

WESTERN BALKANS SALW  
CONTROL ROADMAP MULTI-PARTNER  
TRUST FUND

# 2019 Annual Report

15 MARCH - 31 DECEMBER



**ROADMAP**

FOR A SUSTAINABLE SOLUTION TO THE ILLEGAL POSSESSION,  
MISUSE AND TRAFFICKING OF SALW AND THEIR AMMUNITION

IN THE WESTERN BALKANS BY 2024



# Western Balkans SALW Control Roadmap MPTF

## Contributors



Norwegian Ministry  
of Foreign Affairs

## Participating Organizations



## Supported by



This project is funded by  
the European Union

# Contents

List of Abbreviations .....

I. NARRATIVE REPORT .....

I.1. Introduction .....

I.2. Update on the Activity  
of the Trust Fund Governance .....

I.3. Communications and Visibility .....

II. FINANCIAL REPORT .....

# List of Abbreviations

<b>EU</b>	European Union
<b>MoU</b>	Memorandum of Understanding
<b>MPTF</b>	Multi-Partner Trust Fund
<b>SAA</b>	Standard Administrative Arrangement
<b>SALW</b>	Small Arms and Light Weapons
<b>SEESAC</b>	South Eastern and Eastern Europe Clearinghouse for the Control of Small Arms and Light Weapons
<b>UN</b>	United Nations
<b>UNDP</b>	United Nations Development Programme
<b>UNODC</b>	United Nations Office on Drugs and Crime
<b>US</b>	United States

# I. NARRATIVE REPORT

This Narrative Report covers the period from 15 March 2019 to 31 December 2019, describing the activities of the Steering Committee and the Secretariat of the Western Balkans SALW Control Roadmap Multi-Partner Trust Fund (MPTF), since the set-up of the Trust Fund in March 2019.

The narrative report begins with an overview of the objectives and key features of the Trust Fund, and a description of the Trust Fund Governance structure. The report continues by presenting the steps conducted in the set-up and implementation of the Trust Fund since the approval of the Trust Fund reg-

ulatory framework to the launch and evaluation of the first call for project proposals.

The Narrative Report also highlights the communications activities undertaken in 2019 and is followed by a financial report.

# I.1. Introduction

# I.1. Introduction

2019 marked the establishment of the Western Balkans SALW Control Roadmap Multi-Partner Trust Fund (MPTF), as a key funding mechanism supporting the implementation of the [Roadmap for a sustainable solution to the illicit possession, misuse and trafficking of small arms and light weapons \(SALW\) and their ammunition in the Western Balkans by 2024](#).

The Roadmap was jointly developed by the six Western Balkans jurisdictions - Albania, Bosnia and Herzegovina, Kosovo\*, Montenegro, North Macedonia, and Serbia, under the auspices of the Governments of Germany and France, in coordination with the European Union (EU), and with the technical support of the South Eastern and Eastern Europe Clearinghouse for the Control of Small Arms and Light Weapons (SEESAC). The Roadmap is the most comprehensive arms control exercise in the Western Balkans, covering all key aspects of SALW Control from weapon destruction to mainstreaming gender in security policies and countering arms trafficking. It was adopted at the Western Balkans London Summit in July 2018, representing a firm commitment to addressing the threats posed by the misuse and illicit possession of weapons in the Western Balkans and Europe at large.

The Western Balkans SALW Control Roadmap MPTF was set up by UNDP and UNODC, together with the MPTF Office, to contribute to a donor coordinated funding approach in the

implementation of the Roadmap and provide a comprehensive risk and results-based management system. The Trust Fund has benefitted from a strong cooperation with Germany and France, as the initiators the Roadmap, and were soon joined by the United Kingdom, Sweden and Norway. Due to the generosity and commitment of these donors, in 2019, the Western Balkans SALW Control Roadmap MPTF mobilized contributions of over US \$9.4 million, well surpassing the minimum level of capitalization of US\$5million per year.

Following the set-up of the Trust Fund and the approval of its regulatory framework, the first call for project proposals was launched in July 2019, addressed to UNODC and UNDP Offices in the Western Balkans. An administrative and technical review of the submitted project proposals was conducted by a review panel composed of Secretariat's technical experts and external experts. The project proposals were then reviewed by the Trust Fund Steering Committee who will decide on the allocation of funding beginning 2020.

\* References to Kosovo shall be understood to be in the context of Security Council Resolution 1244 (1999).

# I.1.1. The Western Balkans SALW Control Roadmap MPTF

The primary rationale of the Trust Fund is to ensure a donor coordinated approach in the implementation of the Roadmap for a sustainable solution to the illegal possession, misuse and trafficking of Small Arms and Light Weapons and their ammunition in the Western Balkans.

It enables a platform to strengthen coordination, planning and communication among the donors, implementing partners and national authorities, and develop synergies among different players involved in this programmatic area. It also reduces risks to governments and financial contributors through a comprehensive risk and results-based management system. The Western Balkan SALW

Control Roadmap MPTF supports concrete projects and activities in the area of SALW control that contribute to the achievement of the Roadmap goals and are in line with the priorities of the targeted jurisdictions.

Page 9 summarizes the key features of the Trust Fund.

# Key features of the Western Balkans SALW Control Roadmap MPTF

## 1 CONTRIBUTE TO IMPROVED COORDINATION AND BEST USE OF RESOURCES

SALW control activities are implemented in a coordinated manner and the multiple partners' priorities are aligned through regular dialogue and strong monitoring and reporting mechanisms. This helps avoid duplication of efforts, reduces fragmentation and ensures complementarity with other similar activities.

## 2 ENSURED RELEVANCE

Funded projects must adhere to the goals of the Roadmap and must be developed in close cooperation with the beneficiaries, thereby ensuring that projects effectively respond to the priorities of the targeted jurisdictions.

## 3 INCREASED EFFECTIVENESS AND EFFICIENCY

A comprehensive results-based framework ensures delivery of results, while the pass-through mechanism minimizes implementation delays and transaction costs.

## 4 HIGHER IMPACT

Donor contributions are commingled as part of the pooled funding mechanism, enabling the implementation of broader interventions with more sustainable results.

## 5 INCREASED OWNERSHIP

Authorities in the Western Balkans are enabled to steer the implementation of the funded projects based on their SALW control priorities.

## 6 INCREASED ACCOUNTABILITY AND TRANSPARENCY

Financial data related to the Trust Fund's contributions and transactions, as well as key documents related to its administration are publicly available on the MPTF Office Gateway, preserving thus important institutional knowledge and facilitating knowledge sharing.

# **I.2. Update on the Activity of the Trust Fund Governance**

## I.2.1. Trust Fund Governance Structure

The Governance Structure of the Western Balkans SALW Control Roadmap MPTF includes the Steering Committee, the Secretariat and the Administrative Agent.

**The Steering Committee** consists of representatives of the Participating Organizations (UNDP and UNODC), France, Germany and the EU (as ex-officio members), and other top three donors to the Trust Fund. In 2019, the donors represented in the Steering Committee, apart from Germany and France, were the United Kingdom, Sweden and Norway. No other donors contributed to the Trust Fund in 2019. The Steering Committee provides general oversight and exercises overall accountability of the Trust Fund, approves the strategic direction of the Fund and its overall results framework, decides on the allocation of funds, and oversees the implementation of the funded projects and overall progress towards the planned results.

The South Eastern and Eastern Europe Clearinghouse for the Control of Small Arms and Light Weapons (SEESAC), a joint initiative of Regional Coopera-

tion Council and UNDP, which has received steady and substantial funding from the European Union since 2002, is responsible to act as **the Secretariat** of the Trust Fund, ensuring programmatic coordination and monitoring of the Fund. This is in line with SEESAC's mandate stemming from the South East Europe Regional Implementation Plan on Combating the Proliferation and Impact of Small Arms and Light Weapons<sup>2</sup> and in line with the EU Council Decision 1788/2018 providing the support to SEESAC for the coordination and monitoring of the overall implementation of the Roadmap<sup>3</sup>. The Secretariat is not part of the Steering Committee and has no voting rights.

The Trust Fund is administered by the MPTF Office in its capacity as **Administrative Agent** under the pass-through management modality. The MPTF Office is responsible with setting up and adminis-

<sup>2</sup> The South East Europe Regional Implementation Plan on Combatting the Proliferation and Impact of Small Arms and Light Weapons stipulates that to "provide project development, technical advisory, project monitoring and evaluation support to the EU, UNDP, OSCE and other stakeholders within South and Eastern Europe on request" is among one of SEESAC's responsibilities.

<sup>3</sup> Council Decision (CFSP) 2018/1788 of 19 November 2018 in support of the South-Eastern and Eastern Europe Clearinghouse for the Control of Small Arms and Light Weapons (SEESAC) for the implementation of the Regional Roadmap on combating illicit arms trafficking in the Western Balkans, OJ L 293, 20.11.2018, p. 11.

trating the Trust Fund, including receipt, administration and release of funds to the Participating Organizations in accordance with decisions from the Steering Committee.

**The Participating Organizations** are UNDP and UNODC who have signed the Memorandum of

Understanding (MoU)<sup>4</sup> with the MPTF Office. Each Participating UN Organization assumes full programmatic and financial accountability for the funds disbursed to it by the Administrative Agent.

---

<sup>4</sup> The MoU is available on the MPTF Office Gateway, at the following address: <http://mptf.undp.org/factsheet/fund/SLW00>

# I.2.2. Summary of Steering Committee Decisions

In 2019, the Trust Fund Steering Committee met twice in Istanbul, Turkey, on 25 June, and on 14 October, respectively.



## I.2.3. Trust Fund Regulatory Framework

The Trust Fund's regulatory framework was approved by the Steering Committee during its first meeting in June 2019.

The regulatory framework is comprised of the Trust Fund's Terms of Reference, the Operations Manual, the Results Matrix, the Risk Matrix and a Donor Engagement Action Plan<sup>5</sup>. The Trust Fund's Results Matrix mirrors the Roadmap for SALW Control in the Western Balkans and thus benefits from the monitoring and coordination platform put in place for measuring the progress achieved in the implementation of the Roadmap.

This platform is comprised of local and regional Roadmap coordination meetings facilitated by SEESAC that bring together the national authorities, international organizations, civil society organizations and donors to take stock of the progress, discuss challenges, and coordinate the actions in support to the Roadmap implementation. Also, a reporting framework measures the progress towards achieving the Roadmap seven goals and

fourteen key performance indicators. The coordination and monitoring activities are implemented in the context of EU Council Decision 2018/1788. Considering that the Trust Fund Results Matrix represents a picture of the Roadmap goals and key performance indicators the Roadmap reporting framework is also reflecting the progress made through the implementation of the Trust Fund<sup>6</sup>.

---

<sup>5</sup> The Trust Fund's Terms of References are available on the MPTF Office Gateway, <http://mptf.undp.org/factsheet/fund/SLW00>. The Operations Manual, Results Matrix, Risk Matrix and Donor Engagement Action Plan can be provided by the Trust Fund's Secretariat, upon request.

<sup>6</sup> However, acknowledging that the Trust Fund is only one of the mechanisms supporting the implementation of the Roadmap, results will not be achieved through the projects funded under this Trust Fund only. The implementation of the Roadmap is also supported by projects funded through other mechanisms, including the Funding Windows for UNDP "Small Arms and Light Weapons project in the following six Western Balkan countries - Albania, Bosnia and Herzegovina, Kosovo under 1244, Montenegro, Serbia, and the Republic of North Macedonia", OSCE, bilateral funds and funding from the European Union.

## I.2.4. First Call for Project Proposals

During its first meeting held in June 2019, the Steering Committee decided on the modality for the allocation of funds in 2019.

As per the Operations Manual, the Steering Committee could opt between three funding modalities: time-bound call for proposals, year-round call for proposals and direct solicitation of proposals. The Steering Committee agreed to organize a time-bound call for proposals as the preferred modality for fund allocation in 2019, which could be complemented by the direct solicitation of proposals if/when needed. Subsequently, the Secretariat prepared the first call for project proposals that was approved by the Steering Committee during a virtual meeting, in July 2019.

[The call for proposals was launched on 29 July 2019](#), with a focus on tackling illicit flows of firearms and reducing illicit possession of firearms, and their inter-connection with other areas including strengthening of criminal justice system and prevention of proliferation. UNODC, and UNDP Offices in Albania, Bosnia and Herzegovina, Kosovo, Montenegro, North Macedonia, and Serbia were also invited, to submit project proposals within the call.

Nine project proposals were received by the deadline, set for 19 September 2019, amounting to US\$12,651,794<sup>7</sup>.

A review panel comprised of Secretariat's technical experts and external international experts conducted the administrative and technical review of the received project proposals, as per the eligibility and technical evaluation criteria.

The Steering Committee reviewed the project proposals received within the call and met in October 2019. The SC requested clarifications and revisions of four project proposals that received the highest scores. The Steering Committee approved the four project proposals for funding, pending their satisfactory revision as per the comments provided, and pending receipt of additional contributions by the Trust Fund. The selected Participating Organizations submitted their revised projects in December 2019. The approval of the allocation of funding for the revised project proposals is planned for beginning of 2020.

The total budget of the four projects amount to US\$5.3 million, and cover activities in the areas of countering illicit arms trafficking, and criminal justice response to firearm-related offences.

<sup>7</sup> By October 2019, the contributions to the Trust Fund amounted to US\$1.6, with commitments of US\$7.3 announced by donors.

# EVALUATION CRITERIA

## Eligibility criteria

1. The project contributes to the achievement of one or more of the Roadmap goals;
2. The challenge identified is clearly articulated and fully in line with the SALW control priorities defined in the SALW Strategy/ Roadmap Action Plan of the beneficiary/ies and has been developed in close cooperation with the beneficiary/ies.

## Technical criteria

1. The project demonstrates clear contribution and linkage to other relevant initiatives in the field of SALW control, as well as contribution to the implementation of related international obligations;
2. The project expected outputs are in line with the SALW control priorities and identified needs of the targeted jurisdiction/s;
3. The project's results and resources framework is clearly defined;
4. The project activities are well planned and articulated and contribute to the achievement of the expected results;
5. The project demonstrates sustainability of results;
6. The project demonstrates strong national ownership;
7. The risks are clearly identified and managed;
8. The project resources are allocated in an efficient and effective manner;
9. The project adheres to social and environmental standards;
10. The project integrates the gender perspective into its activities and expected results;
11. The visibility plan is clear and appropriate for the proposed project, in terms of the communication and visibility materials to be produced, targeted audiences, messaging, activities, and donor visibility;
12. Knowledge management is appropriately ensured through relevant knowledge products and/or other actions.

# PROJECT PROPOSALS APPROVED FOR FUNDING

## Support to Combating Illicit Arms Trafficking in Kosovo for Criminal Police (CPIAT)

SUBMITTED BY UNDP KOSOVO  
BUDGET: \$660,000

Through the provision of specialized training and equipment, the project will support the Kosovo Police in strengthening their capacities to better detect and increasingly confiscate firearms, components and ammunition, and improve the quality of investigations.

### → ROADMAP GOALS

Goal 2  
Goal 3  
Goal 5

### → ROADMAP KEY PERFORMANCE INDICATORS

KPI3  
KPI 4  
KPI 8  
KPI 10  
KPI 14

## Halting Arms and Lawbreaking Trade (HALT) in Bosnia and Herzegovina

SUBMITTED BY UNDP BOSNIA AND HERZEGOVINA AND UNODC  
BUDGET: \$2,200,000

The project will enhance the capacities of the Indirect Taxation Authority (i.e. the Customs Authority in Bosnia and Herzegovina) to better combat illicit arms trafficking through development of standard operating procedures, provision of training, and procurement of specialized equipment for the detection of smuggled firearms. The project will also contribute to strengthened cooperation and information exchange between the Indirect Taxation Authority and other institutions at the national and regional levels through joint trainings, working visits, and cross-border operations. The Border Police, postal agencies, private companies and the criminal justice sector in Bosnia and Herzegovina will be engaged throughout the project for increased sustainability of results.

### → ROADMAP GOALS

Goal 2  
Goal 3  
Goal 4  
Goal 5  
Goal 7

### → ROADMAP KEY PERFORMANCE INDICATORS

KPI 4  
KPI 6  
KPI 9  
KPI 10  
KPI 14

# PROJECT PROPOSALS APPROVED FOR FUNDING

## Urgent Action on Ammunition Destruction Project EXPLODE+

SUBMITTED BY UNDP BOSNIA AND HERZEGOVINA  
BUDGET \$583,546

The project will support the Ministry of Defence of Bosnia and Herzegovina in the destruction of 91 tons of unsafe ammunition, contributing to reduced stockpiles of surplus ammunition and thus to higher safety and security for storage facilities and local communities nearby.

### → ROADMAP GOALS

Goal 5  
Goal 6  
Goal 7

### → ROADMAP KEY PERFORMANCE INDICATORS

KPI 10  
KPI 12  
KPI 14

## Criminal Justice Response Against Arms Trafficking (regional project)

SUBMITTED BY UNODC  
BUDGET: \$1,900,000

The project will support the six Western Balkans jurisdictions to strengthen their criminal justice response to firearms related criminality, particularly illicit arms trafficking. Specifically, the project will support the Western Balkans authorities in the harmonization of the national firearms legislation and relevant criminal and procedural law provisions with the UN Firearms Protocol. The project will also work with the Western Balkans authorities in strengthening their capacities to detect, investigate and prosecute firearms trafficking and its links to other serious crimes, through improved guidelines and standard operating procedures, specialized training, information exchange and regional cooperation.

### → ROADMAP GOALS

Goal 1  
Goal 2  
Goal 3  
Goal 4  
Goal 7

### → ROADMAP KEY PERFORMANCE INDICATORS

KPI 1  
KPI 3  
KPI 8  
KPI 9  
KPI 10

# **1.3. Communications and Visibility**



# 1.3. Communications and Visibility

The visibility of the Western Balkans SALW Control Roadmap MPTF was primarily ensured during relevant regional and global level events, on Secretariat online knowledge exchange platform and through the communication materials developed. While the Secretariat conducted most of the communication activities, the Steering Committee members have also engaged in promoting the Trust Fund during relevant events they attended.

## Roadmap Coordination meetings

The local and regional Roadmap Coordination meetings represented a key forum for publicizing the Western Balkans SALW Control Roadmap MPTF. Over 100 participants, representatives of the SALW Commissions in the Western Balkans six jurisdictions, key international organizations engaged in arms control in the region (UNDP Country Offices, OSCE Missions, NATO, UNODC, Interpol, EU Policy Cycle - EMPACT, Regional Cooperation Council, RACVIAC Centre for Security Cooperation, ITF Slovenia, Small Arms Survey, Mines Advisory Group, Federal Office for Economic Affairs and Export Control - BAFA, GIZ, National Ballistic Intelligence Service – NABIS, HALO Trust and others), and key donors (EU, Germany, France, United Kingdom, United States, Norway, Poland, Czech Republic, the Netherlands, Italy) attended the two regional Roadmap coordination meetings organized by SEESAC in 2019.

Through these coordination meetings, the Trust Fund has gained high visibility among the international community and will continue to do so as the implementation of the Roadmap unfolds, with the Trust Fund being one of the key funding mechanisms for supporting the implementation of the Roadmap.

## SEESAC online knowledge exchange platform

A [dedicated section to the Trust Fund](#) was created on Secretariat's online knowledge exchange platform with key information about contributions and funded projects.

## Communication materials

A leaflet addressed to potential donors was prepared by the Trust Fund Secretariat, presenting the key features of the Trust Fund, and inviting contributions. The leaflet is available on the [Secretariat's online knowledge exchange platform](#).

# II. FINANCIAL REPORT

Consolidated Annual Financial Report of the Administrative Agent for the Western Balkans SALW Control Roadmap MPTF for the period 1 January to 31 December 2019

Multi-Partner Trust Fund Office  
Bureau for Management Services  
United Nations Development Programme  
GATEWAY: <http://mptf.undp.org>  
31 May 2020

# WESTERN BALKANS SALW CONTROL ROADMAP MPTF CONSOLIDATED ANNUAL FINANCIAL REPORT 2019

## Contributors



Germany



UK Home Office



France



Swedish  
International  
Development  
Cooperation Agency



Norway

# II.1. Definitions

## **Allocation**

Amount approved by the Steering Committee for a project/programme.

## **Approved Project/Programme**

A project/programme including budget, etc., that is approved by the Steering Committee for fund allocation purposes.

## **Contributor Commitment**

Amount(s) committed by a donor to a Fund in a signed Standard Administrative Arrangement with the UNDP Multi-Partner Trust Fund Office (MPTF Office), in its capacity as the Administrative Agent. A commitment may be paid or pending payment.

## **Contributor Deposit**

Cash deposit received by the MPTF Office for the Fund from a contributor in accordance with a signed Standard Administrative Arrangement.

## **Delivery Rate**

The percentage of funds that have been utilized, calculated by comparing expenditures reported by a Participating Organization against the 'net funded amount'.

## **Indirect Support Costs**

A general cost that cannot be directly related to any particular programme or activity of the Participating Organizations. UNDG policy establishes a fixed indirect cost rate of 7% of programmable costs.

## **Net Funded Amount**

Amount transferred to a Participating Organization less any refunds transferred back to the MPTF Office by a Participating Organization.

## **Participating Organization**

A UN Organization or other inter-governmental Organization that is an implementing partner in a Fund, as represented by signing a Memorandum of Understanding (MOU) with the MPTF Office for a particular Fund.

## **Project Expenditure**

The sum of expenses and/or expenditure reported by all Participating Organizations for a Fund irrespective of which basis of accounting each Participating Organization follows for donor reporting.

## **Project Financial Closure**

A project or programme is considered financially closed when all financial ob-

ligations of an operationally completed project or programme have been settled, and no further financial charges may be incurred.

## **Project Operational Closure**

A project or programme is considered operationally closed when all programmatic activities for which Participating Organization(s) received funding have been completed.

## **Project Start Date**

Date of transfer of first instalment from the MPTF Office to the Participating Organization.

## **Total Approved Budget**

This represents the cumulative amount of allocations approved by the Steering Committee.

## **US Dollar Amount**

The financial data in the report is recorded in US Dollars and due to rounding off of numbers, the totals may not add up.

## II.2. Introduction

This Consolidated Annual Financial Report of the Western Balkans SALW Control Roadmap MPTF is prepared by the United Nations Development Programme (UNDP) Multi-Partner Trust Fund Office (MPTF Office) in fulfillment of its obligations as Administrative Agent, as per the Terms of Reference (TOR), the Memorandum of Understanding (MOU) signed between the UNDP MPTF Office and the Participating Organizations, and the Standard Administrative Arrangement (SAA) signed with contributors.

The MPTF Office, as Administrative Agent, is responsible for concluding an MOU with Participating Organizations and SAAs with contributors. It receives, administers and manages contributions, and disburses these funds to the Participating Organizations. The Administrative Agent prepares and submits annual consolidated financial reports, as well as regular financial statements, for transmission to contributors.

This consolidated financial report covers the period 1 January to 31 December 2019 and provides financial data on progress made in the implementation of projects of the Western Balkans SALW Control

Roadmap MPTF. It is posted on the MPTF Office GATEWAY (<http://mptf.undp.org/factsheet/fund/SLW00>).

The financial data in the report is recorded in US Dollars and due to rounding off of numbers, the totals may not add up.

# II.3. 2019 Financial Performance

This chapter presents financial data and analysis of the Western Balkans SALW Control Roadmap MPTF using the pass-through funding modality as of 31 December 2019. Financial information for this Fund is also available on the MPTF Office GATEWAY, at the following address: <http://mptf.undp.org/factsheet/fund/SLW00>.

## II.3.1. SOURCES AND USES OF FUNDS

As of 31 December 2019, 5 contributors deposited US\$ 9,466,130 in contributions and US\$ 30,626 was earned in interest.

The cumulative source of funds was US\$ 9,496,756. Of this amount, US\$ 0 has been net funded to 0 Participating Organizations, of which US\$ 0 has been reported as expenditure. The Administrative Agent fee has been charged at the approved rate of 1% on deposits and amounts to US\$ 94,661. Table 1 provides an overview of the overall sources, uses, and balance of the Western Balkans SALW Control Roadmap MPTF as of 31 December 2019.

## II.3.2. PARTNER CONTRIBUTIONS

Table 2 provides information on cumulative contributions received from all contributors to this Fund as of 31 December 2019.

In 2019, the Western Balkans SALW Control Roadmap MPTF was financed by 5 contributors, as listed in Table 2.

The table on page 27 includes commitments made up to 31 December 2019 through signed Standard Administrative Agreements, and deposits made through 2019. It does not include commitments that were made to the fund beyond 2019.

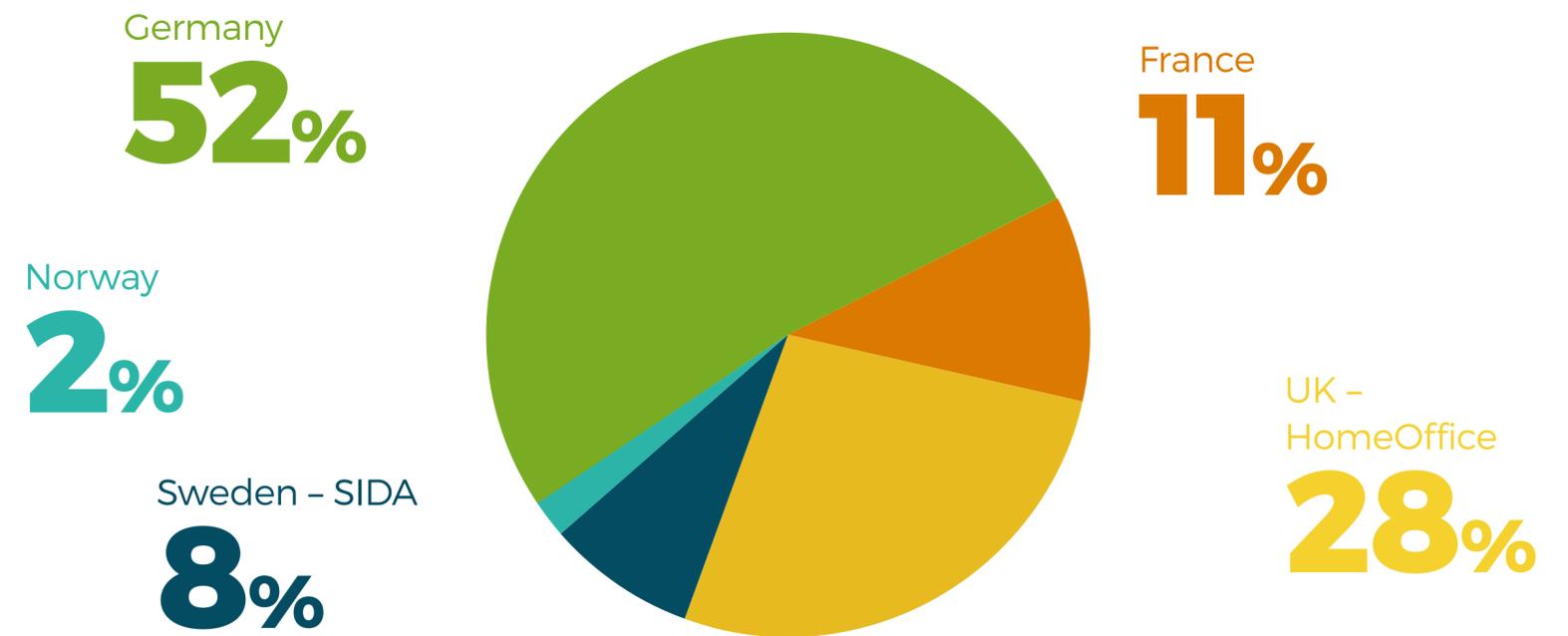
**Table 1. Financial Overview,  
as of 31 December 2019 (in US Dollars)**

	ANNUAL 2019	CUMULATIVE
<b>Sources of Funds</b>		
Contributions from donors	9,466,130	9,466,130
Fund Earned Interest and Investment Income	30,626	30,626
Interest Income received from Participating Organizations	-	-
Refunds by Administrative Agent to Contributors	-	-
Fund balance transferred to another MDTF	-	-
Other Income	-	-
<b>Total: Sources of Funds</b>	<b>9,496,756</b>	<b>9,496,756</b>
<b>Use of Funds</b>		
Transfers to Participating Organizations	-	-
Refunds received from Participating Organizations	-	-
<b>Net Funded Amount</b>	<b>-</b>	<b>-</b>
Administrative Agent Fees	94,661	94,661
Direct Costs: (Steering Committee, Secretariat...etc.)	-	-
Bank Charges	76	76
Other Expenditures	-	-
<b>Total: Uses of Funds</b>	<b>94,737</b>	<b>94,737</b>
<b>Change in Fund cash balance with Administrative Agent</b>	<b>9,402,019</b>	<b>9,402,019</b>
Opening Fund balance (1 January)	-	-
<b>Closing Fund balance (31 December)</b>	<b>9,402,019</b>	<b>9,402,019</b>
Net Funded Amount (Includes Direct Cost)	-	-
Participating Organizations' Expenditure (Includes Direct Cost)	-	-
<b>Balance of Funds with Participating Organizations</b>	<b>-</b>	<b>-</b>

**Table 2. Contributors' Commitments and Deposits, as of 31 December 2019 (in US Dollars)**

CONTRIBUTORS	TOTAL COMMITMENTS	CURRENT YEAR Jan-Dec-2019 Deposits	TOTAL DEPOSITS
<b>GERMANY</b>	4,944,586	4,944,586	4,944,586
<b>UK HOME OFFICE</b>	2,611,396	2,611,396	2,611,396
<b>FRANCE</b>	1,000,890	1,000,890	1,000,890
<b>SWEDEN - SIDA</b>	724,300	724,300	724,300
<b>NORWAY</b>	184,958	184,958	184,958
<b>Grand Total</b>	<b>9,466,130</b>	<b>9,466,130</b>	<b>9,466,130</b>

**Figure 1: Deposits by contributor, cumulative as of 31 December 2019**



### II.3.3. INTEREST EARNED

Interest income is earned in two ways: 1) on the balance of funds held by the Administrative Agent (Fund earned interest), and 2) on the balance of funds held by the Participating Organizations (Agency earned interest) where their Financial Regulations and Rules allow return of interest to the AA.

As of 31 December 2019, Fund earned interest amounts to US\$ 30,626.

Details are provided in the table below.

**Table 3. Sources of Interest and Investment Income, as of 31 December 2019 (in US Dollars)**

INTEREST EARNED	CURRENT YEAR Jan-Dec-2019	TOTAL
<b>Administrative Agent</b>		
Fund Earned Interest and Investment Income	30,626	30,626
<b>Total: Fund Earned Interest</b>	<b>30,626</b>	<b>30,626</b>
<b>Participating Organization</b>		
<b>Total: Agency Earned Interest</b>		
<b>Grand Total</b>	<b>30,626</b>	<b>30,626</b>

#### II.3.4. COST RECOVERY

Cost recovery policies for the Fund are guided by the applicable provisions of the Terms of Reference, the MOU concluded between the Administrative Agent and Participating Organizations, and the SAAs concluded between the Administrative Agent and Contributors, based on rates approved by UNDG.

The policies in place, as of 31 December 2019, were as follows:

- **The Administrative Agent (AA) fee:** 1% is charged at the time of contributor deposit and covers services provided on that contribution for the entire duration of the Fund. In the reporting period US\$ 94,661 was deducted in AA-fees.
- **Indirect Costs of Participating Organizations:** Participating Organizations may charge 7% indirect costs.

#### II.3.5. ACCOUNTABILITY AND TRANSPARENCY

In order to effectively provide fund administration services and facilitate monitoring and reporting to the UN system and its partners, the MPTF Office has

developed a public website, the MPTF Office Gateway (<http://mptf.undp.org>). Refreshed in real time every two hours from an internal enterprise resource planning system, the MPTF Office Gateway has become a standard setter for providing transparent and accountable trust fund administration services.

The Gateway provides financial information including: contributor commitments and deposits, approved programme budgets, transfers to and expenditures reported by Participating Organizations, interest income and other expenses. In addition, the Gateway provides an overview of the MPTF Office portfolio and extensive information on individual Funds, including their purpose, governance structure and key documents. By providing easy access to the growing number of narrative and financial reports, as well as related project documents, the Gateway collects and preserves important institutional knowledge and facilitates knowledge sharing and management among UN Organizations and their development partners, thereby contributing to UN coherence and development effectiveness.