



SOMALIA UN MPTF

PROGRAMME QUARTERLY PROGRESS REPORT

Period : Quarter one of Year : 2016

Project Name	To enable safe, Coordinated and transparent delivery of International assistance through Somalia in support of all PSG priorities and milestones.
Gateway ID	00096920
Start date	1 October 2015
Planned end date (as per last approval)	30 Sept 2016
Focal Person	(Name): Marc Jacquand
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PSG	N/A
Priority	N/A
Milestone	N/A
Location	Country wide
Gender Marker	Not Specified

Total Budget as per ProDoc	\$6,019,684
MPTF:	\$6,019,684
Non MPTF sources:	PBF:
	Trac:
	Other:

Total MPTF Funds Received			Total non-MPTF Funds Received	
PUNO	Current quarter	Cumulative	Current quarter	Cumulative
UNDP (for DSS)	207,000	1,107,000	0	0
UNDP (for RCO)	287,000	287,000	0	0
UNOPS (for RMU)	324,971.99	624,971.99	0	0
UN Women	580,350	580,350	0	0

JP Expenditure of MPTF Funds ¹			JP Expenditure of non-MPTF Funds	
PUNO	Current quarter	Cumulative	Current quarter	Cumulative
UNDP (for	363,020.19	995,522.19	0	0

¹ **Uncertified expenditures.** Certified annual expenditures can be found in the Annual Financial Report of MPTF Office (<http://mptf.undp.org/factsheet/fund/4SO00>)



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DSS)				
UNDP (for RCO)	31,055	31,055	0	0
UNOPS (for RMU)	332,551.71	414,064.45	0	0
UN Women	24,598	149,788	0	0

SITUATION UPDATE

There have been no significant changes in the context in which the Joint Programme on Enablers is being implemented since the last quarter of 2015. Security, risk management and coordination requirements continue to be high, in light of the highly volatile and complex operating environment. During the reporting period, operational challenges requiring enabling support have included, *inter alia*, the organization of the SDRF meetings in Mogadishu, the HALCF in Somaliland, a series of high profile VIP visits in Somalia, on-going negotiations over the SPU in Somaliland and Puntland, and renovations of the Conoco Airstrip.

QUARTERLY PROGRESS REPORT RESULTS MATRIX

OUTCOME STATEMENT

Coordinated, transparent and safe delivery of International assistance throughout Somalia.

SUB-OUTCOME 1 STATEMENT

N/A

UNDSS

Output 1: Security Aircraft capacity maintained

INDICATOR	TARGET	PROGRESS ON OUTPUT INDICATOR ²	
		THIS QUARTER	CUMULATIVE
Security aircraft is able to respond to urgent security needs or to assess newly recovered areas	Capacity maintained	Capacity maintained (within current requirements, which will require upgrades in 2016)	Capacity maintained (within current requirements, which will require upgrades in 2016)

Output 2: Medical Emergency Response Team capacitated

INDICATOR	TARGET	PROGRESS ON OUTPUT INDICATOR ²	PROGRESS ON OUTPUT INDICATOR ²
# of teams providing MERT coverage in Somalia	MERT team established in Dolow	MERT team established in Dolow, with limited operational capacity (accommodation/office space)	MERT team established in Dolow, with limited operational capacity (accommodation/office space)

Output 3: Enhanced protected security

Armored vehicles available to enable	4-6 vehicles are	N/A	3 vehicles replaced
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² Fill in only the numbers or yes/no; no explanations to be given here.



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transport of staff	replaced with new armored vehicles		
Output 4: Airport road security (NISA project)			
Airport road security	NISA security apparatus on airport road maintained	NISA security apparatus on airport road maintained	NISA security apparatus on airport road maintained
RCO			
Output 1: Ensure the effective implementation of the ISF & New Deal Somali Compact			
Increased volume of funds through the o UN MPTF	5 Joint Programmes funded by the UNMPTF; \$50 million capitalization; 25% of UN development funding through the UN MPTF (for all of 2016)	1 additional Joint Programme funded under the UN MPTF (Women Empowerment); \$19 million additional donor resources committed to the UN MPTF	10 Joint Programmes funded by the UN MPTF; \$142 million committed; 20% of UN development funding through the UN MPTF
Increased support to implementation of Compact and ISF events	Conclusion of the ISF and achievement of 90% of the milestones (for all of 2016)	ISF monitoring halted; Common Country Analytical Exercise developed, and ISF review initiated (for conclusion in Q3)	The ISF monitoring completed in February 2016 showed that, 32 milestones are on-track (60%), 12 milestones are (23%) off-track and 9 milestones (58%) delayed.
Output 2: Improve the coherence and impact of the UN system in Somalia			
Support to UN Joint Programming sustained	6 Joint Programmes under implementation; RCO consolidation of reporting to MPTF (for all of 2016)	New Joint Programme developed (Women Empowerment)	9 Joint Programmes under implementation; Quarterly reporting system and capacities in place
Number of common analytical products	3 UNCT analytical pieces developed that support UN system programming (for all of 2016)	Common Country Analytical exercise developed; Cost of Delivery research completed; one research piece on stabilization related communication	Three (3) UNCT analytical pieces developed (Civil Society engagement, Common Country Analytical Exercise; Cost of Delivery)



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		initiated	
Level of UN system wide integration (Low, Medium, High)	2016: High - ISF (or UNDAF) revised, and new UN plan developed between mission and UNCT	Common Country Analytical Exercise developed, and ISF review initiated (for conclusion in Q3); Joint UNSOM-UNCT regional strategies initiated	Common Country Analytical Exercise developed, and ISF review initiated (for conclusion in Q3)
Output 3: Support effective international engagement in Somalia			
Effective UN-Donor forums sustained	2016: DPF carried out in accordance with 2015 feedback (it may be part of government-led meetings)	DPF forum discontinued	DPF forum discontinued
Increased support to donor engagement across Somalia	2016: Quarterly RC briefings; local donor briefings and mission support upon request	Monthly MPTF donor briefings held; donor missions and requests supported; Supported international participation in HALCF in Somaliland; Supported UN Security Council visit	Monthly donor briefings held; donor missions and requests supported; Supported donor/international community engagement on HLPF; Supported international participation in HALCF in Somaliland; Supported UN Security Council visit
Output 4: Effective programme management			
Increased RCO donor outreach and reporting	2016: 3 donor briefings/presentation on results, activities and budget; 100% on time donor reporting	1 DRSG/RC/HC donor briefing (June)	1 DRSG/RC/HC donor briefing; 1 donor briefing to SDG on Enabler programme and Cost of Delivery held in March; Quarterly reports issued on time; monthly donor briefings organized
RMU			
Output 1: Enhanced collective risk management approaches			



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Number of collective tools agreed and used by the UNCT	2016: two (2) additional risk management tools agreed by the UNCT	N/A	One (1) Internal Investigation Information sharing protocol for UN developed (not endorsed yet)
Number of collective tools agreed and used between UN, NGOs, and donors	2016: Two risk management tools approved by Multi-Party Risk Forum	One (1) Risk Management Manual translated into Somali for NGOs	Two (2) Risk Management Manual developed and endorsed for NGOs (English & Somali; One (1) Report/ research paper developed – ‘Money Transfer Operators & Somalia: Risks, Challenges & lessons learnt’
Output 2: Increased information sharing, both within the UN and between the UN, government donors, and NGO			
Increase in contract value in CIMS (internal to UN)	2016: \$4 billion	\$108 million added during the reporting period	\$4.38 billion
Number of NGO, donor, or gov request to RMU	2016: Ten requests for technical assistance with RMU feedback	15 requests received (7 UN agencies; 6 International NGOs; 2 Government) received and addressed	21 requests received (10 UN agencies; 8 International NGOs; 3 Government) received and addressed
Output 3: Organizational risk management capabilities strengthened			
Number of Risk Assessments completed annually	2016:20	Five (5) Partner risk assessments completed 2 Project Risk Assessments completed	12 risk assessments completed
Number of training services provided to UN agencies, NGOs, and/or government counterparts	2016: 50 on-line trainings completed; 2 in-class trainings delivered	97 on-line registrations; 33 ³ completions; One (1) in class training	222 ⁴ on-line registrations; 93 completions; One (1) in class training
Integrated Risk analysis products delivered	2016: 3 delivered for	1 Integrated Risk	2 Integrated Risk

³ This includes all students who might have registered in previous periods but completed in current quarter.

⁴ The total is (125+97), the previous report indicates 123 for Q1, the difference is caused by the lag in activating students. i.e. The student was created in Q1 but given access in Q2.



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	SMG	Analysis Report completed	Analysis Report completed
Number of monitoring services provided to UN agencies	2016: 10 monitoring missions	3 (entity monitoring support and GPS mapping missions)	6 monitoring missions
UN MPTF Risk Management strategy implemented	2016: UN-WB-Government-Donor Trust Fund risk meetings; risk management dashboard operational; UN MPTF risk management input into MPTF annual report	3 RMG meetings during the reporting period (monthly), three new risks assessed (2 April & one in June); Risk update/analysis calendar endorsed by the RMG; UN MPTF risk management input provided to MPTF quarterly reports. Findings from MPTF risk analysis to be presented (document completed) during the third quarter 2016. MPTF inter-programme risk analysis is ongoing and will be presented to MPTF RMG meeting in August 2016	4 RMG meetings have been conducted between March – June 2016; risk management dashboard operational; UN MPTF risk management input provided to MPTF annual and quarterly report; three new risks have been assessed (2 April & one in June); Risk update/analysis calendar has been endorsed by the RMG in June. Findings from MPTF risk analysis to be presented (document completed) during the third quarter 2016. MPTF inter-programme risk analysis is ongoing and will be presented to MPTF RMG meeting in August 2016.
Output 4: Enhanced engagement for collective risk management solutions			
Frequency of RWG meetings	2016: 8	Regular meetings re-established – now bimonthly; One (1) meeting convened (June) ; Two (2) virtual meetings	Regular meetings re-established – now bimonthly; One (1) meeting convened ; Three (3) virtual meetings
Frequency of Multi-party Forum meetings	2016: 6	2 meetings completed in May and June	2 meetings completed
CIMS development	2016: tbd	Multi-ratings functionality	Two (2) database multi-party–



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		implemented; 1 data sharing meeting held (integrated into the MPRWG forum)	information sharing meeting held ; CIMS United Nations Security Council Resolutions tool upgraded with 1026 entities ; 2015 Somalia Eritrea Monitoring Group Data uploaded; Investigations Summary tool developed; Multi-ratings functionality implemented; 1 data sharing meeting held (integrated into the MPRWG forum)
Increased communication/outreach initiatives	2016: three NGO outreach events	1 presentation to NGO Country Directors on RMU's role , vision and requirements beyond 2015 Translated the Risk Management Guidance document for NGOs into Somali dialect for wider dissemination especially among local NGOS.	4
Output 5: Effective programme management			
Completion rate of Project Board recommendations	2016: 100%, including project review completed by September 2016	N/A	N/A
Number of Project Board meetings	2016: 2	0	0
Project Expenditure rate	2016: 90%	20.44% (current expenditure/overall UNOPS budget)	31.41% (cumulative expenditure/overall UNOPS budget)
UN Women			
Output 1: Side event organized at the High Level Partnership Forum in Istanbul in February 2015 with the view to ensuring donor commitments to UNSCR1325 on Women, Peace and Security and its subsequent resolutions			
Level of commitment of donors and Somali	Side event organized, commitments reflected	achieved	achieved



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government to WPS in side event report	in side event report		
Output 2: Strategic monitoring and results framework established at PSG, MPTF and country level output level on WPS			
Availability of M&ER support staff to PSG and MPTF M&E	One staff in place	One staff in place	One staff in place
WPS elements reflected in PSG and MPTF M&E framework	Indicators in PSG and UN MPTF M&E framework developed and reported	Initial discussion on M&E framework for NDP conducted but no framework in place yet	Initial discussion on M&E framework for NDP conducted but no framework in place yet
Output 3: Establishment of a national platform for coordination on WPS supported			
Availability of an operational platform	One platform operational and addressing WPS	Draft JP document addressing human rights, women peace and security completed based on roundtable discussions	Draft JP doc completed
Output 4: Support to the establishment of data collection and analytical capacity on gender issues within the Somali government institutions, resulting in the issuing of the State of the Somali Women and Men Report.			
Availability of State of Somali Women and Men Report	One flagship report issued by a relevant Somali institution	Delay. First stage supported by UN-WB Trust Fund not yet completed	Not achieved.
Output 5: Revision of existing analytical work on the political economy of access to justice to include gender equality considerations and perspectives.			
Availability of report	one report disseminated on the political economy of women's access to justice	One initial report issued (funded by SIDA). Second study (funded by Enablers) advertised and research firm selected	Not achieved.

NARRATIVE

UNDSS

With UN MPTF support, UNDSS was able to maintain Medical Emergency Teams across Somalia, including in Dolow, where a number of UN agencies and international staff operate, and to secure replacements for security vehicles. On-going payments for the Airport Road security arrangements (through NISA) continued, with few security related incidents reported in the area throughout the reporting period, including during the high-risk Ramadan period.

RCO



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The RCO continued to provide support to the SDRF monthly meetings in Mogadishu and facilitated the organisation of the HALCF in Somaliland in June.

The RCO also coordinated the UN (including UNSOM) engagement in the NDP process, organising a series of FGS-UN roundtables and consolidating strategic level feedback to the first draft of the NDP, as well as to the negotiations over the post 2016 Compact arrangements.

The RCO supported the UN Programme Criticality Task Force undertaken in May following the increase in Risk Level in MIA.

Finally, the RCO continued to perform its MPTF Secretariat functions, with the release of the Q1 report, the Newsletter, and the convening of monthly donor briefings.

RMU

During the reporting period, and in addition to standard functions, the RMU implemented its new project risk assessment service with the High Risk Prisoner Rehabilitation project in Baidoa.

The RMU, in partnership with UNSOM's Integrated Analysis Team (IAT) developed sector level risk assessments (Financial Sector). Two NGO trainings were conducted (Galkayo and Kismayo) for local NGOs.

The RMU also increased its engagement with the SIMAC group to support the production of harmonised geographical mapping data information that would be used by most in the Somali context.

Finally, the RMU secured its funding for September 2016-August 2017, with support from DFID, USAID, and Sweden. Donor funding to RMU now covers 44% of the unit's budget (down from 100% in 2014).

UN Women

HLPF in Istanbul achieved extensive attention to Women Peace and Security issues and the FGS demonstrated commitment to address this and to sign and ratify CEDAW. Support to strategic monitoring (50% of one staff, co-funded with SIDA) has allowed UN Women to engage actively in the draft NDP and in promoting improved attention to gender issues in the monitoring framework.

Discussions have also started with the Aid Coordination Unit to promote strategic attention to gender in the PSG WGs, with a draft Letter of Agreement for the development of a strategy for PSG WG engagement in ensuring pipeline programmes have sufficient attention to gender (aim is 15%). A draft joint programme for Human Rights Women Peace and Security has been developed and discussed at a Roundtable. Pipeline for SDRF planned for September.

Support to data collection for the State of Somali Women and men Report has been delayed as the first stage, funded by the UN-WB Trust Fund, was delayed due to difficulties of getting consultants. The Enablers fund was planned for the second stage. Given the delays we will discuss options for using these funds for alternative analytical work within the framework of Women Peace and Security. A first report on women's access to justice and security was completed for the HLPF, cost-shared by SIDA and Danida/Enablers. The second round of the study has been advertised and a consultant firm selected. The report will be completed by the end of November.

Other Key Achievements : N/A



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Challenges (incl: Delays or Deviations) and Lessons Learnt:

On the risk management side (RMU), progress towards a robust, common risk management framework continues to be sub-optimal, due to agency and partner reluctance to share information and/or participate in a number of collective initiatives.

Also, the State of Somali Women and Men report has been extensively delayed due to a delayed procurement process. UN-WB Trust Fund resources will fund the first stage and Danida funds would be used for the second stage. As the first stage is yet to be completed, it may be useful to discuss other options for these funds within the framework of WPS, for example one specific study is planned on women and security, looking into women and violent extremism. The study is already advertised and UN Women can use Danida/Enabler funds for this.

Peacebuilding impact (*for Joint Programmes receiving PBF funding only – briefly describe impact – achieved and/or intended – of activities that have been undertaken on peacebuilding and stability, with supporting evidence if/when available and relevant; include in particular assessment of theory of change – and the extent to which it is being validated or challenged – and assessment of gender related impact*): N/A

Communications & Visibility – UN Women arranged a breakfast meeting on Women Peace and Security at the HLPF in Istanbul, including an exhibition. The event was one of the highlights of the HLPF. UN Women also arranged a Brown Bag Lunch (co-funded with Sweden) for the discussions of the findings from the first stage of the study on women's access to justice and security. A roundtable was also arranged to brainstorm for the design of the joint programme on human rights, women, peace and security.

Looking ahead

UNDSS

N/A.

RCO

In the coming months, the RCO will continue to coordinate UN engagement in the NDP and support its finalisation, with other UN agencies.

The RCO will intensify its dialogue with donors, in Somalia and at capital level, on the Cost of Delivery including through participation in policy level dialogues in Sweden. As the central unit in the Integrated Office of the DSRSG/RC/HC, the RCO will also continue to support a number of key strategic initiatives, namely: CRESTA, Food Security, Youth, and Durable Solutions.

The RCO will furthermore continue its support to the FGS and Regional States, in close collaboration with NGOs to develop a road map towards a harmonized regulatory framework for NGOs in Somalia

The RCO will also complete a research piece on stabilisation related strategic communications.

Finally, the RCO will accelerate the programming of the \$13million investment from the Peacebuilding Fund, and the review of the ISF (in anticipation of a new ISF or a revised ISF for 2017 onward).

RMU



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For the third quarter, the RMU will accelerate the production of risk assessments, including risk assessments designed to support projects and strategies. The CIMS database will continue to undergo upgrades.

In-class trainings will be delivered in Mogadishu for the WASH Cluster (international and local NGOs).

The RMG will present findings and recommendations from its analysis to the SDRF SC.

UN Women

UN Women plans to get the joint programme on Human Rights and Women Peace and Security pipelined to the SDRF during the next quarter. UN Women also plans to do a side event at UNGA on human rights, women peace and security, as a follow up to HLPF, depending on the political situation at that stage.



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ANNEX 1. RISK MANAGEMENT

This section can be used to update or use the risk logs developed during the project development stage and provide any mitigation measures being undertaken by the project.

Type of Risk ⁵	Description of Risk	Mitigating Measures

ANNEX 2. MONITORING AND OVERSIGHT ACTIVITIES <list here the monitoring and oversight activities undertaken during reporting period. Precise and specific, the table should not exceed one page>

Monitoring Activity	Date	Description & Comments	Key Findings / Recommendations

ANNEX 3. TRAINING DATA <list here details of training activities undertaken during the quarter; should not exceed one page>

#	Target Group		Dates	# of participants		Title of the training	Location of training	Training provider
	Ministry. District or UN staff	Others		M	F			
1.	NGOs in Galkayo	n/a	April 2016	13	1	Introduction to Risk Management	Galkayo	RMU
2.	NGOs in Kismayo	n/a	May 2016	7	2	Introduction to Risk Management	Kismayo	RMU
3.	ALL	n/a	ongoing	25 ⁶ 66 ⁷	8 ⁸ 31 ⁹	Online training tool: Basics of Risk Management	ALL	RMU

⁵ Environmental; Financial; Operational; Organizational; Political; Regulatory; Security; Strategic; Other.

⁶ Number of males that have **passed** the training in the current quarter. These may include those registered in other periods but completed in current period.

⁷ Total number males registered during the current quarter

⁸ Number of Females that have **passed** the training in the current quarter

⁹ Total number females registered during the current quarter