister of [Higher] Education, Ministry of Health, Ministry of Irrigation and Water, Ministry of Religious Affairs, Ministry of Youth and Sports, Ministry of Cabinet Affairs, Ministry of Interior

The mapping exercise was compiled into a google drive file and shared with the SIPF plenary members and Government. See Annex 1.

❖ May 2020: Mapping of Humanitarian, Development and Peace programmes in Sudan

An initial exercise to map out contributions across the donor landscape for both Humanitarian and Development/Peace programming was done in by DFID in October/November 2019. In the run up to the Berlin conference the Friends of Sudan requested the assistance of the SIPF to provide the actual donor programme funding as of May/June 2020 for comparisons at the stage of the pledging conference.

Annex 1 and Annex 2

❖ June 2020: CSO mini conference

Organise meeting of representatives of national CSO's to discuss governance priorities prior to Berlin conference. The co-organisers of the conference emphasised national Civil Society voices to be heard during the live stream. SIFP secretariat organised a mini conference on Sunday 21 June, chaired by the RC/HC and co-chaired by the UNOPS Country representative. A summary of the conference with civil society statements was streamed during the conference of 25 June.

❖ **June 2020: Berlin pledges**

Mapping of support pledges for the Family support programme during the Berlin donor conference and comparing these with earlier pledges. SIFP secretariat and co-host (EU Brussels, MoFa Berlin and UN New York) tracked and confirmed real time pledges made. These pledges were compared with the results of the mapping exercise.

3. Governance and oversight of MPTF as implemented

In the Sudan Financing Platform ToR of 27 November 2019 under article 5.1.1, the role of the MPFT Steering Committee is described as providing strategic guidance and general supervision of the MPTF. It is co-chaired by the SIFP Co-chairs including the UN Resident Coordinator, donor representative and an INGO representative. In addition, the MPTF Steering Committee comprises three United Nations agencies members of the SIFP Steering Committee, major donors to the MPTF and MPTFO as ex-officio member; adjusted as appropriate by the MPTF Steering Committee, in line with the principles of ownership, inclusions, balanced representation and manageable size for decision-making. The Steering Committee met in principle once a months or more often if deemed necessary by the co-chairs. As the MPTP currently has only one workstream; the SIFP Secretariat, the SC is de-facto the same for both committees.

4. MPTF enlarged to incorporate new funding window

The "Peacebuilding and Stabilization" Window of the Multi-Partner Trust Fund (MPTF) Sudan Financing Platform will support peacebuilding and stabilization efforts in line with the mandate given by the Security Council Resolution 2524 (2020) which established the United Nations Integrated Transition Assistance Mission for Sudan (UNITAMS).

The programmatic framework for the Window will be through the «Sudan Peacemaking, Peacebuilding and Stabilization Programme (SPPSP)» framework presently under development. Its work will support four distinct programmatic areas in line with Security Council resolution 2524 (2020), which mandated UNITAMS to have the following strategic objectives, in full accordance with the principles of national ownership.

5. Engagement with Transitional Government of Sudan in Sudan National Development Forum

Engagement of the Transitional Government feature continuously on the agenda of the SIFP Steering Committee. Meeting were held with the Ministry of Finance and Planning and the Aid Coordination Unit, which is a separate Department within the Ministry, on how international cooperation can be structured to efficiently. Including which ministries, department in the Prime Minister's Office will be involved. Additionally, the SIFP secretariat gathered examples of other countries (e.g. Uganda, Rwanda, Ethiopia)

on Aid Coordination between respective Governments and the International Community. The RC/HC, Mr. Babacar Cissé proposed in December 2020 a new Aid Coordination Structure in which the Government would be represented on all levels.

The structure and draft ToR are currently sent out for consultation with Heads of Mission for finalization. Next steps include Government consultation and preparation of launch meeting.

6. Risks assessed

Continued political uncertainty and re-shuffling on decision making level within the transitional Government and focus on acute humanitarian issues hampers establishing of the Aid Coordination unit and development dialogue and priority setting by the Government which the SIPF subsequently can support.

The nature of the SIPF is a broad church with different constituencies coming together aligning priorities and coordinating effort to support the transitional government of Sudan, this requires a common set of objectives and workplans. However, due to its broad set up the SIPF much time is spent on process and structure, important for ownership but risks of delay as well.

7. Short narrative on lessons learned and areas to improve

The context of the last 6 months did not favour the workings of the SIPF. Not only did the Covid-19 pandemic require most attention and resources, also staff changes and long recruitment processes of UNDP resulted in delay of actions. This is exacerbated by the fact that the SIPF started under the previous regime and strategic objectives were development pre-revolution. As that context dramatically changed also new objectives and workplan need to be agreed upon by the Steering Committee. It is vital that the ideas of what the SIPF is going to do will be clear so these can be operationalized by the Secretariat.

The secretariat started on 1 May 2020 and had to work remotely until half August before allowed entry into Sudan. The work done evolved first around the pledging conference for the Family support programme (see 3) and on the structure of the SC work in terms of agenda for regular meetings, workplans and creating of a meeting space. Essential for the upcoming period are a clear workplan with objectives supported and owned by the Steering Committee and an Aid Coordination Unit functioning in the Government to liaise with.

7. Fund financial performance

This section presents financial data and analysis of the **Sudan Financing Platform Fund** using the pass-through funding modality as of 31 December **2020**. Financial information for this Fund is also available on the MPTF Office GATEWAY, at the following address: <http://mptf.undp.org/factsheet/fund/4SD00>.

7.1 SOURCES AND USES OF FUNDS

As of 31 December **2020**, **1** contributor deposited US\$ **333,600** in contributions. The cumulative source of funds was US\$ **333,600** (see respectively, Tables 2 and 3). Of this amount, US\$ **330,264** has been net funded to **1** Participating Organization, of which US\$ **267,132** has been reported as expenditure. The

Administrative Agent fee has been charged at the approved rate of 1% on deposits and amounts to US\$ **3,336**. Table 1 provides an overview of the overall sources, uses, and balance of the **Sudan Financing Platform Fund** as of 31 December 2020.

Table 1. Financial Overview, as of 31 December 2020 (in US Dollars)

	Annual 2019	Annual 2020	Cumulative
Sources of Funds			
Contributions from donors		333,600	333,600
Fund Earned Interest and Investment Income		-	-
Interest Income received from Participating Organizations		-	-
Refunds by Administrative Agent to Contributors		-	-
Fund balance transferred to another MDTF		-	-
Other Income		-	-
Total: Sources of Funds		333,600	333,600
Use of Funds			
Transfers to Participating Organizations		330,264	330,264
Refunds received from Participating Organizations		-	-
Net Funded Amount		330,264	330,264
Administrative Agent Fees		3,336	3,336
Direct Costs: (Steering Committee, Secretariat...etc.)		-	-
Bank Charges		-	-
Other Expenditures		-	-
Total: Uses of Funds		333,600	333,600
Change in Fund cash balance with Administrative Agent		-	-
Opening Fund balance (1 January)		-	-
Closing Fund balance (31 December)		-	-
Net Funded Amount (Includes Direct Cost)		330,264	330,264
Participating Organizations' Expenditure (Includes Direct Cost)		267,132	267,132
Balance of Funds with Participating Organizations			63,132

7.2. PARTNER CONTRIBUTIONS

Table 2 provides information on cumulative contributions received from all contributors to this Fund as of 31 December **2020**.

The **Sudan Financing Platform Fund** is currently being financed by **1** contributor, as listed in the table below.

The table below includes commitments made up to 31 December **2020** through signed Standard Administrative Agreements, and deposits made through **2020**. It does not include commitments that were made to the fund beyond **2020**.

Table 2. Contributors' Commitments and Deposits, as of 31 December 2020 (in US Dollars)

Contributors	Total Commitments	Prior Years as of 31-Dec-2019 Deposits	Current Year Jan-Dec-2020 Deposits	Total Deposits
DEPARTMENT FOR INT'L DEVELOPMENT (DFID)	333,600	-	333,600	333,600
Grand Total	333,600	-	333,600	333,600

7.3. TRANSFER OF FUNDS

Allocations to Participating Organizations are approved by the Steering Committee and disbursed by the Administrative Agent. As of 31 December **2020**, the AA has transferred US\$ **330,264** to **1** Participating Organization as per Table 3.

Table 3. Transfer, Refund, and Net Funded Amount by Participating Organization, as of 31 December 2020 (in US Dollars)

Participating Organization	Prior Years as of 31-Dec-2019			Current Year Jan-Dec-2020			Total		
	Transfers	Refunds	Net Funded	Transfers	Refunds	Net Funded	Transfers	Refunds	Net Funded
UNDP				330,264		330,264	330,264		330,264
Grand Total				330,264		330,264	330,264		330,264

7.4. EXPENDITURE AND FINANCIAL DELIVERY RATES

All final expenditures reported for the year **2020** were submitted by the Headquarters of the Participating Organizations.

Project expenditures are incurred and monitored by each Participating Organization, and are reported as per the agreed upon categories for inter-agency harmonized reporting. The reported expenditures were submitted via the MPTF Office's online expenditure reporting tool. The **2020** expenditure data has been posted on the MPTF Office GATEWAY at <http://mptf.undp.org/factsheet/fund/4SD00>.

7.4.1 EXPENDITURE REPORTED BY PARTICIPATING ORGANIZATION

In **2020**, US\$ **330,264** was net funded to Participating Organizations, and US\$ **267,132** was reported in expenditure.

As shown in table below, the cumulative net funded amount is US\$ **330,264** and cumulative expenditures reported by the Participating Organizations amount to US\$ **267,132**. This equates to an overall Fund expenditure delivery rate of **81** percent.

Table 4 Net Funded Amount, Reported Expenditure, and Financial Delivery by Participating Organization, as of 31 December 2020 (in US Dollars)

Participating Organization	Approved Amount	Net Funded Amount	Expenditure			Delivery Rate %
			Prior Years as of 31-Dec-2019	Current Year Jan-Dec-2020	Cumulative	
UNDP	330,264	330,264		267,132	267,132	80.88
Grand Total	330,264	330,264		267,132	267,132	80.88

7.4.2 EXPENDITURE BY PROJECT

Table 5 displays the net funded amounts, expenditures reported and the financial delivery rates by Participating Organization and by project.

Table 5 Expenditure by Project within Country, as of 31 December 2020 (in US Dollars)

Country / Project No. and Project Title		Participating Organization	Approved Amount	Net Funded Amount	Expenditure	Delivery Rate %
Sudan						
00119859	Secretariat - Sudan International Partners Forum	UNDP	330,264	330,264	267,132	80.88
Sudan Total			330,264	330,264	267,132	80.88
Grand Total			330,264	330,264	267,132	80.88

7.4.3 EXPENDITURE REPORTED BY CATEGORY

Project expenditures are incurred and monitored by each Participating Organization and are reported as per the agreed categories for inter-agency harmonized reporting.

Table 6 Expenditure by UNDG Budget Category, as of 31 December 2020 (in US Dollars)

Category	Expenditure			Percentage of Total Programme Cost
	Prior Years as of 31-Dec-2019	Current Year Jan-Dec-2020	Total	
Staff & Personnel Cost	-	246,785	246,785	98.85
Supplies, Comm, Materials	-	-	-	
Equipment, Vehicles, Furniture, Depreciation	-	-	-	
Contractual Services	-	-	-	
Travel	-	-	-	
Transfers and Grants	-	-	-	
General Operating	-	2,870	2,870	1.15
Programme Costs Total	-	249,656	249,656	100.00
¹ Indirect Support Costs Total	-	17,476	17,476	7.00
Total	-	267,132	267,132	

7.5. COST RECOVERY

Cost recovery policies for the Fund are guided by the applicable provisions of the Terms of Reference, the MOU concluded between the Administrative Agent and Participating Organizations, and the SAAs concluded between the Administrative Agent and Contributors, based on rates approved by UNDG.

The policies in place, as of 31 December 2020, were as follows:

- **The Administrative Agent (AA) fee:** 1% is charged at the time of contributor deposit and covers services provided on that contribution for the entire duration of the Fund. In the reporting period US\$ 3,336 was deducted in AA-fees. Cumulatively, as of 31 December 2020, US\$ 3,336 has been charged in AA-fees.

Indirect Costs of Participating Organizations: Participating Organizations may charge 7% indirect costs. In the current reporting period US\$ 17,476 was deducted in indirect costs by Participating Organizations. Cumulatively, indirect costs amount to US\$ 17,476 as of 31 December 2020.

7.6. ACCOUNTABILITY AND TRANSPARENCY

In order to effectively provide fund administration services and facilitate monitoring and reporting to the UN system and its partners, the MPTF Office has developed a public website, the MPTF Office Gateway (<http://mptf.undp.org>). Refreshed in real time every two hours from an internal enterprise resource planning system, the MPTF Office Gateway has become a standard setter for providing transparent and accountable trust fund administration services.

The Gateway provides financial information including: contributor commitments and deposits, approved programme budgets, transfers to and expenditures reported by Participating Organizations, interest income and other expenses. In addition, the Gateway provides an overview of the MPTF Office portfolio and extensive information on individual Funds, including their purpose, governance structure and key documents. By providing easy access to the growing number of narrative and financial reports, as well as related project documents, the Gateway collects and preserves important institutional knowledge and facilitates knowledge sharing and management among UN Organizations and their development partners, thereby contributing to UN coherence and development effectiveness.