



**MULTI-DONOR TRUST FUND FOR
UN ACTION AGAINST SEXUAL VIOLENCE IN CONFLICT**

PROJECT PROPOSAL SUBMISSION FORM

Part A. Meeting Information (To be completed by the UN Action Secretariat)	
RMC Meeting No: circulated over email	Project¹ No: UNA-008 2 nd no-cost extension
Date of Meeting: circulated March 22, 2011	RMC members in attendance at meeting:
Part B. Project Summary (To be completed by the Participating UN Organization)	
Date of Submission: March 18, 2011	Participating UN Organization(s): UNICEF (lead) and OCHA, UNIFEM and DPA
Focal Point of the Participating UN Organization(s): Name: Mendy Marsh, UNICEF Kate Burns, OCHA Telephone: Marsh 212 824 6313; Burns 917 367 9002 Email: mmarsh@unicef.org , burns@un.org	Project Title: Strengthening prevention of sexual violence in conflict with parties to armed conflict (Phase I)
	Project Location(s): New York based and at least one mission to the DRC
UN Action pillar of activity: <input type="checkbox"/> Advocacy <input checked="" type="checkbox"/> Knowledge building <input type="checkbox"/> Support to UN system at country level	Projected Project Duration: 9 months
Proposed project, if approved, would result in: <input type="checkbox"/> New Project <input checked="" type="checkbox"/> Continuation of previous funding <input type="checkbox"/> Other (explain)	Total Project Budget: US \$ _79,324_ (\$25k remaining) Amount of MDTF funds requested: US \$ _79,324_
Request for extension of timeframe until August, 2011. The extension will allow for finalization of the Phase I report including: 1) In inventory of influencers of various parties to conflict. 2) Catalogue of current approaches and entry points (based on work done in other similar areas) for interaction with non state armed groups which are specifically relevant to sexual violence in armed conflict.	Percentage of indirect support costs from MDTF contribution: _____

¹ The term "project" is used for projects, programmes and joint programmes.



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3) A case study on DRC. 4) A set of recommendations for next steps. It will also allow for UNICEF and OCHA to start planning for an expert group meeting to review the preliminary findings.				
Projected Annual Disbursements:	2009 \$15,000	2010 \$ 39,324	2011 \$25,000	
Projected Annual Commitments:	2009 \$15,000	2010 \$39,324	2011 \$25,000	

Narrative Summary

I. Overview

This project aims to develop a set of tools and approaches for engaging with parties to conflict, and calling on them to respect international law and to stop using rape and other forms of sexual violence as a weapon of warfare. The project has three phases:

Phase 1: UNICEF will engage a Consultant to conduct desk research to catalogue who (international, national and local actors) interacts with and influences parties to conflict and more particularly non-state armed groups. This will be followed by a compilation of examples of other areas or themes when interactions with non-state armed groups led either to a positive or negative outcome. This will examples from work to stop child recruitment or abductions, access issues, safe days for vaccinations, and HIV work. This desk research will also include an analysis of what is *known* about the motivations for utilizing sexual violence sexual violence in conflict. The consultant’s work under phase one will also include one field mission to the DRC to work with technical research experts and non-state actors on the development of a methodology to collect information on motivations related to the use of sexual violence in conflict.

Phase 2: Field support to assist field actors in one or two locations to collect more in-depth information on motivations for sexual violence in conflict, through a variety of key informant interviews and other field research techniques. This information will then be used in collaboration with field actors to develop messages and approaches that can be used in discussions with parties to conflict that will help in the design of messages that can be used to stop the use of sexual violence in conflict. This will include development of and use of research methodologies to will help determine the motivations for the use of rape as a weapon of war.

Phase 3: Development of tool kit for “influencers” of parties to conflict on how to develop effective messaging and approaches to working with armed groups to persuade them to stop using sexual violence as a weapon of war. This phase will include the piloting of messages and approaches to use with non-state actors to prevent sexual violence in war.

This proposal focuses on phase I of this project and has the broad objective of broadening the understanding of how to influence the perpetrators of sexual violence to stop utilizing sexual violence as a



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tactic of war. This project will build on the manual that was produced by OCHA in January 2006: *Humanitarian Negotiations with Armed Groups: A Manual for Practitioners*, and the work that UNICEF is already doing on sexual violence in conflict. For example, in collaboration with members of Monitoring and Reporting Task Forces that have been set up in 14 conflict-affected countries to monitor and report on the six grave violations against children (including sexual violence) (Security Council Resolutions 1612/1882), UNICEF is already engaging non-state actors in the development of action plans to stop the recruitment of children into fighting forces. In addition, this work will assist in building alliances with the Geneva Call² and the Center for Humanitarian Dialogue.³ Lastly, this proposal will also lay the foundation for phase II, which will include having the consultant make one field visit to DRC in collaboration with one-to-two technical research experts to work with field actors (UN, government and non-state actors, e.g. rebel groups, NGOs, faith based institutions) on the development of a methodology for the collection of more in-depth information on motivations for sexual violence in conflict.

The outcomes of phase I include:

1. An inventory of influencers of various parties to conflict.
2. A catalogue of current approaches and entry points (based on work done in other similar areas) for interaction with non state armed groups which are specifically relevant to sexual violence in armed conflict.
3. An analysis of what is *known* about the motivations for utilizing sexual violence sexual violence in conflict.
4. Defined research methodology for the collection of information on motivations for sexual violence in conflict and target countries identified phase II.

II. Proposal's compliance with UN Action's Strategic Framework

- a. This proposal falls under the Knowledge Building Pillar – “*Map examples of positive interactions with Non-State actors, with a view to developing preventive diplomacy strategies and guidance – OCHA and UNICEF to lead, supported by UNIFEM and DPA.*”
- b. *Explain how the proposal enhances UN system coordination and joint programming.* Generating a common understanding of what works with regard to engaging non-state actors on the prevention of sexual violence in conflict will foster more effective intervention across the UN in conflict countries.
- c. *Explain how the UN, governmental organizations, NGOs, and other key stakeholders will be engaged throughout implementation of the proposal.* All of the work undertaken through this project will be done in coordination with the UN Action network and the GBV AoR Working group, which includes over 60 members (UN and local and international NGOs). These interactions will include group and one-on-one discussions and email exchanges with both UN Action focal points and GBV AoR members.
- d. *Explain how the proposal would strengthen the capacity of national institutions to deal with conflict-related sexual violence.* The outcomes of phase I of this project will lead to greater

² Geneva Call is a neutral and impartial humanitarian organization dedicated to engaging armed non-State actors (NSAs) towards compliance with the norms of international humanitarian law (IHL) and human rights law (IHRL). The organization focuses on NSAs that operate outside effective State control.

³ The Center for Humanitarian Dialogue is an independent organization that attempts to improve the global response to armed conflict by mediating between warring parties. The ultimate goal of its work is to reduce the consequences of violence conflict, improve security, and contribute to peace building.



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understanding of what the key entry points are for working with non-state actors in the prevention of sexual violence in conflict, and this information will eventually be used to assist national institutions to better understand what approaches may be most effective in terms of engaging non-state actors.

III. Success criteria and means of evaluating results

- a. *Explain how the Participating UN Organisation(s) submitting the proposal have the institutional capacity to successfully achieve the proposed objectives.* UNICEF and OCHA have country offices throughout the world, with a number of them being in countries currently affected by conflict. In addition, they also have individuals at the headquarters level who will monitor the progress of the project and be accessible to the individuals working on this project.
- b. *Describe the overall management structure of this project.* UNICEF and OCHA have dedicated staff at the headquarters level who will monitor the progress of the project, and who will be readily available to the consultants hired under this project.
- c. *Explain how the proposal will be monitored and evaluated.* UNICEF and OCHA will provide overall project guidance in coordination with the UN Action Focal Points and key members of the GBV AoR Working Group.

IV. Budget

- a. The budget includes the consultant fees, their travel to DRC with 2 technical research experts, and travel to Geneva to meet with members of Geneva Call and the Center for Humanitarian Dialogue. This work was not forecasted by UNICEF or OCHA, thus, the funds are not available by November/December 2009.
- b. The consultant will be based at UNICEF Headquarters and make at least one field mission to DRC.

Part C. Initial Review of Proposal <i>(To be completed by the UN Action Secretariat)</i>	
(a) Is the project explicitly linked to the UN Action Strategic Framework?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(b) Is the project effective, coherent, and cost-efficient?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(c) Does it avoid duplication and significant overlap with the activities of other UN system entities?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(d) Does it build on existing capacities, strengths and experience?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(e) Does it promote consultation, participation and partnerships and agree with the existing country coordination mechanism?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(f) Is the Project Proposal Submission Form fully completed?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(g) Is the Budget in compliance with the standard format?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(h) Is the indirect support cost within the approved rate?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>



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Part D: Decision of the Resource Management Committee
(to be completed by the RMC Chairperson)

5. Decision of the Resource Management Committee

- Approved for a total budget of US\$ _____
- Approved with modification/condition
- Deferred/returned with comments for further consideration
- Rejected

Comments/Justification:

Chairperson of the Resource Management Committee

C. GARCIA-MORENO
Name (Printed)

C Garcia-Moreno
Signature

31 March 2011
Date

Part E: Administrative Agent Review
(To be completed by the UNDP MDTF Office)

6. Action taken by the Executive Coordinator, Multi-Donor Trust Fund Office, UNDP

- Project consistent with provisions of the RMC Memorandum of Understanding and the Standard Administrative Arrangements with donors.

Bisrat Aklilu
Executive Coordinator
Multi-Donor Trust Fund Office, UNDP

.....
Signature

.....
Date



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ANNEX A. 3



**MULTI-DONOR TRUST FUND FOR
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FUND SIGNATURE PAGE

(Note: Please attach to the Project Proposal Submission Form)

Participating UN Organization: UNICEF and OCHA	Focal Point of Participating UN Organization: Name: Mendy Marsh and Kate Burns Address: UNICEF New York, OCHA New York Telephone: Marsh: 212 824 6313 Burns: 917 367 9002 Email: mmarsh@unicef.org ; burns@un.org									
Project Number: (to be allocated by the UNDP MDTF Office)	Project Duration: 6 months Estimated Start Date: December 1, 2009									
Project Title: Strengthening prevention of sexual violence in conflict with parties to armed conflict	Project Location(s): New York and DRC									
Total Project Cost: US \$ <u>79,324</u> MDTF: US \$ _____ Other: US \$ _____ GRAND TOTAL: US \$ _____										
Total Amount Approved: US \$ <i>no-cost extension</i>										
Participating UN Organisation: RMC Chairperson:	<table border="0"> <thead> <tr> <th style="text-align: center;">Signature</th> <th style="text-align: center;">Date</th> <th style="text-align: center;">Name/Title</th> </tr> </thead> <tbody> <tr> <td><i>Mendy Marsh</i></td> <td><i>March 3/2011</i></td> <td><i>Mendy MARSH CP Specialist</i></td> </tr> <tr> <td><i>Cherise Moreno</i></td> <td><i>31 March 2011</i></td> <td><i>C. GARCIA MORENO Coordinating RHR/NHC</i></td> </tr> </tbody> </table>	Signature	Date	Name/Title	<i>Mendy Marsh</i>	<i>March 3/2011</i>	<i>Mendy MARSH CP Specialist</i>	<i>Cherise Moreno</i>	<i>31 March 2011</i>	<i>C. GARCIA MORENO Coordinating RHR/NHC</i>
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ANNEX A.8



**MULTI-DONOR TRUST FUND FOR
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PROGRAMME BUDGET FORM

PROGRAMME BUDGET*	
CATEGORY	AMOUNT
1. Supplies, commodities, equipment and transport	
2. Personnel (staff, consultants and travel)	<p>Consultant Fees: \$9,000 by 6 months: \$54,000</p> <p>Travel for 3 people to DRC \$16,287 (Airfare DRC: \$3,500; terminal expenses \$129; DSA \$360 x five days = \$1,800: total \$5,429)</p> <p>Travel for meetings to Geneva (e.g. meetings with Geneva Call and the Center for Humanitarian Dialogue) x 2 persons: \$3,848 (Airfare: \$700; terminal expenses \$129; DSA \$365 x 3 days=\$1,095: Total \$1,924)</p>
3. Training of counterparts	
4. Contracts	
5. Other direct costs	
Total Programme Costs	\$74,135
Indirect Support Costs**	7% to UNICEF: \$5,189



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TOTAL	\$79,324

* Based on the UNDG Harmonized Financial Reporting to Donors for Joint Programmes approved in 2006. Definition of the categories can be found in the instruction which is available on www.undg.org.

** Indirect support cost should be in line with the rate or range specified in the Fund TOR (or Programme Document) and MOU and SAA for the particular MDTF.