United Nations Development Group Iraq Trust Fund Project #75763: C10-13 Date and Quarter Updated: 1 October-31 December 2010 - 4th Quarter 2010

Participating UN Organisation: UNDP, ILO, UNOPS, UN-HABITAT,

Sector: ERDSOT

Government of Iraq – Responsible Line Ministry: Ministry of Planning (MOP)

Title	Bridging for Lo	ocal Area Developmer	nt Programme (LAI	OP) Phase II	
Geo. Location	Governorate of Sulymaniyah:				
	Governorate of Babylon:				
	Governorate of	Basrah:			
	Governorate of	Thi Qar:			
	Governorate of	Missan:			
Project Cost	USD 2,000,000)			
Duration	12 Months from	n July 2010-June 201	1		
Approval Date	27/06/2010	Starting Date	1 July 2010	Completion	30 June 2011
		_		Date	
Project	•				stainable local social and
Description					se linking work to date on
		0			lessons from the LADP
				•	streaming and replication
					rogramme targets support
					ning practices to promote
	the prioritization of development programmes and annual budgeting while providing focused				
	technical assistance to the Governorates to improve essential service delivery. In addition, two				
					promote an active voice
					evel to institutionalize an
					elivery. Preparatory work
					II. These are (i) inclusive
					citizen empowerment and
					nstitutional structure for
				• •	with other relevant UN
		support the Governi	ment's plans for	improved serv	ices delivery and local
	governance.				

Development Goal and Immediate Objectives

Bridging Phase will concentrate on achieving the following Sector Outcomes and the Joint Project Outcome:

The Sector Outcome: Improved policies, strategies and related institutional developments that are sensitive to MDGs, social inclusiveness, gender equality and pro-poor economic growth; and Enhance key sectors of local economy in most deprived areas.

This joint project Outcome is; Improved policies, strategies and related institutional developments that are sensitive to MDGs, social inclusiveness, gender equality and pro-poor economic growth.

Outputs, Key Activity	Outputs, Key Activities and Procurement				
Outputs	Output 1.1 Governorate and district officials' have strengthened institutional capacities and				
	plan and manage sustainable socio-economic development in Basrah, Thi-Qar and Missan.				
	(UNDP);				
	Output 1.2 Governorate and district officials' institutional capacities are strengthened to plan				
	and manage sustainable socio-economic development in Sulaymaniyah Governorate				
	(ILO/UNOPS);				
	Output 1.3 Governorate and district officials' institutional capacities are strengthened to plan				

	 NGO grants Surveys and feasibility/stock taking studies 			
	o N(-1) grants			
Procurement	• Consultancies			
· ·	e Consultancies			
planning in relation to central planning.	Governorates and mor.			
	1.3.4 Communication and information exchange facilitated between the 5 LADP Governorates and MoP.			
to facilitate and monitor local	boundaries (DIB) areas.			
improved capacities	1.3.3 LADP reviewed and approach and methodology adapted to the disputed internal			
Cooperation has	1.3.2 Blueprint for MoP institutional development developed.			
Development	guidelines developed for use by the 5 LADP Governorates.			
Planning	1.3.1 Local Area Planning Handbook revised and available and Sub-National planning			
Ministry of	over the following activities:			
Output 1.3	with central planning.UNDP as National coordinating agency will have primary responsibility			
Activities of	Output 1.3 MoP has improved capacities to facilitate and monitor local planning in relation			
	relation to the Iraqi context.			
	1.2.5 Potential models of public, private and community initiatives analysed and reviewed in			
poverty reduction.	identified and proposals formulated in the 5 LADP Governorates.			
and priorities for	1.2.4 Community driven initiatives for poverty reduction and livelihood enhancement			
address their needs	1.2.3 Capacity of CSOs enhanced to participate in local development planning.			
to identify and	vulnerability in 5 LADP Governorates.			
women empowered	 1.2.1 Vulnerability assessment reports prepared in 5 LADP Governorates. 1.2.2 Most vulnerable districts identified based on vulnerable groups and pockets of 			
Local men and	1.2.1 Vulnerability assessment reports prepared in 5 LADP Governorates.			
Output 1.2:	responsibility over the following activities in relation to Output 1.2, 1.2, and 1.3 as activities:			
Activities of	1.1.8 Client satisfaction survey conducted and reviewed. The area coordinating agencies (UNDP, HABITAT, ILO and UNOPS) will have primary			
	assessment of convenience and quality of essential services in LADP Governorates.			
	1.1.7 A citizen accountability mechanism established for public spending scrutiny, public			
	proposed strategies in 5 Governorates.			
	1.1.6 Feasibility studies and applied field research conducted in support of local plans and			
	implement and evaluate the plans in each LADP Governorate.			
	identification of bottlenecks and improved effectiveness to manage, monitor,			
	1.1.5 Local Government administrative capacity strengthened for implementation of plans,			
development	other UN Agencies.			
economic development	development and service delivery plans in 5 LADP Governorates coordinating with other UN Agencies			
sustainable socio-	1.1.4 Technical assistance provided for the preparation of local socio-economic development and corrected delivery plans in 5 LADB Covernorates coordinating with			
and manage	Governorates.			
capacities to plan	1.1.3 Institutional needs assessment for local planning conducted in the 5 LADP			
institutional	Governorates prepared.			
have strengthened	1.1.2 Data analysis, district profiling and mapping for all remaining districts in 5 LADP			
district officials	essential service delivery reports developed and recommendations provided to MoP.			
Governorate and	1.1.1 Stocktaking exercise of district planning work in 5 LADP Governorates conducted,			
Output1.1:	responsibility over the following activities in relation to Output 1.1, 1.2, and 1.3 as activities:			
Activities of	The area coordinating agencies (UNDP, HABITAT, ILO and UNOPS) will have primary			
	with central planning.			
	Output 3.1 MoP has improved capacities to facilitate and monitor local planning in relation			
	and priorities for poverty reduction (UNHABITAT).			
	Output 2.3 Local men and women empowered to Babylon to identify and address their needs			
	needs and priorities for poverty reduction (ILO/UNOPS);			
	Output 2.2 Local men and women empowered in Sulaymaniyah to identify and address their			
	address their needs and priorities for poverty reduction (UNDP);			
	(UNHABITAT). Output 2.1 Local men and women empowered Basrah, Missan and Thi-Qar to identify and			

Total Funds Committed and Disbursed

Agency	Budget (USD)	Committed Funds (USD)	Committed Funds (%)	Disbursed Funds (USD)	Disbursed Funds (%)
UNDP	1,178,216	463,549	39%	141,464	12%
ILO	132,398	107,823	81%	107,823	81%
UNOPS	304,504	41,788	14%	32,605	11%
UN-HABITAT	384,882	35,032	9%	11,250	3%
TOTAL	2,000,000	648,192	32%	293,142	15%

A. UNDP

Funds Committed	UNDP USD 463,549	% of approved	39%
Funds Disbursed	UNDP USD 141,464	% of approved	12%
Forecast final date	30 June 2011	Delay (months)	none

Direct Beneficiaries	Number of Beneficiaries	% of planned (current status)
Men (TOT, trainings, planning processes,		n/a
capacity building workshops, Business		
counselling training)		
Women (TOT, trainings, planning processes,		n/a
capacity building workshops)		
Children		
IDPs		
Others		
Indirect beneficiaries		
Employment generation (men/women)		

Quantitative a	chievements against objectives and results	% of planned
Output 1.1:	Governorate and district officials have strengthened institutional capacities to plan and manage sustainable socio-economic development	25%
Output 1.2:	Local men and women empowered to identify and address their needs and priorities for poverty reduction,	10%
Output 1.3:	Ministry of Planning Development Cooperation has improved capacities to facilitate and monitor local planning in relation to central planning	7%

Qualitative achievements against objectives and results						
Output 1.1: Governorate and district	Output 1.1: Governorate and district officials have strengthened institutional capacities to plan and manage					
sustainable socio-economic development	(UNDP is responsible to take lead in the south: Missan, Basrah & Thi-Qar).					
1.1.1 Stocktaking exercise of district	Stocktaking workshops organized in the three Governorates:					
planning work in 5 LADP	• Basrah 10 November 2010 attended by 36 participants;					
Governorates conducted, essential	•Thi Qar on 23 November 2010 attended by 33 participants;					
service delivery reports developed and recommendations provided to	•Missan on 29 November 2010 attended by 34 participants.					
MoP.	Those stocktaking exercises succeeded in validating and providing further comments on the prepared flowcharts of existing planning process at governorate level for regional (Governorate Councils) and capital (Technical. Departments) budgets in Missan, Thi-Qar and Basrah.					

1.1.2	Data analysis, district profiling	 This exercise enabled the joint identification of major perceived bottlenecks, shortfalls, and areas that require improvements. In each Governorate the following areas have been identified which included: a. Local development and service delivery systems and processes currently in place; b. Local custody of multi-sectoral data collection and analysis in each governorate in a sustainable way; c. Community/ civil society participation in local development and service delivery; d. Consideration and systematic incorporation of cross-cutting issues: MDGs, poverty reduction, gender equality environment and employment into local development and service delivery.
	and mapping for all remaining districts in 5 LADP Governorates prepared.	and capital budget. Initiated gap analysis between data collected and data required for planning to allow baseline benchmarking and performance / progress measurement. Inventoried national level information management systems and platforms to explore compatibility and linkages with potential systems that could be developed locally.
1.1.3	Institutional needs assessment for local planning conducted in the 5 LADP Governorates.	Updated and validated assessments of need and acceptability of having a structure/association representing Local Councils and responding to their priorities and their needs - such structure/association could ensure information sharing, be of assistance to interpret legislation, be an interlocutor to the national level, help with capacity development and induction of newly elected Councillors etc
1.1.4	Technical assistance provided for the preparation of local socio-economic development and service delivery plans in 5 LADP Governorates coordinating with other UN Agencies.	A three day workshop on current and potential institutional developments as well as best practices was subsequently organized on 12-15 December 2010 looking at the inclusive socio-economic planning in all 5 LADP Governorates reflected on data collected previously including flowcharts, assessments, reports etc. This workshop was attended by 38 participants comprised of representatives from the Federal Government of Iraq; Governorate Council Members; and Directors Generals of Technical Departments at both the Governorate and Federal levels within the areas of electricity, municipality and public works, labour and social affairs etc; The results of this successful workshop produced the following results: a. Agreement on a framework of sound local development/service delivery systems and processes inspired from international best practices; b. Consolidated review of existing local development/service delivery systems and processes for public investments, comprising of commonly identified bottlenecks, inconsistencies, shortfalls, issues that need to be addressed and areas that need to be improved; c. Governorate gap analysis between existing local development service delivery systems and processes in Iraq including identification of common bottlenecks and proposed solutions suggested by international reference points; d. Local development priorities, agreed upon by the 5 participating Governorates; e. Mapping of plans produced at national and local levels; f. Mapping of budget identification for the local development

		process; g. Segmentation of public services as per entities involved
		(responsible and supporting);
		h.Identification of the approach of Local Development Planning and Service Delivery in Iraq in the context of public participation (Centralized, Hybrid, De-centralized);
1.1.5 Local Government	-	Continued discussion with Local Authorities on potential best models /
administrative capa	ncity	mechanisms to anchor planning capacity in Governorates and sustainability
strengthened for in	plementation	issues regardless of recurrent elections as it was suggested that the
of plans, identificat bottlenecks and imp effectiveness to ma implement and eva	proved nage, monitor,	planning body or mechanism should not be comprised only of elected officials and ensure smooth communication and interaction amongst Technical Departments, Councils, and other key stakeholders.
in each LADP Gov	-	
1.1.8 Client satisfaction s conducted and revi	urvey	Collected existing survey reports and available studies in 5 LADP Governorates on electricity services and preparation of service delivery assessment reports;
		Collected if/when available any electricity service client satisfaction surveys that has been undertaken in the past and began compilation of results. Four governorates are completed out of the five.
		mpowered to identify and address their needs and priorities for poverty he lead in the south: Missan, Basra and Thi-Qar)
1.2.1 Vulnerability assessment		sting assessment reports and available studies in 3 LADP Governorates on poverty and deprivation of services.
reports prepared in 5 LADP Governorates.	syndicates, u developed for that will be d pool of poten have access dissemination	vernorate, established basic profiling of all organizations, associations, nions, cooperatives etc. – Suitable approach and methodology has been escond round of information collection that will aim at gathering key data leterminant to the identification of key potential partners for LADPII i.e. a tial credible implementation partners, a pool of hubs/relay organizations that to extensive social network and reach out for advocacy and information a pool of organizations that are knowledgeable on issues and challenges erable groups etc.
	▲ ·	, strategies are being devised to identify registered and also non-
1.2.5 Potential models of public, private and community		of Governorate pockets of poverty and vulnerability, most vulnerable nost vulnerable groups in progress.
initiatives analysed and reviewed in	implementing	erms of reference and preliminary rounds discussions with a potential g partner to conduct a feasibility study on potential diaspora exchange comprising inter alia:
relation to the Iraqi context.	related	ventory in Europe of existing Diaspora Exchange programmes and other l initiatives, existing organizations, associations, networks, platforms, web- nd portals.
	validat unders engage	inion poll/survey amongst sample group of Iraqis living abroad in order to the the viability and feasibility of a Diaspora Exchange Programme and better tand the conditions under which Iraqis from Diaspora would be willing to the in such programme and what they would expect from such programme in of benefits and services for themselves as well as for Iraqis in-country;
	well as of Ira	evelopment of the Diaspora Exchange programme concept and principles as s structure and systems and standard operating procedures Manual for the use qi Institutions and Diaspora Community explaining the benefits of the bra Exchange Programme.

Output 3: Ministry of Pla	nning Development Cooperation has improved capacities to facilitate and monitor local
planning in relation to cent	
1.3.3 LADP reviewed and approach and methodology	Incorporated recommendations into LADP II Project Document – redesigning of result framework based on revised analysis of key issues to be addressed.
adapted to the disputed internal	Inclusion of MDGs, gender, environmental and vulnerability issues in LADP II results framework and Project Document.
boundaries (DIB)	Initiated cooperation with UNDP-supported MDG project, aiming at;
areas.	 a. Furthered the MDG agenda for Iraq by considering the localization of MDGs which could comprise the following steps: - (1) Recommendation of indicators and targets per Governorate for 2015 - based on budget, trends and feasibility; (2) Discussion with Governorates on recommended targets and indicators; (3) Validation and endorsement of localized MDGs including indicators and yearly targets that will be used by the Governorate for planning. setting objectives and measurement of progress.
	b. Discussion with GoI the possible use of MDG as framework for measuring progress of Governorates. This should possibly be linked to budget allocation and incentive system for improved performance and the corresponding required process that would ensure capacity to measure indicators of progress towards MDGs and link to GoI / Governorate reporting.
	c. Engaging with Central Statistic Office to promote its role in supporting Local Development, in particular the provision of relevant and necessary data to support Governorate planning and produce aggregated meaningful indicators of local development progress and performance.
	 d. Agreement on multi-dimensional poverty measurement at Governorate levels, including local perceptions of poverty and vulnerability. Preliminary assessment on the adaptation of the Area Based Development approach and methodology to particularly address vulnerability and exclusion for Disputed Internal Boundaries areas through a joint effort with UNDP Bureau of Conflict Prevention and Recovery. This action to secure additional UNDP core resources and secondment of technical support for enhancing compliance and sensitivity of the participatory and inclusive approach and methodology under LADP towards conflict prevention and recovery and peace building.
	A consultant hired to provide Technical Support to the Conflict Development Analysis and translate findings into a set of recommendations for the design of LADP 2 in areas of exclusion.
	To this end, it is envisaged to undertake a rapid Conflict Development Analysis in a pilot area of vulnerability and exclusion to guide and inform the adaptation of the LADP approach to more specific Iraqi socio-economic context and characteristics.

Main implementation constraints and challenges

New Projects within the Country Programme Document for UNDP for 2011-2014 may experience funding gaps and may not fully meet identified scope.

Support to local governance planning, prioritization and potential cost-sharing could be undermined as the decentralisation structure is not fully in place

The withdrawal of the USFI will shift red zone missions and security to Iraqi Security Forces resulting in increased vulnerability of UN Staff.

The GoI's ability to respond to emerging citizen demands is weak and could trigger demonstrations and/or violence.

UN-HABITAT

Situation as of December 31st, 2010

Funds Committed	35,032	% of approved	9%
Funds Disbursed	11,250	% of approved	3%
Forecast final date	30 th June 2011	Delay (months)	

Direct Beneficiaries	Number of Beneficiaries	% of planned (current status)
Men	N/A	
Women	N/A	
Children	N/A	
IDPs	N/A	
Others	N/A	
Indirect beneficiaries		
Employment generation (men/women)		

	against objectives and results	% of planned
Output 1: Governorate and district officials ["] have strengthened institutional capacities to plan and manage sustainable socio- economic development.	 Draft of flowcharts of existing planning process at governorate level for regional (Governorate Councils) and capital (Technical Departments) budget in Babylon (with differentiated actual process from theoretical process as it ought to be). 	10 %
	2. Draft assessments of need and acceptability of having a representative structure/association controlled by local councils and responding to their priorities - such structure/association will ensure information sharing, will be of assistance to interpret legislation, be an interlocutor to the national level, help with capacity development etc.	
	3. Initiated discussion with local authorities on potential best models / mechanisms to anchor planning capacity in governorate and make it sustainable regardless of recurrent elections (planning body or mechanism should not be comprised only of elected officials) and ensure smooth communication and interaction amongst Technical Departments, Councils, and other key stakeholders.	
	4. Initiated review of data collection mechanisms to inform local planning of regional and capital budget; Initiated gap analysis between data collected and data required for planning to allow baseline benchmarking and performance / progress measurement; Inventoried national level information management systems and platforms to explore compatibility and linkages with potential systems that could be developed locally.	
	 Initiated collection of existing survey reports and available studies in 5 LADP Governorates on housing services and preparation of service delivery assessment reports. Discussions held on the establishment of a common set of housing indicators. 	
	6. Initiated collection if/when available any housing service client satisfaction surveys that has been undertaken in the past and began compilation of results.	
	7. A training on "Local Development Service Delivery in Iraq – Reference Framework for Comparative Analysis and Improvement"	

Output 2: Local men and	was held in Erbil December 12 – 15. 8 person. The workshop aimed to identify bottlenech delivery systems and process frameworks base practices and provided a consolidated revi development and service delivery systems.	cs in existing service ed on international best
women empowered to identify and address their needs and priorities for	 Initiated collection of existing assessment studies in 3 LADP Governorates on vuln deprivation of services. 	reports and available
poverty reduction .	2. In each governorate, initiated establishment or organizations, associations, syndicates, unior Second round of information collection will data that will be determinant to the identific partners for LADPII i.e. a pool of potential cripartners, a pool of hubs/relay organizations extensive social network and reach out for adv dissemination, a pool of organizations that issues and challenges faced by vulnerable grostrategies are being devised to identify reg registered/non formal organizations.	as, cooperatives etc. – aim at gathering key eation of key potential redible implementation s that have access to vocacy and information are knowledgeable on oups etc. In particular,
	 Initiated identification of governorate poc vulnerability, most vulnerable districts and n (Work in progress). 	
	 A "Bridging Stocktaking Planning Workshop 29th November 2010 to discuss local dev service delivery systems and processes in S Basrah, Thi Qar and Missan governorates, a bottlenecks and areas for improvement. 	elopment and current sulymaniyah, Babylon,
	The workshop was attended by 34 par ministries actively working in the governor representatives with a noticeable female pres total number of participants.	rates and civil society
	The participants discussed and assessed processes for community / civil society development and service delivery and debated related to MDGs, gender equality, environmer role of central government in supporting le service delivery and mechanisms for impr between local and central government.	participation in local ways to address issues at, employment and the local development and
Output 3: Ministry of Planning Development Cooperation has improved capacities to facilitate and monitor	 Follow up on meeting with MoP delegation in bottlenecks and shortcomings of communica information management between the centre a LADP II can specifically focus on addressing t 	tion, coordination and and local levels so that
local planning in relation to central planning.	 Incorporation of recommendations into LADP. Inclusion of gender issues in LADPII project d 	

Main implementation constraints & challenges (2-3 sentences)

ILO/UNOPS Situation as of December 31, 2010

		Committed Funds	Committed	Disbursed Funds	Disbursed Funds
Agency	Budget (USD)	(USD)	Funds (%)	(USD)	(%)
ILO	132,398	107,823	81%	107,823	81%
UNOPS	304,504	41,788	14%	32,605	11%

Direct Beneficiaries	Number of Beneficiaries	% of planned (current status)
Men	N/A	
Women	N/A	
Children	N/A	
IDPs	N/A	
Others	N/A	
Indirect beneficiaries		
Employment generation (men/women)		

Qualitative achievements against objectives and results

Output 1: (ILO/UNOPS is responsible to take the lead in the north: Sulymaniyah)

- 8. Stocktaking Exercise (Output 1.1) Flowchart/ overview of existing planning process at governorate level for regional (Governorate Councils) and capital (Technical. Departments) budget in Sulymaniyah were presented and reviewed at the Local Development and Service Planning Workshop in Erbil in December. [Note: we need to have clearer terms for different budgets/sources to avoid confusion Area Coordinators to be consulted]
- 9. Data Analysis (Output 1.2) [from Jul./Sept. Report: Draft assessments of need and acceptability of having a representative structure/association controlled by local councils and responding to their priorities such structure/association will ensure information sharing, will be of assistance to interpret legislation, be an interlocutor to the national level, help with capacity development etc]. [Note: Report backs during Amman coordination meeting of 10-11 October: Governorates were asked about LGA: a few never heard about it and one or two said they didn't need an intermediary body between them and central government. It was suggested that Area Coordinators ask the focal points and other stakeholders not about a specific/existing organisation but the idea of the governorates setting up an association or institute for themselves to provide technical support and advise, facilitate exchange of ideas and information, etc. it seems no further actions have been taken in this regard.]

10. Institutional Development for Local Development Planning (Outputs 1.3 & 1.4) -

- a. Identified two champions/ focal points (one from Provincial Council and one from Governor's office of Sulymaniyah) and one representative/focal point from KRG Ministry of planning as main contact points/channels of the project in Sulymaniyah/KRG;
- b. Sulymaniyah/ KRG focal points/champions participated in the Local Development and Service Delivery planning workshop in Erbil from 12 to 15 December 2010 where they: i) reviewed their existing planning system/process and considered potential best models / mechanisms to anchor planning capacity in governorate and make it sustainable regardless of recurrent elections (planning body or mechanism should not be comprised only of elected officials) and ensure smooth communication and interaction amongst Technical Departments, Councils, and other key stakeholders; and ii) reviewed the existing data collection mechanisms to inform local planning of regional and capital budget; initiated gap analysis between data collected and data required for planning to allow baseline benchmarking and performance / progress measurement; and inventoried national level information management systems and platforms to explore compatibility and linkages with potential systems that could be developed locally.

11. Service Delivery Assessment Reports (1.13) Completed the collection and review of existing survey reports

and available studies in 5 LADP Governorates on employment services and preparation of service delivery assessment reports

12. Client Satisfaction Surveys (Output 1.14) - Compiled the results of review of any employment service client satisfaction surveys that has been undertaken in the past in two governorates (Basra, Missan and Thi Qar to be completed during the first quarter of 2011).

Output 2: (ILO/UNOPS is responsible to take the lead in the north: Sulymaniyah)

- 13. Governorate specific vulnerability assessment reports (Output 2.1) Collected existing assessment reports and available studies in Sulaymaniyah on vulnerability, poverty and deprivation of services [Note: in the previous report it referred to initiation in 3 Governorates under ILO/UNOPS report which was not correct]
- 14. CSO mapping (Output 2.3) Existing profiles of CSOs in Sulymaniyah were identified and reviewed. [from the Jul-Sept report: Second round of information collection will aim at gathering key data that will be determinant to the identification of key potential partners for LADPII i.e. a pool of potential credible implementation partners, a pool of hubs/relay organizations that have access to extensive social network and reach out for advocacy and information dissemination, a pool of organizations that are knowledgeable on issues and challenges faced by vulnerable groups etc. In particular, strategies are being devised to identify registered and also non-registered/non formal organizations] [Note: this second round has not taken place]
- 15. Identification of most vulnerable districts (Output2.2) [from Jul-Sept report: Initiated identification of governorate pockets of poverty and vulnerability, most vulnerable districts and most vulnerable groups (Work in progress)] [Note: no progress have been made here]

Output 3: UNDP coordinated activities

Main implementation constraints & challenges (2-3 sentences)