

## 2012 Common Humanitarian Fund for South Sudan

### CHF Reserve Application Template

For further CHF information please visit <http://unocha.org/south-sudan/financing/common-humanitarian-fund>  
or contact the CHF Technical Secretariat [CHFsouthsudan@un.org](mailto:CHFsouthsudan@un.org)

**Note:**

This application shall be submitted to the cluster coordinator and co-coordinator for the relevant cluster with copy to the CHF Technical Secretariat.

If the project is not already in the CAP a project sheet must also be prepared and submitted into OPS.

CHF Reserve No.	
Date Received:	
CAP Project	<input type="checkbox"/> Yes <input type="checkbox"/> No
Focal point:	

To be filled in by the CHF Technical Secretariat

CHF Reserve Grant Request Summary	
Requesting Organisation:	<b>UNOPS</b>
Project Title:	<b>Emergency Operation to address the Upper Nile Refugee Crisis</b>
Project Code (if CAP project):	N/A (to be registered in the CAP)
Cluster/Sector:	<b>Multi-Cluster</b>
Geographic areas of implementation (list State, County and if possible Payam. If the project is covering more than one State please indicate percentage per state):	<b>Upper Nile State, Maban County</b>
Total project budget:	<b>US\$1,500,000</b>
Amount requested from CHF Reserve:	<b>US\$1,500,000</b>
Project Duration (indicate number of months, starting date will be Allocation approval date):	<b>5 months, operations August – December 2012 3 months, operational &amp; financial closure January – March 2013</b>
Total number of beneficiaries targeted by the CHF Reserve grant request (disaggregated by sex/age):	<b>Total: 102,000 Women: 30,600 Girls: 24,480 Men: 22,440 Boys: 24,480<sup>1</sup> Others:</b>
Implementing partners (include those that will benefit/ sub-grant from CHF funding):	n.a.
Project Contact Details (Provide names, phone numbers, and emails of head of your organization, and the project focal person)	<p><b>Jan Raats</b>   Country Director   South Sudan Operations Centre Republic of South Sudan   Cell: +211 (0) 959 102 700  </p> <p><b>Nicholas Hodgson</b>   Project Manager   South Sudan Operation Juba, South Sudan   Cell: +211 (0) 955 751 367  </p> <p><b>Nicholas Hart</b>   Project Manager   South Sudan Operations Centre Jammam South Sudan   Cell: +211 (0) 956 601 394  </p>

<sup>1</sup> <http://data.unhcr.org/SouthSudan/region.php?id=25&country=251>



### A. Humanitarian Context (Context Analysis)

- In approximately 1,000 words briefly describe the humanitarian situation in the specific region/area where CHF Reserve activities are planned for with reference to assessments and key data, including the number and type of the affected population<sup>2</sup>.
- Also explain relation to the work of other partners in the area.

The South Sudan States of Upper Nile and Unity have witnessed an unexpected influx of over 100,000 refugees<sup>3</sup>. The refugees are fleeing from the ongoing conflict between the Sudanese Armed Forces and the Sudan People's liberation Movement North (SPLM-N) in Blue Nile State. More refugees, mainly women, children and elderly men are expected to escape the conflict and journey South into Upper Nile.

The Maban county refugees are concentrated in three main settlements, Doro (Bunj) hosting 42,000 persons, Jammam hosting 28,000 persons and Yusuf Batil, the latest settlement developed to receive 32,000 refugees. Clean drinking water, sanitation and access are critical factors in the survival of the refugees in the coming weeks and months. The existing camps had been set up during the dry season in areas prone to flooding and are subsequently gradually being inundated. Refugees are being relocated to some extent; however the overall sanitary conditions, rising waters and the extremely difficult access conditions are precursors for a looming humanitarian tragedy.

UNOPS's activities are tasked by the Logistics Cluster (Log cluster) and the local coordination team and support the efforts of UNHCR, WFP and NGO's by providing critical supplies and services to reach the refugees through repairs to the existing road infrastructure which improves the access and service provision to the camps. Though conditions are difficult UNOPS employs all efforts to continue works as long as it remains feasible to do so.

### B. Grant Request Justification

- In approximately 500 words describe why CHF Reserve funding is sought for this project, and why this particular activity is important. Explain why the activity is time critical and need rapid funding through the CHF Reserve.
- Confirm that your organization's internal reserves or other donor funds are not immediately available and/or appropriate to fund the proposed activities. Please provide information on which donors or what other funding sources have been approached.
- Briefly describe the value added by your organization
- Describe why this activity was not funded through the CHF standard allocation process, and what has changed since that process was completed to make this project emerge as a priority.

From May 2012 UNOPS have been tasked through the Logistics Cluster in coordination with all project and program partners to provide and deliver contracting and infrastructure maintenance services throughout the Maban area in support of the ongoing humanitarian response to the current refugee crisis. This has allowed UNOPS to keep the airstrip and roads to the camps open to deliver water, food and other supplies to the refugees. The UNOPS coordinated emergency repairs provide continued road access which remains critical to allow trucks and food supplies through.

The Upper Nile activities are currently funded through OFDA and ECHO. However these budgets are allocated for Log cluster tasking throughout South Sudan and expenditure categories are strictly defined. Both funds were programmed to deal with standard requests from the Log cluster and would be normally sufficient for standard programming up to December 2012. Additional CHF funding was therefore not deemed necessary in earlier allocation rounds. However with a disbursement rate of US\$800,000 per month the crisis in Upper Nile and Unity State demands additional funds to continue responding to the present needs.

The expected costs of a 5 months operation until December 2012 are 4 million. 2 million can be reallocated to Maban from existing funding. This request for additional funds and allow UNOPS to continue the operation until November December. The exact budgetary needs are difficult to predict but the 1.5 million new funding requested under this allocation will allow UNOPS to continue the operations in the following months.

This additional funding is required to provide more contracting and logistic capacity to project team and to contrive current activities in Maban beyond Mid August. The current UNOPS operation is overstretched with staff already spending two months without break in Maban as well as adequate backstopping capacity in Juba to cope with specifics of the refugee crisis.

The UNOPS Emergency Response Unit is currently implementing two other rapid infrastructure rehabilitation projects funded by OFDA and ECHO with a total 2012 budget of 5.2 million USD. In addition discussions are ongoing with various donors such as ECHO, UNHCR and CHF to obtain more funding for Maban.

<sup>2</sup> To the extent possible reference needs assessment findings and include key data such as mortality and morbidity rates and nutritional status, and how the data differs among specific groups and/or geographic regions. Refer situation/data/indicators to national and/or global standards.

<sup>3</sup> Source : Concept Note on Special Reserve Allocation for Refugee Responses 18 July, 2012



## C. Project Description

### **i) Purpose of the Grant**

In approximately 500 words, briefly describe how CHF funding will be used to support core humanitarian activities

The project plans to build on the existing activities funded by OFDA and ECHO. The local UNOPS team in Maban consist of 20 staff (see organigram) who run the activities through a combination of mechanised and labour based approaches. The choice for labour based is both driven by the lack of contractors and equipment in the Maban area as well as an effort to involve the refugees more actively and bring in some cash in the local economy. On an average day UNOPS employs a total of 100 labourers spreading gravel repairing culverts and drainage systems.

The project team will;

- In collaboration with the UN partners, to the extent possible, the access in the Maban area open to allow water, food and other services to reach the refugees
- To assist the UN partners, if required, in contracting services providing vital supplies such as water and fuel
- To re-enforce the existing project team in Maban and support team in Juba with the objective to maintain an effective operation adequately staffed and equipped to deal with the difficult field situation

### **ii) Objective**

The objective should be specific, measurable, achievable, relevant and time-bound.

To provide life saving support, mitigating the humanitarian current crisis, through project management, engineering, construction and assessment services to the Logistics Cluster and the wider Humanitarian Community, with the aim of securing emergency supply routes, upgrade access and provide contracting services.

### **iii) Proposed Activities**

List the main activities to be implemented with CHF Reserve funding. State the exact location of the operation (provide map if relevant). As much as possible link activities to the exact location of the operation and the corresponding number of direct beneficiaries.

The proposed funding will

- Support the ongoing spot repairs and infrastructure services with an estimated 4 months
- Support the contracting of water trucks, fuel supplies and other contractual services as required by the Log cluster.
- Encompass engineering works in the Maban area as defined by the South Sudan UNHCT and its partners including relevant NGO's in Maban. This will allow to effect spot repairs and keep the access roads open to maximum extent possible.
- Reinforce the task team in Maban by financing 80 months of staff
- Reinforce the operational support by allocating USD 332.000 to indirect support costs

This will impact directly on the estimated 100.000 refugees and the neighbouring communities in the Maban area.

### **iv) Cross Cutting Issues**

Briefly describe how cross-cutting issues are taken into consideration (i.e. gender, environment, HIV/AIDS)

UNOPS aims to ensure that both genders and vulnerable groups have an equal opportunity to share in the benefits gained by communities during the implementation of the support. Special attention will be given to gender in the distribution of aid in coordination with UN partners. UNOPS will sensitive its contractors to the needs of women and vulnerable groups in the area, and to UNOPS interest in promoting gender equality in the implementation of its projects

With regard to HIV AIDS UNOPS aims to ensure that the implementation of projects under CHF funding do not have the adverse affect of spreading infection of HIV/AIDS into host communities – especially as workers are expected to migrate to project sites from distant locations. This migration bears risks of spreading HIV/AIDS and UNOPS intends to actively work with its partners in the Maban area to see if the UN and its implementation partners can address this during the current crisis.

UNOPS aims to ensure that environmental impacts of all projects undertaken within the scope of CHF are minimized. UNOPS will specifically focus that the borrow pits areas area are made good and will be left in a safe condition.

The sustainability of the activities are limited due to the nature of the emergency works, however through the use of natural occurring local materials and local labour intensive road repairs will result less pressure on the environment and measure of income generation for the refugees.

### **v) Expected Outcomes**

List the results you expect to have at the end of the CHF grant period, and provide no more than three measurable indicators you will use to measure your achievement. Please use the defined CHF Standard Output Indicators whenever possible.





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	<b>Indicator</b>	<b>Target</b>
1	Km of road repaired and accessible for humanitarian response	100% of identified transport bottlenecks as indicated by the log cluster addressed
2	Number of airstrips repaired and accessible for humanitarian response	100% of identified transport bottlenecks as indicated by the log cluster addressed

**v) Implementation Plan**

Describe planned mechanisms for implementation of the project. Explain if it is implemented through implementing partners such as NGOs, government actors, or other outside contractors.

The UNOPS Emergency Response Unit (ERU) implements the tasking of the Logistic Cluster - South Sudan. The access needs of the humanitarian community are channeled through the cluster on a continual basis. Tasks in the Maban area vary daily due to the changing nature of the access needs of the humanitarian community and the rapid deterioration of road and airstrip conditions.

The HCT receives regular updates through the Logistics clusters on the progress of UNOPS. UNOPS continually feeds information into the Logistics Cluster and HCT concerning all humanitarian information.

UNOPS has currently a team of 20 staff in the Maban area. Normally teams of two follow up with the contractors or supervise labor intensive road repairs directly with groups of up to 100 daily workers.

**vii) Monitoring Plan**

Describe how you will monitor progress and achievements of the project.

UNOPS will implement the project in accordance with its rules and regulations and provide supervisory services, contract administration and project management services.

Under the previous CHF and OFDA funding, UNOPS has already established a supervisory technical and managerial team in Maban and Juba who interact locally with the Humanitarian Partners and will manage and supervise the contractors and daily laborers.

The team's mandate will be to manage the project through a structured management system based on the PRINCE2 project management methodology. The management functions during this period will be controlled by the Project Manager who is supported by a team of qualified professionals.

All procurement for this project will be done in accordance with the UNOPS procurement rules and a dedicated value chain and procurement expert has been included in the budget to comply adequately with Emergency Procurement Guideline. Audit requirements will be carried out as per UNOPS policies and procedures, ensuring best value for money. All activities will be conducted in close consultation with ROSS and the State authorities.

Project achievement and Log frame indicators will be tracked and monitored by the field team and backstopped by the M&E officer in coordination with CHF.

UNOPS has recently launched a partner site (<https://partner.unops.org/en/default.aspx>) which groups the different reports, logs and project documentation. UNOPS would be pleased to work and interact with the CHF M&R unit to improve the monitoring in a systematic way.

**D. Secured funding**

Please provide details of secured funds for the project from other sources. Indicate the date (month and year) when the funding was secured.

<b>Source/donor and date</b>	<b>Amount (USD)</b>

**SECTION III:**

<b>LOGFRAME</b>		<b>Project title: Emergency operation to address the Upper Nile refugee crisis</b>	<b>Organisation: UNOPS</b>
<b>CHF Ref. No. or CAP code: .....</b>			
<b>Overall Objective:</b> To mitigate the acute humanitarian crisis	<b>Indicators of progress:</b> Overall program death rate below 10 % (SPHERE standards)	<b>How indicators will be measured:</b> MSF and UNHCR data	
<b>Specific Project Objectives:</b> Reduction in morbidity and mortality due to acute humanitarian needs.	<b>Indicators of progress:</b> Objective 1-1. Communicable disease outbreaks detected and responded to within 72 hours* Objective 1-2. Children admitted/treated for severe acute malnourished (boys/girls)*	<b>How indicators will be measured:</b> Objective 1-1. Logistic cluster minutes, situation report Objective 1-2. MSF report, logistic cluster situation report	<b>Assumptions &amp; risks:</b> Security and health conditions do not deteriorate
<b>Results - Outputs (tangible) and Outcomes (intangible):</b>	<b>Indicators of progress:</b>	<b>How indicators will be measured:</b>	<b>Assumptions &amp; risks:</b>
Output 1. Accessible road for humanitarian response	Output 1. 100% of identified transport bottlenecks addressed	Output 1. Minutes of logistic cluster (# of identified transport bottlenecks), and UNOPS activity reports (# of bottlenecks addressed)	Output assumptions Qualified contractor available Sufficient manpower available Flooding does not impede works Fuel and inputs obtainable
Output 2. Accessible airstrip for humanitarian response	Output 2. 100% of identified transport bottlenecks addressed	Output 2. Minutes of logistic cluster (# of identified transport bottlenecks), and UNOPS activity reports (# of bottlenecks addressed)	
<b>Outcomes</b> Physical access expanded for humanitarian organizations into crisis areas	Outcome 1-1. Number of people provided with food assistance (Male/Female)*	Outcome 1-1. WFP monthly report	<b>Outcome Assumptions</b>
Outcome 1. Food item distributed to refugees due to the accessible road/airstrip	Outcome 1-2. Quantity of food distributed (MT)*	Outcome 1-2. WFP monthly report	Security remains Sufficient funding and timely fund allocations for humanitarian organizations
Outcome 2. Water service provided to refugees due to the accessible road/airstrip	Outcome 2-1. Number of people provided with sustained access to safe water supply (Male/Female/Boy/Girl)*	Outcome 2-1. UNHCR monthly report	
Outcome 3. Humanitarian cargo moved due to the accessible airstrip	Outcome 3-1. Humanitarian cargo moved by air (MT)*	Outcome 3-1. Logistic cluster minutes	





<b>LOGFRAME</b>	
CHF Ref. No. or CAP code: .....	Project title: <b>Emergency operation to address the Upper Nile refugee crisis</b>
<b>Activities:</b> Activities 1. Road spots repair Activities 2. Culverts, bridge repair on road Activities 3. Airstrip spots repair	<b>Inputs:</b> Staff 90 staff months USD 1.500.000 additional funding from the CHF Special Reserve
	<b>Organisation: UNOPS</b> <b>Assumptions, risks and pre-conditions:</b> <ul style="list-style-type: none"> <li>• Timely and accurate needs assessments are compiled and shared</li> <li>• Humanitarian actors have access to funding</li> </ul>

**PROJECT WORK PLAN**

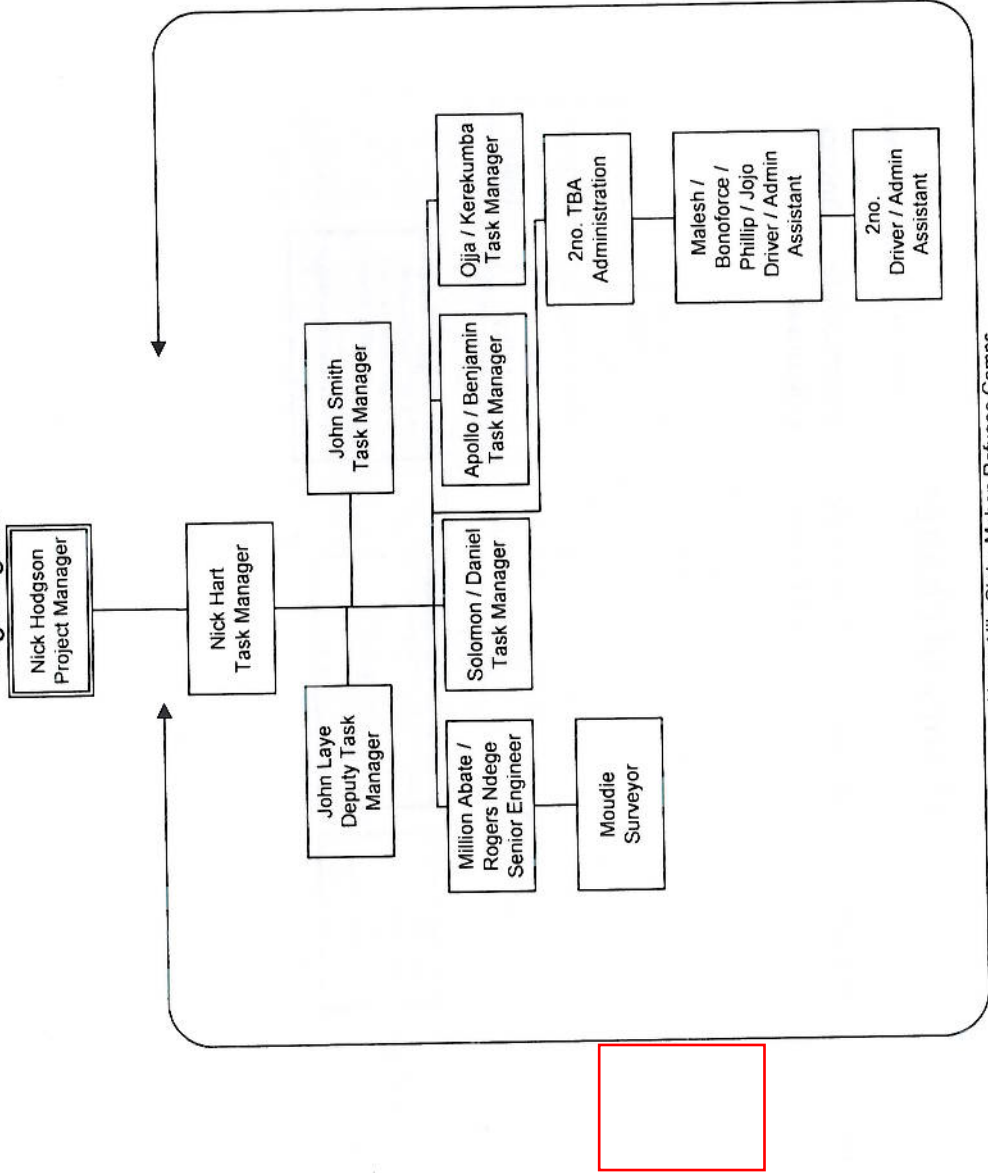
This section must include a workplan with clear indication of the specific timeline for each main activity and sub-activity (if applicable). The workplan must be outlined with reference to the quarters of the calendar year.

Activity	Q1 / 2012			Q2 / 2012			Q3 / 2012			Q4 / 2012			Q1. / 2013		
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar
<b>Result 1</b>															
Activity (1.1) Humanitarian Access provision							X	X	X	X	X				
<b>Result 2</b>															
Activity (2.1) Project closure												X	X	X	X

\*: TIMELINE FOR EACH SPECIFIC ACTIVITY MUST BE MARKED WITH AN X AND SHADED GREY 15%

# UNOPS ERU Maban

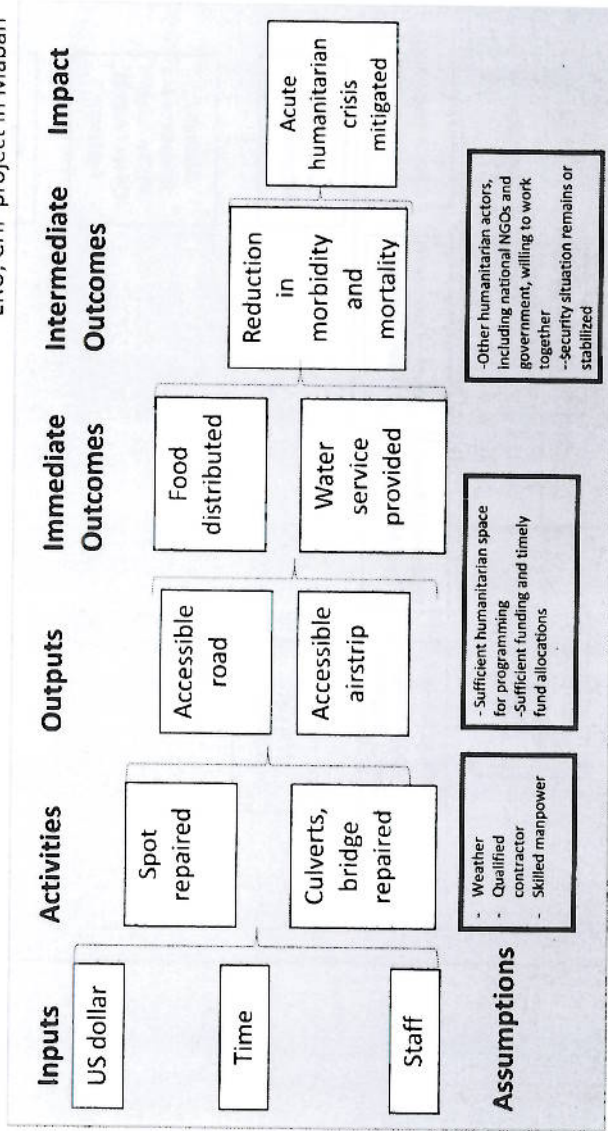
## Organogram



Upper Nile State, Maban Refugee Camps

# Theory of Change

ERU, CHF project in Maban





Total Estimated Budget USD 1,500,000

PART I							
Items Description (Insert more budget line rows as needed)	** Cost Type D or I	Unit	Qty	Unit Cost	Total Cost (USD)	*Other secured funding	
<b>1</b> SUPPLIES/COMMODITIES/EQUIPMENT/TRANSPORT (please itemize expendable operational inputs including asset purchases)							
1.1						-	
<i>Sub-total SUPPLIES, COMMODITIES...</i>					0	-	
<b>2</b> PERSONNEL (provide detailed information on responsibility/title, post location and the percentage dedicated to the CHF project)							
2.1 Deputy Project Manager (Upper Nile, 100%)	D	1.2	4.0	\$ 10,085	48,409	-	
2.2 Procurement and Supply Chain Specialist (100%)	D	1	1.0	\$ 15,860	15,860	-	
2.3 Senior Project Engineer (Upper Nile 100%)	D	1.2	4.0	\$ 9,560	45,889	-	
2.4 Assistant Engineer (Upper Nile 100%)	D	13.2	4.0	\$ 1,511	79,778	-	
2.5 Driver (Upper Nile 100%)	D	4.8	4.0	\$ 873	16,753	-	
2.6 National Finance and Adm Officer (Juba 100%)	I	1	5.0	\$ 2,272	11,360	-	
<i>Sub-total PERSONNEL COSTS</i>					218,050	-	
<b>3</b> STAFF TRAVEL (Flights, DSA, Perdiem, Terminals - Provide detailed description of staff members title, post location ...)							
3.1 DSA and hazard pay Deputy Project Manager (Upper Nile)	D	1.2	108.0	\$ 80	8,640		
3.2 DSA and hazard pay Procurement and Supply Chain Specialist (Upper Nile)	D	1	30.0	\$ 80	2,400		
3.3 DSA and hazard pay Senior Project Engineer (Upper Nile)	D	1.2	108.0	\$ 80	8,640		
3.4 DSA and hazard pay Assistant Engineer (Upper Nile)	D	13.2	1,425.6	\$ 80	114,048		
3.5 DSA and hazard pay Driver (Upper Nile)	D	4	336.0	\$ 80	26,880		
3.6 Flights Deputy Project Manager (Upper Nile)	D	1	6.0	\$ 220	1,320	-	
3.7 Flights Assistant Engineers (Upper Nile)	D	11	46.2	\$ 220	10,164		
3.8 Flights Drivers (Upper Nile)	D	4	16.8	\$ 220	3,696		
<i>Sub-total STAFF TRAVEL</i>					175,788	-	
<b>4</b> TRAININGS, WORKSHOPS, SEMINARS, CAMPAIGNS - (Describe type of training, number of participants, location, duration)							
4.1					0		
<i>Sub-total TRAINING, WORKSHOPS...</i>					0	-	
<b>5</b> CONTRACTS (Specialized services for the project provided by outside contractors or partners/NGOs)							
5.1 Contract with Kano							
5.2 Kano Excavator	D	1	29.0	\$ 950	27,550		
5.3 Kano truck	D	24	29.1	\$ 850	594,317		
Contract with Neem					0		
5.4 Grader	D	2	30.0	\$ 938	56,250		
5.5 Excavator	D	4	30	937.5	112,500		
5.6 Roller	D	2	30	437.5	26,250		
5.7 4x4 Pickups	D	3	30	312.5	28,125		
5.8 Water Tankers	D	2	30	625	37,500		
Labor							
5.9 Daily labor	D	300	30.0	9.0	81,000		
<i>Sub-total CONTRACTS</i>					963,492	-	
<b>6</b> VEHICLE OPERATING & MAINTENANCE COSTS (provide detailed information on item/activity, location)							
6.1 Moss compliance vehicles	D	1	8.0	\$ 4,942	39,539		
<i>Sub-total VEHICLE OPERATING &amp; MAINTENANCE COSTS</i>					39,539	-	
<b>7</b> OFFICE EQUIPMENT & COMMUNICATIONS (provide detailed information on item/activity, location)							
7.1 Communications air time, cell and thuraya	D	1	80.0	\$ 25	2,000		
<i>Sub-total OFFICE EQUIP. &amp; COMMUNICATIONS</i>					2,000	-	
<b>8</b> OTHER COSTS (e.g. bank charges, fuel for office generator) - (provide itemized description of costs)							
8.1 Visibility, clothing, safety gear	D	1	1.0	\$ 3,000	3,000		
<i>Sub-total OTHER COSTS</i>					3,000	-	
<b>(A) SUBTOTAL Project Costs</b>					1,401,869	-	
<b>(B) Programme Support costs</b>	I		% PSC rate>>	7%	98,131		
<b>(C) AUDIT COSTS for NGO implemented projects</b>	I				0		
NOT LESS THAN 1% of the Project Costs(A) and PSC(B)							
<b>GRAND TOTAL (A+B+C)</b>					1,500,000	-	