



PEACEBUILDING FUND
[GUATEMALA]

PROJECT HALF YEARLY PROGRESS UPDATE

AS OF JANUARY – JUNE 2013

Project No & Title:	PBF/GTM/B-1 Strengthening of the national capacities for Criminal Investigation 00080403		
Recipient Organization(s)¹:	UNDP / UNODC / UN Women		
Implementing Partners (Government, UN agencies, NGOs etc)	General Prosecutor's Office (<i>Ministerio Público</i>), Ministry of Interior (<i>Ministerio de Gobernación</i>)		
Location:	Guatemala City, mainly		
Total Approved Budget²	US\$1,960,000		
Funds Committed³	US\$422,848.36	% of funds committed / total approved budget:	21.57%
Expenditure⁴:	US\$1,164,810.32	% of expenditure / total budget: (Delivery rate)	59.42%
Project Approval Date:	Nov. 11, 2011	Possible delay in operational closure date (Number of months)	0
Project Start Date:	Nov. 30, 2011		
Expected Operational Project Closure Date:	Nov. 30, 2013		
PBF Outcome Area⁵	<p>The Program is providing support to crucial justice system institutions to bring down impunity rates, strengthen the rule of law and the provision of justice. Main objective: To strengthen national (state) capacities for the analysis and investigations of crime.</p> <p>The Program responds to PBF Priority Area 1: Support to the implementation of Peace Agreements and political dialogue particularly in relation to the strengthening of national institutions and processes set up under those agreements; and within it, specifically to PBF Outcome 2: Judiciary system is strengthened through equipping, staffing and training of the Office of the Magistrate and courts to establish</p>		

¹ Please note that where there are multiple agencies, only one consolidated project report should be submitted.

² Approved budget should be the amount transferred to Recipient Organisations

³ Funds committed are defined as the commitments made through legal contracts for services and works according to the financial regulations and procedures of the Recipient Organisations.

² Actual payments (contracts, services, and works) made on commitments.

⁵ Reference to be made to outcomes of the Priority Plan or PBF Performance Management Plan (PMP)

	<p>credibility, professionalism, independence, and efficiency in the judiciary system and inclusive law reform in order to institute rule of law. Strategic Result (PMP): 1 Security sector reforms and judiciary systems put in place and providing services and goods at national and local level that reinforce the Rule of Law (RoL).</p> <p>Immediate Objectives:</p> <ul style="list-style-type: none"> • Strengthened capacities of the Prosecutor General’s Office’s Department of Criminal Investigations for the processing of crime scenes, monitoring and surveillance of criminal activities. • Strengthened capacities of the Prosecutor General’s Office for knowledge management and intelligence of criminal phenomena through the expansion and strengthening of its Analysis Unit. • Strengthened capacities of the Prosecutor General’s Office for strategic penal prosecution of femicide, trafficking in persons, and violence against women and children within the specialized prosecution units. • Strengthening of the National Civilian Police’s Department for the investigation of sexual crimes, trafficking in persons, and crimes against children and adolescents through the implementation of a new management model for criminal investigation. • Enhanced inter-institutional coordination and external cooperation to strengthen criminal investigation capacities and penal prosecution among the Prosecutor General’s Office, the Ministry of the Interior and the National Institute of Forensic Sciences.
<p>Qualitative assessment of achievements and challenges</p>	<p>The main achievements include:</p> <ul style="list-style-type: none"> - The project has contributed to the strengthening of the state capacities in criminal investigations, specifically, in the criminal prosecution of felonies against women and children, particularly sexual violence and femicide, since the Office of the Prosecutor’s General (<i>Ministerio Público</i>) carried out important changes in the protocol of procedures in the crime scene, in areas such as forensic photography, video filming of traces, handling of corps for their remission to the corresponding morgues, and concrete petitions of specific analysis. - Training processes have been carried out in the capital city as well as in the interior of the Republic. The content of this training comprised criminal investigation and it was carried out in inter-institutional coordination between the Office of the Prosecutor’s General and the National Institute of Forensic Sciences (INACIF – for its name in Spanish). This training had an approximate coverage of 85% of the personnel in charge of the criminal investigations at the end of June, 2013. - The support given by the project through the hiring of 10 analysts for the extension of the capacities of the Office of the Prosecutor has been key for knowledge and intelligence handling of the criminal phenomenon, particularly, through the expansion and strengthening of the Criminal Analysis Unit. This support has allowed the improvement of the effectiveness in different Prosecutor’s Offices (Women Affairs; Trafficking in persons; Organized Crime; Metropolitan; Mixco; Villa Nueva; Escuintla; Huehuetenango; Quetzaltenango; and Chimaltenango), since a significant increase in the efficacy of the prosecution of felonies has been achieved. Also, one of the project’s achievements is that the hired staff is being absorbed by the Office of the Prosecutor’s General, ensuring sustainability. - The creation of the Forensic Informatics Unit has been supported, whose objective is to optimize the duties of the Directorate of Criminalistics Investigations (DICRI – for its name in Spanish), centralizing the support in the work of the Attorneys in the investigation processes of criminal phenomena or in the high-impact cases.

- The creation of the Financing Investigation Unit was supported, with the participation of the CICIG.
- A data base for femicide has been established, systemizing the cases of violent deaths of women; this database is fed with the updated data from INACIF and from the Prosecutors.
- The Ministry of the Interior has progressed in the construction of a model for the trafficking in persons section of the Specialized Division of Criminal Investigations was designed and implemented replicating the model in the Homicide Section. A change in the work environment, as well as the new tools that have been provided, had a direct impact in the conduct of the officers of the new Specialized Unit. In addition to specialized training on legal procedures regarding the trafficking in persons, victimology and victim first aids, gender focused issues and special investigation procedures have been designed and have already started.
- An additional result of the project which contributes to the strengthening of the institutional capacities of the Office of the Prosecutor's General, its institutional reform and the compliance of its strategic plan 2011-2014 is the construction and institutionalization of the Curriculum on Studies for Criminal Investigations Directorate (DICRI) and of the curricular structure of the Prosecutors Career.

Main challenges:

- Inter-agency coordination is always a challenge, but key consensus and a fluid communication have been achieved. Also, each agency has its own processes and timing, and this is visible in the execution levels.
- There is no culture of strategic planning in the Office of the Prosecutor's General at mid and long term; this fact is an obstacle for the sustainable processes.

INDICATOR ASSESSMENT: *Using the Programme Results Framework from the Project Document - provide an update on the achievement of indicators at both the outcome and output level in the table below. Where it has not been possible to collect data on indicators, clear explanation should be given explaining why, as well as plans on how and when this data will be collected.*

	Performance Indicators	Indicator Baselines	Planned Indicator Targets	Achieved Indicator Targets	Reasons for Variance (if any)	Risks
Outcome 1⁶ The State capacities on criminal analysis and investigation have been strengthened	Indicator					
Output 1.1 The capacities of the Criminal Investigation Department (DICRI) of the Public Prosecutor's Office for the processing of crime scenes and the monitoring and vigilance of criminal actions have been strengthened	Indicator 1.1.1 Number of reports in which the utilization of monitoring and surveillance equipment has been used by DICRI.	0	25	70	Thanks to the monitoring and surveillance equipment used by DICRI, an effectiveness rate of 28 percent is reflected for the crimes against life and physical integrity in 2012, having increased by 5 percent in 2013.	
Output 1.2 Extended	Indicator 1.2.1 Number of liaison	0	5	12	10 Liaison offices of the Analysis Unit on the following Prosecutor's	

⁶ Either country relevant (from the Priority Plan or Project Document) or PMP specific.

<p>capacities of the Public Prosecutor's Office for the handling of knowledge and intelligence of the criminal phenomenon, particularly by the expansion and strengthening of their Analysis Unit.</p>	<p>offices of the Analysis Unit that have been created (in Prosecutor's Offices)</p>				<p>Office: Women Affairs; Trafficking in persons; Organized Crime; Metropolitan; Mixco; Villa Nueva; Escuintla; Huehuetenango; Quetzaltenango; and Chimaltenango. The work of the analys units has been adapted to the needs and to the environment of each Prosecutor's Office. This Unit is integrating an information system of criminal analysis which will greatly facilitate the analysis of the phenomena. The Prosecutor's Office has established two more Liaison offices with their own resources.</p>	
	<p>Indicator 1.2.2 Number of cases (by Prosecutor's Office) in which the mapping of criminal phenomena is developed by the liaison offices of the Analysis Unit.</p>	0	5	35	<p>As of June 2013, the number of cases in which the mapping of criminal phenomenon was performed by the liaison offices of the Analysis Unit in the Prosecutor's Offices arose to: Mixco: 109 files, 5 phenomena Chimaltenanho: 60 files, 6 phenomena Hueguetenango: 495 files, 2 phenomena Villa Nueva: 96 files, 4 phenomena Escuintla: 319 files, 4 phenomena Quetzatenango: 304 files, 5 phenomena Trafficking in persons: 2 files, 2 phenomena Metropolitan: 1,900 files, 3 phenomena Organized Crime: 584 files, 4 phenomena</p>	

	Indicator 1.2.3. Existence of an institutional communication strategy	There is no strategy	A strategy exists	There is already a communication strategy		
Output 1.3 Strengthened capacities of the strategic criminal prosecution of the crimes of femicide, Trafficking in persons and violence against women and children of the Organized Crime of the Public Prosecutor's Office	Indicator 1.3.1 Effectiveness rate of the Prosecutor's Office of Women Affairs	17%	25%	22%	It is expected to reach the goal by the end of the Project.	
	Indicator 1.3.2 Effectiveness rate in the Unit of Trafficking in Persons	15%	25%	51.75%	The effectiveness rate of the Prosecutor Office Unit Against trafficking in persons until June 2013 is 51.75%, currently occupying the third place as the more effective Prosecutor's Office.	
Output 1.4 It was strengthened the Investigation Department of Sexual crimes, trafficking in persons, and Felonies related from the Specialized Division of the	Indicator 1.4.1 Number of cases in which the use the evidence generated through the new Management Model to be implemented in the Investigation Department of Sexual crimes, trafficking in persons, and Felonies related from the Specialized Division	0	25	77		

<p>Sub-Directorate of Criminal Investigation of the National Civilian Police (PNC) through the implementation of a Management Model of Criminal Investigation</p>	<p>of the Sub-Directorate of Criminal Investigation from the National Civilian Police (PNC)</p>					
<p>Output 1.5 Strengthened Inter-institutional coordination and external cooperation for the improvement of the criminal investigation and the criminal prosecution of the Public Prosecutor's Office, the National Institute of Forensic Science and the Ministry of Interior</p>	<p>Indicator 1.5.1 Number of new protocols established for the Inter-institutional collaboration on the matter of designed criminal investigation and criminal prosecution</p>	0	1	0	A consultant has been recently hired. It is expected to achieve the goal at the end of the project.	
	<p>Indicator 1.5.2 Number or accusations generated based on the collaborative work framed on the protocol on inter-institutional collaboration on criminal investigation and criminal prosecution</p>	0	100	0	It is necessary to end the protocol previously.	The protocol might not finished but until the end of the project. However, the models of INACIF and the Office of the Prosecutor's General for the procedures that shall be included in this Protocol have already been applied in more than 60 cases.