

CONTACT GROUP
ON PIRACY OFF THE COAST OF SOMALIA

مجموعة الاتصال الخاصة بالقراصنة قبالة السواحل الصومالية • Groupe de contact sur la piraterie au large de la Somalie
 Grupo de Contacto sobre la Piratería frente a costas de Somalia • 打击索马里海域海盗联络组
 Контактная группа по борьбе с пиратством у берегов Сомали


**TRUST FUND TO SUPPORT INITIATIVES OF STATES
 COUNTERING PIRACY OFF THE COAST OF SOMALIA**
PROJECT DOCUMENT

A. PROJECT OVERVIEW	
1. Requesting Recipient UN Organization (s), indicate the Lead Agency if Joint Programme	UNODC
2. Project/Joint Programme Title	Hostage Support Programme (Top Up II)
3. Duration	18 months (extendable)
4. Estimated Starting Date	01 June 2014
5. Location	East Africa / Indian Ocean
6. Application to Window of Fund	Window A <input type="checkbox"/> Window B <input checked="" type="checkbox"/>
7. Focus Area	1. Support to hostages taken at sea by Somali pirates 2. Lessons learned and applied for Shipping and Security Sectors
8. Overall Budget (needed to implement the entire project) in USD	\$130,000
9. Budget requested from Trust Fund in USD	\$130,000
10. Project/Joint Programme indirect costs and percentage of total programme costs	\$8,505
11. Bilateral/Multilateral contributions in USD	Nil

12. Brief Description
Background:

The Programme has been supporting released piracy hostages with basic needs including medical care, food, clothes and getting in contact with their families and diplomatic representation. Follow up with the released hostages has been conducted through interviews and collection of information for the creation of both hostages database and SOPs for humanitarian incident management. This submission to the Trust Fund seeks funding to continue the contracts of two contractors to support the UNODC HSP's support to victims of piracy.

B. SITUATION ANALYSIS AND JUSTIFICATION

13. Problem Analysis

Background: Although rates of the piracy off the Horn of Africa are currently ebbing, the important work of the Hostage Support Programme continues with as much urgency as ever. Forty-nine victims of piracy are currently known to be held hostage on land, with potentially another 41 at sea on captured dhows used as “mother ships”. Another 11 victims who have been kidnapped by Al Shabaab are believed to be held by groups with piracy links.

Support through the Hostage Support Programme includes:

Immediate Care:

- Provide immediate medical care and post-traumatic stress counseling in Somalia
- Provision of accommodation, food, clothes and welfare items while in Somalia
- Means to communicate with family
- Initial debrief by police investigators if agreed

Support to Repatriation:

- Liaise with diplomatic representations to arrange repatriation
- Identification of hostages and their repatriation options (sometimes there are reasons the hostage cannot be returned to their claimed country – eg war in Syria; Thai crew who were actually from Myanmar and thus not recognized as Thai nationals)
- Arrange issuing of temporary passports and documentation to allow released hostages to return home
- Arrange and fund return flights (if not provided by the member state or original ship owner).

Wider Support to Hostages Held in Somalia:

- Liaison with IMB, UKMTO, EUNAVFOR and others on all ships taken for crew details
- Identify those ships ransomed and where crew are retained by the pirates
- Establish network in Somalia to help monitor hostages location and help in release situations taking exceptional care not to prejudice or interfere with other efforts that may be underway to secure such releases
- Track through open source and intelligence channels and with Somali contacts on the ground all the individual hostages
- Liaison with EUNAVFOR and NATO (and IMB where they are reported) all fishing boats taken as motherships and establish a notification system to give alert in the event of a release of a mothership comprising also any data on the crew if known.

Current situation: The HSP is currently closely monitoring the status of the victims of the vessel MV Albedo, which was hijacked by Somali pirates in November 2010. On 6 July 2013, the MV Albedo sank and four of its crew have been missing since. A fishing vessel called the FV Naham 3 had been tied astern of the MV Albedo. Eventually the FV Naham 3 ran aground near Hobyo, 27 crew were transferred ashore and remain as hostage.

Despite the hazardous and fragile condition of the vessel, 15 seafarers were kept hostage on board. Eleven hostages from Bangladesh, India, Iran, and Sri Lanka were transferred to another location. The HSP is working with the Federal Government of Somalia, regional administrations, local leaders, the Maritime Piracy and Humanitarian Response Programme, the European Union, INTERPOL, and concerned Member States to determine the precise details and status of the seafarers and update their families. In August and September 2013, medical support – including two visits by a doctor – were delivered to these seafarers

through local contacts. This was the first time medical aid had been delivered to a crew in captivity. The HSP is also currently seeking to re-establish contact with a number of individual hostages, as well as the crews from the MV Asphalt Venture and the MV Prantalay 12, both of which have been held for more than three years. This sensitive and painstaking work has recently yielded results with contact finally made with some seafarers after two years. For many hostages, the trauma does not end when they return home. Hostages often return to families who have suffered greatly themselves. The stain on the families is not only emotional but also financial, having gone for months or even years without financial support. Hostages themselves are often in a severe state of trauma and have to deal with the difficulties of reintegrating into society, not to mention facing the protest of returning to work at sea.

The HSP has recognized the continuing impact upon hostages and has begun a series of follow up visits to victims and their families, with the aim of assisting them obtain their entitlements and providing medical support. THE HSP also conducted post-release interviews to better understand both the methods used by pirates and also the unique stresses placed on the victims.

To date, the HSP has assisted 62 hostages to return home. Some of this support has been indirect, while in other instances team members have had to reach into Somalia to extract these victims and return them home safely.

Results achieved so far:

- UNODC has been supporting released hostages with medical assistance, basic provision of food, clothes and welfare items, as well as getting them in contact with family and Embassies. It has further provided medical support to hostages still in captivity under the MV Albedo case (including transportation of a volunteered doctor and security coverage).
- UNODC has ensured that released hostages have gotten in contact with their respective Embassies and received their required documents for travelling back home. It has also supported repatriations when required and not covered by their authorities or families, this includes the UNODC HSP expert traveling to Somalia to retrieve release hostages and bring them to safety.
- The expert engaged under the Programme attended a database course and has created and up-dates the database on released hostages.
- The expert engaged under the Programme is currently working on developing the SOPs for hostage incident crisis humanitarian response action
- UNODC has travelled and interviewed released hostages, and their families, in their home countries gathering information and stories about their experience during captivity, upon release and life back home. The collected information will be used for the database on taking of hostages, humanitarian support and follow up. Follow up support including medical check-up has been provided to released hostages after they have come home, as no such support and follow up have been provided by their authorities or previous companies. UNODC has also assessed the care and guidance provided, or lack of so, to released hostages repatriated back home
- Maritime Crime Programme experts have further been attending relevant events and conferences to promote the hostage support programme and the lessons learned.

What is this proposal asking for?:

Funds to continue current HSP facilitation of humanitarian assistance for hostages (where this is possible), and the immediate humanitarian and repatriation needs of released and abandoned hostages. In particular, this proposal requests for additional funding to extend the contracts of contractors doing the field work for the programme.

14. Synergies/Partnerships

As per existing Trust Fund approved HSP.

15. Partnership Arrangements/Modalities

As per existing Trust Fund approved HSP.

C. STRATEGY

16. Overview of Project Strategy

The aims of the HSP are to support:

Outcome 1: Direct immediate assistance and care to hostages taken at sea and off Somalia

Outcome 2: Repatriation service to home country, documentation, travel and support

Outcome 3: Increase coordination on the release of hostages taken at sea through management of the existing UNODC database on hostages and their likely release date.

Outcome 4: Development of mechanisms/SOPs for hostage incident crisis humanitarian response action (based in a lessons learned analysis of the ALBEDO sinking).

Outcome 5: Collection, collation, and initial review of as broad a range of hostage experience data and accounts for future analysis and lessons learned purposes.

17. Major Project Outcomes and Outputs

There is no change from the existing HSP mandate and project, as approved by the Trust Fund.

18. Key Risks and Mitigation Strategy

The principal risks associated with the existing HSP remain:

- Risk: Security situation in Somalia impedes possibility of meeting hostages at point of release
- Mitigation: A network of points of contact will be set up in areas likely to receive released hostages which can be activated to help with release situations in rural areas

- Risk: Security situation in Somalia might deteriorate and put hostages at risk
- Mitigation: Expedite the removal of hostages to Kenya as a temporary solution

- Risk: Unwillingness of home country to receive repatriation of nationals or personal safety of released hostages at risk if repatriated
- Mitigation: Liaise with UNHCR and IMO on resettlement

- Risk: Former hostages are unsure as to whether they wish to share their experiences
- Mitigation: Close and continued communication with the hostages and families from time of release/abandonment generating trust and support for the aims of the HSP

19. Means of Verification

- HSP Database (ongoing for life of project)
- Production of HSP SOPs (final version by end 2014)
- Production of two interim reports on the hostage experience and data collection process (by March 2014 and November 2014)
- Production of Initial Review of hostage experience and data collection outcomes (by May 2015)
- Participation on relevant meetings or conferences, such as WG3, in order to present on HSP findings and recommendations

D. MANAGEMENT ARRANGEMENTS	
20. Project Management Mechanisms and Structure	
As per existing Trust Fund approved HSP.	
21. Project Evaluation	
As per existing Trust Fund approved HSP.	
22. Reporting	
As per existing Trust Fund approved HSP.	
23. Legal Context	
As per existing Trust Fund approved HSP.	

E. Budget Overview (by Outcome)	
OUTCOME	BUDGET
Project Outcome 1 (Top Up II)	\$5,000
Project Outcome 2 (Top Up II)	\$5,000
Project Outcome 3 (Top Up II)	\$2,500
Project Outcome 4 (Top Up)	\$2,500
Project Outcome 5 (Top Up)	\$5,500
Programme Management and Support costs (including cost centre charges of 6.62%)	\$100,995
PSC (7%)	\$8,505
TOTAL	\$130,000

**Cost Centers are intermediary cost collectors to budget and account for office costs. UNODC established Cost Centers in the Field to ensure that the projects directly benefitting from the services provided by the Field Offices fairly assume the cost of these services. These include, e.g. UNODC Representatives, Deputy Representatives, Field Office support personnel, travel for support functions; and common operating, security, equipment, and furniture.*

F. Budget Overview (by reporting categories)

1. Staff and other personnel costs (primarily HSP contractors)	\$93,500
2. Supplies, Commodities, Materials	\$5,000
3. Equipment, Vehicles and Furniture including Depreciation	\$5,000
4. Contractual Services (repatriation charters, medical services etc)	\$5,000
5. Travel (individual hostage repatriations + hostage data interview and collection)	\$5,500
6. Transfers and Grants Counterparts	Nil
7. General Operating and Other Direct Costs (Cost centers charges at 6.62%)	\$7,495
Sub-Total:	\$121,495
8. Indirect Support Costs (7%) of Sub-Total:	\$8,505
TOTAL	\$130,000


Budget by Recipient Organization (RO) in the case of a Joint Programme (by reporting categories)

	Total	RO 1	RO 2
1. Staff and other personnel costs			
2. Supplies, Commodities, Materials			
3. Equipment, Vehicles and Furniture including Depreciation			
4. Contractual Services			
5. Travel			
6. Transfers and Grants Counterparts			
7. General Operating and Other Direct Costs			
Sub-Total:			
8. Indirect Support Costs (7%) of Sub-Total:			
TOTAL			

G. WORK PLAN & BUDGET										
EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME 2014/2015						RESPONSIBLE PARTY	PLANNED BUDGET	
		Q1	Q2	Q3	Q4	Q1	Q2		Budget Description	Amount USD
OUTCOME 1: Direct immediate assistance and care to hostages taken at sea and off Somalia										
Output 1.1 Fund and organise the provision of accommodation, food, clothes and welfare items to released hostages in Somalia and facilitate communication with their families.	1.1.1. Visits to the region to set up contacts and structure for receipt of released hostages	x	x	x	x	x	x	UNODC	Staff, travel, Sub-contracts	
	1.1.2 Identify places suited for full board accommodation of released hostages in larger cities in Puntland and Somaliland	x	x	x	x	x	x	UNODC	Staff, travel, Sub-contracts	
	1.1.3 Procurement of welfare items and clothes	x	x	x	x	x	x	UNODC	Staff, travel, Sub-contracts, Equipment	
	1.1.4 Cover communications costs Phones, Satellite Phones	x	x	x	x	x	x	UNODC	Staff, travel, Sub-contracts	
Output 1.2. Arrange and fund the provision of immediate medical care and if required post-traumatic stress counselling for released hostages in Somalia.	1.2.1. Identify local providers of medical care in various locations in Somalia	x	x	x	x	x	x	UNODC	Staff, travel, Sub-contracts	
	1.2.2 Purchase medical Supplies	x	x	x	x	x	x	UNODC	Staff, travel, Sub-contracts, Supplies	
	1.2.3. Provide an expert in hostage release counselling	x	x	x	x	x	x	UNODC	Staff, travel, Sub-contracts	
OUTCOME 2: Repatriation service to home country, documentation, travel and support										
Output 2.1. Contact diplomatic representations of released hostages and	2.1.1 Liaise with governments and embassies for documentation passports and visas to reach Nairobi	x	x	x	x	x	x	UNODC	Staff, travel	
	2.1.2 Arrange identity verification interviews and photographs	x	x	x	x	x	x	UNODC	Staff	

establish hostages' identities	2.1.3 Arrange debrief of released hostages by police	x	x	x	x	x	x	x	x	UNODC	Staff	
2.2 Coordinate transportation out of Somalia and onwards to home country	2.2.1. Assist with booking hostages onto commercial flights	x	x	x	x	x	x	x	x	UNODC	Staff	
	2.2.2. Presence at send off	x	x	x	x	x	x	x	x	UNODC	Staff, travel, Sub-contracts	
Output 2.3. If necessary, fund return flights	2.3.1 Arrange flights and delivery by air/sea/road and provide security	x	x	x	x	x	x	x	x	UNODC	Staff	
	2.3.2. Presence at send off	x	x	x	x	x	x	x	x	UNODC	Staff, travel, Sub-contracts	
Output 2.4. If necessary facilitate the issuance of travel documentation	2.4.1. With information provided by released hostages, liaise with government partners and diplomatic representations to issue travel document	x	x	x	x	x	x	x	x	UNODC	Staff, travel	
	2.4.2. If necessary pay any fees involved including visa fees	x	x	x	x	x	x	x	x	UNODC	Staff, travel	
OUTCOME 3: Increase coordination on the release of hostages taken at sea through management of the existing UNODC database on hostages and their likely release date												
Output 3.1. Set up an information cell to collate all information about individual hostages	3.1.1 Set up communication channels for exchange of hostage information and statistics with international navy liaison officers	x	x	x	x	x	x	x	x	UNODC	Staff, Sub-contracts	
	3.1.2 Develop channels of communications within Somalia	x	x	x	x	x	x	x	x	UNODC	Staff, travel	
	3.1.3 Develop and maintain database	x	x	x	x	x	x	x	x	UNODC/IOM	Staff, Sub-contracts	

Signed by the authorised representative on behalf of:

Recipient Organization	Name/Title of Signatory	Date	Signature
UNODC	STAMUS MANGAN	12/6/14	

Name of Drafters / Key contributors:

Agency / Office:

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