

Semi-Annual Report UN-REDD Programme Indonesia

30 June 2011

Semi-Annual Report Template for the National Programmes

The Semi-Annual Report for the National Programmes, for each six months period ending 31 June (1 January-31 June), should be submitted to the UN-REDD Programme Secretariat no later than one month (31 July) after the end of the applicable reporting period. Prior to submitting the report to the UN-REDD Programme Secretariat (un-redd@un-redd.org), the report should be co-signed by the selected focal point for each participating UN organization, as well as the Government Counterpart. For more background information, roles and responsibilities please refer to the UN-REDD Planning, Monitoring and Reporting Framework Document.

The National Programme semi-annual report draws information from your usual management tools (financial and technical) at the programme and national level to minimize the workload for programme teams. The report is divided into three sections: 1) National Programme Status, 2) National Programme Progress and 3) Government Counterpart Information.

1. National Programme Status

1.1 National Programme Identification

Please identify the National Programme by completing the information requested below. The Government Counterpart and the designated National Programme focal points of the participating UN organisations will also provide their electronic signature below, prior to submission to the UN-REDD Secretariat.

Date of submission:

Submitted by:

Title of programme: Indonesia UN-REDD National Joint Programme

Implementing partners¹:

Ministry of Forestry, Republic of Indonesia

UNDP UNEP Programme duration: 20 months (Oct 2009 –

Reporting period: 1 January 2011 – 30 June 2011

May 2011); extended to June 2012 with no

additional cost².

Official starting date³: 23 November 2009

The financial information reported should include overhead, M&E and other associated costs.

Financial s	ummary								
Budget									
Total approved National Programme budget⁴	FAO: US\$ 1,498,000								
(This information is available on the MDTF Office	UNDP: US\$ 2,996,000								
GATEWAY <u>www.mdtf.undp.org</u>)	UNEP: US\$ 1,150,250								
	Total: US\$ 5,644,250								
Total amount transferred to date	FAO:US\$ US\$ 1,498,000								
(This information is available on the MDTF Office	UNDP:US\$ 2,996,000								
GATEWAY <u>www.mdtf.undp.org</u>)	UNEP: US\$ 1,150,250								
	Total: US\$5,644,250								
Expend	liture								
Commitment to date/encumbrance	FAO: US\$ 17,490								
(Amount for which legally binding contracts have been	UNDP: US\$ N/A								
signed, including multi-year commitments which may	UNEP: US\$ 527,935								
be disbursed in future years)	Total: US\$ 545,425								
Disbursement to date	FAO: US\$ 279,997								
(Amount paid to a vendor or entity for goods received,	UNDP:US\$ 1,695,314								
work completed, and/or services rendered (does not	UNEP: US\$ 200,628								
include un-liquidated obligations)	Total: US\$ 2,175,938								

Electronic signatures	by the designated UN orga	nization focal points⁵	Electronic signature by th
FAO	UNDP	UNEP	Government Counterpart
		/	

¹ Please list all the partners working on implementing the National Programme

² Letter to the UN-REDD Secretariat requesting no-cost extension of the National Programme was sent 21 March 2011.

³ Date of first transfer of funds from the MDTF Office to the National Programme

⁴ Total budget for entire duration of the Programme, as specified in the signed National Programme Document

1.2 Monitoring Framework

In the table below, please report on progress to date based on the Monitoring Framework included in the signed National Programme Document. Please input cumulative data and input quantitative/qualitative values for the indicators. If indicators or other data was modified, please explain in the comments column. If there is no data to be reported in the reporting period, please mark N/A. Please add additional rows as needed.

Expected Results (Outcomes)	Indicators	Baseline	Overall National Programme Expected Target	Achievement of Target to Date	Means of Verification	Responsibilities	Risks and Assumptions	Comments
From Results Framework	From Results Framework	Baselines are a measure of the indicator at the start of the National Programme Baseline for all indicators:	The desired level of improvement to be reached at the end of the National Programme	The actual level of performance reached at the end of the reporting period. Please provide a substantive assessment of the achievement of target to date, no more than 300 words per outcome.	From identified data and information sources	Specific responsibilities of participating UN organizations (including in case of shared results)	Summary of assumptions and risks for each result	
Outcome 1: Strengthen multi- stakeholder participation and consensus at national and provincial level	of REDD architecture and policies	 Few policies, but not operational yet Pilots in early stage Delays in investments 	By end 2010 policy hurdle for REDD demonstration removed By end 2011 new and effective policies endorsed	UN-REDD has focused on building consensus on the national REDD+ Readiness architecture components through: • Multi-stakeholder consultation process for the first National REDD+ Strategy developed by the National Planning and Development Agency (Bappenas) • Facilitation of early process to prepare for REDD+ Institution/Agency • Development of FPIC Policy Recommendation in collaboration with the National Forestry Council (DKN). (The recommendation was formally submitted to the government	Policy documents issued; interviews with key government officials and national NGOs	UNDP, UNEP	The REDD+ policy development is not dramatically interrupted by election and possible change in administration Government and local partner agencies cooperate effectively	UN-REDD has specifically supported the REDD+ Task Force, the National Planning and Developmen t Agency (Bappenas) and the National Forestry Council (DKN), the National Climate

				•	(the former REDD+ Task Force) for further process and implementation. Facilitation and technical support to the development of the Forestry Development Roadmap under Ministry of Forestry. Support to set up Provincial multi-stakeholder REDD+ Working Groups in Central Sulawesi, the UN-REDD pilot province. The Working Groups were formally established by the provincial government.				Change Council (DNPI), the Climate Change working group in Ministry of Forestry as well as religious leaders to create consensus for REDD+ policies and forest protection at national level.
Output 1.1: Consensus on key issues for national REDD policy	1.1.1 UNREDD Programme operational 1.1.2 National and sub- national consultations on key-issues organized 1.1.3 Key issues analyzed 1.1.4 Inter-	 DNPI and MoFor have established REDD related working groups. Mandates and responsibilit y of MoFor and DNPI working groups have not been detailed and 	December 2010 1.1.1 UNREDD Programme operational 1.1.2 Two national consultation on 2 key-issues organized December 2011 1.1.2 Two national and 4 sub-national consultations on 2	•	By mid 2011, a PMU secretariat and office was established and programme activities under coordination of FAO, UNDP and UNEP were implemented. Some key REDD+ related national issues (see full information above) have been the main focus of UN-REDD Indonesia. UN-REDD applied nationwide multi-stakeholder consultations in developing the above key policy issues, including interministerial roundtable discussion, sub-national and national consultations. Representatives	 Progress reports MoM Workshop proceedings Publications Policy recommendations 	UNDP; MoFor/Gol	Single agencies dominate the process Elections may change the political landscape on REDD Commitment from all partners Institutional relationships	 LoA and PCA have been signed, first disbursem ent has been transferre d. The FPIC Policy Recomme ndation has been launched.

ministerial	harmonized	key-issues	from governments, private	strengthened	However,
round table	yet.	organized	sector, CSOs/NGOs, local	Strengthened	UN-REDD
discussions	●IFCA analysis	organized	communities and Indigenous		still needs
organized		1.1.3 Two key	Peoples, academic groups and		to ensure
o game o		issues analyzed	experts were involved.		that the
1.1.5 Policy	es.	, , ,			policy
	• Few policies,	1.1.4 At least 6			recommen
ons on at least	but not	inter-ministerial			dation will
2 key-issues	operational	round table			be used by
prepared	yet.	discussions on 2			REDD+
	•Some demo	key issues			Task Force
1.1.5	initiatives				or others
Roadmap for	are on	1.1.5 Policy			when they
issuing	going. Status	recommendations			develop
policies on	of	on at least 2 key-			FPIC
key-issues	demonstrati	issues prepared			guidelines.
developed	on projects				• Important
	has not been				technical
	reported				issues are:
	yet.				area
	● REDDI				assurance,
	Strategy				land
	Roadmap to				tenure,
	REDD				sylvicultur
	implementati				e system,
	on has not				forest
	been				protection technology
	developed.				technology
					, sustainabl
					e industry,
					valid and
					consistent
					data,
					existence
					of KPH

1.2. REDD lessons learnt	1.2.1 National knowledge & learning	•Some NGOs have developed	December 2010 1.2.1 Framework for a national	•	Lessons Learned on National REDD+ Strategy process produced in Indonesian and in	WebsiteWorkshop proceedings	UNDP; MoFor/Gol	REDD implementers are unwilling to	(FMU), regulation revision emphasize d on giving high proportion for communit y forest relative to industry. Another issue is how to mainstrea m these issues with regards to REDD+ and climate change. Document ation and Lessons
	network established 1.2.2	REDD related initiatives. Others are	knowledge & learning network proposed	•	English. Activities to develop a framework for a national knowledge and learning network have started	Progress reportsPublicationsLessons		share experiences/tec hnologies due to commercial	Learned from the processes
	Mechanism established to cooperate	willing to do so but have constraints	1.2.2 Terms of Reference for mechanism to		(Terms of Reference on national REDD+ knowledge management developed).	learned disseminated at at COP15		or political interests	is can be valuable for other
	with local initiatives 1.2.3 Joint	on funding. • Private sector intends to participate	cooperate with local initiatives developed	•	Lessons Learned with regards to Demonstration Activities (DAs) in Indonesia were shared with multi-stakeholders through a	 Lessons learned disseminated at at COP16 			initiatives. • There is room for

workshop	s in REDD	December 2011		joint workshop with FORDA and	Letter of		more
organize	l related	1.2.1 National		Center for Environment	Agreements		cooperatio
	activities.	knowledge &		Standardization, as well as	with local		n with the
1.2.4 A	Bilateral	learning network		through publication.	initiatives		private
publication	on on REDD	established	•	Lessons Learned on how to			sector.
lessons	initiatives			develop criteria to select Pilot			
learned	are ongoing.	1.2.2 Mechanism		Province and Districts used in the			• It is
prepared	Experience	to cooperative		selection of Central Sulawesi			important
	and lessons	with local		were shared with the REDD+ Task			to improve
1.2.4 A	of local	initiatives		Force to facilitate selection of			capacities
publicat		operational		Pilot Province for the Norway-			of
on lesso	ns and CSOs			Indonesia Letter of Intent			Parliament
learned	are not	1.2.2 Cooperation		agreement.			members
dissemi	11.0.0.7	with 5 local	•	Supported the National Climate			
	disseminate	initiatives		Change Council (DNPI) in			on REDD+
	d	confirmed		organizing two events; the			issues.
				Indonesia Carbon Update, and			
		1.2.3 Six joint		the First Asia Carbon Update.			
		workshops	•	Shared lesson learned (including			
		organized		dissemination of publications and			
		1 2 4 0		video) at the Cancun Conference			
		1.2.4 One		side event. Also briefed			
		publication on		Indonesia's diplomats			
		lessons learned		(Ambassadors, the Ministry of			
		prepared		Foreign Affairs) about REDD+,			
		1 2 4 0 = =		and with RECOFTC partners at			
		1.2.4 One publication on		Bangkok meeting.			
		lessons learned	•	Disseminated the Cancun			
				Conference's results (particularly			
		disseminated		REDD+ issues) to high rank			
				decision makers in the Ministry of			
				Forestry.			
			•	Member of Indonesia's			
				delegation team for COP15 and			
				16, AWG-LCA 14 and 34 th SBSTA			
				UNFCCC climate conference in			

					Bonn June 2011.				
					Published and distributed UN-				
				•					
					REDD Lesson Learned, including:				
					- Results from the Cancun				
					Conference				
					- The National REDD+				
					Strategy coordinated by				
					Bappenas				
					 A Lessons Learned 				
					report explaining the				
					process of developing				
					the above Strategy				
					 UN-REDD brochures and 				
					leaflets				
					- Annual Report 2010.				
					 10 'fast facts' (fact 				
					sheets) developed and				
					distributed at Forestry				
					conference				
1.3	Communicati	Awareness	December 2010	•	Target groups and messages for	Awareness	UNEP;	Government	Delays for
Communications	ons strategy	on REDD	1.3.1 Agreement		each stakeholder group have	baseline	MoFor/Gol	supports	the
Programme	and impact	remains	on target		been identified.	study results		targeting	developmen
	monitoring	limited to	messages reached	•	Preliminary draft of	Midterm		controversial	t of the
	system	few key			Communication Strategy has	assessment		forestry issues,	Communicat
	developed to	agencies at	1.3.1 Target		been prepared.	End-of-		like oil palm	ion Strategy
	include:	central	groups identified	•	Joint workshop with RECOFTC	project		expansion,	noted.
	1.3.1	government			on capacity building for	assessment		mining and	
	Agreement on	level.	1.3.2 Awareness		journalists on REDD+ issues in	Media		illegal logging	
	target	Various	impact		Indonesia, collaborated with the	reports			
	messages	policies	monitoring		Central Sulawesi REDD+ Working	IEC materials		Diverse	
	reached	endanger	system designed		Group on Media Gathering to			approaches	
		prospect			raise awareness about REDD+	Communicatio		and products	
	1.3.1 Target	and	1.3.2 Awareness		issues in Central Sulawesi.	n strategy		can be	
	groups	sustainabilit	baseline	•	Supported focus group	developed and		developed that	
	identified	y of REDD,	established		discussion among key REDD+	guiding		effectively raise	
		like			stakeholders which led to	communicatio		awareness of a	

assessed (at completion) National communicatio n campaign and training for local REDD+ actors developed, including: 1.3.3 Social marketing campaign designed 1.3.4 REDD information, education and communicatio n materials (IEC)	palm oil on peat and allowing the use of timber from natural forests for pulp and paper Training on REDD related to subnational levels are limited. E-data at MoFor, DNPI, UN are not well updated. No strategic approach in communica tions and no monitoring systems for assessing impact of awareness	marketing campaign designed 1.3.4 REDD information, education and communication	•	decision to establish a network among communication officers from REDD+ related DAs in Indonesia. Started UN-REDD outreach to other websites to establish links, such as the FORDA-CIFOR webpage. UN-REDD website development in progress.	ns at the PMU Monitoring system developed and level of awareness assessed and reported Communicatio n products	heterogeneous audience	
n materials	impact of awareness raising	education and					
1.3.5 National							

Outcome 2: Successful demonstration of establishing a REL, MRV and fair payment	has improved MRV system supporting NCAS Provisional REL successfully demonstrat ed	• Currently there are no provincial MRV system but it is requirement for sub national implementat ion • NFI (1989- 1997) • FRA (2005)	1.3.5 National communications campaign conducted 1.3.5 One high-level GoI - UN conference or panel discussion organized 1.3.6 Training on REDD for local level actors conducted •By the end of 2010 of provisional REL completed for a province • By 10/2010 NFI system redesigned and MRV demonstrated at the provincial level	Amongst the key achievements for outcome 2, a recommendation for a National REDD+ Information, Monitoring & MRV Action Plan has been provided to the REDD+ Task Force and other government institutions. There has also been progress on drafting a Forestry MRV Roadmap through collaboration with Ministry of Forestry. Capacity building on basic remote sensing has taken place on provincial level, and work to map out existing funding system, payment mechanism and benefit distribution, in Indonesia and abroad has been carried out.	Progress reports; Regulations and other documentatio n; System design documents;	FAO, UNDP, UNEP	Capital investments and training are delivered in a timely fashion Institutional coordination is effective Flexibility of LoA to be adjusted based on the field situation.	Execution of this outcome is categorized slow. An acceleration is needed to catch up with the dynamic situation of REDD+ in Indonesia.
---	--	---	---	---	---	--------------------	--	--

2.1 Improved capacity and methodology design for forest carbon inventory within a Monitoring, Assessment, Reporting and Verification System (MRV), including subnational pilot implementation	2.1.1 Existing standards and methodologie s in MRV reviewed 2.1.2 Measurement protocols and sampling design for a national forest carbon inventory developed 2.1.3 Forest carbon inventory in pilot provinces implemented 2.1.4 Methods for Reporting	NFI (1989-1997) are outdated and need to be further developed Baseline for socioecono mic data in NFI does not exists	December 2010 2.1.1 Review of existing standards and methodologies in MRV published 2.1.2 Outline for measurement protocols and sampling design for a national forest carbon inventory prepared 2.1.3 Terms of Reference for forest carbon inventory in pilot provinces prepared 2.1.4 Outline for	Achievements during the last six months: Worked with UN-REDD Global programme (FAO) on a recommendation for a National REDD+ Information, Monitoring & MRV Action Plan to the REDD+ Task Force and other government institutions (includes review of existing standards and methodologies). Draft of Forestry MRV Roadmap through collaboration with Ministry of Forestry. Trained 33 participants in Basic Remote Sensing in the province (provincial government, forestry province, NGOs, CSOs, Universities,) in relation to the MRV system. Published and disseminated publication about general MRV system.	 Progress reports Regulations Training materials Workshop proceedings Publications 	FAO; MoFor/Gol	Sufficient staff, equipment and other resources are dedicated to the task Adequate methodology selected for demonstration of MRV There is a need for a clear data management and data sharing policy among information providers and users	FAO is categorize d slow in implementing this activity. The search for an international consultant to develop NFI design took very long and was eventually abandone d and a national consultant was selected. There are
				system.				selected.
	2.1.5 Reporting and Verification in pilot provinces		2.1.6 Workshop on MRV Training methodology					gy in their area. A lead agency is

	implemented		organized						needed to
									coordinate
	2.1.6		December 2011						and decide
	Workshop on								what MRV
	MRV Training		2.1.2						methodolo
	methodology		Measurement						gy should
	organized		protocols and						be
			sampling design						implement
			for a national						ed for
			forest carbon						Indonesia.
			inventory						Lack of
			developed						clarity
									about
			2.1.3 forest						responsibil
			carbon inventory						ities for
			in pilot provinces						MRV in
			implemented						Indonesia
									due the
			2.1.4 Methods for						planned
			Reporting and						establishm
			Verification						ent of an
			released						MRV
									Institution.
			2.1.5 Reporting						The details
			and Verification in						of Who
			pilot provinces						and What
			implemented						this MRV
									institution
									is, are still
									unknown.
2.2 Reference	2.2.1 REL	•Some data	December 2010	•	Initial historical emission levels	Progress	FAO;	Basic	FAO has
emissions level	methodologie	analysis exist	2.2.1 Review of		for LULUCF for Central Sulawesi	reports	MoFor/Gol	information is	been slow
(REL) proposed at	s reviewed	within	REL		developed.	Technical		available	in
the provincial		DGPLAN but	methodologies			reports		(satellite	implement
level	2.2.2	incomplete	published			Publications		images,	ing
	Methodologic	 No Baseline 				Workshop		reference data)	activities

al options to	for Carbon	2.2.2 Outline for	la l	proceedings		under this
establish REL	Emission at	methodological			Authorities are	output.
at national	the national	options to			willing to co-	Planned to
and sub-	and sub-	establish REL at			operate	be
national scale	national	national and sub-			•	implement
developed	level exists	national scale			Implementing	ed later.
	Existing NFI	prepared			partners are	• Need to be
2.2.3 Data to	data not				capable to	completed
support	calculated	2.2.3 Data to			allocate skillful	and
development	for REDD	support			staff	improved
of REL	No scenario	development of				using more
compiled	exists	REL compiled				recent
						data and
2.2.4		December 2011				informatio
Provisional		2.2.2				n.
REL in the		Methodological				• There is no
pilot province		options to				internatio
assessed		establish REL at				nal
		national and sub-				guidance
2.2.5		national scale				yet on
Provisional		released				how
REL						Reference
scientifically		2.2.4 Provisional				Emission
peer reviewed	t l	REL in the pilot				Levels are
		province released				to be
2.2.6						developed.
Stakeholder		2.2.5 Peer review				UNFCCC
consultations		of Provisional REL				process
on REL		completed				has not yet
methodologic						led to a
al approach		2.2.6 At least 4				decision
and provincial	1	stakeholder				on this
provisional		consultations on				issue.
REL organized		REL				Since FAO
		methodological				recommen
2.2.7 REL		approach and				ds to

	methodologic al approach and provincial provisional REL scientifically peer reviewed		provincial provisional REL organized 2.2.7 Peer review of REL methodological approach and provincial provisional REL completed		follow UNFCCC decisions, this activity has not started, however, the thinking has changed and it will be implement ed now even without UNFCCC guidance.
2.3. Harmonized fair and equitable payment mechanism at provincial level	payment systems compiled 2.3.2 Benefits	No REDD payment distributions systems for all types of credits Role of district government unclear	December 2010 2.3.1 Information about existing payment systems compiled 2.3.2 Outline for a review on benefits and constraints of existing systems prepared 2.3.3 Terms of Reference for developing	Achievements during the last six months: Compiled information on existing funding system, payment mechanism and benefit distribution, particularly payment for environmental services (PES) in Indonesia and abroad. Mapping of funding mechanism in Indonesia from national to subnational level also included. Preparation for analysis of the compiled information showing benefits and constraints of existing PES projects and their possibilities to be relevant for	_

	to meet	options for REDD+ (TORs developed).
	requirements	modifications to • Participation in international
	of a REDD	meet events on REDD+ payment
	payment	requirements of a mechanism.
	system	REDD payment
	developed	system prepared
	acroiopea	
	2.3.4	2.3.4 One
	Stakeholder	stakeholder
	consultations	consultations
	organized	organized
	2.3.5 A REDD	December 2011
	payment	2.3.2 Analytical
	system	report on benefits
	created	and constraints of
		existing systems
	2.3.6 Local	published
	institutions	
	trained	2.3.3 Options for
		modifications to
		meet
		requirements of a
		REDD payment
		system developed
		2.3.4 Three
		stakeholder
		consultations
		organized
		2.3.5 A REDD
		payment system
		created
1		2.3.6 Local

			institutions trained				
2.4 Toolkit for priority setting towards maximizing potential Carbonbenefits and incorporating cobenefits, at the provincial level	2.4.1 Agreement on agencies, data sources, GIS development and site selection criteria reached 2.4.2 The Priority Setting Toolkit (including short manual) developed 2.4.3 Below- & above-ground carbon, inside and outside the Forest Estate mapped 2.4.4 Cobenefits (minimally: biodiversity, water resources, pockets of	No national nor provincial site selection process, IFCA provides guidelines only No DSS to make feasible investment decisions Draft criteria for site selection indicated in IFCA (2007)	December 2010 2.4.1 Agreement on agencies, data sources, GIS development and site selection criteria reached 2.4.2 Outline for the Priority Setting Toolkit developed 2.4.3 Terms of reference for mapping below- & above-ground carbon, inside and outside the Forest Estate prepared 2.4.4 Outline for mapping co- benefits (minimally: biodiversity, water resources, pockets of poverty, others) prepared December 2011	months: UNEP-WCMC visited Indonesia and met with potential partners to discuss collaborative work in March 2011. The first step in producing the priority-setting toolkit is in progress (UNEP-WCMC trained Indonesian colleagues from MoFor, BPKH Palu, Central Sulawesi Forest Service and Tadulako University). Serio over	oM ork plan veloped in llaboration th partners ries of map erlays iority-setting olkit ills in using	Relevant data are available for producing map overlays Remote sensing coverage pilot province not available or not in time for analysis MoFor departments unwilling to exchange data sets, and share with FORDA Climate Change impacts lead to significant changes in forest ecology. Relevant data are available for producing map overlays	Progress of the output was delayed due to a lengthy selection process of participants for the training on priority-setting toolkit.

poverty,		
others)	2.4.2 The Priority	
mapped	Setting Toolkit Setting Toolkit	
	(including short	
2.4.4 Co-	manual)	
benefits maps	developed and	
analyzed	published	
2.4.5	2.4.3 Below- &	
Provincial staff	above-ground above-ground	
trained in the	carbon, inside and	
use of Priority	outside the Forest	
Setting Tool	Estate mapped	
2.4.6	2.4.4 Co-benefits	
Workshop	(minimally:	
organized on	biodiversity,	
co-benefits,	water resources,	
local spatial	pockets of	
planning, and	poverty, others)	
national REDD	mapped	
policy		
	2.4.4 Co-benefits	
	maps analyzed	
	and published	
	2.4.5 Provincial	
	staff trained in	
	the use of Priority	
	Setting Tool	
	2.4.6 Workshop	
	organized on co-	
	benefits, local	
	spatial planning,	
	and national	

			REDD policy							
Outcome 3: Capacity established to implement REDD at decentralized levels	•Local government programs and policies on REDD •Awareness level on REDD with decision makers •# of districts REDD ready	Weak awareness and understandi ng pro- contra of REDD No integrated approach to carbon stock managemen t at district level Limited understandi ng on how REDD impacts on local supply level requires A/R investment		•	Establishment and increased level of understanding on REDD+ issues for provincial Working Group in Central Sulawesi. An outline of REDD+ provincial strategy has been developed.	Capacity a awarenes impact su Spatial pla ready + implemen n plan	an Intatio	UNDP; MoFor/Gol	Bupati/DPRD willing to make changes in forest use and status REDD commitment is not dependent on Bupati only but based on stakeholder wide Commitment. Over expectation of local stakeholders, particularly IP/CSO, in Central Sulawesi on UN-REDD support	
3.1 Capacity for spatial socio-economic	3.1.1 a comprehensiv e baseline	Ongoing conflictsTGHK with	December 2010 3.1.1 a comprehensive		hievements during the last six onths: MoFor staff understanding	ReportMinuteconsul	es of	UNDP; MoFor/Gol	Forestry authorities willing to	 Governanc e is a significant
planning incorporating REDD at the	dataset developed	process RTRD •Few district	baseline dataset developed		improved after attending an opportunity costs training in Bangkok. TORs for opportunity	ns Maps draft			participate and go for consensus	selection criteria as it reflects
district level	3.1.1 Areas of	spatial plans	3.1.1 Areas of		cost analysis developed and	spatial pl	lan			commitme

	REDD-eligible	endorsed at	REDD-eligible	announced.		Priority setting	nt of the
	forest	national	forest identified			tool ready and	local
	identified	level				applied	governme
			December 2011				nt
	3.1.2		3.1.2 Opportunity				• A number
	Opportunity		costs of				of
	costs of		alternative land				activities
	alternative		uses analyzed and				for pilot
	land uses		published				district
	analyzed						selection
			3.1.3 Potential				are
	3.1.3 Potential		socio-economic				planned
	socio-		impacts of REDD				for end of
	economic		on communities				July and
	impacts of		analyzed and				August,
	REDD on		published				and the
	communities						selected
	analyzed		3.1.4 REDD				districts
			integrated in				are
	3.1.4 REDD		existing spatial				expected
	integrated in		planning and				to be
	existing spatial		forest utilization				announce
	planning and		planning				d in
	forest						August.
	utilization		3.1.5 District				
	planning		based consensus				
			on land – and				
	3.1.5 District		forest use				
	based		allocation reached				
	consensus on						
1	land – and		3.1.6 The REDD				
	forest use		mainstreamed				
	allocation		spatial plan				
	reached		approved				
	3.1.6 The						

3.2 Empowered local stakeholders are able to benefit from REDD	REDD mainstreamed spatial plan approved 3.2.1 Capacity needs assessment made 3.2.2 Capacity building & training modules developed 3.2.3 Trainers have been trained 3.2.4 Training and other capacity building activities have been Implemented 3.2.5 Follow- up activities required to improve and sustain	•Low awareness and high level of misconcepti on REDD at village and district level •All REDD proposals driven by foreign agencies	December 2010 3.2.1 Capacity needs assessment made 3.2.2 Outline for capacity building & training modules prepared December 2011 3.2.2 Capacity building & training modules developed 3.2.3 Trainers have been trained 3.2.4 Training and other capacity building activities have been implemented 3.2.5 Follow-up activities required to improve and	Achievements during sthe last six months: Dissemination of information on climate change and REDD+ issues to Central Sulawesi REDD+ Working Group, Central Sulawesi Media Gathering to judge the level of understanding on REDD+ and climate change issues at public level. Collaboration with local REDD+ networks. Local participation in regional discussion on post-Cancun organized by RECOFTC and FAO. Participation of with Central Sulawesi REDD+ Working Group members at a Training of Trainers event organized by Conservation International and University of Indonesia on CC and REDD+.	Media needs informatio n and updates on CC and REDD+ issues in order to play a more strategic role for successful REDD+ readiness and implement ation. Asia-Pacific countries need to consolidat e to have a strong voice in upcoming
	improve and		activities required		voice in

3.3 Multi-	3.3.1 Five	Few district	December 2010	Ac	hievements during the last six	December 2009	UNDP;	DPRD approves	• Selection
stakeholder-	districts in	spatial plans	3.3.1 Five districts	mo	onths:	3.3.1 Five	MoFor/Goi	district based	of districts
endorsed District	which REDD is	endorsed at	in which REDD is	•	In collaboration with the Central	districts in		spatial plans,	have been
plans for REDD	most feasible	national	most feasible		Sulawesi REDD+ Working Group,	which REDD is			delayed
implementation	identified	level	identified		UN-REDD conducted group	most feasible			due to
					discussions on developing criteria	identified			long
	3.3.2 REDD		December 2011		to select five pilot districts for				selection
	socialized to		3.3.2 REDD		UN-REDD activities. The criteria	December 2010			process.
	these districts		socialized to 5		reflect the REDD-eligible forest	3.3.2 REDD			 Represent
			districts		and socio-economic aspects.	socialized to 5			atives
	3.3.3 Districts			•	Involvement of district	districts			from
	developed		3.3.3 Five districts		stakeholders through decision to				NGOs/CSO
	proposals to		have developed		establish Central Sulawesi	3.3.3 Five			and IPs are
	implement		proposals to		Working Group (in February) and	districts have			represente
	REDD		implement REDD		inauguration (in March).	developed			d in the
				•	MoFor staff understanding	proposals to			Working
	3.3.3 Districts		3.3.3 Districts		improved after attending an	implement			Group.
	show political		show political		opportunity costs training in	REDD			Each sub-
	commitment		commitment to		Bangkok. TORs for opportunity				Working
	to implement		implement REDD		cost analysis developed and	3.3.3 Districts			Group has
	REDD				announced.	show political			specific
			3.3.4 For at least			commitment to			tasks and
	3.3.4 For at		one district,			implement			functions.
	least one		agreement on an			REDD			 Need a
	district,		implementation						synergy
	agreement on		framework for			3.3.4 For at			among
	an		REDD reached			least one			sub-
	implementatio					district,			Working
	n framework					agreement on			Groups.
	for REDD					an			
	reached					implementatio			
						n framework			
						for REDD			
						reached			

Cross-cutting issues:

Gender:

- Training on gender issues conducted to mainstream gender into the National Programme (training facilitated by UN Women)
- Gender specific indicators and relevant activities developed and have been integrated in the work plan.

Governance:

- UN-REDD Indonesia collaboration to develop the Participatory Governance Assessment supported by the Global UN-REDD Programme. UN-REDD Indonesia provides in kind contribution technical support to the development of the Assessment Criteria. Central Sulawesi is one of the assessment locations, and UN-REDD Programme Indonesia is providing extra support in this province.

1.3 Financial Information

In the table below, please provide up-to-date information on activities completed based on the Results Framework included in the signed National Programme Document; as well as financial data on planned, committed and disbursed funds. The table requests information on the cumulative financial progress of the National Programme implementation at the end of the reporting period (including all cumulative yearly disbursements). Please add additional rows as needed. <u>Definitions of financial categories:</u>

- Budget: Amount transferred from the MDTF to date for the programme
- Commitments: Includes all amount committed⁵ to date
- Disbursement: Amount paid to a vendor or entity for goods received, work completed, and/or services rendered (does not include un-liquidated obligations)
- Expenditures: Total of commitments plus disbursements
- Percentage delivery: Cumulative expenditure over funds transferred to date

		IMPLEMENTATION PROGRESS							
				CUMULATIVE EXPENDITURES					
PROGRAMME OUTCOMES	UN ORGANISATION	PRODOC BUDGET	Commitments	Disbursements	Total Expenditures	Expenditure as percentage of the budget			
Outcome 1	FAO	N/A	N/A	N/A	N/A	N/A			
Outcome i	UNEP	749,000.00	527,935	200,628	728,563	97			

-

⁵ Commitment is the amount for which legally binding contracts have been signed, including multi-year commitments which may be disbursed in future years

	UNDP	963,000.00	-	1,406,796	1,406,796	146 ⁶
Outcome 2	FAO	1,498,000.00	17,490.00	279,997.00	297,487.00	20
	UNEP	401,250.00	-	-	-	
	UNDP	428,000.00		43,833	43,833	10%
	FAO	N/A	N/A	N/A	N/A	N/A
Outcome 3	UNEP	N/A	N/A	N/A	N/A	N/A
	UNDP	1,605,000.00	-	244,685	244,685	15%
	TOTAL:	5,644,250	545,425	2,175,939	2,721,364	48

Amounts are inclusive of 7% indirect support costs

⁶ The over-expenditure under Outcome 1 is due to the fact that all operational costs were charged to this Outcome. This has had no effect on the budget available for activities. The relevant charges will be reversed back to the other Outcomes.

2. National Programme Progress

The questions in section two are intended to capture advancements and challenges that the National Programme has faced during the reporting period. It also aims to collect information on inter-agency coordination, ownership and development effectiveness, and communication. Please provide your answers after each question.

2.1 Narrative on Progress, Difficulties and Contingency Measures

The questions below ask for a brief narrative describing progress on the implementation of activities, generation of outputs and attainment of outcomes. It also asks for a description of internal and external challenges to National Programme implementation, as well as the contingency actions planned to overcome them.

2.1.1 Please provide a brief overall assessment of the extent to which the National Programme is progressing in relation to expected outcomes and outputs. Please provide examples if relevant (600 words).

In overall, up to mid-2011, the programme showed good progress to achieve its targets, particularly for outcome 1: 'Strengthened multi-stakeholder participation and consensus at national and provincial level'. UN-REDD's multi-stakeholder consensus based approach has been well implemented and has been recognized by REDD+ stakeholders in Indonesia, including national and sub-national government, CSOs, NGOs, private sector, universities and individual experts. Processes to develop the National REDD+ Strategy and the FPIC Policy Recommendation are examples of how the approach was well implemented. A number of Lessons Learned from programme activities have been shared with key REDD+ stakeholders to enhance national and sub- national consensus and the Programme has been able to act as the bridge between various institutions such as DKN, DNPI, Bappenas, and the Ministry of Forestry, as well as internally in the Ministry of Forestry. Other important issues at national level include the National Forestry Roadmap, national Information, Monitoring and MRV Action Plan recommendation and National Forestry MRV Roadmap for the Ministry of Forestry. The programme is also progressing with the work related to national funding mechanism and payment distribution.

Progress has been slower for the development and implementation of the Communication Strategy, and for outcome 2: 'Successful demonstration of establishing a REL, MRV and fair payment systems based on the national REDD architecture', and outcome 3: 'Capacity established to implemented REDD at decentralized levels'. This is (for outcome 2) partly because of the late signing of the implementation agreements between UNEP and the Ministry of Forestry and FAO and the Ministry of Forestry, and, for both outcomes, partly due to the prioritized focus on national policy level activities in 2010.

An additional reason for the slow progress of outcome 3 activities is UN-REDD's approach in implementing activities at sub-national level (province, district and community) which is very democratic and requires lengthy stakeholder consultations. The UN-REDD Programme focused on strengthening provincial multi-stakeholders through strengthening the Central Sulawesi REDD+ Working Group before implementing its activities at province, district, and community levels. The reason for applying this approach is to allow the Central Sulawesi REDD+ Working Group to be the driving force for REDD+ implementation in the province. Strong ownership of the REDD+ programme in Central Sulawesi by the Working Group will ensure the sustainability of the REDD+ work in the province. The UN-REDD Programme has however taken action to ensure timely progress also under outcome 3. As such, the Programme will ensure the selection of pilot districts within the month of August. This will enable activities at district level to go forward.

2.1.2 Please provide a brief overall assessment of any measures taken to ensure the sustainability of the National Programme results during the reporting period. Please provide examples if relevant. (250 words)

Sustainability of the National Programme during the reporting period tends to be good. This is because UN-REDD applies two approaches to ensure the sustainability: (a) increasing ownership by stakeholders through building multi-stakeholder consensus, and (b) by conducting collaboration with

relevant institutions officially leading the implementation of the issue. Processes of developing the National REDD+ Strategy, the national FPIC Policy Recommendation, the National REDD+ Framework and Forestry MRV Roadmap are examples of UN-REDD activities that will be sustained and continue to have an effect even after the UN-REDD National Programme is finished. A similar approach is applied also at province level. An additional approach to ensure the sustainability of the province programme is the link between national programmes and provincial programmes such as the link between the Provincial REDD+ Strategy with the National REDD+ Strategy. Also, by working with the Central Sulawesi REDD+ Working Group, which is a multi-stakeholder working group and officially established and recognized by the provincial government, UN-REDD activities at the province level will be implemented and shared by all REDD+ stakeholders in the province. This will ensure the sustainability of the programme. For this purpose, UN-REDD works to ensure that the Working Group is well informed on REDD+ dynamics at national level, as well as at international level, and aim to increase their capacity in handling REDD+ related issues in the Central Sulawesi province.

2.1.3	If there are difficulties in the implementation of the National Programme, what are the main causes
	of these difficulties? Please check the most suitable option.

X UN agency Coordination
Coordination with Government
X Coordination within the Government
X Administrative (Procurement, etc) /Financial (management of funds, availability, budget revision,
etc)
Management: 1. Activity and output management
Management: 2. Governance/Decision making (PMC/NSC)
Accountability
Transparency
☐ National Programme design
External to the National Programme (risks and assumptions, elections, natural disaster, social
unrest)

2.1.4 If boxes are checked under 2.1.3, please briefly describe any current *internal* difficulties' the National Programme is facing in relation to the implementation of the activities outlined in the National Programme Document. (200 words)

- During the first half of 2011, there were three internal difficulties confronted by the PMU:
 - (1) Late signing of the PCA by the Ministry of Forestry and UNEP caused delay in implementing activities under output 1.3 and 2.4. Output 1.3 should ideally have been implemented in the beginning of the UN-REDD National Programme. Delay in the recruitment of international consultant under output 1.3 and also slow work of the hired consultant has forced UN-REDD to take initiatives without a proper communication strategy. Similar situation also happened for output 2.4.
 - (2) Late signing of the Letter of Agreement (LoA) between FAO and the Ministry of Forestry caused delay in implementing activities under output 2.1 and 2.2. The delay was due to a disagreement on some legal aspects of the LoA, which led to an amendment in the LoA. The funds disbursed through the LoA are to be spent according to the activities mentioned in the LoA. This means that the PMU has limited flexibility to replace activities to accelerate the speed of implementing activities of outputs 2.1. and 2.2. For direct implementation modality FAO requires a submission of budget preferably two weeks but no later than 1 week in advance of the activity. The REDD+ Readiness process in Indonesia is very dynamic and quick response is often needed. However, the more strict financial administration system in FAO does not allow quick response activity in less than a week. This has led to delayed implementation of some activities.
 - (3) PMU applies project Standard Operational Procedures (SOP) for running the project. The SOP was endorsed by Project Executive Board before the LoA and PCA were signed. In implementing the SOP, FAO has a different approach to calculating eligible DSA amounts than the PMU has used so far. It was realized only after the SOP was signed that the SOP was clear on the DSA rates, but not clear on how to apply the rates. FAO for example deducts

⁷ Difficulties confronted by the team directly involved in the implementation of the National Programme

overnight stay from the DSA for a half-day meeting, whereas UNDP always applies full DSA. For UN-REDD partners outside of the UN Agencies, implementation of two different rates of payment for a component is illogical. This has created some questions while implementing activities in the field. It is hard for the PMU to explain the situation to the partners. UN-REDD is a collaborative Program, so there should be a more harmonized system for handling expenditures. There are also activities that are anticipated not to be harmonized: (1) auditing, and (2) method of fund transferred. Both FAO and UNEP have not officially followed HACT results that have been applied by UNDP for the PMU.

2.1.5 If boxes are checked under 2.1.3, please briefly describe any current *external* difficulties⁸ (not caused by the National Programme) that delay or impede the quality of implementation. (200 words)

- Progress of REDD+ negotiation at international levels has impacted on quality of implementation of UN-REDD activities in the field, such as lack of guidance with regards to REL, MRV and safeguards. Many stakeholders have difficulties in understanding how Indonesia REDD+ will be developed without clear guidance from international level.
- In May 2010 a REDD+ Partnership agreement between Norway and Indonesia (LoI) was signed, which included the establishment of a REDD+ Task Force. Due to this, many responsibilities and tasks were moved from the Ministry of Forestry to the REDD+ Task Force. The coordination between the two agencies started out quite positive, though at some point became sensitive. The UN-REDD programme has a close relationship with the implementing partner, the Ministry of Forestry, which limited UN-REDD programme's ability to be above the parties. However, the Programme still managed to play a constructive role as bridge builder between the parties. UN-REDD was for instance able to provide quality policy recommendations, however the Programme was left out of further communication with the REDD+ Task Force. This led to reduced ability to affect some of the important processes of defining REDD+ in Indonesia during this time-frame. This applies to the National REDD+ Strategy, the FPIC policy recommendation, and to some extent the MRV framework.

2.1.6 Please, briefly explain the actions that are or will be taken to eliminate or manage the difficulties (internal and external referred to in question 2.1.3 and 2.1.4) described in the previous sections. (250 words)

- The partners in the UN-REDD programme have discussed the application of HACT mechanism, auditing system, and single SOP for all agencies. UNEP has agreed on the suggestion. FAO is in process to apply Cash Transfer modality as determined by the HACT assessment in the near future. A pragmatic solution to the different ways of applying the Harmonized DSA rates was found and is that FAO will avoid organizing half-day meetings, thus resulting in FAO applying the same approach to DSA as UNDP has done all along.
- Actions to manage external difficulties due to un-clear status of REDD+ mechanism have been implemented by UN-REDD such as by encouraging key stakeholders, particularly key stakeholders at sub-national level, to not think about the REDD+ mechanism, but emphasize that our activities are aimed for supporting Indonesia to be able to manage its forest in a sustainable manner. Most of our activities are in the form of increasing capacity to do a sustainable management of forests. Any incentives coming from results of our activities later such as incentives from REDD+ mechanism, will be an additional benefit.
- The UN-REDD Programme continues to try to act as a bridge builder between the various relevant institutions within the Government of Indonesia and promote inclusive processes.

2.2 Inter-Agency Coordination

The aim of the questions below is to collect relevant information on how the National Programme is contributing to inter-agency work and "Delivering as One".

2.2.1 Is the National Programme in coherence with the UN Country Programme or other donor assistance

⁸ Difficulties confronted by the team caused by factors outside of the National Programme

ХΥ	amework approved by the Government? Yes No
	not, does the National Programme fit into the national strategies? Yes No not, please explain:
Ple	hat types of coordination mechanisms and decisions have been taken to ensure joint delivery? ease reflect on the questions above and add any other relevant comments and examples if you
- - -	Ansider it necessary: Quarterly Progress Report is developed by PMU using the UNDP's QWR template. Regular coordination meetings of PMU, FAO, UNDP, and UNEP. See section 2.1.4. and 2.1.6. above.
UN If :	HACT being applied in the implementation of the National Programme by the three participating N organisation? Yes X No not, please explain:
	nly UNDP applies HACT. FAO applies Letter of Agreement (LoA) and is in process of preparing HACT ation. UNEP applies Project Cooperation Agreement (PCA).
The question practice the	ership ⁹ and Development Effectiveness ons below seeks to gather relevant information on how the National Programme is putting into e principles of aid effectiveness through strong national ownership, alignment and harmonization of and mutual accountability.
im	o government and other national implementation partners have ownership of the aplementation of activities and the delivery of outputs? No Some X Yes ease explain:
Th at be Go ind (D) RE	the UN-REDD programme applies a multi-stakeholder based approach for any policy related activities national level and sub-national level. The general public has been actively involved since the eginning of the activities. By applying this approach, all stakeholders own the policy outputs. Evernment institutions at national and provincial have formal authority to implement the policy, cluding Bappenas, UKP4, Ministry of Finance, Ministry of Forestry, National Climate Change Council (NPI) and National Forestry Council (DKN). These institutions lead the activities with support of UNEDD. UN-REDD therefore acts as driver of exchange instead of as a main actor for executing the tivities.
En	re the UN-REDD Programme's Guidelines for Stakeholder Engagement and Operational Guidance agagement of Indigenous Peoples and Other Forest Dependent Communities been applied in the ational Programme process? NO Partially X Fully
UN Inc dis	ease explain, including if level of consultation varies between non-government stakeholders: N-REDD Indonesia has developed a consultation process concept for all stakeholders including digenous Peoples and local communities. Since UN-REDD Indonesia works at national, province, strict, and community levels, UN-REDD Indonesia has developed a consultation framework as
(a)	 Ilows: Multi-stakeholder (public) consultations are applied at national, province, and in the future at district levels. Consensus by all stakeholders (government, NGOs, CSOs/local communities including Indigenous Peoples' representatives, private sector, universities, and experts) is an approach for producing public policy related outputs. A FPIC methodology has been developed at national level and will be tested for selected activities

⁹ Ownership refers to countries exercising effective leadership over their REDD+ policies and strategies, and co-ordination of actions.

at community level in the selected districts.

These consultation concepts are disseminated to all stakeholders at various UN-REDD events to let the public fully understand how UN-REDD Indonesia works.

2.3.3	What kind of decisions and activities are non-government stakeholders involved in?
	X Policy/decision making
	X Management:
	☐ Budget ☐ Procurement X Service provision
	Other, please specify

Please explain, including if level of involvement varies between non-government stakeholders:

NGOs/CSOs (including groups working on gender issues) have been involved since the development of the project document in the form of identifying activities that are needed for REDD+ Readiness in Indonesia. Other non-government stakeholders are involved in the following activities:

- Workshops; in the form of participants in the workshops at national and at sub-national levels.
- Developing annual work plan for the project; stakeholders/participants identified detailed activities needed for the program.
- Executing activities in cooperation with government policy/decision making; such as through developing the National REDD+ Strategy, preparation for establishment of a REDD+ Agency, national FPIC Policy Recommendation at national level, Roadmap of Forestry Development, by developing criteria for selecting the pilot province and districts, through developing the flow of FPIC implementation at province level, through developing and participating in the REDD+ Working Group set up and process at sub-national level.
- Executing workshops/seminars such as the national FPIC workshop, capacity building on REDD+ issue for journalists, conference on climate change justice, Adat (Indigenous Peoples) community consolidation in Central Sulawesi, NGOs coordination for selecting representatives in the REDD+ Working Group.
- Involvement of NGO and IP representative in the UN-REDD Programme Executive Board Meetings.
- 2.3.4 Based on your previous answers, briefly describe the current situation of the government and non-government stakeholders in relation to ownership and accountability of the National Programme. Please provide some examples.

Government and non-government actors have developed a better relationship in the ownership and execution of the UN-REDD outputs, both at national and sub-national level. The UN-REDD Programme is fully aware of the REDD+ criticism and skepticism amongst some NGOs, and have already reached out to these groups to ensure that the UN-REDD Programme's objectives are well understood and to contribute to an increased understanding of REDD+. One example of this situation was the criticism by a national NGO named HUMA on UN-REDD FPIC activities in Central Sulawesi. The UN-REDD Programme reached out to the NGO communities and explained UN-REDD's FPIC approach, which resulted in a general increase in awareness about UN-REDD's sub-national activities and FPIC methodology.

3. Government Counterpart Information

The aim of this section is to allow the Government Counterpart to provide their assessment, as well as additional and complimentary information to Section 1-3 which are filled out by the three participating UN organizations.

Comments by the Government Counterpart:

• UN-REDD is one of a kind program involving three UN-Agencies to run a single or one UN program. A

 $^{^{10}}$ Accountability: Acknowledgment and assumption of responsibility for actions, products, decisions, and policies and encompassing the obligation to report, explain and be answerable for resulting consequences.

- better harmonization of administrative systems among UN-Agencies in the spirit of the One UN Programme.
- REDD+ is a country driven and it will be good to implement UN-REDD as a country driven programme too. The support that UN agencies have provided so far could be improved through continuous dialogue to ensure the relevance of support to the country need and capacity as well as country ownership. UN agencies should be clear and communicative with the National UN-REDD Programme whether they are acting under the Global UN-REDD Programme, UN-REDD National Programme or as a UN agency, if this is relevant for the UN-REDD Programme Indonesia.
- Government of Indonesia, the Ministry of Forestry, and the three UN-Agencies should all work in partnership at the same level of roles to make the UN-REDD in Indonesia to be success. This should be well maintained in the implementation of UN-REDD Activities in Indonesia.
- UN-REDD has been a key driver for REDD+ Readiness in Indonesia and bring all stakeholders together
 to take part in the REDD+ Readiness, by actively involving government, CSOs, NGOs, universities and
 private sector.