



EBOLA RESPONSE MULTI-PARTNER TRUST FUND PROPOSAL

Proposal Title: Support to the government to setup and manage a dedicated financial mechanism to capitalize on New York pledging Conference commitments	Recipient UN Organization(s): UNDP Guinea
Proposal Contacts: Lionel Laurens <i>UNDP Country Director</i> Maison Commune Coléah Commune de Matam BP: 222 Conakry République de Guinée Telephone: +224 624 61 76 71 E-mail: lionel.laurens@undp.org Marc Wajnsztok <i>Senior Programme Coordinator Ebola Response & Recovery</i> UNDP Guinée Maison Commune Coléah Commune de Matam BP: 222 Conakry République de Guinée Telephone: +224 628 33 47 68 E-mail: marc.wajnsztok@undp.org	Implementing Partner(s) – name & type (Government, CSO, etc.): <ul style="list-style-type: none"> UNDP Guinea Ministry of Finance Prime Minister Cabinet Permanent Secretariat of the Consultative Framework between the Government and the donor community (“<i>cadre de concertation</i>”)
Proposal Location (country): Please select one from the following <input checked="" type="checkbox"/> Guinea <input type="checkbox"/> Liberia <input type="checkbox"/> Sierra Leone <input type="checkbox"/> Common Services	Proposal Location (provinces): Conakry
Project Description: This project is designed to strengthen the capacities the Government of Guinea for the establishment of a financial mechanism to ensure the efficient and effective mobilization of the resources pledged to Guinea during the New York conference on July 9-10, 2015.	Requested amount: \$ 247,915 USD Total requested amount: \$ 247,915 USD Other sources of funding of this proposal: None Government Input: Start Date: October 1 st , 2015 End Date: January 31 st , 2016 Total duration (in months): 4 months

RECOVERY STRATEGIC OBJECTIVES (RSOs) to which the proposal is contributing. For reporting purposes, each proposal could contribute to one RSO. For proposals responding to multiple RSO please select the primary RSO to which the proposal is contributing to.

- ☐ Health, Nutrition, and Water, Sanitation and Hygiene (WASH)
☐ Socio-Economic Revitalization
☐ Basic Services and Infrastructure
☒ **Governance, Peacebuilding, and Social Cohesion**

Recipient UN Organization(s)¹ UNDP	Management Committee Chair:
Name of UNDP Representative Lionel Laurens , UNDP Country Director. <i>Signature</i>	D. David Nabarro <i>Signature</i>
<i>Date & Seal</i>	<i>Date:</i> 21 October 2015

¹ If there is more than one RUNO in this project, additional signature boxes should be included so that there is one for every RUNO.

a) Rationale for this project:

Guinea, alongside Sierra Leone and Liberia have undertaken remarkable efforts to fight against the devastating Ebola outbreak. As a result of those efforts and the support of the international community, the affected countries have seen a significant decline in the number of new cases. Recovery must now be pursued as part of the goal of "getting to zero and staying at zero," as the response changes from emergency operations to multi-faceted, long-term support.

To this end, the New York conference held on July 9-10th, in cooperation with the Governments of Guinea, Liberia and Sierra Leone, and in partnership with the African Union, the African Development Bank, the European Union and the World Bank, took place to ensure that recovery efforts go beyond restoring direct development losses to build back better and ensure greater resilience.

It focused international attention on the need for targeted investments to support recovery priorities (especially on the health sector and governance) over a 24-month time frame. The outcomes include increased international support to the affected countries and region, with concrete financial commitments to support national and regional recovery strategies.

With the total resources pledge for the recovery of the Ebola-affected countries and region amounting to around five billion dollars with 700 million for Guinea, ensuring the concretization of those commitments is primordial.

The approach favored by the Guinean Government as part of the implementation of the *Post-Ebola Priority Action Plan* is based on the principle of mutual accountability with development partners (Mutual accountability framework).

To better coordinate the multiplicity of financing streams pledged at the July Ebola Conference, the Government of Guinea is proposing to establish a dedicated financing mechanism to finance the national recovery strategy that provides coherence, speed and flexibility as well as full transparency and accountability.

This project aims at reinforcing the capacities of the Government of Guinea in order to

- I. Identify the most suitable architecture for a national financing mechanism for Ebola Recovery within the Guinean context to meet Government expectations and priorities in consultation with Development Partners and based on international best practices;
- II. Identify the national financing mechanism's objectives and priority areas of interventions together with all stakeholders (*line ministries, development partners, civil society and private sector*);
- III. Design the preferred funding mechanism and define its governance structure in order to ensure efficiency and effectiveness as well as adequate oversight - Further define the roles and interactions of key actors *e.g. Steering Board, Technical Secretariat, Fund Administrator, Implementing Entities*
- IV. Establish the preferred funding mechanism with its governance structure *and* define homogenous and standard procedures *e.g. channeling funds from donors, allocating resources, vetting of proposals, ensuring record keeping of all transactions etc.*;
- V. Operationalize the Financing Mechanism, inform key actors and apply standard procedures to enhance transparency and gain credibility for resource mobilization acceleration;
- VI. Strengthen existing mechanisms and procedures to effectively coordinate and keep track of all funding and assistance.

2.
Taking note of existing coordination and management mechanisms in Liberia, Sierra Leone, and Guinea, the proposed project will also generate regular updated consolidated overview reports of

all support streams through the undertaking of a comprehensive review of development assistance resources pledged and mobilized to date. It will also provide recommendations on strengthening the mechanisms for tracking and monitoring recovery support to the Ebola affected areas.

Key Counterparts.

The project will directly support the Permanent Secretariat of the Consultation Framework between the Government and the donor community, which oversees the implementation of the Post Ebola Priority Action Plan. The Consultation Framework is co-chaired by the Prime Minister and the UN Resident Coordinator in Guinea. The Permanent Secretariat ensures the consolidation of the contributions from eight Cluster Thematic Groups covering the different sectors of the Post Ebola Priority Action Plan (PAPP). The Permanent Secretariat is chaired by the Special Advisor of the Prime Minister with membership of the Ministry of Finance.

The chart on next page below shows the Guinean implementation scheme of the post Ebola recovery strategy.

It is expected that the Permanent Secretariat will designate a Technical Core Team to get involved full-time with project implementation and that will remain within the governance structure of the future financing mechanism. This Technical Core Team's capacity will continue to be reinforced once the financing mechanism is operationalized and is mobilizing resources. It is anticipated that the Steering Board may decide to allocate an annual allocation to further support the capacity development of its key Governing Bodies.

Project Support

The setting up of the financial mechanism and its governing bodies will require key international and national experts and specialized consultants that will assist the Permanent Secretariat in undertaking each planned activity. National expertise will ensure relevance, feasibility and compliance with the legal and regulatory framework in Guinea.

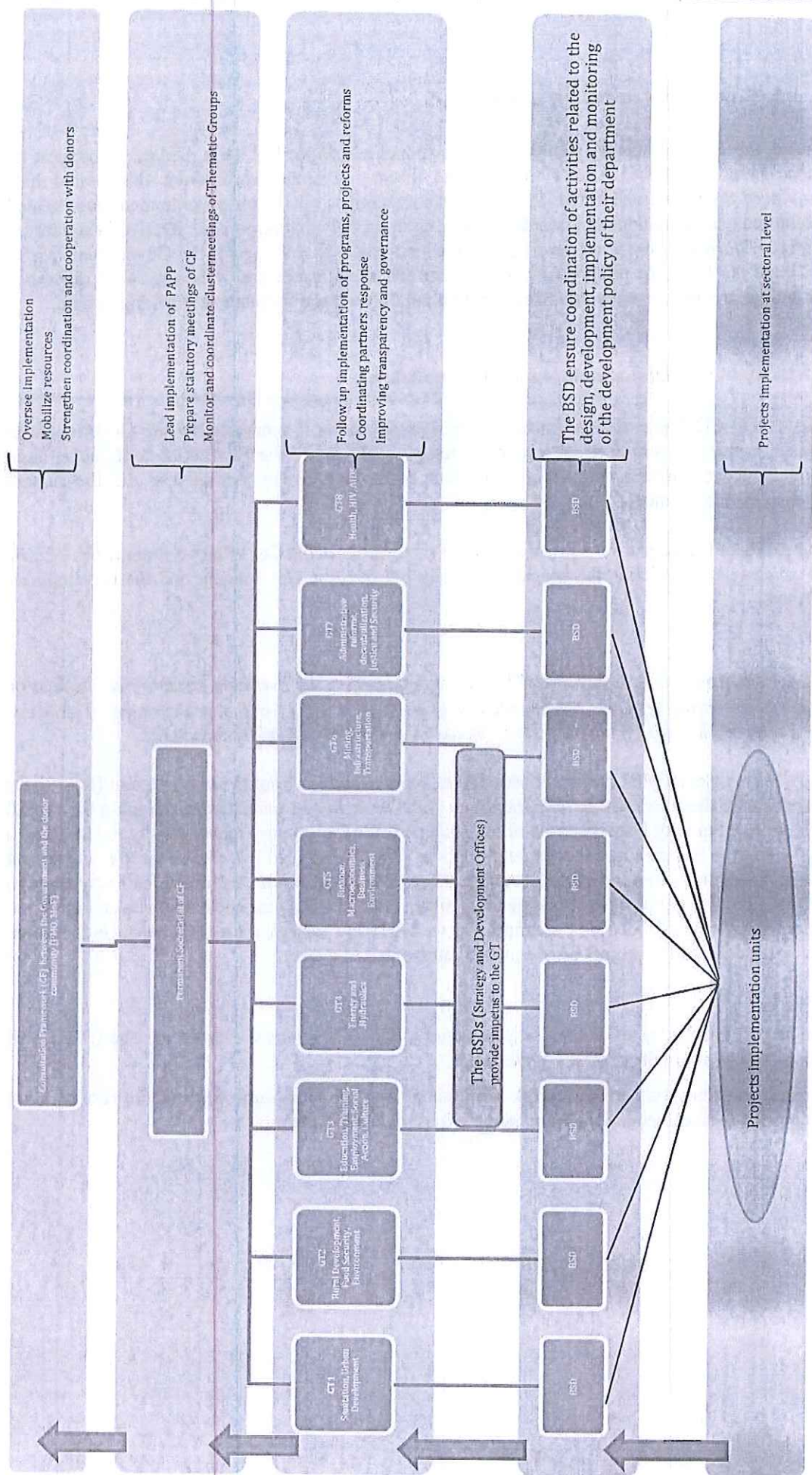
The Project will combine international and national expertise as described below:

- (i) One National Project Coordinator and a Senior Institutional Development Advisor will be embedded for four months in the Permanent Secretariat. Together, they will manage the project, including hiring and coordinating required expertise, organizing workshops and training sessions, ensuring timely reporting. This set up will enhance national ownership and sustainability of the project outputs.
- (ii) One international expert for three weeks for activities I, II and III described above, that comprise initial consultation, and agreed design and architecture for the national financing mechanism and proposed governance structure
- (iii) One Specialized international and one national consultants for two months to strengthen the capacity of the Technical Core Team:
 - To establish a funding mechanism and its governance structure
 - To develop the SOPs and operational manuals and operationalize

The training activities planned in the project will include in-country workshops and training sessions as well as participation at sub-regional workshop on pooled funding options for Manu River Union (MRU) countries.

Similarly, the project will organize for Government officials from Prime Minister Office and Ministry of Finance a study tour on best practices experiences in establishing special financing windows for recovery strategies. Government representatives have expressed interest for two African experiences: Mali and Somalia.

Guinea: Implementation Scheme of the Post Ebola Recovery Strategy (PAPP)



b) Coherence with existing projects:

During the Ebola outbreak, significant international assistance has been pledged to support the Government of Guinea efforts to fight the disease. Lessons learnt from this period have convinced the Government that donor's assistance needs to be better programmed, coordinated, monitored and communicated in order to be eventually fully disbursed and effective in supporting the beneficiary country to achieve its development goals. In this regard, the Government wishes to create the basis for promoting a transparent and accountable use of international assistance, including, in due course the establishment of a publicly accessible financial tracking system.

Capacity of RUNO(s) and implementing partners:

The UNDP CO has sufficient knowledge and expertise as it accompanied the Government of Guinea in the preparation of its recovery strategy, took part in the New York conference, has a comprehensive understanding of the different fund management instruments and the finance mechanism requirements.

The Resident Coordinator co-chairs with the Prime Minister the Consultative Framework "*Cadre de concertation*" which is the consultative body between the Government and the Development Partners.

c) Proposal management: *This section identifies the oversight structure or mechanism responsible for the effective implementation of the project and for the achievement of expected results. If need be, an organogram can be included to help understand the structures.*

A Project Board (PB) will be constituted of representatives from the Government (Permanent Secretariat of the "*cadre de concertation*") and UNDP. It will be mandated to maintain the overall oversight and quality insurance role of the project, while the primary responsibility for M&E will rest within the Programme Management Support Unit (PMSU) led by results-based management / M&E specialists from the UNDP country office. The PB will hold a meeting half way through the duration of the project to strengthen oversight, establish progress and review risks, issues and strategies. As part of UNDP's commitment to delivering results, there will be an independent final evaluation of the project before the end of the project cycle.

The project will be jointly led and implemented by UNDP and the Government. The UNCT will be kept informed on the progress of the project.

Through the Consultative Framework with the donors, the Government will share results, main findings and recommendations from the activities planned in the project.

9.

d) Risk management:

Risk management matrix

Risks to the achievement of SO in targeted area	Likelihood of occurrence (high, medium, low)	Severity of risk impact (high, medium, low)	Mitigating Strategy (and Person/Unit responsible)
Donors fall short on their pledges and the expected resources are not mobilized	Medium	High	The Government and the Donor's Community have agreed on continuous consultation framework with regular meetings dedicated to the follow up on the implementation of the Recovery and Resilience Programme. The high priority is given to the issues of resources mobilization, resources allocation and projects monitoring. Responsible: Prime Minister Office (PMO), Ministry of Finance (MoF), Permanent Secretariat (SP) of Consultation Framework
Weak capacity of Government institutions	Medium	Medium	The training sessions and workshops on aid coordination, external assistance management and SOP will contribute to capacity building. In addition, the benchmarking and best practices from neighboring and other African countries will play an essential role. Responsible: PMO, MoF, SP, UNDP
Lack of Sustainability	Low	Medium	Based on the experience drawn from the initiatives during Ebola outbreak response, the Government has shown its keen orientation towards better managing and efficiently allocating external assistance resources in order to accelerate recovery and resilience. The Government aims to guarantee Government-led coordination and ownership as well as managed aid flows and results tracking system. Responsible: PMO, MoF, SP, UNDP will actively participate in all workshops and will work closely with the government to ensure sustainability.

e) Monitoring & Evaluation:

Every intervention/project is subject to risk analysis in accordance with UNDP's internal procedures and subject to its monitoring and evaluation (M&E) procedures, with designated staff responsible for the implementation of an appropriate and specific M&E plan.

The project manager, in close collaboration with UNDP M&E specialists, will be responsible for monitoring the project's contribution towards the crisis response outcome by ensuring that: (i) generated outputs contribute toward desired outcomes; (ii) each constituent activity produces the envisaged results in an efficient manner as per the overall development plan and the corresponding work plan; and (iii) decisions of the project are based on facts and evidence, and lessons learnt are systematically captured to potentially add value to the project

PROPOSAL RESULT MATRIX


Proposal Title: Support to the Government of Guinea to setup and manage financial mechanisms for Ebola recovery pledges.						
Strategic Objective to which Proposal is contributing ²						
Mission Critical Action [8]: Recovery and Economy						
Result Indicators	Geographical Area (where proposal will directly operate)	Baseline ³ In the exact area of operation	Planned activities	Target	Means of verification	Responsible Org.
Resources deposited into the National Trust Fund as % of total pledges mobilized	Conakry	0 %		20 % of specific pledges to Guinea (which means tentative amount of 140 Million\$)	M&E Reports from the Consultation Framework, New York Conference Follow Up Reports, Guinea CO Reports	UNDP
Input Indicators	Geographical Area	Target ⁴				
Input 1: Support provided to the Permanent Secretariat on Ebola Recovery Financing Options						
Ebola Recovery Financing Options are shared within the Government and with the donors	Conakry	- Organize workshops on resources mobilization strategies and approaches - Study the different options of fund management instruments for Ebola recovery (On-Budget			M&E Reports from the Consultation Framework, New York Conference Follow Up Reports, MPTF Office Reporting, Guinea	UNDP

² Proposal can only contribute to one Strategic Objective

³ If data are not available please explain how they will be collected.

⁴ Assuming a ZERO Baseline

<p>Number of Staff trained from the Permanent Secretariat and the Thematic Groups</p> <p>South South exposure visits on best practices experiences in establishing special financing windows for recovery strategies</p>		<p>Support, Off-Budget Support, Basket Fund, Pooled Funding)</p> <p>- Conduct consultations within Government Ministries and with Donors</p>	<p>30</p> <p>2 Study tour for 4 Government officials from Prime Minister Office and Ministry of Finance</p>	CO	
<p>Input 2: Finance mechanisms setup and operationalized</p> <p>Develop draft Terms of Reference for National Fund and negotiate with donors its establishment.</p> <p>Identify the most suitable financing mechanism for Ebola Recovery within the Guinean context to meet Government expectations and priorities in consultation with Development Partners and based on international best practices</p>	Conakry	<p>- Define the guiding principles, the key features and the scope of the selected interventions</p>	<p>One international expert for three weeks that comprise initial consultation, and agreed design and architecture</p>	<p>M&E Reports from the Consultation Framework, New York Conference Follow Up Reports, MPTF Office Reporting, Guinea CO</p> <p>UNDP</p>	
<p>Report to set-up Secretariat of</p>	Conakry	<p>- Governance structure of the</p>		M&E Reports from	UNDP

<p>Pooled Fund mechanism</p>  <p>Training of Technical Core Team from the Permanent Secretariat</p>		<p>pooled funding mechanism with the steering Committee and a Technical Secretariat within the Permanent Secretariat of the Consultation Framework (Prime Minister Office)</p>	<p>Technical Team of 4 staff involved full-time with project implementation</p>	<p>the Consultation Framework, New York Conference Follow Up Reports, MPTF Office Reporting</p>	
<p>rational Manual Developed SOPs</p> <p>cators: The SOPs are developed with the Technical Core Team from the Permanent Secretariat</p> <p>The Technical Core Team Staff is appropriately trained on Strategic Planning & Programme Design, Procurement, Monitoring and Evaluation</p>		<ul style="list-style-type: none"> - Agree on the components of the finance mechanism (Steering Board, Trustee, Implementing entities) - Finalize the membership and responsibilities of Steering Board and Trustee - Establish SOPs deriving for each financing window from the architecture 	<p>One Specialized international and one national consultants for two months</p> <p>Technical Team of 4 staff involved full-time with project implementation</p>	<p>M&E Reports from the Consultation Framework, New York Conference Follow Up Reports, MPTF Office Reporting</p>	<p>UNDP</p>

<p>st Fund governance cture, oversight and ponents are approved with donors and Fund is launched</p> <p><u>cator:</u> Number of contribution agreements with contributors/donors</p>	<ul style="list-style-type: none"> - Memorandum of Agreement with the Government - Standard contribution agreement with contributors/donors - Memorandum of understanding with UN Agencies 	<p>4 by January 2016</p>	<p>M&E Reports from the Consultation Framework, New York Conference Follow Up Reports, MPTF Office Reporting, Guinea CO</p>	<p>UNDP</p>
<p>Input 3: Government Resource Mobilization function is strengthened</p>				
<p>rdination mechanisms are rging to support recovery rts and are efficiently used he Coordination Framework its Permanent Secretariat</p> <p><u>cator:</u> The Permanent Secretariat produces a monthly brief on</p>	<p>Conakry</p> <ul style="list-style-type: none"> - Review processes, systems and capacities currently in place in the Government for aid management that can be leveraged immediately to meet the Government's most urgent aid coordination needs - Provide strategic advice on Aid Information Management Systems - Support the design and acquisition of appropriate software and ensure that they are shared and endorsed - Identify capacity requirements to implement, manage and sustain the system beyond initial emergency support phases 		<p>M&E Reports from the Consultation Framework, New York Conference Follow Up Reports, MPTF Office Reporting, Donors Reports</p>	<p>UNDP</p>

mapping of resources mobilization opportunities		First monthly brief in December 2015		
<p>Thematic Groups functional and Delivery Unit designed within Permanent Secretariat of the Consultative Framework between the Government and the Local Community</p> <p><u>Indicator:</u> Number of Thematic Groups (TG) and Delivery Units (DU) fully operational</p>	Conakry	<ul style="list-style-type: none"> - Finalize and validate TORs of Thematic Groups and the Delivery Unit - Produce an action plan and list of deliverables by the eight Thematic Groups and the Delivery Unit Define a monitoring and evaluation training programme and start implementation 	4 TG and 4 DU	<p>M&E Reports from the Consultation Framework, New York Conference Follow Up Reports, Guinea CO Reports</p>

Project budget by UN categories

Categories	Amount	Details (see detailed budget attached)
1. Staff and other personnel	\$110,696	Salaries for project staff.
2. Supplies/commodities/materials	\$12,500	Computers and office supplies
3. Equipment, Vehicles, and Furniture, incl. Depreciation (include details)		
4. Contractual services	\$63,500	Consultancies, other services and per-diems for project activities.
5. Capacity Building	\$19,500	Training and workshop
6. South South Exposure visits	\$25,500	Sub-regional workshop, best practices experiences, M&E missions.
7. Transfers and Grants to Counterparts (include details)		
Sub Total (\$ USD)	\$231,696	
Indirect Support Costs (7% for UNDP)	\$16,219	GMS
TOTAL (\$ USD)	\$247,915	

* The rate shall not exceed 7% of the total of categories 1-7, as specified in the Ebola Response MOU and should follow the rules and guidelines of each recipient organization. Note that Agency-incurred direct project implementation costs should be charged to the relevant budget line, according to the Agency's regulations, rules and procedures.

1.

Project: Support to the Government of Guinea to setup and manage financial mechanisms for Ebola recovery pledges.

Staff and Other personnel	Unit	Qty	Unit Price (USD)	Total	Comments	Agency
1 National Project Coordinator	Month	4	\$6 000	\$24 000		UNDP
1 Senior Institutional Development Advisor (PS)	Month	4	\$21 674	\$86 696		UNDP
Total Staff and Other personnel				\$110 696		
Equipment						
Equipment, supplies, materials and communication	Count	1	\$12 500	\$12 500	Computers and office equipment	UNDP
Total Equipment				\$12 500		
Contractual services						
1 International Expert	Week	3	\$6 500	\$19 500		UNDP
1 Specialized International Consultant SOPs	Month	2	\$16 000	\$32 000		UNDP
1 National Consultant SOPs	Month	2	\$6 000	\$12 000		UNDP
Total Contractual services				\$63 500		
Workshop and Consultation						
Capacity Building	Count	3	\$6 500	\$19 500	2 Workshops and 3 training sessions	UNDP
Total Workshop				\$19 500		
South South Exposure visits						
MRU and other best practices experiences		3	\$8 500	\$25 500	Air travel, DSA, etc..	UNDP
Total Travel				\$25 500		
Sub Total				\$231 696		
GMS (7%)				\$16 219		
TOTAL PROJECT COSTS				\$247 915		