



**The Elsie
Initiative Fund**



**Annual
Report
2019**

Elsie Initiative Fund for Uniformed Women in Peace Operations¹ Annual Report

1 January – 31 December 2019



Global Multi-Donor Trust Fund (MDTF)
MPTFO Project Reference Number: 118008

Programme Duration - 54 months
Start Date: 21st March 2019 (Memorandum of Understanding)
End Date: 3rd March 2024

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¹ Elsie Initiative Fund Terms of Reference: <http://mptf.undp.org/document/download/21189>



Credit UN Photo / Pacual Gorriz

“More women in peacekeeping simply makes peacekeeping more effective”

Jean-Pierre Lacroix
Under-Secretary-General for Peace Operations

CONTRIBUTORS

CANADA



GERMANY



UNITED KINGDOM



FINLAND



ABBREVIATIONS USED IN THIS REPORT

A4P	Action for Peacekeeping
DCAF	Geneva Centre for Security Sector Governance
EOSG	Executive Office of the Secretary-General
GSU	Gender Strong Unit
LOI	Letter(s) of Interest
MOWIP	Measuring Opportunities for Women in Peace Operations
NGO	Non-government Organization
ODA	Official Development Assistance
PUNO	Participating United Nations Organization
SC	Steering Committee
SDG	Sustainable Development Goal
TC	Technical Committee
TOR	Terms of Reference
T/PCC	Troop / Police Contributing Country
UGPS	Uniformed Gender Parity Strategy
UN	United Nations
UNDP	United Nations Development Program
UN Women	United Nations Women
UNITAR	United Nations Institute for Training and Research

DEFINITIONS

Allocation

Amount approved by the Steering Committee for a project/programme.

Approved Project/Programme

A project/programme including budget, etc., that is approved by the Steering Committee for fund allocation purposes.

Contributor Commitment

Amount(s) committed by a donor to a Fund in a signed Standard Administrative Arrangement with the UNDP Multi-Partner Trust Fund Office (MPTF Office), in its capacity as the Administrative Agent. A commitment may be paid or pending payment.

Contributor Deposit

Cash deposit received by the MPTF Office for the Fund from a contributor in accordance with a signed Standard Administrative Arrangement.

Delivery Rate

The percentage of funds that have been utilized, calculated by comparing expenditures reported by a Participating Organization against the 'net funded amount'.

Indirect Support Costs

A general cost that cannot be directly related to any particular programme or activity of the Participating Organizations. UNDG policy establishes a fixed indirect cost rate of 7% of programmable costs.

Net Funded Amount

Amount transferred to a Participating Organization less any refunds transferred back to the MPTF Office by a Participating Organization.

Participating Organization

A UN Organization or other inter-governmental Organization that is an implementing partner in a Fund, as represented by signing a Memorandum of Understanding (MOU) with the MPTF Office for a particular Fund.

Project Expenditure

The sum of expenses and/or expenditure reported by all Participating Organizations for a Fund irrespective of which basis of accounting each Participating Organization follows for donor reporting.

Project Financial Closure

A project or programme is considered financially closed when all financial obligations of an operationally completed project or programme have been settled, and no further financial charges may be incurred.

Project Operational Closure

A project or programme is considered operationally closed when all programmatic activities for which Participating Organization(s) received funding have been completed.

Project Start Date

Date of transfer of first instalment from the MPTF Office to the Participating Organization.

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1. EXECUTIVE SUMMARY



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The Elsie Initiative Fund for Uniformed Women in Peace Operations² (the Elsie Fund), which was established with the support of the broader Canadian Elsie Initiative for Women in Peace Operations (the Elsie Initiative)³, was launched on 28 March 2019 at a launch event headlined by Under-Secretary General for Peace Operations, the Executive Director of UN Women, Canada’s Minister of Foreign Affairs, and other dignitaries.⁴ It was designed together with Member States, the UN system, and peacekeeping experts to support the increased deployment of uniformed women in *military and police roles* to UN Peace Operations and will operate for a limited period of five years - renewable. Proudly hosted by UN Women, it is an important step in encouraging and funding innovation to accelerate the pace of change in these security institutions and to enable more uniformed women to meaningfully deploy to and participate in Peace Operations.

The Elsie Fund is able to provide financial support through two funding modalities: flexible project funding to undertake a range of projects including the conduct of the DCAF – the Geneva Centre for Security Sector Governance ‘Measuring Opportunities for Women in Peace Operations’ (MOWIP) Barrier Assessment methodology (or an equivalent methodology); and the Gender Strong Unit (GSU) premium.

² <http://mptf.undp.org/factsheet/fund/EIF00>

³ https://www.international.gc.ca/world-monde/issues_development-enjeux_developpement/gender_equality-egalite_des_genres/elsie_initiative_initiative_elsie.aspx?lang=eng

⁴ <http://webtv.un.org/search/launch-of-the-elsie-initiative-fund-for-uniformed-women-in-peace-operations/6019534958001/?term=>

The first programming round commenced on 28 June 2019 with a Call for Letters of Interest (LOI), followed by a Technical Briefing for all Member States on 9 July 2019⁵. The response to the Elsie Fund’s call for LOI due 1 October 19, was overwhelmingly positive with a total of 36 LOI received from 18 Troop and Police contributing countries (T/PCCs), and six LOI from four UN organizations.

39% (14) of LOI indicated an interest or intent to conduct a Barrier Assessment (five T/PCCs are currently working with DCAF to trial their MOWIP Barrier Assessment methodology), and 27% (7) of the T/PCCs outlined a future aspiration to apply for the GSU premium.

Resource mobilization efforts in 2019 were also positive, with a total of US\$14.2 M in contributions from four Member States and US\$5.3 M pledged from three Member States. This enabled the Steering Committee (SC) at their November 2019 meeting to approve a recommendation to invite six T/PCCs and one UN organization to develop detailed submissions from nine LOI (two T/PCCs submitted two proposals) for technical review by early April 2020, and invite the other T/PCCs to submit an LOI to conduct a Barrier Assessment—a total commitment and allocation of up to US\$8-12 M from the Elsie Fund in 2019-2020.

2. OVERVIEW OF THE ELSIE FUND

The Elsie Fund seeks to “accelerate the pace of change towards the increased meaningful participation of uniformed women in United Nations peace operations,” though this cannot be achieved by the Elsie Fund alone.

Gender equality and women’s empowerment are integral to realizing the 2030 Agenda for Sustainable Development and all of the Sustainable Development Goals (SDGs). However, despite acknowledgement of the importance of women’s active, equal and full participation in peace and security and the significant role uniformed women play in United Nations (UN) Peace Operations, described in several SCRs: 1325 (2000), 2242 (2015), 2436 (2018)⁶, the 2018-2028 UN Uniformed Gender Parity Strategy⁷ (UGPS) and the 2019 UN Action for Peacekeeping⁸ (A4P), global progress to ensure the full, effective and meaningful employment and participation of women in all aspects of peacekeeping has been very slow.

The Elsie Fund aims to *support* and *incentivize* UN and Member State’s efforts to increase the meaningful participation of uniformed women in UN Peace Operations. The Elsie Fund primarily offers assistance and incentives for T/PCCs to *identify and overcome barriers* to the deployment of trained and qualified uniformed women peacekeepers – including members of military contingents and formed police units, and military observers, staff officers, and individual police officers. The Fund can also support projects submitted by UN organizations which are designed to implement, and test innovations aimed at enhancing the meaningful participation of uniformed women deployed in UN Peace Operations.

The Elsie Fund has multiple links to the SDGs, adopted by UN Member States in 2015. In seeking to help eliminate barriers preventing women from meaningful participation as uniformed peacekeepers in UN Peace Operations, the

⁵ <http://webtv.un.org/search/technical-briefing-on-the-elsie-initiative-fund-for-uniformed-women-in-peace-operations/6057112003001/?term=elsie>

⁶ <http://unscr.com/en/resolutions/1325>; <http://unscr.com/en/resolutions/2242>; <http://unscr.com/en/resolutions/2436>

⁷ <https://peacekeeping.un.org/sites/default/files/uniformed-gender-parity-2018-2028.pdf>

⁸ <https://peacekeeping.un.org/en/action-for-peacekeeping-a4p>

Elsie Fund directly supports SDG 5 on Gender Equality. SDG 5 is also supported to the extent that the increased meaningful participation by women peacekeepers can empower women in host countries. The empowerment of local women, in turn, has positive implications for peace, justice and strong institutions - SDG 16, and reducing inequalities - SDG 10.

Additionally, increased meaningful participation by uniformed women peacekeepers may enhance UN Peace Operations in ways not directly related to gender, such as expanding the pool of trained and qualified peacekeepers and *increasing the range of skills and capabilities* that are available across the conduct of peace operations. Consequently, there are potential positive consequences for all the SDGs that Peace Operations themselves may contribute to, including decreasing poverty (SDG 1) and hunger (SDG 2), by enhancing security and helping to support peace, justice and strong institutions (SDG 16).

The Elsie Fund also seeks to accelerate progress towards achieving the UN Security Council's declared target of doubling the rate of women's participation within police and military contingents by 2020 compared to 2015, in accordance with SCR 2242⁹, as well as supporting targets to increase the proportion of women serving as military observers, staff officers and individual police officers. In addition, the Fund supports the objectives of the 2018-2028 UN UGPS in regard to uniformed women in military and police components.

3. RESULTS

3.1. Planned Impacts

The Elsie Fund was launched in March 2019 at a launch event headlined by Jean-Pierre Lacroix, UN Under-Secretary General for Peace Operations, Phumzile Mlambo-Ngcuka, Executive Director of UN Women, and Chrystia Freeland, Canada's Minister of Foreign Affairs, among other dignitaries. The first programming round was announced in June 2019, the permanent Fund Manager commenced 30 September 2019, and the first funding round allocations to T/PCCs and participating UN organizations (PUNO) are anticipated in mid-2020. Therefore, as the Elsie Fund has yet to be fully operationalized, information and results on the strategic changes that this program aims to contribute to, including data on planned outcomes and outputs, has yet to be produced. However, as it is a new fund and part of the innovative and multilateral Elsie Initiative designed to develop, apply and test a combination of approaches to help overcome barriers to increasing women's meaningful participation in UN Peace Operations, the following observations are made in relation to the Elsie Fund's outcomes and outputs, as well as its structure and key partnerships.

The Elsie Fund is innovative - it has been designed to provide T/PCCs with opportunities to assess the main barriers present in their particular national context and, to propose innovative solutions and therefore have ownership over projects specific to their circumstances, with the primary impact being to accelerate the pace of change towards increased meaningful participation of uniformed women in UN Peace Operations. The secondary impact of the Elsie Fund is that the knowledge gained from these activities will contribute to the global conversation on how to increase uniformed women's representation in UN Peace Operations—*and be translated into policies and action*.

⁹ <http://unscr.com/en/resolutions/doc/2242>; paragraph 8

3.2. Planned Outcomes

The provision of an ‘*expanded and collective body of country-specific knowledge of barriers to the meaningful deployment of uniformed women peacekeepers on UN missions*’ is one of the Elsie Fund’s four key outcomes detailed in the TOR. The remaining three key outcomes of the Elsie Fund that individual projects are expected to target are:

- increased meaningful deployment of uniformed women peacekeepers to UN missions
- increased pool of uniformed women eligible to deploy as UN peacekeepers
- improved working conditions for uniformed women peacekeepers in UN missions.



Credit UN Photo / Pacual Gorriz

3.3. Funding Modalities

To achieve these outcomes, the Elsie Fund has been designed to provide financial support through two different funding modalities. Firstly, T/PCCs and other UN organizations can apply for project funding to undertake a range of projects including, in the case of T/PCCs, the conduct of the DCAF MOWIP Barrier Assessment methodology (or an equivalent methodology).

Secondly, T/PCCs can apply for the GSU premium, which is designed to incentivize T/PCCs to train, deploy and integrate increased numbers of women into formed units, across all roles and ranks. This funding modality sees T/PCCs paid an incentive at the end of a deployment, where a unit has: deployed with a percentage of women that exceeds the 2018-2028 UN UGPS target by five percent for that year; and that the unit: includes substantial representation of women overall and in positions of authority; has provided gender-equity training to all unit members; has adequate materiel to ensure parity of deployment conditions for women and men peacekeepers; and has been independently measured and verified.

“Women peacekeepers are a force multiplier. We need more. We must remove barriers that prevent women from being deployed, including a lack of basics like personal protection equipment.”

UN Secretary General, 6 Dec 2019

3.4. Key Partnerships

The Elsie Fund exists within the context of the broader Canadian Elsie Initiative, and it was designed together with Member States, the UN system, and peacekeeping experts. This design comprises the Elsie Fund’s governance arrangements – a framework which includes:

a seven-member SC, co-chaired by UN Women and Canada, with other members including the Executive Office of the Secretary General (EOSG), Department of Peace Operations (DPO), an Elsie Fund contributor, a T/PCC member who is ODA eligible, and a member of the Elsie Initiative Contact Group¹⁰, and who provide strategic guidance to the Secretariat.

a seven- 10-member Technical Committee (TC), recommended by the SC, who collectively present a broad cross-section of academic, gender and cultural, Peace Operations, and military and police operational experience, from a range of organizations across the globe, and who provide technical guidance on LOI and detailed submissions to the Secretariat.

DCAF, who, after researching and compiling the 2018 DCAF Baseline Study¹¹ are in the final stages of testing their MOWIP Barrier Assessment methodology—developed in partnership with Cornell University, across a range of military and police institutions from different geographic, cultural and linguistic regions across the globe. Undertaking the Barrier Assessment is one of the key projects that the Elsie Fund will support T/PCCs to undertake.

Donors, the Elsie Fund, and the Secretariat firmly acknowledges that without the ongoing generous donor financial and other in-kind support, the Elsie Fund and its ambitious program could not exist. Contributions have been made by Canada, The United Kingdom, Germany, and Finland, with commitments or pledges from Norway and the Netherlands.

Member States, direct recipients and implementing organizations. The work of the Elsie Secretariat is also informed and complemented by Member State’s input, knowledge and experiences; the Secretariat also appreciates the quality, detail, and effort that has gone into developing T/PCC and PUNO submissions, by military and police organizations as well as support from UNDP and UN Women country and regional offices.

3.5. Planned Outputs

The Elsie Fund’s Terms of Reference (TOR) details the *eleven outputs* the Elsie Fund aims to achieve over its five-year duration. The Elsie Secretariat will be fully staffed from July 2020 and it is anticipated that the Elsie Fund will be fully operational by October 2020 (noting the full and long-term impact of the COVID-19 pandemic on the global security and economic situation, as well as domestically including the security sector as first responders, remains unknown), including the compilation of the Operations Manual and further detailed development of the TOR risk assessment matrix and monitoring and evaluation frameworks. Funding allocations agreed by the SC from the first programming round are anticipated to be made mid-2020, and while basic data on the numbers and types of projects commenced will be available, tangible, measurable and evidence-based results mapped across outputs and indicators are not expected to be available until early 2021.

¹⁰ https://www.international.gc.ca/world-monde/issues_development-enjeux_developpement/gender_equality-egalite_des_genres/elsie_initiative_initiative_elsie.aspx?lang=eng

¹¹ https://www.dcaf.ch/sites/default/files/publications/documents/Elsie_GenderReport_2018_Final.pdf

4. FIRST PROGRAMMING ROUND

Annex A details the key actions, activities, responsibilities, and stakeholder requirements for a programming round. The first programming round commenced with a Technical Briefing and Q&A session conducted jointly by UN DPO, UN Women, Global Affairs Canada and DCAF for all Member States on the 9th of July 2019, with LOI due to the Elsie Secretariat by the 1st of October 2019. The response was *overwhelmingly positive*, with a total of 36 LOI received from 18 T/PCCs (including from ten African T/PCCs, three Asian T/PCCs, four Latin American T/PCCs, and one Middle Eastern T/PCC) and four UN organizations including two missions.

The SC convened on 27 November 2019 and agreed to invite six T/PCCs and one UN organization to develop a detailed submission (two T/PCCs submitted two proposals) for technical review by early April 2020.

The SC also agreed to a total allocation of up to US\$8-12 M for the first programming round, with US\$4-6 M for these nine LOI to be developed into detailed proposals, and a further US\$4-6 M for other T/PCC applicants to conduct a Barrier Assessment.

Furthermore, the SC acknowledged that the pace of change in relation to norms, gender mainstreaming, and organizational culture will take time and does not occur in the short term; and that the SC would need to remain cognisant of this when evaluating the progress of projects, and the longer-term changes required to see increased female participation in UN operations become normalized.

4.1. Letters of Interest and Project Proposals

The 'Call for LOI' outlined the key criteria to be addressed in an LOI, including how the proposed projects would contribute to the Elsie Fund's four outcomes and primary indicators. LOI responses were wide ranging and ambitious with several seeking to construct Peacekeeping Training centers and female accommodation facilities and approximately half seeking to conduct a range of pre-deployment training activities including language, computer and IT training, driving skills, and gender, sexual exploitation and abuse (SEA). Several LOI also sought funding to purchase left hand vehicles to train women, as well as a range of advocacy, education and recruitment campaigns focusing on women.

Of note, and in direct response to the GSU funding modality and the DCAF Barrier Assessment methodology, thirty-nine percent (14) of LOI indicated an interest or intent to conduct a Barrier Assessment and twenty percent (7) of the T/PCCs outlined a future aspiration to apply for the GSU premium, noting that the first payment of a GSU premium would not be before January 2021.

T/PCCS & UN
ORGANIZATIONS INVITED TO
DEVELOP A DETAILED
SUBMISSION

Jordan: Gendarmerie

Nepal: Army

Bangladesh: Police and
Armed Forces

Senegal: Gendarmerie
and Police

Togo: Military

Niger: Security and
Defense Forces

UNITAR

4.2. Resource Mobilization Efforts

Mobilization of resources in 2019 was a positive story, with a total of US\$14.2 M in contributions from four Member States; and US\$5.3 M pledged from three additional Member States. This enabled the SC at their 27 November 2019 meeting, to invite six T/PCCs and one UN organization to develop detailed submissions (two T/PCCs submitted two proposals) for technical review by early April 2020—a total commitment of approximately US\$6.3 M from the Elsie Fund, as well as commit to supporting submissions from the remaining T/PCCs to complete a barrier assessment which would then be utilized *to inform* future submissions to the Elsie Fund.

4.3. Delays in implementation, challenges, lessons learned & best practices

As of 31 December 2019, there were no significant implementation delays; the timeframe to recruit the Elsie Fund Manager who commenced 30 September 2019, was in accordance with UN Women expectations.

4.4. LOI Format

Despite clear guidance provided in the call for LOI, the quality and content of LOI submissions varied widely, along with formats (i.e. jpeg images, and scanned images of PowerPoint slides and PDF documents). This prevented the ability to conduct key word searches and made assessment of the LOI against eligibility requirements and the LOI review criteria challenging and time-consuming by the Secretariat when preparing reports for the TC. As a result, the second programming round planned to be launched mid 2020 will trial an LOI template to gather the information required; cognisant of language, terminology, and bandwidth.



Credit UN Photo / Pacual Gorriz

4.5. Elsie Fund Web presence

The Secretariat is working to develop a ‘best-practice’ internet, intranet and social media presence (**@ElsieFund**) with a range of information, updates, links and tools that T/PCCs, PUNOs and supporting agencies can access. This will be used to share lessons learned and best practices between T/PCCs, as well as to address a range of common Q&A that the Secretariat has identified during the first 6 months of the Elsie Fund’s operations.

5. COVID-19 IMPACT

It is anticipated that for the majority of T/PCC security organizations, allocating human resources to plan and implement proposed Elsie Fund Projects will probably not be a high priority in the next several months, as they, alongside medical professionals and other first responders—with several T/PCC already fragile or post conflict themselves—are being mobilized to fulfil a broad range of domestic public safety and security requirements; local law and order enforcement tasking, as well as going into a range of lock-down situations themselves.

As each T/PCC has its own set of unique COVID-19 circumstances, the Secretariat regularly seeks a situation update from each T/PCC that submitted an LOI in the first programming round (including LOI received late and programmed for review in the second programming round), as well as the respective UNDP or UN Women Regional or Country Office, to understand and confirm their (joint) ability to continue with, or defer ongoing Elsie projects, and to understand their timeframes. This information will also enable periodic updates to be provided to all Elsie Fund stakeholders and to be included in the 2020 Annual Report.

6. CONSOLIDATED FINANCIAL REPORT

The following Consolidated Annual Financial Report of the Elsie Fund is prepared by the UN Development Programme (UNDP) Multi-Partner Trust Fund Office (MPTF Office) in fulfillment of its obligations as Administrative Agent, as per the TOR, the Memorandum of Understanding (MOU) signed between the UNDP MPTF Office and the Participating Organizations, and the Standard Administrative Arrangement (SAA) signed with contributors.

The MPTF Office, as Administrative Agent, is responsible for concluding an MOU with Participating Organizations and SAAs with contributors. It receives, administers and manages contributions, and disburses these funds to the Participating Organizations. The Administrative Agent prepares and submits annual consolidated financial reports, as well as regular financial statements, for transmission to contributors.

This consolidated financial report covers the period 1 January to 31 December 2019 and provides financial data on progress made in the implementation of projects of the Elsie Fund. It is posted on the MPTF Office GATEWAY (<http://mptf.undp.org/factsheet/fund/EIF00>).

The financial data in the report is recorded in US Dollars and due to rounding off of numbers, the totals may not add up.

6.1. 2019 Financial Performance

This chapter presents financial data and analysis of the Elsie Fund using the pass-through funding modality as of 31 December 2019. Financial information for this Fund is also available on the MPTF Office GATEWAY, at the following address: <http://mptf.undp.org/factsheet/fund/EIF00>.

6.2. Sources and uses of Funds

As of 31 December 2019, four contributors deposited US\$ 14,704,714 in contributions and US\$ 218,190 was earned in interest. The cumulative source of funds was US\$ 14,922,904 (see respectively, Tables 2 and 3).

Of this amount, US\$ 250,309 has been net funded to zero Participating Organizations, of which US\$ 123,249 has been reported as expenditure. The Administrative Agent fee has been charged at the approved rate of 1% on deposits and amounts to US\$ 147,047. Table 1 provides an overview of the overall sources, uses, and balance of the Elsie Fund as of 31 December 2019.

Table 1. Financial Overview, as of 31 December 2019 (in US Dollars)

	Annual 2018	Annual 2019	Cumulative
Sources of Funds			
Contributions from donors		14,704,714	14,704,714
Fund Earned Interest and Investment Income		218,190	218,190
Interest Income received from Participating Organizations		-	-
Refunds by Administrative Agent to Contributors		-	-
Fund balance transferred to another MDTF		-	-
Other Income		-	-
Total: Sources of Funds		14,922,904	14,922,904
Use of Funds			
Transfers to Participating Organizations		-	-
Refunds received from Participating Organizations		-	-
Net Funded Amount		-	-
Administrative Agent Fees		147,047	147,047
Direct Costs: (Steering Committee, Secretariat...etc.)		250,309	250,309
Bank Charges		290	290
Other Expenditures		-	-
Total: Uses of Funds		397,646	397,646
Change in Fund cash balance with Administrative Agent		14,525,258	14,525,258
Opening Fund balance (1 January)		-	-
Closing Fund balance (31 December)		14,525,258	14,525,258
Net Funded Amount (Includes Direct Cost)		250,309	250,309
Participating Organizations' Expenditure (Includes Direct Cost)		123,249	123,249
Balance of Funds with Participating Organizations			127,060

6.3. Partner Contributions

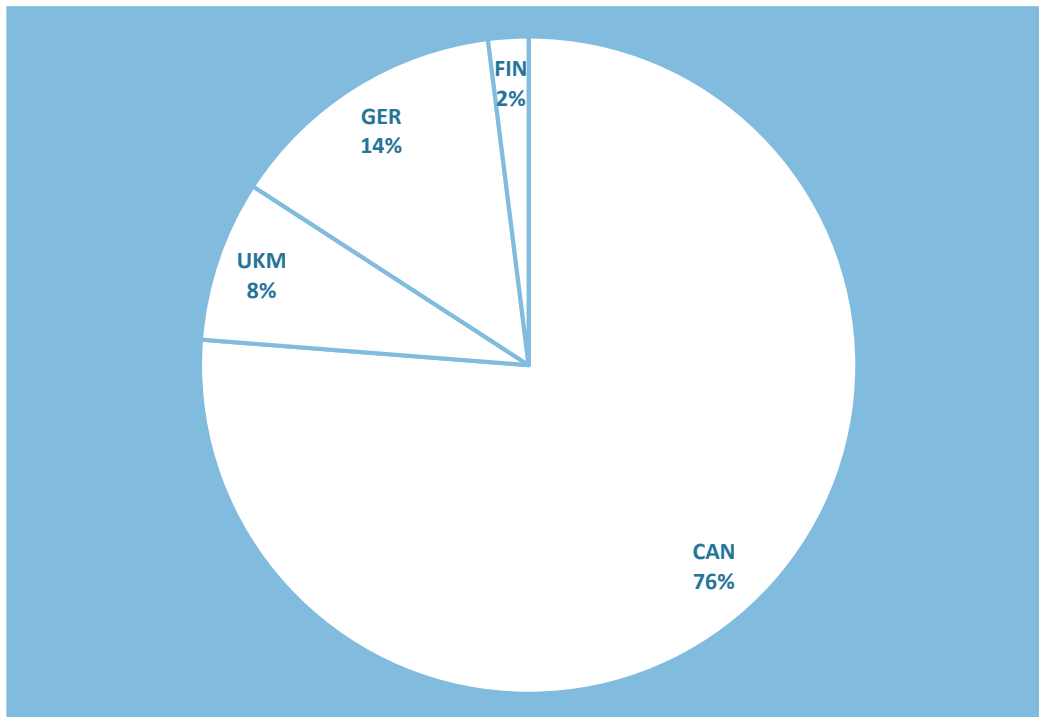
Table 2 provides information on cumulative contributions received from all contributors to this Fund as of 31 December 2019. The Elsie Fund is currently being financed by 4 contributors, as listed in the table below.

The table below includes commitments made up to 31 December 2019 through signed Standard Administrative Agreements, and deposits made through 2019. It does not include commitments that were made to the fund beyond 2019.

Table 2. Contributors' Commitments and Deposits, as of 31 December 2019 (in US Dollars)

Contributors	Total Commitments	Prior Years as of 31-Dec-2018 Deposits	Current Year Jan-Dec-2019 Deposits	Total Deposits
CANADA, Government of	11,252,813	-	11,252,813	11,252,813
FINLAND, Government of	222,759	-	222,759	222,759
GERMANY, Government of	2,000,000	-	2,000,000	2,000,000
UNITED KINGDOM, Government of	1,229,141	-	1,229,141	1,229,141
Grand Total	14,704,714	-	14,704,714	14,704,714

Figure 1: Deposits by contributor, cumulative as of 31 December 2019



6.4. Interest Earned

Interest income is earned in two ways: 1) on the balance of funds held by the Administrative Agent (Fund earned interest), and 2) on the balance of funds held by the Participating Organizations (Agency earned interest) where their Financial Regulations and Rules allow return of interest to the AA.

As of 31 December 2019, Fund earned interest amounts to US\$ 218,190.

Details are provided in the table below.

Table 3. Sources of Interest and Investment Income, as of 31 December 2019 (in US Dollars)

Interest Earned	Prior Years as of 31-Dec-2018	Current Year Jan-Dec-2019	Total
Administrative Agent			
Fund Earned Interest and Investment Income		218,190	218,190
Total: Fund Earned Interest		218,190	218,190
Participating Organization			
Total: Agency earned interest			
Grand Total		218,190	218,190

6.5. Transfer of Funds

Allocations to Participating Organizations are approved by the Steering Committee and disbursed by the Administrative Agent. As of 31 December 2019, the AA has not transferred any funds to Participating Organizations.

6.6. Expenditure and Financial Delivery Rates

All final expenditures reported for the year 2019 were submitted by the Headquarters of the Participating Organizations. These were consolidated by the MPTF Office.

Project expenditures are incurred and monitored by each Participating Organization and are reported as per the agreed upon categories for inter-agency harmonised reporting. The reported expenditures were submitted via the MPTF Office's online expenditure reporting tool. The 2019 expenditure data has been posted on the MPTF Office GATEWAY at <http://mptf.undp.org/factsheet/fund/EIF00>. In 2019, no funds were transferred to Participating Organizations, and no expenditure was reported.

6.7. Cost Recovery

Cost recovery policies for the Fund are guided by the applicable provisions of the TOR, the MOU concluded between the Administrative Agent and Participating Organizations, and the SAAs concluded between the Administrative Agent and Contributors, based on rates approved by UNDG.

The policies in place, as of 31 December 2019, were as follows:

- **The Administrative Agent (AA) fee:** 1% is charged at the time of contributor deposit and covers services provided on that contribution for the entire duration of the Fund. In the reporting period US\$ 147,047 was deducted in AA-fees.
- **Indirect Costs of Participating Organizations:** Participating Organizations may charge 7% indirect costs. In the current reporting period, no indirect costs were deducted by Participating Organizations.

6.8. Accountability and Transparency

In order to effectively provide fund administration services and facilitate monitoring and reporting to the UN system and its partners, the MPTF Office has developed a public website, the MPTF Office Gateway (<http://mptf.undp.org>). Refreshed in real time every two hours from an internal enterprise resource planning system, the MPTF Office Gateway has become a standard setter for providing transparent and accountable trust fund administration services.

The Gateway provides financial information including contributor commitments and deposits, approved programme budgets, transfers to and expenditures reported by Participating Organizations, interest income and other expenses. In addition, the Gateway provides an overview of the MPTF Office portfolio and extensive information on individual Funds, including their purpose, governance structure and key documents. By providing easy access to the growing number of narrative and financial reports, as well as related project documents, the Gateway collects and preserves important institutional knowledge and facilitates knowledge sharing and management among UN Organizations and their development partners, thereby contributing to UN coherence and development effectiveness.

6.9. Direct Costs

The Fund governance mechanism may approve an allocation to a Participating Organization to cover costs associated with Secretariat services and overall coordination, as well as Fund level reviews and evaluations. These allocations are referred to as 'direct costs'. In the reporting period, direct costs charged to the fund amounted to US\$ 250,309. Cumulatively, as of 31 December 2019, US\$ 250,309 has been charged as Direct Costs.

Table 4. Direct Costs

Participating Organization	Net Funded Amount	Expenditure	Delivery Rate
UNWOMEN	250,309	123,249	49%
Total:	250,309	123,249	49%

7. ANNEX A

Elsie Initiative Fund - Planning Timelines - First / Second Programming Round Sequence: Correct as at 20th May, 2020

Time Frame 1st Round		9-Jul-19	28-Jun-19	1-Oct-19	7-Nov-19	27-Nov-19	15-28 Jan 2020	Week of Mar 31	Q2 2020	Late Jun - early Jul 2020	Q4 2020	After outcomes advised	Q3 2020
Planning Time Frame 2nd Round (COVID adjusted)	approx dates	Sep - Oct 2020	Sep - Oct 2020	late 2020	early 2021	Q1 2021	Q1 2021	Q2 2021	Q2 2021	Q2 2021	Q2 2021	After outcomes advised	After outcomes advised
Stage	Concept Note Finalized	Elsie Initiative Fund Technical Briefing conducted	Invitation to submit Letter of Interest (LOI) sent	LOIs submitted	LOIs reviewed	Steering Committee Meeting conducted	Steering Committee outcomes - LOI - advised	Detailed submissions submitted	Detailed submissions reviewed	Steering Committee Meeting conducted	Steering Committee detailed submission outcomes advised	Funds distributed	Details of T/PCC and PUNMO projects announced
Initiated by:	UN Women Elsie Secretariat			-T/PCC - DPO / PUNO		UN Women Elsie Secretariat		-T/PCC - DPO / PUNO		UN Women Elsie Secretariat			
Participants:	Elsie Secretariat												
	GAC	GAC	Member States	Elsie Technical Committee	Elsie Technical Committee	UN W Co-Chair	GAC	Elsie Technical Committee	Elsie Technical Committee	UN W Co-Chair	GAC	MPTFO	GAC
	GAC	DCAF	DPO / PUNO		MPTFO	GAC Co-Chair		MPTFO	External review (if required)	Elsie Steering Committee		T/PCC	
Stakeholders:	Member States	Member States	Elsie Technical Committee	Member States	Elsie Steering Committee	T/PCC	T/PCC	Member States	Elsie Steering Committee	T/PCC	T/PCC		Donors
	DPO / PUNO	DPO / PUNO	Elsie Steering Committee	Elsie Steering Committee	T/PCC	DPO / PUNO	DPO / PUNO	Elsie Steering Committee	T/PCC	DPO / PUNO	DPO / PUNO		Elsie Steering Committee
	Contributors	Donors	UN W Co-Chair		Donors	DCAF / Cornell Uni	Donors		Donors		Donors		Elsie Technical Committee
	DCAF / Cornell Uni	DCAF / Cornell Uni	GAC Co-Chair		UN W Co-Chair		DCAF / Cornell Uni		UN W Co-Chair		DCAF / Cornell Uni		Member States
	Elsie Steering Committee	Elsie Steering Committee	DCAF / Cornell Uni		GAC Co-Chair		Elsie internet / intranet / Social media		GAC Co-Chair		Elsie internet / intranet / Social media		MPTFO
	Elsie Technical Committee	Elsie Technical Committee	T/PCC - previous submissions				MPTFO Website		DCAF / Cornell Uni		MPTFO Website		DCAF / Cornell Uni
		MPTFO Website	T/PCC - late submissions (previous round)				UNW WPS COP				UNW WPS COP		UNW WPS COP
		UNW WPS COP	T/PCC - indicated interest										
UN Women Documentation Required	Concept Note	Invitation to Member States	- Formal letter to Permanent Missions	- LOI Submission template	- LOI submission matrix	- Agenda / supporting annexes	Letters to T/PCC & PUNOs: -progressing - require additional info	Detailed submission template	- IAW HACT / HRDDP / UN Women PP&G	- Agenda / supporting annexes	Letters to T/PCC & PUNOs: -progressing - require additional info		- Talking Points - Concept Note
		- PowerPoint presentation	- Template and/or instructions on LOI requirements	- LOI receipt acknowledged	- LOI assessment matrix		Steering Committee Minutes	- detailed submission receipt acknowledged		- Detailed assessment matrix	Steering Committee Minutes		
Communication channel	Email	F2F and Web TV	Email	Email	Email Teleconf Meetings	Meeting (Pref) Vicoin (Alt) E-Meeting (Alt)		Email	Email, Teleconf, Meetings	E-meeting, Vicon, Meeting		Email	TBD - Comms Plan

MPTFO Documentation Required

- (1) Transmittal Fund (FTR Request Form)
 - (2) Project Document (ProDoc)
 - (3) Budget in UNDG categories format
 - (4) Signed SC minutes detailing project approval
- 'Signed MoU for Participating org's receiving funding

2020 WPS / PK EVENTS

