

**MULTI-DONOR TRUST FUND FOR**  
**UN ACTION AGAINST SEXUAL VIOLENCE IN CONFLICT**  
**PROJECT PROPOSAL SUBMISSION FORM**

<b>Part A. Meeting Information</b> (To be completed by the UN Action Secretariat)				
RMC Meeting No: UNA016		Project <sup>1</sup> No: UNA028		
<b>Date of Meeting:</b> 25 January 2012 Resubmission via email to RMC on 17 February		<b>RMC members in attendance at meeting:</b> Kate Burns, Mendy Marsh, Veronica Birga, Gillian Holmes, Mari Matsumoto, Laura Martin		
<b>Part B. Project Summary</b> (To be completed by the Participating UN Organization)				
<b>Date of Submission:</b> 16 January 2012 Resubmission via email to RMC on 17 February		<b>Participating UN Organization(s):</b> WHO UNODC		
		<b>Participating UN Organization receiving funds:</b> WHO		
<b>Focal Point of the Participating UN Organization(s):</b> Name: Claudia Garcia-Moreno Address: RHR WHO, 20 Ave Appia, Geneva, 27-1211 Switzerland Telephone: +41 22 791 4353 Email: garciamorenoc@who.int		<b>Project Title:</b> Strengthening the medico-legal response to sexual violence in conflict settings		
		<b>Project Location(s):</b> Global. (Field testing sites TBD with a focus on UN Action priority countries.)		
<b>UN Action pillar of activity:</b> <input type="checkbox"/> Advocacy <input checked="" type="checkbox"/> Knowledge building <input type="checkbox"/> Support to UN system at country level		<b>Projected Project Duration:</b> 18 months		
<b>Proposed project, if approved, would result in:</b> <input checked="" type="checkbox"/> New Project <input type="checkbox"/> Continuation of previous funding <input type="checkbox"/> Other (explain)		<b>Total Project Budget:</b> US \$ 197,950		
		<b>Amount of MDTF funds requested:</b> US \$ 197,950		
		<b>Percentage of indirect support costs from MDTF contribution:</b> 7%		
<b>Projected Annual Disbursements:</b>		2010	2011	2012
		\$		\$ 197,950
<b>Projected Annual Commitments:</b>		2010	2011	2012
		\$	\$	\$

<sup>1</sup> The term "project" is used for projects, programmes and joint programmes.



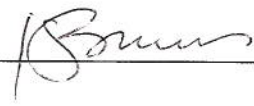
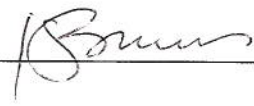
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ANNEX A. 3

## MULTI-DONOR TRUST FUND FOR UN ACTION AGAINST SEXUAL VIOLENCE IN CONFLICT FUND SIGNATURE PAGE

(Note: Please attach to the Project Proposal Submission Form)

<b>Participating UN Organization(s):</b> WHO, UNODC		<b>Focal Point of Participating UN Organization receiving funds:</b> Name: Dr Claudia Garcia-Moreno (WHO) Address: 20 av. Appia, CHF - 1211 Geneva 27 Telephone: +4122 791 4353 Email: <a href="mailto:garciamorenoc@who.int">garciamorenoc@who.int</a>	
<b>Project Number:</b> (to be allocated by the UNDP MDTF Office)		<b>Project Duration:</b> 18 MONTHS  <b>Estimated Start Date:</b>	
<b>Project Title:</b> Strengthening the medico-legal response to sexual violence in conflict settings		<b>Project Location(s):</b> Global. (Field testing sites TBD with a focus on UN Action priority countries.)	
<b>Total Project Cost:</b> US \$ 197,950  <b>MDTF:</b> US \$ 197,950  <b>Other:</b> US \$ _____  <b>GRAND TOTAL:</b> US \$ 197,950			
<b>Total Amount Approved:</b>			
<b>Focal Point of Participating UN Organization receiving funds:</b>	<b>Name/Title</b> Dr Flavia Bustreo Assistant Director-General Family, Women's and Children's Health, WHO	<b>Date</b> 12 March 2012	<b>Signature</b> 
<b>RMC Chairperson:</b>	Kate Burns UNODC/HA	12 March 2012	



## Narrative Summary

### **I. Overview**

This project aims to strengthen national capacity in conflict affected countries by addressing key gaps in policy and practice related to collection and use of forensic evidence of sexual violence in these settings. As part of the increased focus on ending impunity for conflict-related sexual violence, there has been a need identified by donors and international justice actors for improving the collection and use of forensic evidence (including DNA). The tendency has been to focus on single interventions, such as training of providers, or construction of DNA labs rather than on improving the components of the justice, health and social sectors which make up the forensic system as a whole. This approach has not led to the development of appropriate or sustainable responses, has created confusion among national and international actors and does not lead to an efficient use of resources...This project responds directly to requests for clarification of how best to integrate collection of forensic evidence of sexual violence into relevant country level systems and processes, what is needed to strengthen forensic systems and build capacity in this area. It aims to develop a tool to guide countries, the UN and donors in this process.

The project will be divided into two phases.

In phase 1 (2012), a technical meeting will be held in Geneva to review lessons learned from previous and on-going efforts to increase capacity for collection and use of forensic data, develop policy responses and address practical challenges of working on this issue in conflict affected settings. (for example, the project being undertaken by Physicians for Human Rights in the Great Lakes and UNODC's forensic assistance programme for the Palestinian Authority). It will also make reference to relevant international guidance and standards.<sup>2</sup> Relevant UN and other international and national agencies will be invited to participate. The outputs of this technical consultation will include development of a UN Action policy/guidance note and a tool to assist coordinated country level analysis of what is needed at the policy and systems levels for forensic evidence collection and use, which could serve as the basis for programming.

Phase 2 (2013) will involve the pilot testing of the tool in one country and capacity building at the national level. The testing of the tool will involve not only the application of the tool but a process to bring together stakeholders from all relevant sectors to review and analyse the results of the assessment, address bottlenecks and plan next steps, including addressing capacity building needs, in a coordinated way.

### **Goal and objectives**

The overall goal is to improve national system capacity for collection and use of forensic evidence of sexual violence in conflict-affected settings in order to reduce impunity. The specific objectives include:

- To provide guidance, based on lessons learned, about what policies and system components need to be in place for appropriate integration of sexual violence forensic evidence collection.
- To enable country level actors in the range of sectors related to collection and use of forensic evidence to analyse policies **and**, systems and services, address bottlenecks and plan in a coordinated way.

### **Key activities**

- Hold a technical meeting to review lessons learned from previous and on-going efforts to increase capacity for collection and use of forensic data, develop policy responses and address practical challenges of working on this issue in conflict-affected settings.

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<sup>2</sup> Including Medico-legal guidelines, Model Strategies and Practical Measures on the Elimination of Violence against Women in the field of crime prevention and criminal justice (GA resolution 65/228 of December 2011), and the UN Declaration of Basic Principles of Justice for Victims of Crime and Abuse of Power and the Criminal Justice Assessment Toolkit Tool on 'Forensic Services and Infrastructure'



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- Develop a UN Action policy/guidance note based on issues identified by partners at the meeting.
- Develop a tool to assist coordinated country level analysis of what is needed at the policy and systems levels to strengthen forensic evidence collection and use.

## **Timeline (11 months- Feb 2012- Dec 2012)**

- Month1-4: desk review and other preparation for expert consultation
- **Month 5** consultation and drafting of tool
- **Month 6-7** tool review(broader consultation)
- **Month 6-9** draft of guidance note and review
- **Month 9-11** final drafts of tool and guidance note (including translations) and identification of countries for piloting the tool

## **Expected results**

- Clarification of UN Action policy position on key elements and strategies for the appropriate integration of forensic evidence collection in conflict-affected settings based on lessons learned from the field.
- Increased consistency, coordination and quality of UN Action advocacy with donors, partners and country level actors.
- Improved coordination at country level for analysis and programming for system strengthening to enable collection and use of forensic data, among both national actors and UN agencies.
- Increased country level capacity for collection and use of forensic evidence for sexual violence cases in conflict-affected settings.
- Increased country level capacity to investigate and prosecute crimes of sexual violence effectively and appropriately.
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## **Intended beneficiaries**

- Country level actors involved in health and criminal justice processes.
- Survivors of sexual violence.

## **Geographic locations**

Sites for field testing of the tool will be selected in consultation with key stakeholders including UN Action partners, partner NGOs and country teams.

## **II. Proposal's compliance with UN Action's Strategic Framework**

This project falls under the knowledge building pillar of UN Action's work and is included in the UN Action strategic framework for 2012. The outputs of the project are intended to be useful for advocacy as well as support to UN system action at country level.

Forensic evidence is at the intersection of medical and justice processes and appropriate implementation requires coordination between the range of actors and sectors involved in prevention of and response to sexual violence. By clarifying policy and programmatic guidance based on lessons learned and providing a tool for analysis and coordination, this project directly supports improved coordination and planning by UN actors at country level.

WHO has a normative role in developing standards for medical legal collection, including in sexual violence cases and is well placed to bring together key UN, NGO, academics and other experts as well as national MOH staff for



this project. This work will be led by WHO with UNODOC and engage relevant UN agencies (OHCHR, UNW, UNPOL, UNDP, the Team of Experts, UNFPA, UNICEF, etc) and will complement and be coordinated with the work of NGOs (including Physicians for Human Rights USA) and academic institutions (Victorian Institute of Forensic Medicine, Australia, African Network of Forensic Medicine) working to build appropriate capacity for sexual violence forensic evidence collection and use in conflict-affected settings.

The policy /guidance notes and tool which will result from the technical consultation will help country level actors coordinate, identify needs and plan for how to strengthen the whole of the forensic system in a way that increases capacity and the ability to use forensic evidence for legal recourse and support the rights of survivors of sexual violence. These outputs will enable improved advocacy with donors and policy-makers based on lessons learned and will help align stakeholders behind plans and processes that aim to assist survivors in seeking justice and to end impunity for sexual violence in conflict.

### **III. Success criteria and means of evaluating results**

Explain how the Participating UN Organization(s) submitting the proposal have the institutional capacity to successfully achieve the proposed objectives.

The project will be managed by WHO, in partnership with UNODOC and in consultation with OHCHR, UNDP, UNW, UNFPA and others in UN Action. WHO has a normative role in developing standards for medical legal collection, including in sexual violence cases and is well placed to bring together key UN, NGO, academics and other experts as well as national staff for this project. UNODOC is mandated to engage in normative and technical support work to build the capacity of countries to address crimes including through appropriate use of forensic science and brings the experience of working with justice systems on this including in oPt. To ensure cost effectiveness, timely delivery of outputs and access to specific expertise, WHO will contract for specific aspects of the work including translation and development of the guidance / policy note.

The project will be monitored against delivery of specific outputs / expected results:

- a) A small steering group of the key organizations from UN and NGO partners established to guide the project;
- b) UN Action Policy brief developed to address key issues identified by partners;
- c) Meeting to review lessons learned and policy and programme issues;
- d) Assessment tool for forensic system developed for testing

### **IV. Budget (see attached)**

Funding is being sought for phase 1 of the project and will be sought separately for phase 2 which involves the testing of the tool in at least one country.



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<b>Part C. Initial Review of Proposal</b> <i>(To be completed by the UN Action Secretariat)</i>	
(a) Is the project explicitly linked to the UN Action Strategic Framework?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(b) Is the project effective, coherent, and cost-efficient?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(c) Does it avoid duplication and significant overlap with the activities of other UN system entities?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(d) Does it build on existing capacities, strengths and experience?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(e) Does it promote consultation, participation and partnerships and agree with the existing country coordination mechanism?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(f) Is the Project Proposal Submission Form fully completed?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(g) Is the Budget in compliance with the standard format?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(h) Is the indirect support cost within the approved rate?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>Part D: Decision of the Resource Management Committee</b> <i>(to be completed by the RMC Chairperson)</i>	
<b>5. Decision of the Resource Management Committee</b> <input checked="" type="checkbox"/> Approved for a total budget of US\$ 197,150 <input type="checkbox"/> Approved with modification/condition <input type="checkbox"/> Deferred/returned with comments for further consideration <input type="checkbox"/> Rejected	
<b>Comments/Justification:</b> Approved by the RMC after recirculation/consideration of comments on 17 February 2012	
<b>Chairperson of the Resource Management Committee</b> <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div style="width: 60%;"> <p>..... Kate Burns, UNOCHA .....</p> <p><b>Name (Printed)</b></p> <p>.....  .....</p> <p><b>Signature</b></p> </div> <div style="width: 35%; text-align: right;"> <p>12 Mar 2012</p> <p>.....</p> <p><b>Date</b></p> </div> </div>	



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## Part E: Administrative Agent Review

*(To be completed by the UNDP MPTF Office)*

### 6. Action taken by the Executive Coordinator, Multi-Partner Trust Fund Office, UNDP

☐ Project consistent with provisions of the RMC Memorandum of Understanding and the Standard Administrative Arrangements with donors.

**Bisrat Aklilu**  
**Executive Coordinator**  
**Multi-Partner Trust Fund Office, UNDP**

.....  
**Signature**

.....  
**Date**





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ANNEX A.8

## **MULTI-DONOR TRUST FUND FOR** **UN ACTION AGAINST SEXUAL VIOLENCE IN CONFLICT** **PROGRAMME<sup>3</sup> BUDGET FORM**

<b>PROGRAMME BUDGET*</b>	
<b>CATEGORY</b>	<b>AMOUNT</b>
<b>1. Supplies, commodities, equipment and transport</b> - includes printing and translation of assessment tool, policy briefs, and other materials	35,000
<b>2. Personnel (staff, consultants and travel)</b> -	
Expert meeting (flight, room and board for 20 participants)*	70,000
Consultancies (meeting preparation and follow up, development of draft tool and preparation of policy note)	60,000
<b>3. Training of counterparts</b> - includes training for field testing of assessment tool and other capacity-building	
<b>4. Contracts</b>	20,000
<b>5. Other direct costs</b>	185,000
<b>Total Programme Costs</b>	
<b>Indirect Support Costs** (7%)</b>	12,950
<b>TOTAL</b>	<b>197,950</b>

\* Based on the UNDG Harmonized Financial Reporting to Donors for Joint Programmes approved in 2006. Definition of the categories can be found in the instruction which is available on [www.undg.org](http://www.undg.org).

\*\* Indirect support cost should be in line with the rate or range specified in the Fund TOR (or Programme Document) and MOU and SAA for the particular MDTF.

\*Estimated cost of meeting based on 2 recent meetings hosted by WHO including the UN Action funded meeting on sexual violence in conflict and Mental Health /psychosocial support. Travel supported by UNA funds will be economy class and the meeting will be residential to reduce costs associated with per diem payments. It is expected that UN Action entities will cover the costs associated with travel of their own staff to the meeting.

<sup>3</sup> The term "programme" is used for projects, programmes and joint programmes.