Project Document Revision Cover Page

Project Title	Support to Aid Management and Coordination in Somalia
Project Number:	00116132 and 00117249
Output Number:	00113390, 00122909 and 00114120, 00122910
Implementing Partner:	UNDP
Responsible Party:	Aid Coordination Unit / Office of the Prime Minister / Federal Government of Somalia
Start Date:	1 November 2018
End Date:	30 June 2021
PAC Meeting date:	5 Dec 2018

Brief Description

The present amendment extends the duration of the Project from 1 January 2021 until 30 June 2021 at an additional cost of USD 828,397.20. Additional costs represents funds from MPTF as per below breakdown:

- Funds from EU in the amount of USD 164,077.20
- Funds from the Government of Switzerland in the amount of USD 364,320
- Funds from the Government of Switzerland in the amount of USD 300,000

The purpose of the extension is to ensure continuity of the functioning of the Somali aid architecture during the electoral and post-electoral period. By the end of June 2021 it is expected that a new project of support to the aid coordination function in Somalia will be ready for implementation.

The objectives, expected outcomes and results framework remain as in the first amendment of the project, while the proposed budget is based on an estimation of funding needs for the extended implementation period (see Annex 1). While it is assumed that during the first two quarters of the year the majority of the meetings of the Somali Development and Reconstruction Facility bodies will be held online, in line with the shift observed in 2020 derived of implementation constraints resulting of the Covid-19 outbreak and the request by several stakeholders to make the aid architecture more cost-effective, the budget has been estimated considering that a limited number of meetings of the aid architecture bodies will be held in person if situation permits.

Contributing Outcome (UNDAF/CPD, RPD or GPD):		
CPD Output 2.1: Core functions of government ensure effective, efficient, transparent and accountable government management	Total resources required:	4,617,351.01
	Total allocated resources:	4,617,351.01
Indicative Output(s) with gender marker:	• Regular:	-
• Output 1: Strengthened Coordination throughout the SDRF Aid Architecture	• Other:	4,617,351.01
	o MPTF	1,856,537.06
• Output 2: Monitoring NPS, MAF and UCS implementation	o DFID	1,260,813.95
	o USAID	1,500,000.00
	Unfunded budget:	-
	In-kind Contributions	-

Agreed by UNDP
Jacqueline Olweya
Resident Representative - OIC

Agreed by the Office of the Prime Minister
HE Mahdi Mohamed Gulaid
Deputy Prime Minister

Dr Mamunur Malik RC/HC a.i. 29/12/2020
Agreed by the UN Integrated Office
Adam Abdelmoula
Deputy Special Representative of the Secretary
-General/Resident and Humanitarian Coordinator

Agreed by the Ministry of Planning,
Invesment and Economic Development
HE Gamal Mohamed Hassan
Minister

PROJECT BUDGET – NOVEMBER 2018 - JUNE 2021

EXPECTED OUTPUTS	PLANNED ACTIVITIES	Planned Budget by Year				RESPONSIBLE PARTY	PLANNED BUDGET		
		Y1	Y2	Y3	Y4		Funding Source	Budget Description	Amount
Output 1: Strengthened Coordination through the SDRF Aid Architecture	1.1 Activity: Review Aid Coordination Architecture	-	35,000	30,000	-	UNDP, ICT	MPTF, DFID, USAID	International Consultant	65,000
		-	8,000	30,000	-			National Consultants	38,000
	1.2 Activity: ICT support	119,000	470,000	359,440	99,000			Contractual Services-Imp Partner	1,047,440
		-	-	11,625	13,950			Travel	25,575
		-	2,400	2,400	1,800			Training, Workshops and Confer	6,600
		1,785	28,800	44,419	18,900			Misc–Operat Expenditures	93,904
	1.3 Activity: Facilitation of participation of FMS representatives in federal aid coordination structures	-	65,000	36,735	40,000			Travel	141,735
		-	355,000	137,676	70,000			Training, Workshops and Confer	562,676
		-	-	143,400				Travel (COVID 19)	143,400
		-	-	56,160	20,000			Contractual Services-Companies	76,160
		-	-	3,640	-			Printing and Publications (COVID 19)	3,640
		-	-	5,664	-			Misc–Operat Expenditures	5,664
	1.4 Activity: Training and mentoring of aid coordination teams	-	-	-	-			International Consultant	-
		-	-	-	20,000			National Consultants	20,000
		-	-	-	47,000			Contractual Services-Companies	47,000
		-	-	-	6,000			Training, Workshops and Confer	6,000
	1.5 Activity: Development and Implementation of Standard Operating Procedures and Government Guidance on Aid Coordination	-	-	30,000	18,000			International Consultant	48,000
		-	-	30,000	-			National Consultants	30,000
		-	2,400	-	-			Training, Workshops and Confer	2,400
		-	-	1,592	-			Misc–Operat Expenditures	1,592
		-	-	76,150	-			Contractual Services-Companies	76,150
	Sub-Total for Output 1	120,785	966,600	998,901	354,650				2,440,936
Output 2: Monitoring NPS, MAF, UCS Implementation	2.1 Activity: Develop and maintain overarching NPS Monitoring Frameworks	-	10,000	-	-	UNDP, ICT	MPTF, DFID, USAID	International Consultant	10,000
		-	-	-	-			National Consultants	-
		-	2,400	-	-			Training, Workshops and Confer	2,400
		-	6,000	-	-			Misc–Operat Expenditures	6,000
		-	6,000	-	-			Travel	6,000
	2.2 Activity: Monitoring of NPS implementation, MAF & UCS Roadmap	-	45,000	-	-			International Consultant	45,000
		-	-	-	-			National Consultants	-
		-	2,400	-	-			Training, Workshops and Confer	2,400
		-	6,000	450	-			Misc–Operat Expenditures	6,450

		C1	C2	C3	C4	Agency	Source	Line Item	Total
		-		30,000	-			Contractual Services-Companies	30,000
		-	6,000	-	-			Travel	6,000
	2.3 Activity:Monitoring of Aid Flows and Acquisition of the AIMS	-	-	18,240	-			Contractual Services-Companies	18,240
		-	35,000	35,000	30,000			International Consultant	100,000
		-	-	-	-			National Consultants	-
		-	2,400	-	-			Training, Workshops and Confer	2,400
		-	6,000	274	-			Misc–Operat Expenditures	6,274
		-	6,000	-	-			Travel	6,000
	Sub-Total for Output 2	-	133,200	83,964	30,000				247,164
Output 3: Joint Project Delivery and Support	Project Management	-	293,739	370,000	130,000	UNDP	MPTF, DFID, USAID	Salary UN Staff	793,739
		-	24,000	8,200				Travel	32,200
		-	4,000	24,000				Training, Workshops and Confer	28,000
		-	-	8,000	-			Equimpent	8,000
		-	-	10,000	5,000			Audit	15,000
		-	-		30,000			Evaluation	30,000
		-	-	5,000	5,000			TPM	10,000
		-	-	500				Supplies	500
				71,500	40,000			Rental&Maintenance-Premises	111,500
				5,000	9,500			Misc–Operat Expenditures	14,500
		55,921	227,000	237,969	39,000			DPC	559,889
General Management Support		12,369	127,036	135,065	51,452				325,922
	Sub-Total for Output 2	68,290	675,775	875,234	309,952				1,929,251
TOTAL		189,075	1,775,575	1,958,099	694,602				4,617,351

AID COORDINATION PROJECT – M&E Framework

Indicator	Target	Responsible	Source	Comments
IMPACT LEVEL: Somali owned aid coordination and management that supports state building priorities, contributes to stability and responds to citizen needs				
A better managed, more capable, and more accountable Somali aid coordination and management function that supports statebuilding priorities, contributes to stability and responds to citizen needs	Perceived functioning, performance and impact of aid architecture showing a positive trajectory	ICT	Annual satisfaction survey to be circulated in Nov 2020	Annual survey to be conducted
OUTCOME: Capacity for aid coordination processes is improved and increasingly Somali owned and led				
Stable and representative participation of FGS and FMS government, Private Sector and Civil Society, as well as international community representatives at SDRF meetings	ICT tracks and analyses consistency of participation in SDRF SC and PWG meetings	ICT	Attendance record	ICT to analyse attendance sheets immediately after each meeting and consolidate information
Level of international donor financing channelled through SDRF funds (%)	- FGS annually tracks share of donor financing channelled through the SDRF; - Discussions on requirements to increase donor financing through SDRF result in an increase in proportion of funds channelled through SDRF	ICT/MOPIED	Aid flow analysis, AIMS, SDRF administrators' reports	
Level of adherence to SDRF Operations Manual (% of PWGs)	Sector WGs fully adhere to SDRF Operations Manual by the end of the project	PWG Core Group to monitor ICT to consolidate information	Assessment of achievement of agreed milestones	As the Operational Manual is being adjusted once aid architecture is endorsed, it is proposed that an assessment is made in Q4 to measure the extent into which Sector WGs operationalize the Ops Manual
Existence of tools and plans for development financing diversification	At least one plan for diversified funding sources for aid coordination and/or increased sustainability developed by the end of the project	ICT to guide	Funding sources diversification pan	
Sustainability of Somali aid coordination function	ACU salaries/costs transition from UNDP support onto the government budget	ICT	Plan for improved economic sustainability of the ICT	To be prepared by ICT/OPM

Refined aid architecture agreed upon and operational	Plan for operationalization of the aid architecture put in place and under implementation	FGS, ICT	Forward looking calendar, reports, materials and minutes of meetings	Forward looking calendars developed by the ICT and Sector Working Groups
Capacity of the aid coordination system to work remotely	By the end of the project, core meetings, including SDRF and thematic working groups, have been taking place remotely and are inclusive of FMS	ICT	Tracking of number of meetings held online	ICT to track participation after each meeting, and type of meeting held
Perception of the extent into which ICT/OPM has been perceived to have played a role in improving the aid architecture	Positive perception of the role if the ICT in improving the aid architecture	ICT	Perception survey to be carried out in November	To be measured by the annual perception survey run by FGS with UNDP/UN IO support
OUTPUT 1: Strengthened Effectiveness and Coordination through the SDRF Aid Architecture				
Sub-output 1.1. SDRF progressively shifts towards a more efficient and strategic decision-making body				
Frequency of briefings to PWG core group members on all aspects of the revised aid architecture	PWG core group members briefed on all aspects of the refined aid architecture once a year	ICT	Presentation and minutes of briefings	
Percentage of aid architecture fora that comply with agreed core requirements for effective management and strategic-focused management	By the end of the project 80% of aid architecture fora comply with agreed minimal core requirements for effective and strategic-focused management	ICT/Sector Working Groups/JSC Secretariat/Gender, HHRRs & Inclusiveness WG Secretariat	Consolidated tracking sheets	**Milestones/targets in Annex 1** **Milestones/targets in Annex 2**
Issues relevant to FMS are increasingly considered in the SDRF	Each FMS report back on challenges and progress made against components of Sector WGs Work Plans which are relevant for them at least once a year	ICT	Meeting minutes	Since the activity of Sector Working Groups of the refined aid architecture is being activated in July frequency of reporting back of FMS to the groups has been changed from 2 to 1; ICT will agree with FMS members on topics/challenges to be raised by FMS in the meetings
Somali Partnership Forum organized regularly in a successful manner *Successful refers to effectively reviewing progress against the MAF and sets priorities for the next 12 months	At least one successful SPF held in 2020 with UNDP/UN Integrated Office playing a supporting role	ICT	SPF documents and reports	Frequency of celebration of SPF is annual, as agreed by the FGS and international community in 2019
Sub-output 1.2. National capacity for aid management and coordination enhanced				

National stakeholders trained satisfactorily on relevant topics which enhance institutional capacity for effective aid management and coordination	All training activities conducted follow recommendations highlighted in Third Party Monitoring exercise	ICT, UNDP/UN IO	Training satisfaction assessments Training reports	Training topics to be agreed upon
Standard Operating Procedures (SOPs) and/or guidance packages for improved aid management developed	At least 4 Standard Operating Procedures are documented and rolled out with clear role and responsibility distribution, with associated comprehensive guidance packages (when required)	ICT, UNDP/UN IO	SOPs/Guidance packages	Focus themes agreed upon. Preference for concise, practical documents
OUTPUT 2: Monitoring NPS, MAF, UCS implementation				
MOPIED and OPM have the capabilities to monitor NPS implementation	At least 1 aid coordination workshop organized per year in line with need	ICT, UNDP/UN IO	Workshops reports	Themes/focus to be agreed upon based on need
Progress on MAF implementation regularly monitored and reported	- 2 MAF progress reports reported per year - Newly agreed process for monitoring the Mutual Accountability Framework - Assessment of the engagement process carried out (quality and timeliness of outputs)	MAF Task Force, ICT, Sector WGs	MAF progress reports	Given that the Somali aid architecture will resume activities in June/July, one MAF report is expected to be developed in 2020
Aid flows analysed and reported regularly	- At least 1 annual progress report produced for aid flows per year - 80% of implementing partners update data in the system at least once a year	MOPIED UN IO	Aid flow reports	
AIMS is operational by the end of 2019		MOPIED, UN IO	AIMS	

Annex 1 – Requirements for effective functioning of Sector/Thematic WGs

Indicator	Target	Responsible	Source	Comments
Contribution to a more strategic SDRF				
Improved planning, monitoring and evaluation through	Once Aid Architecture is endorsed, Sector Working Groups develop work plans and start operationalization, including regular contribution to setting MAF milestones for 2021, review of progress of implementation of NDP,	Sector Working Groups co-chairs, ICT	Thematic Working Groups work plans	ICT to follow up with PWG core teams, send reminders in advance of deadline, and provide guidance if needed.

effective AWP management	analysis of aid flows, and prioritization of unmet needs and actions by which these can be met			
	Sector WGs track progress of implementation against agreed Work Plans targets by the end of the year	Sector WGs Core Groups, ICT	Report of progress of implementation of Sector WG work plans	Progress report of Sector Working Groups to be issued by the end of the year, and not twice a year (given that aid architecture is resuming activity in June/July 2020)
Effective contribution to the MAF	MAF updated formally annually	- MAF Task Force to coordinate - ICT to consolidate contributions from WGs - WGs to provide inputs regularly	MAF updates	
	Plan for collection of inputs from PWGs for the MAF developed and adhered to ensure sufficient consultation in line with the SPF	MAF Task Force ICT	Plan	
Improved linkage between PWGs and SDRF SC	At least 1 agenda item per PWG per year escalated by PWGs to SDRF agenda as guided by MAF progress assessments	- ICT to follow up with PWG and SDRF co-chairs - ICT to keep record	Record by the end of the year of number of items for each PWG escalated to SDRF for high level discussion	
Improved management of PWG activity				
PWG coordination meetings satisfactorily organised	Less than 5% of PWG meetings are rescheduled less than 2 weeks before they are meant to be handled	ICT	Meeting records	ICT to collect statistics
	PWG forward looking calendars for 2020 developed in July/August and updated on online platform	- Sector WG Core Groups to prepare calendars - ICT to ensure timely delivery of calendars, consolidate and disseminate them	Sector WG forward looking agendas	Given that the aid architecture is resuming activity in June/July 2020, forward looking agendas for the rest of 2020 are expected in July/August, and not in January
	Improved information management and communications:			
	ICT develops and manages website to post SDRF information	ICT, UNDP/UN IO	Website	This option may or may not be implemented, depending on result of consultations on how to set up an improved online comms/collaborative framework

	All documents circulated in meetings are compiled and archived, and those of general interest publicly shared	ICT	ICT archives	ICT to monitor performance
	All meetings have minutes made, which are circulated within one week of each meeting and posted to the ICT Google Drive	ICT	Meeting minutes	ICT to monitor performance
	Improved logistics and day-to-day management of SDRF			
	Check lists for preparation and follow up of actions for PWG meetings developed and used	ICT	Check lists	ICT to monitor performance
	Booking of meeting rooms and required equipment in advance of meetings (including preparation of online meetings when they occur)	ICT	Regular tracking	ICT to monitor performance
	Mailing lists for PWG members are updated on the ICT Google Drive after each meeting	Sector WG Core Groups ICT	Mailing lists	ICT to monitor performance
	Meeting invitations and supporting documents sent at least one week before meetings and posted to ICT Google Drive	ICT	Meeting invitations Supporting documents for meetings	ICT to monitor performance

Annex 2 – Requirements for effective functioning of the SDRF SC

Indicator	Target	Responsible	Source	Comments
Contribution to a more strategic SDRF				
Improved forward looking planning	Annual SDRF Steering Committee calendar of meetings developed, and communicated at the beginning of each year, updated on a quarterly basis and adhered to at	- ICT to draft, update and monitor implementation - SDRF core group to approve agenda and updates, and oversee implementation	Calendar	ICT and SDRF core team will ensure that SDRF forward looking agenda provides space to discuss on PWG updates, MAF reviews, updates of SDRF funds and non-SDRF portfolios and UCS

	least 75% of the time barring unforeseen circumstances			
SDRF SC periodically informed of SDRF funding windows' overall pipelines and delivery performance	Each SDRF funding window present overall pipeline and delivery performance twice a year	ICT	Presentations Minutes SDRF meetings	ICT and SDRF co-chairs to include presentations in SDRF SC calendar
SDRF SC periodically informed of donor overall pipelines and delivery performance	International donor portfolio presented to SDRF SC once a year, including programmes financed outside SDRF	Somalia Donor Group to propose presentations to do	Presentations	ICT and SDRF co-chairs to include presentations in SDRF SC forward looking calendar. An agreement needs to be reached on scope, methodology or presentations and by whom they will be made (options: joint presentations by donors; by individual donors, …)
Improved management of SDRF SC activity				
SDRF SC meetings satisfactorily organized	Improved information management and communications:			
	All documents circulated in meetings are compiled and archived, and those of general interest publicly shared	ICT	ICT archives	ICT to monitor performance
	All meetings have minutes made, which are circulated within one week of each meeting and posted to the ICT Google Drive	ICT	Meeting minutes	ICT to monitor performance
	Improved logistics and day-to-day management of SDRF			
	Check lists for preparation and follow up of actions for SDRF SC meetings developed and used	ICT	Check list	ICT to monitor performance
	Booking of meeting rooms and required equipment in advance of meetings (proper organization of	ICT	Regular tracking	ICT to monitor performance

	online meetings while physical meetings are not possible)			
	Mailing lists for SDRF SC members are updated on the ICT Google Drive after each meeting	ICT to consolidate information	Mailing lists	ICT to monitor performance
	Meeting invitations and supporting documents sent at least one week before meetings and posted to ICT Google Drive	ICT	Meeting invitations Supporting documents for meetings	ICT to monitor performance

ANNEX 3

Jamhuuriyadda Federaalka Soomaaliya
Xafiiska Ra'iisul Wasaaraha

جمهورية الصومال الفيدرالية
مكتب رئيس الوزراء

The Federal Republic of Somalia
Office of the Prime Minister

REF:OPM/0.193/05/2020   Date:25/05/2020

Dear Gunvor and Marius,

H.E. the Prime Minister received your letter from the Somalia Donor Group (SDG) dated 13th March 2020 on the clarification of the aid architecture for Somalia. On behalf of the Prime Minister I want to reiterate that the Federal Government of Somalia is committed to availing a mutually agreed Aid Architecture in accordance with its national priorities and partnership priorities in Mutual Accountability Framework (October 2019).

The brief notes below seek to further clarify the Revised Aid Architecture that incorporate all stakeholders' inputs and circulated in March.

Somalia Partnership Forum (SPF):

a. The SPF will continue to serve as the apex of the aid coordination architecture and to provide a forum for top level coordination and policy dialogue. Rather than meeting twice per year, one annual meeting of the SPF is sufficient, particularly given the proposed elaboration of the high-level structure outlined below. In addition, it is suggested that the SPF should normally meet in Mogadishu.
b. ICT (Integrated Coordination Team) to perform secretariat for this forum. Additional nominated persons can join the ICT to support the preparation and implementation of the SPF.
c. MAF taskforce, consisting of OPM, OoP, Ministry of Finance and MoPIED from the Government side, activated to undertake the thematic preparations for the Mutual Accountability Framework (MAF)

Security and Justice:

a. **The CAS Executive Group should be renamed as the Security and Justice Committee (SJC).** The SJC would maintain the CAS Executive Group mandate and would continue to oversee the work of the six Strand Working Groups. SJC membership and chairing arrangements would remain unchanged, and meetings would take place quarterly, shortly before or after the SDRF SC.
b. Endorsed programs should be uploaded into the AIMS and the necessary Monitoring and Evaluations should be conducted using existing systems in order to promote learning, transparency, accountability and good governance.

Somalia Development and Reconstruction Facility (SDRF)

a. The SDRF SC mandate (terms of reference) will be framed around a more focused set of aid effectiveness and partnership-related responsibilities and tasks. These would primarily include the review of key developments in Somalia and the identification of suitable responses by government; provide overview and updates of government and partner portfolios in relation to national development needs; and the monitoring of commitments entered under the Mutual Accountability Framework (MAF).
b. ICT will provide secretariat support to the SDRF;
c. Programme discussions will be pushed down to the Pillars to facilitate more dedicated and inclusive dialogue.
d. High level joint security and development forum will be convened twice a year.

Pillars (inclusive of FMS):

a. The Integrated Coordination Team will function as the secretariat for the Pillar and it will be co-led by the OPM and MoPIED.
b. The MDA (Ministries, Department and Agencies) leads will be part and parcel of the pillar specific ICT which also includes FMS.
c. Further thematic discussions, including project document development, will take place at Pillar level

Program endorsement:

- The technical programme discussions (design and prioritization) will take place at the Pillar (that's inclusive of the Federal Member States).
- The MDA leads including members of the regional states will work on the prioritization of the programming in accordance with the national development plan priorities.

iPRSP Compliance:

- This exercise is led by the Ministry of Planning Investment and Economic Development (MOPIED), in consultation with the Office of the Prime Minister.
- Donors will be encouraged to share funding opportunity with broad sectoral earmarking in line with NDP9 and detailed programming design done the government of Somalia.
- This exercise will take place before programme prioritization and development is done by the relevant stakeholders. After compliance check of the broad earmarking – the detailed prioritization and designing will be done at the Pillars.
- After iPRSP Compliance check, all designed projects will be reported in the Aid Information Management System (AIMS).
- The team should also be inclusive – including the Monitoring and Evaluation Directorate staff.

We will invite the partners for an SDRF soon to deliberate on the operationalization of the aid architecture.

Please accept, the assurance of my highest consideration.

Sincerely,

H.E. Mahdi Mohammed Gulaid
Deputy Prime Minister
Federal Republic of Somalia

Cc: Amb Gamal Mohamed Hassan
       Minister of Planning, Investment and
       Economic Development

Cc: HE: Hassan Ali Khaire
       Prime Minister
       Federal Republic of Somalia

United Nations Development Programme

UNDP/SO/RR/2021/014

28 February 2021

Excellency,

Subject: Support to Aid Management and Coordination Project

       This is to confirm that UNDP Somalia is finalising the formulation of a project document in support to the aid coordination functions in Somalia. Specifically, the project will support the roll out of the refined aid architecture endorsed by the SDRF Steering Committee on 24 June 2020. As of 28 February 2021, an advanced version of the project document has been drafted to be validated in the coming weeks in close consultation with the Government, Federal Member States, and development partners.

       The new project intends to further contribute to a cost-effective, inclusive, and strategically focused refined aid architecture in alignment with the letter from His Excellency the Deputy Prime Minister dated 25 May 2020 (attached) and with the new Somali Aid Architecture endorsed in 2020.

       The new project will replace the ongoing 'Support to the Aid Management and Coordination in Somalia' project. Its implementation is planned during the period July 2021-December 2024.

       Please accept, Excellency, the assurances of my highest consideration.

Yours sincerely,

Jocelyn Mason
Resident Representative

His Excellency Gamal Mohamed Hassan
Minister of Planning, Investment and Economic Development
Federal Government of Somalia

CC: **Mr. Muhumed Hussein**
      Director of the Aid Coordination Unit, Office of the Prime Minister
      Federal Government of Somalia