

CAFI Multi-Partner Trust Fund

DRC Technical Secretariat Direct Cost



Title: CAFI Secretariat to support FONAREDD Technical Secretariat	Contact: Priya Gajraj Address: UNDP
Recipient Organization(s): United Nations Development Programme	Telephone: +243 81 555 33 05 E-mail: Priya.gajraj@undp.org
Location: UNDP DRC	
Description: <i>Support the FONAREDD Secretariat</i>	CAFI Fund: \$289,970 Other source: Allocation to date: Additional Allocation: Total Direct Cost: \$289,970
Key expected outputs:	

Recipient Organization(s)	Chair of the CAFI Executive Board
<i>Name of Representative</i> Priya Gajraj <i>Signature</i> United Nations Development Programme DRC Date & Seal	<i>Name</i> Jostein Lindland <i>Signature</i> Norway Date & Seal

UNDP has been requested by the Ministry of Finance of DRC, chair of the FONAREDD Steering Committee and designated Coordinating Entity, to support the management of the FONAREDD Executive Secretariat. To this end a direct cost budget has been submitted for approval to FONAREDD only covering national staff due to the national nature of the Secretariat. Three international experts (to increase the current two positions of the interim secretariat to three) will be recruited to support the FONAREDD Secretariat. In addition, UNDP DRC will receive support from the back stopping provided by the CAFI Secretariat. The three international experts will include:

1. A senior program advisor (overall oversight and fund mobilization)
2. one REDD+ Expert (programmatic support) and
3. one Expert in Trust Fund/Secretariat operations (initial operationalization of the Secretariat and day-to-day operations thereafter).

Their main functions will be described subsequently in Terms of Reference. They will include among others:

1. support the overall management of the Fund
2. provide strategic guidance on programs, partnerships, policies and reforms
3. provide technical input during the programming cycle and
4. support day-to-day trust fund operations

Whereas the work plan of the FONAREDD Secretariat and proposed budget for the international support is presented below. The costs will be shared between the on-going UNDP support project to DRC and CAFI direct costs as approved by the Executive Board.

The Executive Board approves US\$ 289,970 as direct costs to the United Nations Development Programme to support the setting up and management of the FONAREDD permanent Executive Secretariat.

The FONAREDD Executive Secretariat work plan with the activities the international staff will support:

Annual Work plan 2017: REDD+ National Fund									
Expected outputs (indicators, baseline, annual and quarterly targets)	KEY PLANNED ACTIVITIES	CHRONOGRAM				Implementation Structure	Ministry	Budget	
		T1	T2	T3	T4			Categories	Total
Output: The DRC is gradually investing in the green economy									
<p>Indicator 1: Volume of climate funds mobilized by FONAREDD (secured over a period of 5 years) Baseline 1: 200 Million USD over 5 years Target 1 for 2017: 500 million USD over 5 years</p> <p>Target 1.1 for 2017: an additional \$ 300 million pledged for REDD +</p> <p>Indicator 2: Number of sectors integrating climate concerns and improved management of natural resources. Baseline 2: one sector (environment and nature conservation under MECNT) Target 2 for 2017: 5 sectors</p> <p>Project FONAREDD Secretariat Lower level output 1: The REDD + Investment Plan is implemented effectively through quality programs.</p>									
<p>Indicators: 1.1 Amount approved 1.2 Number of operational programs 1.3 Number of follow-up reports submitted 1.4 Programming Monitoring Tools Developed and Implemented</p> <p>Baseline: 1.1 The FONAREDD COPII approved 44 million USD in October 2016 1.2 FONAREDD launched a programming cycle of 122</p>	Issue a call of proposals for the second round of programming (\$ 70 million)	x				FONAREDD	Finance Ministry	1. Staff and other personnel	94,000
	Governance program developed and approved	x				FONAREDD	Finance Ministry	4. Contractual services	90,000
	Secretariat support to the Agencies in their programming (harmonization of indicators, methodologies, integration of Technical committee comments, etc.)	x	x	x	x	FONAREDD	Finance Ministry		

<p>million USD in May 2016; No operational program in 2016</p> <p>1.3 Zero</p> <p>1.4 Draft risk management matrix; Results framework of the Investment Plan; CAFI indicators.</p> <p>Targets 2017:</p> <p>1.1 80 Million USD is programmed and approved by the Fund's Steering Committee</p> <p>1.2 Programs for an additional \$ 40 million start operations in 2017</p> <p>1.3 12 semi-annual reports are received and examined in Technical and Steering Committees</p> <p>1.4 12 monitoring missions are carried out in the operational programs</p> <p>1.5 A risk management matrix is developed and validated and the Fund's monitoring and evaluation framework is harmonized.</p>	<p>Review of the portfolio programmed under CAFI by the independent evaluators and by the Technical Committee</p>	x	x	x	x	FONAREDD	Finance Ministry		
	<p>Implementation of the Letter of Intent Follow-up Matrix (three months)</p>	x				FONAREDD	CTR/ Finance Ministry		
	<p>Monitoring of programs: tools developed, follow-up by the Secretariat and Technical Committee, consolidated reports</p>	x	x	x	x	FONAREDD	Finance Ministry		
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	<p>Subtotal 1</p>								
<p>Lower level output 2: DRC mobilizes additional resources for the implementation of the REDD + Investment Plan through FONAREDD</p>									
<p>Indicators:</p> <p>2.1 Additional amount excluding CAFI for the National Investment Framework (NIF) is programmed by FONAREDD</p> <p>2.2 Additional amount negotiated and / or secured through FONAREDD</p>	<p>Expertise and technical assistance for programming for the Green Climate Fund (objectives, results, activities, milestones, baseline, compliance with the Warsaw Framework, etc.)</p>	x	x	x	x	FONAREDD	Finance Ministry	1. Staff and other personnel	100,000

<p>2.3 Internal and external resources fund the implementation of the objectives of the National Investment Framework</p> <p>Baseline 2016: 2.1 The REDD + NIF was reviewed positively by the CAFI's Executive Board in 2016, three program idea notes were developed to mobilize 300 million USD from the GCF, and the ER Program of Mai Ndombé was approved by the FCPF Carbon Fund</p> <p>2.2 CAFI has signed a financial commitment of 190 million USD through FONAREDD, of which USD 120 million will be secured until 2018</p> <p>Targets 2017: 2.1 A portfolio of 300 million USD of the REDD + Investment Plan is the subject of more detailed programming for submission to the Green Climate Fund</p> <p>2.2 The DRC negotiates additional financing through FONAREDD with the Green Climate Fund and the Carbon Fund of the FCPF for a minimum amount of 200 million USD</p>	<p>Expertise in project design (in anticipation of and in parallel with the development of the GCF's programmatic approach)</p>	x	x	x	x	FONAREDD	Finance Ministry	1. Staff and other personnel	35,500	
	<p>Political and technical advocacy for resource mobilization and negotiation of legal arrangements (Korea Songdo missions, meetings with Board members, missions to Washington with the WB, CAFI missions, etc.)</p>								4. Contractual services	45,000
		x	x	x	x	FONAREDD	Finance Ministry			
Subtotal 2									180,500	

Lower level output 3: The Fund's management tools enable efficient and high-quality execution of the portfolio, as well as a portfolio implementation adapted to the sources of financing and needs

<p>Indicators: 3.1 New funding and implementation modalities; 3.2 Existence of a national legal framework and legal capacity for FONAREDD 3.3 Manual of Operations and Financial Rules and Procedures revised and/or developed</p> <p>Baselines 2016: 3.1 FONAREDD has a specific grant window for CAFI funding 3.2 The Congolese Government has designated FONAREDD as the financial vehicle for the implementation of REDD + financing under the Convention and hence of the GCF. 3.2 A national decree is being validated</p> <p>Annual targets 2017: 3.1 FONAREDD obtains access through its administrative agent UN MPTF 3.2 The legal access and financing agreement with the Green Fund is signed 3.3 The program cycle under funding Green Fund is developed and operational 3.4 Arrangements for the</p>	Technical support for the establishment of the operational structures of the Fund and establishment of the permanent Secretariat of FONAREDD	x	x	x	x	FONAREDD	Finance Ministry	4. Contractual services	60,000	
	Independent evaluation of program proposals and concept notes (2 call for proposal)				x	x	FONAREDD	Finance Ministry	1. Staff and other personnel	180,500
	Support to dialogue with technical and financial partners (national and international: CAFI EB meetings and COP)	x	x	x	x	FONAREDD	Finance Ministry			
	Support for the preparation of the Steering Committee and building of ownership of the different stakeholder members of the Steering Committee	x	x	x	x	FONAREDD	Finance Ministry			
	<p>Subtotal 3</p>									240,500

implementation of financing of the FCPF Carbon Fund Emissions Reduction Payment Agreement are negotiated with FONAREDD

Lower level output 4: The overall management and coordination of activities is ensured

	Human resources for administrative and financial management	x	x	x		FONAREDD	Finance Ministry	4. Contractual services	60,000
	Project final evaluation			x					
	Equipment	x	x	x		FONAREDD	Finance Ministry		
	Common Services	x	x	x		FONAREDD	Finance Ministry		
	Subtotal 4								60,000
UNDP Administrative Fees (7%)									46,550
Secretariat Total Direct Cost									711,550

Budget:

	Year 1	Year 2	Year 3	Year 4	Year 5	Total	Percentage of total capitalization
Cost of international Staff	289,970	711,550	711,550	711,550	711,550	3,136,170	1.25

Staff internationaux	Staff category	Unit Cost	#	Total	CAFI Direct Cost	TIER 2
Senior Advisor	Staff P5	365,000	1	365,000	271,000	94,000
REDD+ Expert	Consultant	15,000	12	180,000		180,000
Trust Fund Expert	Consultant	10,000	12	120,000		120,000
7%				46,550	18,970	27,580
Sous total				711,550	289,970	421,580

Budget for CAFI Direct Cost requested for 2017	
UNDG Harmonized Categories	Amount (US\$)
1. Staff and other personnel	271,000
2. Supplies, Commodities, Materials	0
3. Equipment, Vehicles, and Furniture (including Depreciation)	0
4. Contractual services	0
5. Travel	0
6. Transfers and Grants to Counterparts	0
7. General Operating and other Direct Costs	271,000
8. Indirect Support Cost	18,970
TOTAL	289,970