

JOINT PROGRAMME MONITORING REPORT:
ECONOMIC GOVERNANCE WINDOW

This report is due no more than 20 days following the end of the 2nd and 4th quarter. Please submit to the MDF-G Secretariat at: mdgf.secretariat@undp.org

Section 1: Identification and Joint Programme Status

a. Joint Programme Identification and basic data

<p>Date of Submission: 24 February 2009</p> <p>Submitted by: Name: Kathleen P. Mangune Title: Chief Economic Development Specialist Organization: National Economic and Development Authority Contact information: Email: KPMangune@neda.gov.ph Tel.: +632-631-3724 Fax: +632-631-2188</p>	<p>Country and Thematic Window <i>Philippines</i> <i>Economic Democratic Governance</i></p>
<p>MDTF Atlas Project No: 00050712</p> <p>Title: <i>MDG-F 1919: Enhancing Access to and Provision of Water Services with the Active Participation of the Poor</i></p>	<p>Report Number: 3</p> <p>Reporting Period: January – June 2011</p> <p>Programme Duration: 02 June 2009 – 01 June 2012</p>
<p>Participating UN Organizations</p> <ol style="list-style-type: none"> <i>United Nations Development Programme (UNDP)</i> <i>United Nations Children's Fund (UNICEF)</i> 	<p>Implementingpartners¹</p> <ol style="list-style-type: none"> <i>National Economic and Development Authority (NEDA)</i> <i>Department of the Interior and Local Government (DILG)</i> <i>National Water Resources Board (NWRB) as ResponsibleParty</i>

¹Please list all the partners actually working in the joint's programme implementation, NGOs, Universities, etc. If you are working with a large number of partners please annex the list.

Estimated Budget Summary	
Total Approved Joint Programme Budget: <i>US\$ 5.37 Million</i>	UNDP: <i>US\$ 3.81 Million</i> UNICEF: <i>US\$ 1.56 Million</i> Total: <i>US\$ 5.37 Million</i>
Total Amount of Transferred to date:	UNDP: <i>US\$ 3,143,939²</i> UNICEF: <i>US\$ 1,362,859</i> Total: <i>US\$ 4,506,798</i>
Estimated Total Budget Committed³ to date:	UNDP: <i>US\$ 3,034,782</i> UNICEF: <i>US\$ 1,045,030</i> Total: <i>US\$ 4,079,812</i>
Estimated Total Budget Disbursed⁴ to date:	UNDP: <i>US\$ 2,167,902</i> UNICEF: <i>US\$ 860,818</i> Total: <i>US\$ 3,028,720</i>

²Including US\$20,000 Formulation Advances

³Based on an exchange rate of US\$1.00:PhP44.03

⁴Based on an exchange rate of US\$1.00:PhP44.03

Beneficiaries

Direct Beneficiaries

Indicate Beneficiary type (i.e. farmers, policy makers, SMEs, etc.)	No. Institutions	No. Women	No. Men	No. Ethnic Groups
Central Government	3	49	58	
Local Government	43	97	149	
Water Service Providers	43	113	178	
Total	89	259	385	

Indirect Beneficiaries

Indicate Beneficiary type (i.e. farmers, policy makers, SMEs, etc.)	No. Institutions	No. Women	No. Men	No. Ethnic Groups
Villagers ⁵		363,804	368,196	12
Total		363,804	368,196	

⁵ Based on estimated 122,000 households to be served by 36 beneficiary local water service providers, with an assumed 6 persons per household, and gender disaggregation based on 2000 census.

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b. Joint Programme M&E framework

Expected Results (Outcomes & outputs)	Indicators (with baselines & indicative timeframe)	Means of verification	Collection methods (with indicative time frame & frequency)	Responsibilities	Risks & assumptions
Output 1.1 Incentives mechanisms and partnership modalities (e.g., leveraging local capital and/or subsidy) developed and enhanced for investments in “waterless” and poor communities.	Indicators: 1 policy issuance promoting the use of the schemes by 2011 Baseline: No other policy issuance except NG-LGU cost-sharing arrangement	Compendium/compilation of policy issuances issued by government Progress/Annual Reports	Research/Data collection (Feb-Apr 2011) Regular M&E and Reporting (Quarterly/Annual) Final evaluation report	NEDA: <ul style="list-style-type: none"> - Prepare TOR and procure/hire experts - Provide technical counterpart to experts - Assist experts in coordinating with relevant government agencies and in accessing data/information - Review of deliverables - Participate in actual M&E UNICEF: <ul style="list-style-type: none"> - Review of deliverables - Conduct of actual M&E Other Partners (UNDP, DILG, NWRB): <ul style="list-style-type: none"> - Review of deliverables - Conduct of/participate in actual M&E 	National and local elections posed difficulties in the conduct of local activities. Low political commitment at national & local levels, arising from change in administration, may delay project implementation. Conflicting national and local laws and policies. Weak capacity of NGAs and LGUs to implement projects. Lack of support from the private sector.
Output 1.2.1 Policy on National Government-Local Government Units (NG-LGU) cost sharing arrangement for water supply and sanitation provision for poor municipalities reformulated and recommended for adoption.	Indicator(s): 1 policy issuance recommended for cost sharing arrangement by 2011 Baseline: Current cost sharing arrangement based on LGU income class only	Compendium/compilation of executive issuances issued by government Inventory/Models of NGA-LGU cost sharing arrangements. Progress/Annual Reports	Research/Data collection (Jan-Mar 2011) Research/Data collection (Jan-Mar 2011) Regular M&E and Reporting (Quarterly/Annual) Final evaluation report		
Output 1.2.2 Programming policies of the P3W reviewed and amended, and recommended for adoption.	Indicator(s): 1 set of guidelines for programming recommended for adoption by 2010 Baseline: Current implementing guidelines available	Progress/Annual Reports	Research/Data collection (July-Sept 2010) Research/Data collection (July-Sept 2010) Regular M&E and Reporting (Quarterly/Annual) Final evaluation report		

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Output 1.3 WATSAN Councils and Water user associations formed/organized with increased participation of women.	Indicator(s): 36 WATSAN councils & water user association organized Baseline: No WATSAN Councils were organized during the implementation of the P3W	Government Reports (NWRB/LWUA/LGU) 2010 Baseline Survey Results/Progress/Annual Reports/Field Visit Reports	Research/Data Collection (Jan-Dec 2010) Regular M&E and Reporting (Quarterly/Annual)	DILG: - Prepare TOR - Facilitate the creation of WATSAN councils through issuance of EOs - Assist NGOs, Academe in the formation of users association - Review deliverables of experts - Validate results of the baseline survey - Coordinates with LGUs in all related activities UNDP: - Conduct of actual M&E - Provide overall guidance LGUs: - Submit/validate data Other Partners (UNICEF, NEDA, NWRB): - Review of deliverables - Conduct of/participate in actual M&E	National and local elections posed difficulties in the conduct of local activities. Low political commitment at national & local levels, arising from change in administration, may delay project implementation. Conflicting national and local laws and policies. Weak capacity of NGAs and LGUs to implement projects. Lack of support from the private sector.
Output 1.4 Tariff-setting methodology adjusted for small scale water service providers.	Indicator(s): 1 tariff-setting methodology revised and recommended for adoption Baseline: Current 5-year tariff-setting methodology available	Progress/Annual Reports	Research/Data collection (July-Sept 2010)	NEDA: - Prepare TOR and hire experts - Provide technical counterpart - Assist experts in coordinating with relevant government agencies and in accessing data/information - Review of deliverables - Participate in actual M&E UNICEF: - Review of deliverables - Conduct of actual M&E Other Partners: - Review of deliverables - Conduct of/participate in actual M&E	
Output 2.1.1 Mentoring mechanisms formulated, recommended for adoption and institutionalized.	Indicator(s): at least 1 module for mentoring formulated Baseline: No available guidelines/modules.	Capacity building & M/E Modules Progress/Annual Reports/Knowledge Products	Research/Data Collection/Module Development/Training Roll-out (Jan 2010 –June 2011) Regular M&E and Reporting (Quarterly/Annual)	NEDA: - Prepare TOR and hire experts for assessment - Provide technical counterpart - Assist experts in coordinating with relevant government agencies and in accessing data/information - Review of deliverables - Participate in actual M&E	

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				<p>DILG:</p> <ul style="list-style-type: none"> - Prepare TOR for capacity assessment and formulation of modules - Review deliverables/outputs - Coordinate with LGUs, WSPs and LWUA - Submit report to relevant partners <p>UNDP and UNICEF:</p> <ul style="list-style-type: none"> - Review of deliverables - Conduct of actual M&E <p>Other Partners (e.g., NWRB):</p> <ul style="list-style-type: none"> - Review of deliverables - Participate in actual M&E <p>WSPs:</p> <ul style="list-style-type: none"> - Submit/validate data 	<p>National and local elections posed difficulties in the conduct of local activities.</p> <p>Low political commitment at national & local levels, arising from change in administration, may delay project implementation.</p> <p>Conflicting national and local laws and policies.</p> <p>Weak capacity of NGAs and LGUs to implement projects.</p>
<p>Output 2.1.2 WATSAN Toolbox implemented.</p>	<p>Indicator(s): 36 LGUs trained in planning and management/financing; 36 user associations trained in operations & management of water facilities; 36 WSPs trained in efficient/effective service delivery</p> <p>Baseline: Toolbox available; Level 3 (medium) competency</p>	<p>Capacity building & M/E Modules/ WATSAN Tools/Manuals</p> <p>LGU Development Plans</p> <p>Progress/Annual Reports/Field Visit Reports/Knowledge Products</p>	<p>Research/Data Collection/Module Development/Training Roll-out (June 2010 –June 2011)</p> <p>Research/Data Collection (June 2010- June 2011)</p> <p>Regular M&E and Reporting (Quarterly/Annual)</p> <p>Capacity Assessment (pre and post assessment of competencies level)</p>	<p>DILG:</p> <ul style="list-style-type: none"> - Prepare TOR - Collect/compile/ consolidate monitoring data - Coordinate with LGUs - Review deliverables of experts - Submit report to relevant partners - Assist experts develop the framework on Capacity Assessment as input to the WATSAN Toolbox - Participate in actual M&E <p>UNDP:</p> <ul style="list-style-type: none"> - Review of deliverables - Conduct of actual M&E <p>Other Partners (e.g., UNICEF, NEDA, NWRB)</p> <ul style="list-style-type: none"> - Review of deliverables - Conduct of/participate in actual M&E 	<p>Lack of support from the private sector.</p>
<p>Output 2.2 Improved sector plans formulated and monitoring mechanisms established.</p>	<p>Indicator:36 MW4SPs formulated; 36 monitoring systems established</p> <p>Baseline: No MW4SPs and monitoring systems in 36 target municipalities</p>	<p>Government Reports (DILG/NWRB/LWUA/LGU)</p> <p>LGU Development Plans</p> <p>Progress/Annual Reports/Field Visit Reports</p>	<p>Research/Data Collection (June 2010- June 2011)</p> <p>Research/Data Collection (June 2010- June 2011)</p> <p>Regular M&E and Reporting (Quarterly/Annual)</p>	<p>DILG:</p> <ul style="list-style-type: none"> - Prepare TOR - Review deliverables/ outputs of contracted firm - Coordinate with LGUs - Submit report to relevant partners - Participate in actual M&E <p>UNDP:</p> <ul style="list-style-type: none"> - Review of deliverables 	<p>National and local elections posed difficulties in the conduct of local activities.</p> <p>Low political commitment at national & local levels, arising from change in administration,</p>

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				<ul style="list-style-type: none"> - Conduct of actual M&E Other Partners (e.g., UNICEF, NEDA, NWRB) - Review of deliverables - Conduct of/participate in actual M&E 	<p>may delay project implementation.</p> <p>Conflicting national and local laws and policies.</p> <p>Weak capacity of NGAs and LGUs to implement projects.</p>
<p>Output 2.3 Localized Customer Service Code based on the framework for service delivery developed and adopted.</p>	<p>Indicator(s):36 localized customer service codes based on manual/ guidelines developed</p> <p>Baseline: Only Customer Service Code for Level III is available</p>	<p>Progress/Annual Reports/ Field Visit Reports</p> <p>HH Surveys</p>	<p>Regular M&E and Reporting (Quarterly/Annual)</p> <p>Research/Data Collection (Apr 2009)</p>	<p>DILG:</p> <ul style="list-style-type: none"> - Review deliverables - Coordinate with LGUs and WSPs - Submit report to relevant partners - Participate in actual M&E <p>NWRB:</p> <ul style="list-style-type: none"> - Prepare TOR - Review of deliverables - Participate in actual M&E <p>UNDP:</p> <ul style="list-style-type: none"> - Review of deliverables - Conduct of actual M&E <p>WSPs:</p> <ul style="list-style-type: none"> - Submit/validate data <p>Other Partners (e.g., UNICEF, NEDA)</p> <ul style="list-style-type: none"> - Review of deliverables - Conduct of/participate in actual M&E 	<p>Lack of support from the private sector.</p>
<p>Output 2.4 Advocacy and awareness raised of LGUs, WSPs, and community on a) WSP responsibilities; b) customer service code; c) KPIs and standards; d) tariff setting and regulation; e) management and operations options/ alternatives; and f) sanitation.</p>	<p>Indicator(s):1 national IEC plan; 36 localized IEC plans; Level 4 (high) level of awareness of LGUs, WSPs, and community by 2012</p> <p>Baseline: Level 2 (low) awareness of LGUs, WSPs and communities</p>	<p>Government Reports (DILG/NWRB/LWUA/LGU)</p> <p>LGU Development Plans</p> <p>Progress/Annual Reports</p> <p>IEC National Plan</p> <p>Localized IEC Plans</p>	<p>Research/Data Collection (Jan 2010-Dec 2011)</p> <p>Research/Data Collection (Jan 2010-Dec 2011)</p> <p>Regular M&E and Reporting (Quarterly/Annual)</p> <p>Regular M&E and Reporting (Quarterly/Annual)</p> <p>Government Reports on MDGs esp. on Water & Sanitation (Annual)</p>	<p>DILG:</p> <ul style="list-style-type: none"> - Prepare TOR - Review deliverables/ outputs of consultancy firm - Coordinate with LGUs and WSPs - Participate in actual M&E <p>UNICEF:</p> <ul style="list-style-type: none"> - Review of deliverables - Conduct of actual M&E <p>Other Partners (e.g., UNDP, NEDA, NWRB):</p> <ul style="list-style-type: none"> - Review of deliverables - Conduct of/participate in actual M&E 	<p>National and local elections posed difficulties in the conduct of local activities.</p> <p>Low political commitment at national & local levels, arising from change in administration, may delay project implementation.</p>

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					<p>Conflicting national and local laws and policies.</p> <p>Weak capacity of NGAs and LGUs to implement projects.</p> <p>Lack of support from the private sector.</p>
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c. Joint Programme Results Framework with financial information

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JP output: 1.1 Please highlight the rate of delivery for each joint programme’s output: a. Less than 30% b. between 31%-50% c. between 51-60 d. between 61%-70% e. between 71%-80 d. More than 80%													
Outputs	Activity	YEAR			UN AGENCY	RESPONSIBLE PARTY	Source of Funding		Estimated Implementation Progress (in ‘000 US\$)				
		Y1	Y2	Y3		NATIONAL/ LOCAL			Budget description	Total Amount Planned (Y1-Y3)	Estimated Total Amount Committed	Estimated Total Amount Disbursed	Estimated % Delivery rate (amount disbursed/ amount planned)
Output 1.1 Incentives mechanisms and partnership modalities (e.g., leveraging local capital and/or subsidy) developed and enhanced for investments in “waterless” and poor communities.	1.1.a Prepare TOR for study and experts	X			UNICEF	NEDA	MDG-F	Contracts Equipment Supplies Conference/ Training Travel Other Direct Costs	163.41 ⁶	130.01	105.12	64.33%	
	1.1.b.1 Procurement/Hiring of experts	X			UNICEF	NEDA	MDG-F						
	1.1.b.2 Mobilization of Study Team; preparation of Work and Financial Plan	X			UNICEF	NEDA/Study Team	MDG-F						
	1.1.c.1. Inventory of existing incentives and partnership modalities employed by various programs of government, NGOs, and the PS	X			UNICEF	NEDA/Study Team	MDG-F						
	1.1.c.2 Literature review of other local and international practices	X			UNICEF	NEDA/Study Team	MDG-F						
	1.1.c.3 Consultation with major stakeholders at the national level	X			UNICEF	NEDA/Study Team	MDG-F						
	1.1.c.4 Submission and review of Inception Report	X			UNICEF	NEDA/Study Team/ Study TWG							
	1.1.d.1 Stocktaking of existing policies, laws, issuances and rules and regulations that encourage or discourage either public or private entities to invest at the local level				UNICEF	NEDA/Study Team	MDG-F						
	1.1.d.2 Characterization of WSPs in terms of operations and business practices	X			UNICEF	NEDA/Study Team	MDG-F						
	1.1.d.3 Assessment of locally and internationally available mechanisms for possible application in waterless and rural areas taking consideration the WSP existing in waterless/poor areas	X			UNICEF	NEDA/Study Team	MDG-F						
	1.1.d.4 Submission of draft compendium of partnerships and incentive modalities	X			UNICEF	NEDA/Study Team	MDG-F						

⁶ Reallocated for improvement activities.

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1.1.d.5 National Consultations	x			UNICEF	NEDA/Study Team	MDG-F					
1.1.d.6 Development of draft framework for partnerships and incentives provision in the provision of water supply services in waterless and poor communities	x			UNICEF	NEDA/Study Team	MDG-F					
1.1.d.7 Submission and review of Interim Report		X		UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
1.1.e.1 Formulation of recommended enhancements to existing incentives and partnership (with various potential partners) modalities for different WSPs		X		UNICEF	NEDA/Study Team	MDG-F					
1.1.e.2 Formulation of innovative incentives and partnership (with different potential partners) modalities for WSPs that can be potentially adopted in waterless/rural areas		X		UNICEF	NEDA/Study Team	MDG-F					
1.1.e.3 Development of a detailed action plan for the implementation of the recommended incentive/partnership mechanisms by different WSPs		X		UNICEF	NEDA/Study Team	MDG-F					
1.1.e.4 National Consultations (presentation of recommendations)		X		UNICEF	NEDA/Study Team	MDG-F					
1.1.e.5 Submission and review of Draft Final Report		X		UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
1.1.f.1 Draft INFRACOM and other committee resolutions			X	UNICEF	NEDA/Study Team	MDG-F					
1.1.f.2 Presentation to INFRACOM and other relevant committees			X	UNICEF	NEDA/Study Team	MDG-F					
1.1.f.3 Final revision of framework and action plan			X	UNICEF	NEDA/Study Team	MDG-F					
1.1.f.4 Submission and review of Final Report			X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
Conduct of partners's forum and investment forum			X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
Improvement: Support to institutional policy reforms in the sector			X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
Total							163.41	130.01	105.12	64.33%	

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Output 1.2.1 Policy on National Government-Local Government Units (NG-LGU) cost sharing arrangement for water supply and sanitation provision for poor municipalities reformulated and recommended for adoption.	1. 2.1.a Prepare TOR for study and experts	X			UNICEF	NEDA	MDG-F	Contracts Equipment Supplies Conference/ Training Travel Other Direct Costs	231.40 ⁷	145.12	130.98	56.60%
	1. 2.1.b.1 Procurement/Hiring of experts	X			UNICEF	NEDA	MDG-F					
	1.2.1.b.2 Mobilization of Study Team; preparation of Work and Financial Plan	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.c.1 Initial review of reports and policies on NG-LGU cost-sharing	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.c.2 Identification of LGUs to be covered	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.c.3 Submission and review of Inception Report	X			UNICEF	NEDA/Study Team/ Study TWG						
	1.2.1.d.1 Assessment of current NG-LGU cost-sharing arrangement thru literature review and FGDs/consultations	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.d.2 Development of draft grant/subsidy framework thru literature review and FGDs/consultations		X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.d.3 Submission and review of Interim Report		X		UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.2.1.e.1 Formulation of guidelines within new framework		X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.e.2 Consultation workshops		X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.e.3 Submission and review of Draft Final Report		X		UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.2.1.f.1 Draft INFRACOM and other committee resolutions		X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.f.2 Presentation to INFRACOM and other relevant committees		X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.f.3 Final revision of guidelines and framework		X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.1.f.4 Submission and review of Final Report			X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	Integration of all policy outputs with analysis of emerging issues			X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	Production and launch of knowledge products			X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
Total									231.40	145.12	130.98	56.60%

⁷Reallocated for improvement activities.

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Output 1.2.2 Programming policies of the P3W reviewed and amended, and recommended for adoption.	1.2.2.a Prepare TOR for study and experts	X			UNICEF	NEDA	MDG-F	Contracts Equipment Supplies Conference/ Training Travel Other Direct Costs	145.73 ⁸	102.07	95.88	65.79%
	1.2.2.b.1 Procurement/Hiring of experts	X			UNICEF	NEDA	MDG-F					
	1.2.2.b.2 Mobilization of Study Team; preparation of Work and Financial Plan	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.c.1 Initial review of secondary information	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.c.2 Submission and review of Inception Report	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.d.1 Review of secondary materials and reports on the program	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.d.2 Assessment of current implementation of P3W	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.d.3 Focus Group Discussions	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.d.4 Submission and review of Assessment Report	X			UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.2.2.e.1 Initial review and redraft of Implementing Guidelines	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.e.2 Consultation workshops	X			UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.e.3 Submission and review of Draft Revised Guidelines	X			UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.2.2.f.1 Presentation to INFRACOM and other relevant committees		X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.f.2 Final revision of guidelines		X		UNICEF	NEDA/Study Team	MDG-F					
	1.2.2.f.3 Submission and review of Final Report		X		UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	Improvements: Capacity Assessment of DOH, NAPC and DILG, and Capacity Development Strategy for Up-scaling			X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	Improvements: Collaboration with Sagana at Ligtas na Tubig para sa Lahat			X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
Total									145.73	102.07	95.88	65.79%

⁸Reallocated for improvement activities.

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Output: 1.3 WATSAN Councils and User Associations Organized	1.3.1 Conduct baseline survey of waterless areas without organized local user		X		UNDP	DILG	MDG-F	Contracts Personnel Supplies Travel Training Other Direct Costs	1326.43 ⁹	1002.47	888.81	67.01%
	1.3.2 Conduct inclusive consultations and mobilization for WATSAN Councils		X		UNDP	DILG	MDG-F					
	1.3.3 Conduct inclusive consultations and mobilization for user associations			X	UNDP	DILG	MDG-F					
	Improvement: Harmonization of baseline with Sector Assessment baseline			X	UNICEF	DILG	MDG-F					
Total									1326.43	1002.47	888.81	67.01%
Output 1.4 Tariff-setting methodology adjusted for small scale water service providers reviewed and amended, and recommended for adoption.	1. 4.a Prepare TOR for study and experts	X			UNICEF	NEDA	MDG-F	Contracts Equipment Supplies Conference/ Training Travel Other Direct Costs	175.63 ¹⁰	103.96	94.72	53.93%
	1.4.b.1 Procurement/Hiring of experts	X			UNICEF	NEDA	MDG-F					
	1.4.b.2 Mobilization of Study Team; preparation of Work and Financial Plan	X			UNICEF	NEDA/Study Team	MDG-F					
	1.4.c.1 Literary review of proven and effective international best practices on economic regulation	X			UNICEF	NEDA/Study Team	MDG-F					
	1.4.c.2 Review of existing regulatory framework as well as relevant laws	X			UNICEF	NEDA/Study Team/ Study TWG						
	1.4.c.3 Review of existing 5-year tariff-setting methodology used by NWRB as well as other methodologies used currently used in the sector	X			UNICEF	NEDA/Study Team	MDG-F					
	1.4.c.4 Key interviews with major stakeholders at the national level	X			UNICEF	NEDA/Study Team	MDG-F					
	1.4.c.5 Submission and review of Inception Report	X			UNICEF	NEDA/Study Team/ Study TWG						
	1.4.d.1 Gather relevant data for the categorization of WSPs nationwide	X			UNICEF	NEDA/Study Team	MDG-F					

⁹ Received reallocation for improvement and up-scaling.

¹⁰ Reallocated for improvement activities.

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	1.4.d.2 Assessment of current implementation of P3W	X			UNICEF		MDG-F					
	1.4.d.3 Focus Group Discussions	X			UNICEF	NEDA/Study Team	MDG-F					
	1.4.d.4 Submission and review of Assessment Report	X			UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.4.e.1 Initial review and redraft of Implementing Guidelines	X			UNICEF	NEDA/Study Team	MDG-F					
	1.4.e.2 Consultation workshops	X	X		UNICEF	NEDA/Study Team	MDG-F					
	1.4.e.3 Submission and review of Draft Revised Guidelines		X		UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	1.4.f.1 Draft INFRACOM and other committee resolutions			X	UNICEF	NEDA/Study Team	MDG-F					
	1.4.f.2 Presentation to INFRACOM and other relevant committees			X	UNICEF	NEDA/Study Team	MDG-F					
	1.4.f.3 Final revision of guidelines			X	UNICEF	NEDA/Study Team	MDG-F					
	1.4.f.4 Submission and review of Final Report			X	UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
	Improvement: Jurisprudence on water supply for future policy-making		X		UNICEF	NEDA/Study Team/ Study TWG	MDG-F					
Total									175.63	103.96	94.72	53.93%
Output 2.1 Capacities at the local level strengthened	2.1.1.a Assess current mentoring practices and practitioners		X		UNDP	NEDA	MDG-F	Contracts Equipment Supplies Conference/Training Travel Other Direct Costs	52.64 ¹¹	52.64	44.48	84.51%
	2.1.1.b Presentation to INFRACOM		X		UNDP	NEDA	MDG-F					
	2.1.2 Conduct assessment for WATSAN Councils		X		UNDP	DILG	MDG-F		839.69 ¹²	530.03	331.82	39.52%
	2.1.3 Develop and pilot mentoring mechanisms (i.e. how to conduct and operationalize)		X		UNDP	DILG	MDG-F					
	2.1.4 WATSAN toolbox ready for roll-out			X	UNDP	DILG	MDG-F					
	2.1.5 Conduct intensive learning program for WATSAN Councils and user associations			X	UNDP	DILG	MDG-F					
Total									892.33	582.67	376.30	42.17%

¹¹ Reallocated for up-scaling and additional budget for LCSCs

¹² Including reallocated budget/savings from output 2.1.1 as approved by the PMC and the NSC.

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Output 2.2 Improved sector plans formulated and monitoring mechanisms established	2.2.1 Formulate sector plans			X	UNDP	DILG	MDG-F	Contracts Personnel	854.31	778.68	313.45	36.69%
	2.2.2 Implement/Install M&E			X	UNDP	DILG	MDG-F	Supplies Travel Training Other Direct Costs				
Total									854.31	778.68	313.45	36.69%
Output 2.3 Localized Customer Service Code based on the framework for service delivery developed and adopted	2.3.1 Formulate localized service code using NWRB guidelines		X	X	UNDP	DILG	MDG-F	Contracts Personnel Supplies Travel Training Other Direct Costs	154.74	136.53	122.40	79.10%
Total									154.74	136.53	122.40	79.10%
Output 2.4 Advocacy and awareness raised on a) WSP responsibilities; b) LCSC; c) KPIs and standards; d) tariff setting and regulation; e) management and operations options/alternatives; and f) sanitation	2.4.1 Design and implement IEC plan		X	X	UNICEF	DILG	MDG-F	Contracts Personnel Supplies Personnel Travel Training Counterparts Other Direct Cost	509.00 ¹³	269.52	255.83	50.26%
Total									509.00	269.52	255.83	50.26%

¹³ Including reallocated budget/savings from other outputs as approved by the PMC and the NSC.

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JP Programme Management Support	1. JPD preparation activities	X			UNDP	NEDA	MDG-F	Other Direct Costs	550.37	423.93	355.03 ¹⁴	64.51%
	2. JPD Launching	X			UNDP	NEDA	MDG-F	Other Direct Costs				
	3. PMC Establishment	X			UNICEF, UNDP	NEDA	MDG-F	Personnel Transport Other Direct Costs				
	4. PMC Meetings	X			UNDP	NEDA	MDG-F	Other Direct Costs				
	5. Coordination Meetings (TWG, other JPs, other agencies, experts, etc.)	X	X		UNDP, UNICEF	NEDA	MDG-F	Other Direct Costs				
	6. Pre-Implementation Workshop	X			UNDP	NEDA	MDG-F	Conference/ Training Other Direct Costs				
	7. Orientation Workshop	X			UNICEF	NEDA	MDG-F	Conference/ Training Other Direct Costs				
	8. Annual Reviews and Planning Workshop	X	X	X	UNDP, UNICEF	NEDA	MDG-F	Conference/ Training Supplies Other Direct Costs				
	9. M&E Framework	X	X	X	UNICEF, UNDP	NEDA	MDG-F	Contracts Conference/ Training Travel Other Direct Costs				
	10. Site Visits	X	X	X	UNDP, UNICEF	NEDA	MDG-F	Travel Other Direct Costs				
	11. IEC	X	X	X	UNICEF	NEDA	MDG-F	Contracts Conference/ Training Travel Other Direct Costs				

¹⁴ Cash advance for advance formulation (US\$20,000)

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	12. Supplies and Equipment	X			UNDP, UNICEF	NEDA	MDG-F	Supplies Equipment				
	13. Annual Audit	X	X		UNICEF, UNDP	NEDA	MDG-F	Contracts Supplies Other Direct Costs				
	14. Mid-Term Evaluation		X		UNICEF, UNDP	NEDA	MDG-F	Travel Supplies Other Direct Costs				
	14. Other management establishment support	X	X	X	UNDP	NEDA	MDG-F	Travel Other Direct Costs				
Total									550.37	423.93	355.03	64.51%

Section II: Joint Programme Progress

a. Narrative on progress, obstacles and contingency measures

Progress in outcomes:

- The Department of Health (DOH), the National Anti-Poverty Commission (NAPC) and DILG have prioritized the JP's 36 municipalities in a new program of government for hard infrastructure in waterless areas.
- Local chief executives (LCEs) declared and signed their commitment to increase or allocate a minimum percentage of their development fund for water supply provision during the Local Water Governance Forum (LWGF). Said LCEs are not limited to the 36 JP municipalities.
- Other commitments made by local stakeholders during the LWGF include the following:
 - Protection of watersheds
 - Regulate mining and logging activities in their areas
 - Improve solid waste management
 - Intensify information, education and communication
 - Include water resources management in education curriculum
 - Payment of water bills on time
- Local stakeholders also expressed support for the policies on, among others, (1) adoption of the Integrated Water Resources Management principle; (2) the creation of satellite offices of the National Water Resources Board (NWRB) and eventually, a single economic regulatory body; (3) benchmarking and ring-fencing; and (4) revisiting the financing guidelines for water projects in waterless communities
- Partnership with Vestergaard for the provision of interim water supply sources for select remote JP areas.
- Partnerships with other government agencies/corporations, universities (state-owned and private), and the private sector forged for the mobilization of concerned stakeholders, particularly the youth, in advocating for the prioritization of water supply provision.
- About 200,000 local stakeholders, particularly the schoolchildren and the youth, mobilized for the advocacies on providing water supply to Filipinos in waterless areas.

Progress in outputs:

- Three out of 5 policy studies presented to the INFRACOM Technical Board, while two have been presented to the Sub-Committee on Water Resources.
- Output 1.3: 36 WATSAN Councils have been organized and are heavily involved in Component 2 activities. Partnership with civil society forged for community mobilizing.
- Output 2.1: The assessment of effective mentoring practices and practitioners and capacity assessment of local partners were completed and served as basis for the development of the mentoring module to be used for the capacity building. Procurement of firm for the enhancement and rollout of WATSAN Toolbox (based on capacity assessment) completed.
- Output 2.2: Formulation of MW4SPs on-going.
- Output 2.3: 21 out of 36 LCSCs completed. Formulation of remaining 15 on-going.
- Output 2.4: The National Strategic Communication Plan and 36 local IEC plans have been completed. National IEC activities have been rolled out. Training on C4D and collateral design completed.

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Are there difficulties in the implementation? What are the causes of these difficulties? Please check the most suitable option

- ☒ UN agency Coordination
- ☐ Coordination with Government
- ☐ Coordination within the Government (s)
- ☒ Administrative (Procurement, etc) /Financial (management of funds, availability, budget revision, etc)
- ☐ Management: 1. Activity and output management 2. Governance/Decision making (PMC/NSC) 3. Accountability
- ☒ Joint Programme design
- ☒ External to the Joint Programme (irregular meetings of relevant committees such as the INFRACOM, conflicts with Medium-Term Philippine Development Plan formulation, evolving institutional arrangements for WATSAN programs)
- ☐ Other. Please specify:

- b. Please, briefly describe (250 words) the current difficulties the Joint Programme is facing. Refer only to progress in relation to the planned in the Joint Program Document. Try to describe facts avoiding interpretations or personal opinions.

Late feedback from and disharmonized standards of UN partners continues to cause delays.
The design of the JP put additional burden in terms of managing expectations to the JP partners.

- c. Please, briefly describe (250 words) the current external difficulties (not caused by the joint programme) that delay implementation. Try to describe facts avoiding interpretations or personal opinions.

Irregular meetings of the INFRACOM caused delays in deliberations of policy outputs.
Evolving institutional arrangements for WATSAN posing challenges to reforms being advocated.

- d. Please, briefly explain (250 words) the actions that are or will be taken to eliminate or mitigate the difficulties (internal and external referred B+C) described in the previous **text boxes b and c**. Try to be specific in your answer.

Communications requiring immediate UN technical or administrative inputs will be coursed through the focal persons to facilitate response.

Collaborations with current institutional players in the WATSAN in waterless municipalities made part of improvement plan.

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e. Inter-Agency Coordination and Delivering as One

- Is the Joint Programme in line with the UNDAF? Please check the relevant answer

☒ Yes ☐ No

- If not, does the Joint Programme fit into the national strategies?

☐ Yes ☐ No

If not, please explain:

What types of coordination mechanisms and decisions have been taken to ensure joint delivery? Are different joint programmes in the country coordinating among themselves? Please reflect on these questions above and add any other relevant comments if you consider it necessary:

Apart from the PMC mechanism, the JP TWG meets as necessary. The JP Coordinator has instructed the submission of monthly progress reports. There is also regular contact via e-groups to ensure joint delivery and timely implementation of activities.

The different JPs coordinate among themselves -- either directly or through the UN Coordination Office (UNCO). UNCO also periodically shares relevant information on existing JPs, when relevant.

A website is developed specifically to ensure better coordination of activities.

Please provide the values for each category of the indicator table described below:

Indicators	Baseline	Actual Value	Means of Verification	Collection methods
Number of managerial practices (financial, procurement, etc) implemented jointly by the UN implementing agencies for MDG-F JPs.	0	0	Quarterly meetings, PMC Meetings, progress reports	Inter-agency coordination, review of reports
Number of joint analytical work (studies, diagnostic) undertaken jointly by UN implementing agencies for MDG-F JPs.	0	9 ¹⁵	Quarterly meetings, PMC Meetings, Progress reports	Inter-agency coordination, review of reports
Number of joint missions undertaken jointly by UN implementing agencies for MDG-F JPs.	0	2	Quarterly meetings, PMC Meetings, Progress reports	Inter-agency coordination, review of reports

¹⁵ For MDG-F 1919: 1 Government partners' assessment, 5 JP Planning/Review workshops, 2 audits, 2 spot checks

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Please, provide additional information to substantiate the indicators value (150 words). Try to describe qualitative and quantitative facts avoiding interpretations or personal opinions.

f. Development Effectiveness: Paris Declaration and Accra Agenda for Action

Are Government and other national implementation partners involved in the implementation of activities and the delivery of outputs?

- ☐ Not involved
- ☐ Slightly involved
- ☐ Fairly involved
- ☒ Fully involved

In what kind of decisions and activities is the government involved? Please check the relevant answer

- ☒ Policy/decision making
- ☒ Management: ☒ budget ☒ procurement ☒ service provision ☐ other, specify:

Who leads and/or chair the PMC and how many times have they met?

Institution leading and/or chairing the PMC NEDA co-chairs PMC with UNDP¹⁶ Number of meetings. 5

Is civil society involved in the implementation of activities and the delivery of outputs?

- ☐ Not involved
- ☐ Slightly involved
- ☐ Fairly involved
- ☒ Fully involved

In what kind of decisions and activities is the civil society involved? Please check the relevant answer

- ☒ Policy/decision making
- ☒ Management: ☐ budget ☐ procurement ☐ service provision ☐ other, specify:

Are citizens involved in the implementation of activities and the delivery of outputs?

- ☐ Not involved
- ☐ Slightly involved

¹⁶ In accordance with NSC instructions, UNDP to replace UNRC as co-chair of the JP PMC

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- ☐ Fairly involved
☒ Fully involved (e.g., cooperation with LGUs as beneficiary and implementers)

In what kind of decisions and activities are citizens involved? Please check the relevant answer

- ☒ Policy/decision making
☐ Management: ☐ budget ☐ procurement ☐ service provision
☒ other, specify (participatory consultations to surface needs/requirements)

Where is the joint programme management unit seated?

- ☒ National Government ☐ Local Government ☐ UN Agency ☐ By itself ☐ other, specify

Based on your previous answers, briefly describe the current situation of the government, civil society, private sector and citizens in relation of ownership, alignment and mutual accountability of the joint programmes, please, provide some examples. Try to describe facts avoiding interpretations or personal opinions.

Target LGUs are receptive to the JP Outcome 2 and are willing to support and be involved in the implementation and achievement of program outputs. They have also shown ownership in terms of preparing their regional annual work plans to complement the program's over-all work plan. Local chief executives have also agreed to allocate funds for water supply provision in their annual budget.

In terms of IPs, accountability is evidenced by the designation of permanent/organic personnel at the national and local/regional levels complemented by a full time project staff.

g. Communication and Advocacy

Has the JP articulated an advocacy & communication strategy that helps advance its policy objectives and development outcomes? Please provide a brief explanation of the objectives, key elements and target audience of this strategy, if relevant, please attach (max. 250 words).

- ☒ Yes ☐ No

The communications plan highlighted the mobilization of the youth, media and civil society to drum up national support for policies and investment.

The local strategy focuses on raising awareness on core WATSAN issues and necessary actions among local stakeholders.

What concrete gains are the advocacy and communication efforts outlined in the JP and/or national strategy contributing towards achieving?

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- ☒ Increased awareness on MDG related issues amongst citizens and governments
- ☒ Increased dialogue among citizens, civil society, local national government in relation to development policy and practice
- ☒ New/adopted policy and legislation that advance MDGs and related goals
- ☒ Establishment and/or liaison with social networks to advance MDGs and related goals
- ☒ Key moments/events of social mobilization that highlight issues
- ☒ Media outreach and advocacy
- ☐ Others (use box below)

What is the number and type of partnerships that have been established amongst different sectors of society to promote the achievement of the MDGs and related goals? Please explain.

- | | |
|--|-----------|
| <input checked="" type="checkbox"/> Faith-based organizations | Number 1 |
| <input checked="" type="checkbox"/> Social networks/coalitions | Number 6 |
| <input checked="" type="checkbox"/> Local citizen groups | Number 36 |
| | |
| <input checked="" type="checkbox"/> Private sector | Number 27 |
| <input checked="" type="checkbox"/> Academic institutions | Number 17 |
| <input type="checkbox"/> Media groups and journalist | Number |
| <input type="checkbox"/> Others (use box below) | Number |

Partnerships with universities forged to add credence to some outputs, particularly policy actions needed for enhanced WATSAN services for the poor. The partnerships resulted in involvement of university student councils/leaders to join the JP's advocacy activities.

The church, civil society and the private sector have been actively involved in the Local Water Governance Forum, which resulted in local commitments as well as calls for national policy actions that will enhance access to water services by the poor. The World Water Day 2011 celebrations brought together the JP, other government partners, the private sector (e.g., SM, Maynilad, Vestergaard), civil society (e.g., Philippine Water Partnership), the academe (e.g., UP-NCPAG, Mapua, Bicol University, etc.) and the media to show support for the prioritization of WATSAN provision.

In addition, 5 civil society partners have been engaged to mobilize the community to be involved in addressing their WATSAN issues. 24 private WSPs will be provided training under the JP.

What outreach activities do the programme implement to ensure that local citizens have adequate access to information on the programme and opportunities to actively participate?

- ☒ Focus groups discussions
- ☒ Household surveys
- ☒ Use of local communication mediums such as radio, theatre groups, newspapers, etc
- ☒ Open forum meetings
- ☒ Capacity building/trainings
- ☒ Others

Mentoring, coaching and field visits are some of the outreach activities that are planned to ensure adequate access to information and participation of local citizens.

Section III: Millennium Development Goals

a. Millennium Development Goals

The MDG-F main objective is to contribute to progress to the attainment of the Millennium Development Goals worldwide. This subsection aims to capture data and information on the joint programmes contribution to 1 or more Millennium Development Goals and targets.

For this purpose the Secretariat has developed a matrix where you should link your joint programme outcomes to 1 or more Millennium Development Goals and Targets. This matrix should be interpreted from left to right. As a first step you should reflect on the contributions that each of the JP outcomes is making to one or more MDGs. Once this linked is established, it needs to be further developed by connecting each joint programme outcome to one or more MDG targets. As a third step you should estimate the number of beneficiaries the JP is reaching in each of the specifics outcomes. Finally you should select the most suitable indicators from your joint programme's M&E framework as a measure of the Millennium targets selected. Please, refer to the example provided below.

MDG #	Joint Programme Outcome 1	MDG Target #	MDG Indicators	JP Indicator
Goal # 7: Ensure environmental sustainability	Outcome 1: Investment support mechanisms established for poor communities/municipalities to improve efficiency, access, affordability and quality of potable water.	Target # 11: Halve the proportion of people with no access to safe drinking water and basic sanitation or those who cannot afford it by 2015	86.8% of population have access to safe drinking water by 2015	4 executive/policy issuance(s) to support investments in poor communities/municipalities in the provision/improvement of water supply services by 2011
	Joint Programme Outcome 2	MDG Target #	MDG Indicator	JP Indicator
	Outcome 2: Enhanced capacities of LGUs and WSPs to develop, operate, and manage potable water services.	Target # 11: Halve the proportion of people with no access to safe drinking water and basic sanitation or those who cannot afford it by 2015	86.8% of population have access to safe drinking water by 2015	Level 4 (high) competency of LGUs and WSPs to develop, operate, and manage water services by 2012

Additional Narrative comments

Please provide any relevant information and contributions of the programme to the MDGs, whether at national or local level.

The JP is designed to complement the government's program for waterless areas in the Philippines. The JP will provide the soft component (capacity building, organization, policies) to said program which usually focuses on providing the hard infrastructure (water supply systems) to waterless areas. This is consistent with the recommendations of the Philippine Water Supply Sector Roadmap which stresses the equal importance of the soft and hard components of water supply provision.

Section IV: General Thematic Indicators

1. Strengthen national and local governments' capacity to manage and monitor water supply and sanitation services

1.1. Number of laws, policies or plans supported by the joint programme that explicitly aim to improve water and sanitation policies and management☒ Applies ☐ Does not apply, if so please move to section 1.5☒ Policies

No. National 4

No. Local

☐ Laws

No. National

No. Local

☒ Plans

No. National

No. Local 36

1.2. Please briefly provide some contextual information on the law, policy or plan and the country/municipality where it will be implemented (base line, stage of development and approval, potential impact):

The study will come up with recommendations that will be the basis for policy issuances by relevant committees of the government. The issuance on incentives mechanisms and partnership modalities that poor (waterless) communities can adopt /apply to increase public and private investment in the water services provision. The mechanisms would cover an entire range of incentives, modality (leveraging local capital, subsidy) and potential partners, among others, water districts, national government, civil society organizations and the private sector.

A policy issuance on national government (NG)-local government unit (LGU) cost-sharing arrangement based on the review of the current arrangement aims to balance social subsidies with better ownership, accountability and responsibility from recipient communities. The NG-LGU cost sharing arrangement specifies the amount of grant (as a percentage of the total project cost) that the NG can provide to LGUs. The current cost sharing arrangement is based on the LGU's income classification, where higher earning LGU's receive less grant. Cost sharing (for water supply) should consider a) non-viability of areas, wherein grant should be provided regardless of income class of the LGU and b) limit use of NG grant/subsidy to funding capital expenditure for communities in the periphery of populated areas or in the hinterlands, and/or to water supply association formation/capacity development, among others.

An issuance endorsing enhanced guidelines for the effective implementation of the NG's programs for waterless areas aims to ensure sustainability of water supply systems provided by NG's programs for waterless areas, promote better targeting of NG assistance and enhance accountability and ownership.

A policy issuance on the utilization of an adjusted tariff-setting methodology for small water service providers (WSPs), which typically operate

in poor communities, aims to encourage said small WSPs to be formalized and regulated by the National Water Resources Board.

The above issuances will have a nationwide application/scope.

On top of the above original target policies, resulting from the LWGF conducted in the JP's 5 regions, among others, the following national policies have gained the support of local stakeholders: (i) adoption of the Integrated Water Resources Management approach; (ii) creation of a single economic regulatory body, and in the interim, strengthening of the NWRB, including creation of satellite offices; (iii) benchmarking of service providers and ring-fencing of LGU-operated utilities; (iv) identification of a national champion for water; and (v) revisiting policies and laws on water resource management and the mining act among others.

Similarly, support for local policies has surfaced from the JP activities, where initially, none was targeted. Some LCEs committed, during the LWGF, to implement stricter policies on, among others, IEC and advocacy for water supply and sanitation, solid waste management, regulation of logging activities, and protection of watersheds.

A municipal water supply and sanitation plan will be developed for each of the 36 beneficiary municipalities of the JP. The plans will include situation assessment, targets, local policies (guided by national policies), and fund requirements for their local water and sanitation.

1.3. Sector where the law, policy or plan is focused:

- ☒ Regulation of competencies and integrated management
- ☒ Access to drinking water
- ☒ Water use and pricing
- ☒ Water supply and quality control
- ☐ Sanitation services, spills and dumping control
- ☒ Infrastructure ☒ Other Specify: Funding for water infrastructure

Comments

1.4. Number of citizens and/or institutions directly affected by the law, policy or plan ☒ Applies ☐ Does not apply

<input checked="" type="checkbox"/> Citizens	Total No.	No. Urban	No. Rural 732,000
<input checked="" type="checkbox"/> National Public Institutions	Total No. 3		
<input checked="" type="checkbox"/> Local Public Institutions	Total No. 43	No. Urban	No. Rural 43
<input checked="" type="checkbox"/> Water Service Institutions	Total No. 43		

		No. Urban	No. Rural
1.5. Number of institutions, civil servants and/or citizens trained to take informed decisions on water management and sanitation issues <input checked="" type="checkbox"/> Applies <input type="checkbox"/> Does not apply			
<input checked="" type="checkbox"/> Public Institutions <input type="checkbox"/> Private Sector Institutions <input type="checkbox"/> NGOs <input checked="" type="checkbox"/> Community based organizations <input checked="" type="checkbox"/> Civil servants <input checked="" type="checkbox"/> Citizens <input type="checkbox"/> Other Specify:	Total No. 46 Total No. 24 Total No. 5 Total No. 43 Total No. 353 Total No.	Women 146 Women Women	Men 207 Men Men
1.6. Increase in the area covered by the water supply and sanitation monitoring systems due to the JP Intervention: <input checked="" type="checkbox"/> Applies <input type="checkbox"/> Does not apply			
<input checked="" type="checkbox"/> Water supply system: % increase <input checked="" type="checkbox"/> Sanitation system: % increase	Level of analysis of the information compiled <input type="checkbox"/> National information system <input checked="" type="checkbox"/> Local information system		
Note: 18% increase in water supply by 2012 (in coordination with new infrastructure program)			
1.7. ¹⁷Government budget allocated to water and sanitation services before the implementation of the Joint Programme		Comments	
National budget: 204,217.4 \$ USD Total Local budget (s) : 1,409,841 \$ USD <i>(in localities of intervention of the JP)</i>		Figures are based on the budget allocated for the areas under the President's Priority Program on Water (P3W).	
1.8. Variation (%) in government budget allocated to provide water and sanitation		Comments	

¹⁷ For indicators 1.7 and 1.8 the Secretariat acknowledges the potential difficulties to obtain the information requested. Therefore, if not available, please provide the best estimate available. The information requested refers to the budgetary year in which the monitoring report falls

<p>services from the beginning of the joint programme to present time:</p> <p>National budget: % Overall % Triggered by the Joint Programme</p> <p>Note: About P360 M (US\$8.37 million) will be allocated for the 36 municipalities under the Sagana at Ligtas na Tubig para sa Lahat</p>	<p>36 JP municipalities prioritized under the Sagana at Ligtas na Tubig para sa Lahat because of their readiness for water supply infrastructure.</p>
<p>Local budget: % Overall % Triggered by the Joint Programme</p> <p>Note: about 59% increase in local budget by 2012</p>	<p>The LGU counterpart in the new infrastructure program of government (Sagana at Ligtas na Tubig para sa Lahat) is 10% (US\$23,255) of the maximum P10 million allocation per municipality.</p>

2. Improve access to safe drinking water (physical and financial access)

2.1. Number of citizens that gained access to safe and affordable drinking water with the support of the JP. <input checked="" type="checkbox"/> Applies <input type="checkbox"/> Does not apply		
No. Citizens 732,000	No. Women 363,804	No. Men 368,196
Note: Targeted number based on the planned interventions but benefit may accrue to all 732,000 beyond the 3-year JP period (as JP provides only soft component, i.e., setting the stage for investments on hard infrastructure).		
2.2. Variation (%) of the population with access to drinking water in the region of intervention from the beginning of the programme to present time: %		
Note: Intervention does not include infrastructure. Benefits to accrue beyond program implementation		
2.3. Number of municipalities/communities/cities with increased access to safe and affordable drinking water through the JP		
<input checked="" type="checkbox"/> Total Number 43	No. of Urban Communities	No. of Rural Communities

2.4¹⁸. Based on available data, indicate the type of improvements produced on the wellbeing of the population through increased access to potable water:

- ☒ Health
☒ Women and children safety¹⁹
☒ Improvement of livelihoods
☒ Children schooling²⁰
☐ Affordability
☐ Others, specify: _____

Comments

The following are the expected improvements based on the planned interventions of the program, e.g., advocacy on water supply and sanitation issues, organization of water user associations, capacity development among water service providers and local government units, among others.

3. Community empowerment and participation in water management decision processes

3.1. Number of community organizations²¹ strengthened or created leading to increased citizen participation in decision making processes:

☒ Applies ☐ Does not apply

No. Organisations 43

No. Women

No. Men

%from Ethnic groups

3.2. Number of citizens sensitized on hygiene and sanitation issues. ☒ Applies ☐ Does not apply

Total No. 458

No. Children: 100

No. Women

No. Men

%from Ethnic groups

4. Water supply and sanitation service providers strengthened

¹⁸ This indicator requires the use of baseline information from which a variation can be observed. If this data is not available the Secretariat recommends collecting it in order to complete this impact indicator for next reporting period.

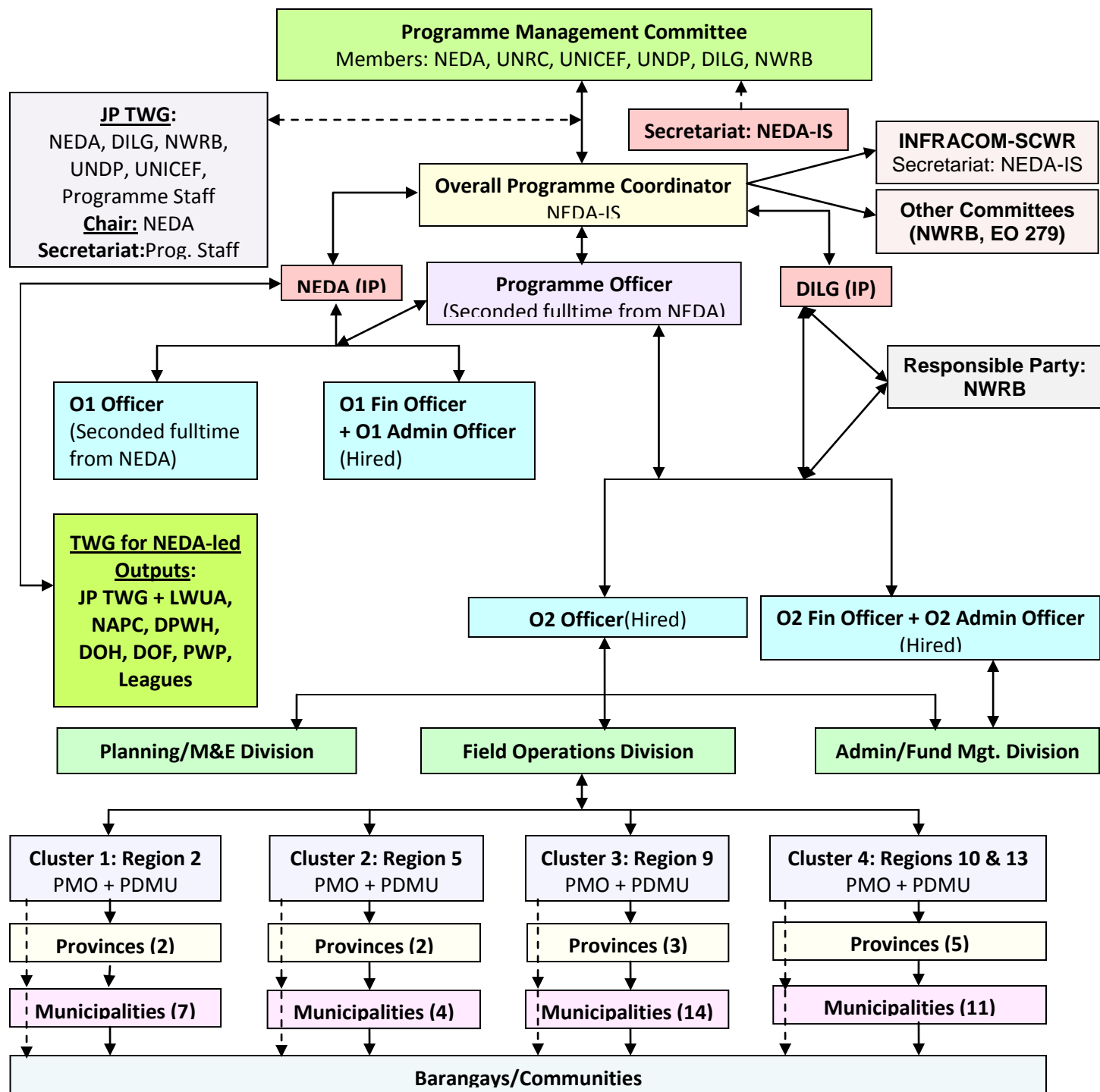
¹⁹ By eliminating the need to search for water at natural water streams

²⁰ By reducing unavailability due to illness and the time invested in searching for water

²¹ These included NGOs, cooperatives, civil society networks, local committees, women and/or youth groups, neighborhood associations, etc.

4.1. Number and type of water and sanitation service providers strengthened: <input checked="" type="checkbox"/> Applies <input type="checkbox"/> Does not apply		
<input checked="" type="checkbox"/> Public institutions <input checked="" type="checkbox"/> Private Institutions <input checked="" type="checkbox"/> Community organizations <input type="checkbox"/> Public Private Partnership <input type="checkbox"/> Other: Specify	No. 43 No. No. 86 No. No.	National Level No. Local Level No. 86
4.2. Indicate the type of intervention used to strengthen water and sanitation service providers:		
<input checked="" type="checkbox"/> Training <input checked="" type="checkbox"/> Knowledge transfer	<input type="checkbox"/> Equipment provision <input checked="" type="checkbox"/> Human resources reinforcement	<input type="checkbox"/> Establishment of public private partnerships
4.3. Number of water and sanitation service providers mentioned above that have developed or improved a financial plan and sustainability system:		
Total Number Note: To be determined after the Baseline Survey, which will be completed in Feb 2011	Type of financial plan: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Water use tariff structures <input checked="" type="checkbox"/> Loans and grant funds </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Environmental services payment mechanisms <input type="checkbox"/> Others: </div> </div>	

ANNEX 1: ORGANIZATIONAL STRUCTURE

Programme Management Unit

JP TWG: Core TWG undertakes JP work planning, budgeting, report preparation, and technical level discussions on implementation concerns.

TWG: Expanded TWG to review outputs/deliverables (e.g., policy study reports, IEC plan) of experts hired under the JP.

Regional Coordinators for 4 Clusters are hired in Manila but based in the regions supervise region-led activity implementation, and coordinate and facilitate activities of IPs, RP and experts at the local level.

Programme Staff: Programme Officer (NEDA), Outcome Officers (NEDA & DILG), Finance Officers (NEDA & DILG), Admin Officers (NEDA & DILG)

ANNEX 2: LIST OF PARTNERS**Implementing Partners:**

1. National Economic and Development Authority
2. Department of the Interior and Local Government

Responsible Parties:

1. National Water Resources Board
2. University of the Philippines – National College of Public Administration and Governance
3. Cagayan State University
4. Ateneo de Naga University
5. Jose Rizal Memorial State University
6. Xavier University
7. Father Saturnino Urios University

Local Partners:

1. Region 2
 - a. Local Government of Abulug, Cagayan
 - b. Local Government of Alacapan, Cagayan
 - c. Local Government of Ballesteros, Cagayan
 - d. Local Government of Sta. Teresita, Cagayan
 - e. Local Government of Pamplona, Cagayan
 - f. Local Government of Sto. Nino, Cagayan
 - g. Local Government of Palanan, Isabela
2. Region 5
 - a. Local Government of Basud, Camarines Norte
 - b. Local Government of Capalonga, Camarines Norte
 - c. Local Government of Garchitorena, Camarines Norte
 - d. Local Government of Siruma, Camarines Norte
3. Region 9
 - a. Local Government of Jose Dalman, Zamboanga del Norte
 - b. Local Government of Kalawit, Zamboanga del Norte
 - c. Local Government of Katipunan, Zamboanga del Norte
 - d. Local Government of Mutia, Zamboanga del Norte
 - e. Local Government of Siayan, Zamboanga del Norte
 - f. Local Government of Siocon, Zamboanga del Norte
 - g. Local Government of Sirawai, Zamboanga del Norte
 - h. Local Government of Alicia, Zamboanga Sibugay
 - i. Local Government of Payao, Zamboanga Sibugay
 - j. Local Government of Titay, Zamboanga Sibugay
 - k. Local Government of Tungawan, Zamboanga Sibugay
 - l. Local Government of Lapuyan, Zamboanga del Sur
 - m. Local Government of Midsalip, Zamboanga del Sur
 - n. Local Government of Tigbao, Zamboanga del Sur
4. Region 10
 - a. Local Government of Dangcagan, Bukidnon
 - b. Local Government of Don Carlos, Bukidnon
 - c. Local Government of Kadingilan, Bukidnon
 - d. Local Government of Kibawe, Bukidnon
 - e. Local Government of Kitaotao, Bukidnon

- f. Local Government of Kolambugan, Lanao del Norte
 - g. Local Government of Baliangao, Misamis Occidental
 - h. Local Government of Sinacaban, Misamis Occidental
 - i. Local Government of Claveria, Misamis Oriental
- 5. Region 13
 - a. Local Government of La Paz, Agusan del Sur
 - b. Local Government of Sibagat, Agusan del Sur